

Metric Details

Ref #	Description	U2 Exit Criteria	Measurement Methodology	10/17/2008	Downtime	10/27/2008	10/28/2008	10/29/2008	10/30/2008	
Variance Availability										
1	Variations		The number of open variances is based on the Weekly Issues report. Mitigations will be tracked separately; methodology is tbd.							
	Critical	0								1
	Very High	0								11
	High	Open / Mitigated								155 / 0
17	Patches for Critical, Very High and High that require participants software changes are completed before U2 Exit	Y/N								
Market Simulation Participation										
2	Applications available to 100% of participating SCs	100% availability		Y		Y	Y	Y	Y	
3	Publish list of SCs participating	Publish Daily	As of 10/6, the daily participation list is published online. Previous to 10/6 it was tracked but not externally published.	Y		Y	Y	Y	Y	
Settlements										
4	Daily	90 % at TD+5		Y		Y	Y	Y	Y	
	Daily Re-Issue			Y		Y	Y	Y	Y	
	Daily Re-Calculation			Y		Y	Y	Y	Y	
5	Monthly	2 monthly published								
6	CRR Auction	1 monthly published								
7	Monthly Invoice	2 monthly published								
14	Bid-to-Bill Validation			123		124	124	124	124	
	Standard Charge Codes	49 validated		47		47	47	47	47	
	Special Charge Codes	70 validated		76		77	77	77	77	
DA/ RT Market Systems										
8	DA Market Published (for Next Trade Day)	Publish by 1 PM 7 consecutive days	Tracked based on Market Participant Portal DAM publishing time for weekdays only.	13:15		12:31	12:11	12:41	13:41	
18	HASP	95% for 7 consecutive days		100.00%		83.33%	83.33%	75.00%	83.33%	
19	RTPD	95% for 7 consecutive days	Measurement based on RT Data Exchange Reports. Due to weekend patching, only Monday through 5PM on Friday is considered.	100.00%		86.46%	87.50%	92.71%	96.88%	
	RTD	No more than 5 consecutive RTD cases fail in 7 consecutive Days		100.00%		95.49%	94.10%	95.14%	98.26%	
9	RTD			Y		N	N	Y	Y	
10	MPP, SIBR, CMRI, OASIS, SLIC, ADS, BAPI, OMAR and API available	97.5% Availability		Y		N	N	N	N	
13	Quality of Solution									
	AC solution in DA	90% over 4 weeks		Y		Y	Y	Y	Y	
	AC solution in RT	90% over 4 weeks		Y		Y	Y	Y	Y	
15	Scenarios Priority 1 (25)									
	# executed	25 executed		25		25	25	25	25	
	# reported	25 reports published		0		0	0	0	0	
	# successful	25 successful		20		21	21	21	21	
	Scenarios Priority 2 (9)									
	# executed	9 executed		2		2	2	2	2	
	# reported	9 reports published		0		0	0	0	0	
	# successful	9 successful		1		1	1	1	1	
16	Market results are traceable	Y/N		Y		Y	Y	Y	Y	
MRTU Business Continuity										
11	Back-up and restore plans Archiving and data retention plans	Execute plans								
12		Perform plans								

* Please note that this exit criteria is tracked, but not currently published externally. It will be published beginning the week of 10/6/08

	IMS U2 Downtime
	Complete
	On Track
	Delayed, but will not impact Program timeline
	Delayed and will impact Program timeline