



California ISO

Settlement User Group

July 22, 2020

10:00 a.m. – 11:00 a.m. (Pacific Time)

Web Conference Information	Conference Call Information
<p>Web Address: https://caiso.webex.com/meet/settlementuser</p> <p>Meeting Number: 961 854 046</p> <p>Audio connection instructions will be available after connecting to the web conference. When prompted, select "Call me" and enter the phone number you will use during the call. You will be called by the conference shortly.</p>	<p>Dial-in Number: (866) 528-2256</p> <p>International Dial-in: (216) 706-7052</p> <p>Access Code: 673 78 64</p>

Calls and webinars are recorded for stakeholder convenience, allowing those who are unable to attend to listen to the recordings after the meetings. The recordings will be publically available on the ISO web page for a limited time following the meeting. The recording, and any related transcriptions, should not be reprinted without the ISO's permission.

The recording will be posted on our website and be available for a short period of time until Aug. 5, 2020.

Please mute your phone and do not put it on hold while the discussion is going on in order to eliminate background noise. There will be an opportunity to ask questions after each topic, but before asking your question, please state your name and affiliation. Thank you.



Settlement User Group Agenda

Wednesday, July 22, 2020

10:00 a.m. – 11:00 a.m. Pacific Time

Time	Topic	Presenter
▪ 10:00 – 10:05	▪ Welcome and Introduction	▪ Tyler DuBeshter
▪ 10:05 – 11:00	▪ Settlements	
	– CRR 1b Post Implementation Deployment Date	
	– Fall 2020 – Settlement Release Milestones and Scope	▪ Brenda Corona
	– Q4 2020 - Settlement Release Milestones and Scope	▪ “ ”
	– Fall Release Market Simulation Settlements Calendar	▪ Assad Al-Baijat
	▪ Settlement Corner: <i>Renewable and energy storage in the ISO generator interconnection queue</i>	▪ Bob Emmert
	▪ Customer Readiness Spotlight on: Release Training Information	▪ Cindy Hinman

CRR 1b Post Implementation

Summary of change:

- **Issue:** CRR options are not receiving adequate payment for their CRR. This issues is due to the netting of notional values across time intervals when the option is in the counter flow vs. flow direction. The CRR option should receive a payment in the flow direction and not receive a payment when the sink exceeds the source.
- **Solution:** This enhancement will run prior to the CRR1B calculation process. The source and sink MCCs shall be compared for each option CRR and each hour. If the value of sink MCC -source MCC is positive, then the CRR shall be included in the CRR1B calculations for that hour. If the value is zero or negative, then the CRR shall not be included. This shall be an hour by hour comparison. A possible outcome is that an option CRR is considered in CRR1B for some hours but not all hours.

–This change will be effective **1/1/2019**, **Production deployment 7/27/2020**

Fall 2020 - Settlement Release Milestones

Initial Release Milestones as follows:

- 1st draft technical documentation posted = **5/29**
- 1st draft configuration output file + initial release component summary posted = **6/15**
 - All fall projects except FERC 841
- 2nd draft technical documentation updates posted = **6/26**
 - To include FERC 841 + MSTT
- **Scope change:** IDS project moving to Q4 release with 1/1/2021 effective date
 - IDS Tech Docs have been removed from posted Fall Tech Docs
 - Revised posting will follow Q4 release milestones
- 2nd draft configuration output file + updated release component summary ETA = **7/24**
 - IDS will be removed
 - MSTT cutover simulated week of 8/24
- Fall release market sim
 - connectivity start scheduled for 7/27
 - please stay informed by participating in [Release User Group](#) (RUG)
- Pre-production draft configuration output file + release artifacts planned = 9/22
- Production deployment planned = 9/29



Fall 2020 - Settlement Release Scope

Project	Charge Codes/Impacts
<p>Commitment Cost Enhancement Tariff Clarifications</p> <p>Effective Date: 7/1/2020</p>	<p>RAAIM PC</p>
<p>Excess Behind the Meter Production</p> <p>Effective date: 1/1/2021</p>	<p>6046 6474 6788 Ancillary Services PC Measured Demand Over Control Area Excluding MSS Energy PC Measured Demand Over Control Area PC MSS Deviation Penalty Quantity PC MSS Netting PC Real Time Energy Quantity PC ETC TOR CVR Quantity PC</p>

Fall 2020 - Settlement Release Scope (cont.)

