



# California ISO

## Settlement User Group Agenda

October 16, 2019

10:00 a.m. – 11:00 a.m. (Pacific Time)

Web