Project	Charge Codes/Impacts
<p>Energy Storage and Distributed Energy Resources Phase 3B (ESDER 3B) Effective date: 10/1/2020</p>	<p>Spin Non Spin No Pay Quantity PC RUC No Pay Quantity PC RTM Net Amount PC</p>
<p>Market Settlement Timeline Transformation Effective date: 1/1/2021</p>	<p>6474* 64740* Real Time Energy Quantity PC* Settlements and Billing Main Body</p> <p>*These are design only. Configuration Guide change not expected for these charge codes but will be reflected have daily initial runs that include UFE (CC 6474, 64740), MSS Deviation Penalties (CC 1407, 2407), EIM Transfers and EIM Bid Cost recovery uplift allocation.</p>
<p>Automated Dispatch System (ADS) User Interface (UI) Replacement</p>	<p><i>No Settlement configuration impact; MRI-S only:</i> ADS data will only be available for 30 days, Market participants will need to download and store this data beginning 10/1/2020.</p>



Fall 2020 - Settlement Release Scope (cont.)

Project	Charge Codes/Impacts
<p>FERC Order No. 841 – Requested Adjustment</p> <p>Effective date: 10/1/2020</p>	<p>6011 6460 6470 6474 6475 6482 6488 6824 7070 7071 7077 7081 7087 64600 64700 64740 64750 IFM Net Amount PC RTM Net Amount PC Real Time Energy Quantity PC RUC Net Amount PC</p>

Winter 2020 – Q4 Settlement Release Milestones

Initial Release Milestones as follows:

- 1st draft technical documentation to post = **8/10**
- 1st draft configuration output file + initial release component summary to post = **8/24**
- 2nd draft technical documentation updates to post = **10/2**



Q4 2020 - Settlement Release Scope

Project	Charge Codes/Impacts
<p>Intertie deviation settlement – implementation (IDS)</p> <p>Effective date: 1/1/2021</p>	<p>6455 (Terminated) 6457 (Terminated) 6456 (New) 6458 (New)</p> <p>System Resource Deemed Delivered Energy Quantity PC</p>
<p>Day Ahead Nodal Pricing Model (NPM) Project</p> <p>Effective Date: 1/1/2021 for listed Charge Codes (PacifiCorp data will start to flow on 1/15/2021)</p>	<p>6011 6790 6947 6630 6636 6637 4515 4560 4575 NPM PC</p>
<p>Asymmetrical Wheeling</p> <p>Effective Date: 1/1/2021</p>	<p>RT Energy Quantity PC Real Time Congestion PC</p>

Note does not include all projects- settlements only

Fall Release Market Simulation Settlements Updates

- Connectivity is scheduled to run from week of 7/27 to 8/7
- Phase 1 Structured scenarios will run week of 8/10 – 8/21; Unstructured from week of 8/24 to 9/4
- Phase 2 Structured scenarios will run week of 8/24 – 9/4; Unstructured from week of 9/7 to 9/18
- [Market Simulation Plan](#)
- [Market Simulation Settlements Calendar](#)



MarketSim@caiso.com

Project	Schedule
Excess Behind the Meter Production Effective date: 1/1/2021	Structured Scenarios: Week of 8/24/2020 Unstructured Scenarios: Week of 9/7/2020
Market Settlement Timeline Transformation Effective date: 1/1/2021	Structured Scenarios: Week of 8/24/2020 Unstructured Scenarios: Week of 9/7/2020
Energy Storage and Distributed Energy Resources Phase 3B (ESDER 3B) Effective date: 10/1/2020	Structured Scenarios: Week of 8/10/2020 Unstructured Scenarios: Week of 8/24/2020
FERC Order No. 841 – Requested Adjustment Effective date: 10/1/2020	Structured Scenarios: Week of 8/10/2020 Unstructured Scenarios: Week of 8/24/2020

Fall Release Market Simulation Settlements Calendar

Calendar Day	Day	CMRI T+1B		Publish Initial Statement T+4B	Meter Data Due T+4B Statement	Publish Initial statement T+6B	Publish Recalc Statement T+8B	Meter data due for T+70B statement	Publish Recalc Statement T+9B	Publish Market Invoice
			Submit Meter Data by T+3B 18:00 for T+9B Initial	T+3B Initial. Estimated by DReAMS. No Meter Data submission	Submit Meter Data by T+4B 18:00 for Recalc	T+9B Initial. Includes Estimation		Submit Meter Data by T+6B 18:00 for Recalc	T+70B Recalculation	
				INITIAL (Current MSTT)	Current MSTT	INITIAL (New MSTT)	RECALC1 (Current MSTT)	New MSTT	RECALC (New MSTT)	
27-Jul-20	Monday									
28-Jul-20	Tuesday									
29-Jul-20	Wednesday	28-Jul								
30-Jul-20	Thursday	29-Jul								
31-Jul-20	Friday									
01-Aug-20	Saturday									
02-Aug-20	Sunday									
03-Aug-20	Monday			28-Jul	28-Jul					
04-Aug-20	Tuesday			29-Jul	29-Jul					
05-Aug-20	Wednesday			Monthly Initial 28-Jul - 29-Jul						Daily Initial 28-Jul, 29-Jul Monthly Initial 28-Jul - 29-Jul
06-Aug-20	Thursday	4-Aug								
07-Aug-20	Friday	5-Aug								
08-Aug-20	Saturday						28-Jul			
09-Aug-20	Sunday									
10-Aug-20	Monday			4-Aug	4-Aug		29-Jul			
11-Aug-20	Tuesday			5-Aug	5-Aug		Monthly Recalc 28-Jul - 29-Jul			
12-Aug-20	Wednesday			Monthly Initial 4-Aug - 5-Aug						Daily Recalc 28-Jul, 29-Jul Monthly Recalc 28-Jul - 29-Jul Daily Initial 4-Aug, 5-Aug Monthly Initial 4-Aug - 5-Aug
13-Aug-20	Thursday	11-Aug								
14-Aug-20	Friday	12-Aug					4-Aug			

Fall Release Market Simulation Settlements Calendar (cont.)

Calendar Day	Day	CMRI T+1B	Submit Meter Data by T+3B 18:00 for	Publish Initial Statement	Meter Data Due T+4B Statement	Publish Initial statement T+6B	Publish Recalc Statement T+8B	Meter data due for T+70B statement	Publish Recalc Statement T+9B	Publish Market Invoice
			25-Aug	T+3B Initial. Estimated by DReAMS. No Meter Data submission	Submit Meter Data by T+4B 18:00 for Recalc	T+9B Initial. Includes Estimation		Submit Meter Data by T+6B 18:00 for Recalc	T+70B Recalculation	
28-Aug-20	Friday						18-Aug			
29-Aug-20	Saturday									
30-Aug-20	Sunday									
31-Aug-20	Monday		26-Aug				19-Aug			
01-Sep-20	Tuesday						Monthly Recalc 18-Aug - 19-Aug			
02-Sep-20	Wednesday	1-Sep				25-Aug		25-Aug		
03-Sep-20	Thursday	2-Sep				26-Aug		26-Aug		
04-Sep-20	Friday		1-Sep			Monthly Initial 25-Aug - 26-Aug				
05-Sep-20	Saturday									
06-Sep-20	Sunday									
07-Sep-20	Monday	ISO Holiday								
08-Sep-20	Tuesday		2-Sep						25-Aug	
09-Sep-20	Wednesday								26-Aug	Daily Initial 25-Aug, 26-Aug Monthly Initial 25-Aug - 26-Aug Daily Recalc 18-Aug, 19-Aug Monthly Recalc 18-Aug - 19-Aug
10-Sep-20	Thursday					1-Sep		1-Sep	Monthly Recalc 25-Aug - 26-Aug	
11-Sep-20	Friday					2-Sep		2-Sep		
12-Sep-20	Saturday									
13-Sep-20	Sunday									
14-Sep-20	Monday					Monthly Initial 01-Sep - 02-Sep				
15-Sep-20	Tuesday									
16-Sep-20	Wednesday								1-Sep	Daily Initial 01-Sep, 02-Sep Monthly Initial 01-Sep - 02-Sep Daily Recalc 25-Aug, 26-Aug Monthly Recalc 25-Aug - 26-Aug
17-Sep-20	Thursday								2-Sep	
18-Sep-20	Friday							Monthly Recalc 01-Sep - 02-Sep		Daily Recalc 01-Sep, 02-Sep Monthly Recalc 01-Sep - 02-Sep
19-Sep-20	Saturday									
20-Sep-20	Sunday									

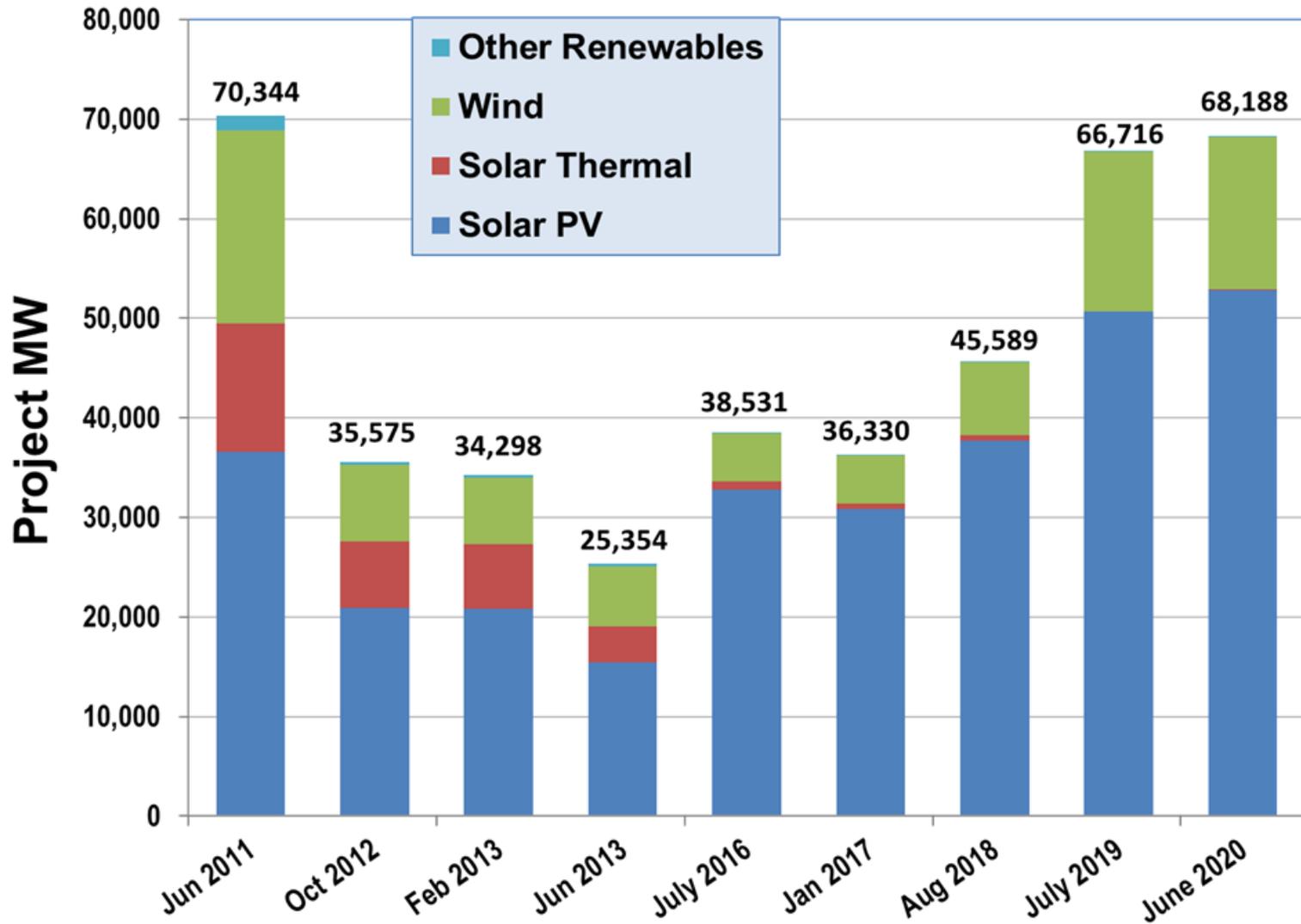


Renewable and energy storage in the ISO generator interconnection queue

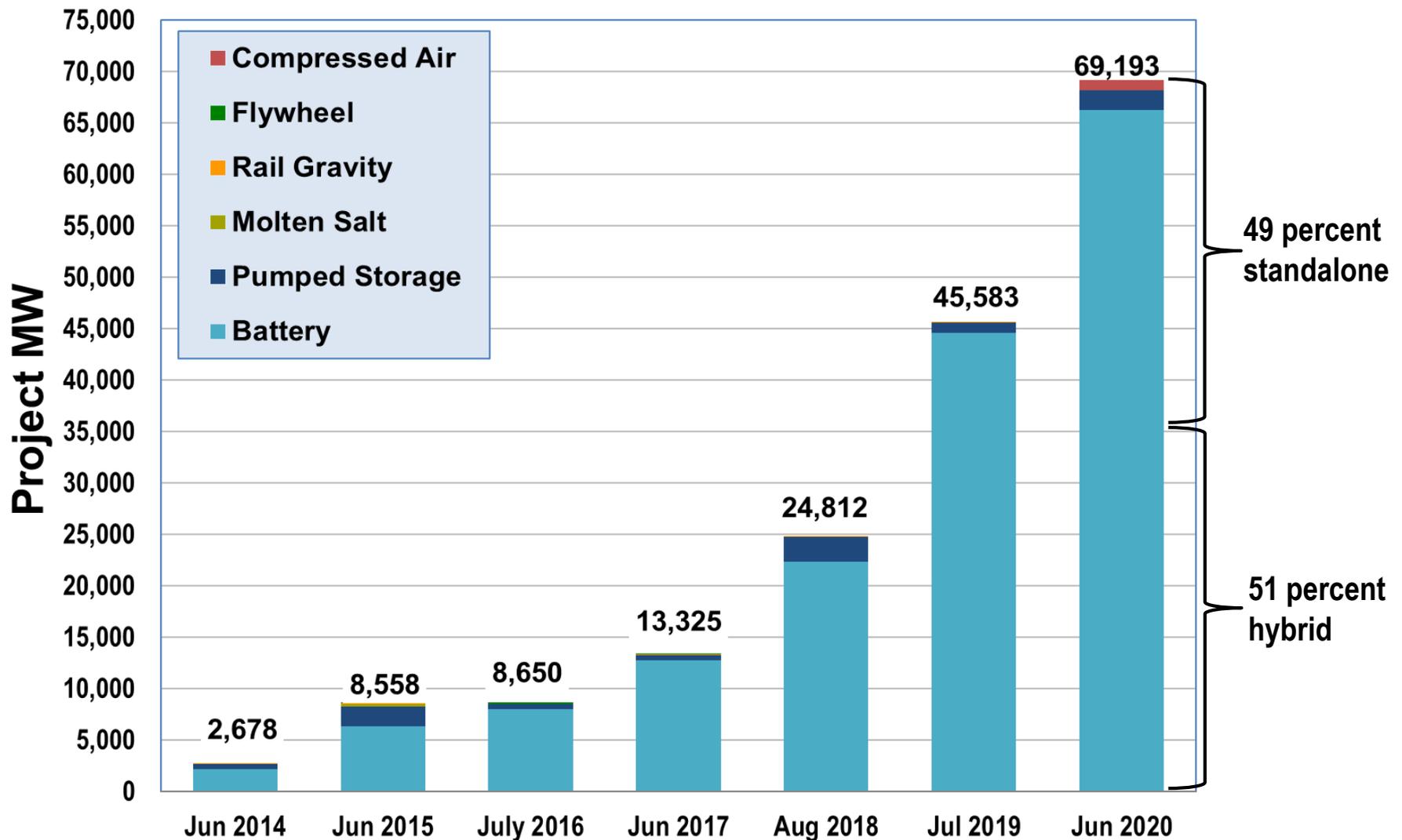
Bob Emmert

Sr. Manager, Interconnection Resources

Renewable capacity in ISO queue



Energy storage capacity in ISO queue



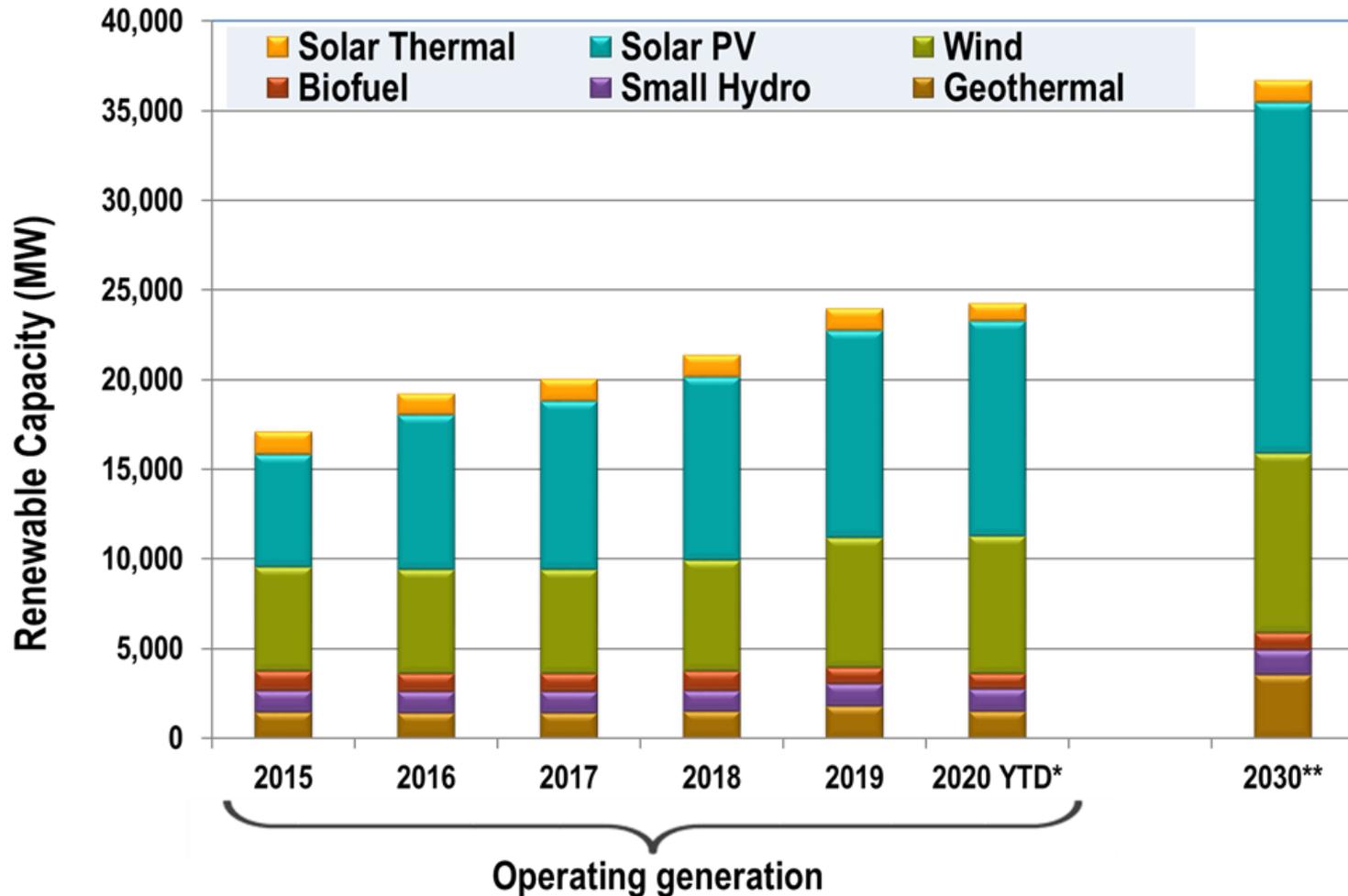
Queue statistics

- 68,188 MW renewable energy (stand alone or hybrid)
 - 39% have completed the study process, 61% are being studied
- 69,193 MW of energy storage
 - 33,653 MW stand alone storage (all types)
 - 35,540 MW storage as a component of a hybrid project

By Type:

- 66,218 MW battery
 - 1,907 MW pumped storage
 - 1,024 MW compressed air
 - 44 MW other
- New cluster 13
 - 147 projects
 - 31,384 MW, renewable
 - 32,302 MW energy storage

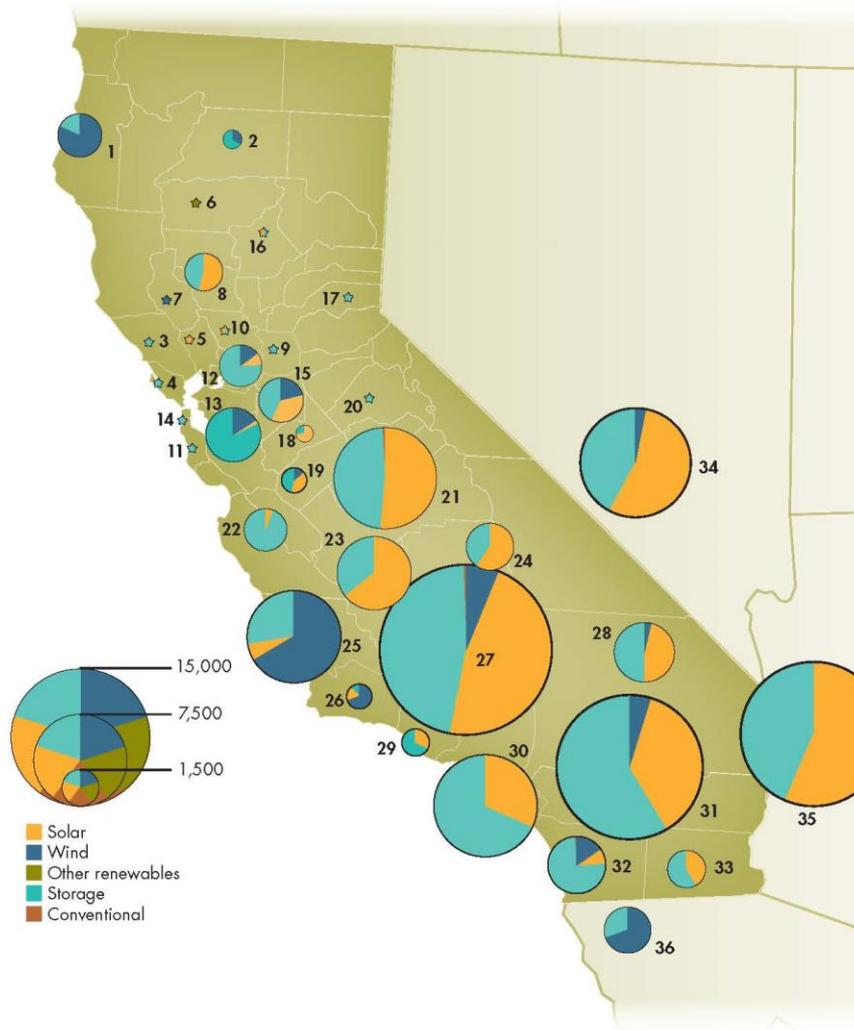
Current and projected renewable generation capacity in operation within the ISO



* All online resources that are not in test mode, including those yet to achieve full commercial operation.

** Estimate of renewable capacity build-out to meet the 2030 60% RPS requirement (based on CPUC 2019 Unified Resource Adequacy and Integrated Resource Plan, BASE portfolio, transmitted to CAISO for the 2019-2020 TPP).

Queue Map – Conventional & Renewables



Interconnection queue by county

County	# of Projects	Megawatts			Total
		Renewables	Storage	Conventional	
1 Humboldt	5	1,827	410		2,238
2 Shasta	2	206	415		621
3 Sonoma	7		103		103
4 Marin	9		305		305
5 Napa	1	25			25
6 Tehama	6	6			6
7 Lake	3	145	39		184
8 Colusa	9	1,048	890		1,938
9 Sacramento	1		59		59
10 Yolo	8	92	92		184
11 San Mateo	5		254		254
12 Solano	2	521	1,618		2,139
13 Alameda-Contra Costa-Santa Clara	26	734	3,335		4,069
14 San Francisco	40		250		250
15 San Joaquin	9	1,318	1,016		2,334
16 Butte	3	87	189		275
17 Placer	33		102		102
18 Stanislaus	7	341	116		457
19 Merced	6	554	499		1,053
20 Tuolumne	9		10		10
21 Fresno-Madera	38	4,221	3,903	63	8,187
22 San Benito-Monterey	5	115	2,042		2,156
23 Kings	25	3,476	1,937		5,413
24 Tulare-Inyo	12	1,562	1,055		2,618
25 San Luis Obispo	5	5,706	2,190		7,896
26 Santa Barbara	3	978	134		1,111
27 Kern	129	11,818	10,294	109	22,221
28 San Bernardino	29	3,151	3,146	15	6,311
29 Ventura	2	500	1,060		1,560
30 Los Angeles-Orange	20	2,536	5,452		7,988
31 Riverside	31	7,387	10,375		17,762
32 San Diego	30	1,235	3,912	49	5,195
33 Imperial	9	814	1,162		1,976
In-state Totals	529	50,401	56,363	236	107,000
34 Nevada	32	5,673	4,123		9,796
35 Arizona	37	10,204	7,857		18,061
36 Mexico	8	1,910	850		2,760
Out-of-state Totals	77	17,787	12,830		30,617
TOTAL ALL PROJECTS	606	68,188	69,193	236	137,617

CAISO PUBLIC

We are requesting topics

Upcoming Topics

Previous Topics

Published Knowledge Articles

- Real Time Storage
- No Pay
- Hybrid Policy
- Fall Release Projects

- Storage- [Link](#)
- Bid Cost Recovery - [Link](#)
- FERC 841- requested adjustments - [Link](#)

- After-the-Fact EIM Manual Dispatches from ISO systems
- Validate Mitigated prices in DA, RTPD (FMM) and RTD
- Calculation of meter data for TG resources
- Energy Transfer System Resource (ETSR) *-New*

⌘ Submit topics to us: twitter.com/isosettlements.com



ISO Computer Based Training Programs

Visit [caiso.com](http://www.caiso.com) **Learning Center** web page to access our training calendar, register for courses and find other informational resources: <http://www.caiso.com/participate/Pages/LearningCenter/default.aspx>

Computer Based Training – Settlements	
There are more than 30 computer based training modules on the ISO website covering:	
RC Customer Settlements	http://www.caiso.com/CBT/RC-Settlements/index.html
Energy Settlements Validation Practice	http://www.caiso.com/CBT/EnergySettlementsValidation/story.html
Settlement Validation Practice	http://www.caiso.com/CBT/Settlements%20Validation%20Practice/story_html5.html
Commitment Costs	http://www.caiso.com/CBT/CommitmentCosts-CBT/story.html
Settlements foundational concepts (EIM)	https://www.westerneim.com/CBT/Settlements%20Foundational%20Concepts/Settlements-FoundationalConcepts.html
Metering Overview	http://www.caiso.com/CBT/MeteringOverview/MeteringOverview.html
Settlements Process	http://www.caiso.com/CBT/SettlementsProcess/story_html5.html

Webinars	
ISO Customer Readiness trainers have cancelled in person trainings	
ISO Training Workshop <i>(Day 3 Settlements)</i>	August 18 – 20, 2020
Welcome to the ISO Webinar	October 15, 2020
New initiatives training courses on the learning center web page	



CustomerReadiness@caiso.com

Release Training Information for Settlements

Project	Current Training Dates
EIM Enhancements 2020	8/17/2020
Intertie Deviation Settlement	9/29/2020
Hybrid Resources Phase 1	3 rd quarter before Market Sim

Project	Past Training Dates
Excess Behind the Meter Production	7/21/2020
Market Settlement Timeline Transformation	7/20/2020
FERC 841 – Requested Adjustments	7/15/2020
Energy Storage and Distributed Energy Resources 3B	6/22/2020
Commitment Costs and Default Energy Bid Enhancements	10/8/2019
ADS UI Replacement Training Part 1	6/11/2020

Recent Tweets

 @ISOSettlements Retweeted

 **ISO Notices** @ISOMarketNotice · Jul 13 

.@California_ISO is pleased to offer a training webinar on 7/20/20, to prepare market participants for market simulation of the Market Settlement Timeline Transformation project: buff.ly/3gUkupJ

  1  1 

 **@ISOSettlements** @isosettlements · Jul 9 

Settlement User Group posts presentation and audio from the 7/8/20 web conference call. buff.ly/3a0hllu

   1 

Next SUG: August 5, 2020

Contact for questions & agenda requests: settlementuser@caiso.com

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Settlement References

- Knowledge Articles
- New EIM Settlements Training Resources
- ISO Settlements Twitter Page
- Ways to Participate in Settlements
- SUG meeting calendar for 2020

Knowledge Articles

CAISO has posted **Knowledge Articles** for FAQs in CIDI.

To access the articles in CIDI, you either:

Option 1: Type in the keyword “**Identify**” in the search field to pull up the article, or

Option 2: Click on the **Knowledge Articles** tab, and then click the **Settlements and Metering** category link to pull up a list of the Settlements related articles.

Posted Knowledge Articles to date:

- RC rate location
- RC Informational Statement
- RC services charge
- 2019 prorated RC charge
- Payment calendar
- ED-DPMIN
- After-the-Fact EIM Manual Dispatches from ISO systems
- Validate Mitigated prices in DA, RTPD (FMM) and RTD *-New*
- Calculation of meter data for TG resources *-New*

California ISO Help Center Knowledge articles Cases Submit a

Search

Identifying

Search Results

All Knowledge Cases Collapse List

Knowledge
5+ Results - Sorted by Relevance View More

Identifying after-the-fact EIM manual dispatches from ISO systems
000001275 · Last Modified Oct 14, 2019 4:23 PM
An EIM organization can identify a manual dispatch after the fact using ADS, the ED and CMRI...How can an Energy Imbalance Market (EIM) organization identify, after-the-fact, whether there

New EIM Settlements Training Resources

New Train-the-Trainer toolkit

- PowerPoint, participant guide, skill assessment and key, learning activity, training admin documents, etc.
- Available through Accellion
- Recording of EIM Settlement Train-the-Trainer recording available on Accellion in Toolkit
- Email us (CustomerReadiness@caiso.com) for registration or Accellion access information

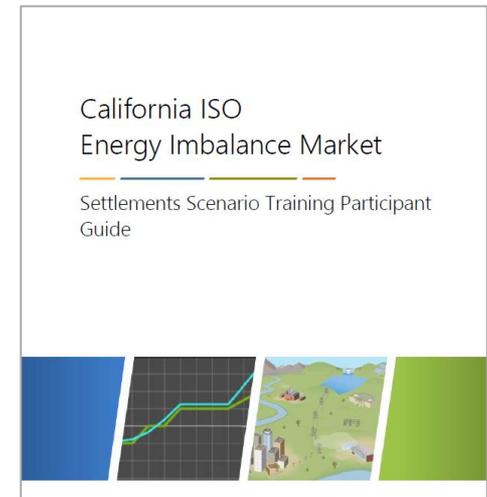


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ISO Settlements Twitter

- Settlement related updates
- Market sim updates
- Note: Will not replace any existing media communications
- Please take the opportunity to follow [@ISOSettlements on Twitter](https://twitter.com/ISOSettlements) by downloading the Twitter application to **any mobile device**
- Twitter feed can be accessed on **any web browser**
- **No account required** to view the Twitter feed and posts



Ways to participate in settlements

- ISO Settlements Twitter Page
 - <https://twitter.com/isosettlements>
- Visit the Settlements page
 - <http://www.caiso.com/market/Pages/Settlements/Default.aspx>
- Attend web conference meetings
 - Settlement User Group (SUG) bi-weekly meetings
 - Settlement only status updates
 - Initiative status with settlement updates
 - <http://www.caiso.com/StakeholderProcesses/>
 - [System change with settlement updates on independent releases](#)
- BPM PRR Change Management monthly web meeting
 - Settlement and Billing BPM status updates
 - <http://bpmcm.caiso.com/Pages/default.aspx>
 - <http://www.caiso.com/rules/Pages/BusinessPracticeManuals/Default.aspx>
 - <http://www.caiso.com/Documents/ISO-AcronymList.pdf>

Settlement User Group Calendar

2020

Market Services

Settlement User Group Meetings

Note: dates subject to change; for the latest information please visit the Calendar on www.caiso.com.



California ISO

January						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

February						
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16	17	18	19	20	21	22
23	24	25	26	27	28	29

March						
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29	30	31				

April						
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26	27	28	29	30		

May						
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17	18	19	20	21	22	23
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31						

June						
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14	15	16	17	18	19	20
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28	29	30				

July						
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26	27	28	29	30	31	

August						
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16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

September						
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6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

October						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

November						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30					

December						
S	M	T	W	T	F	S
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

Meeting
 Agenda posted
 Holiday

Settlement User Group

Thank you for participating in our call

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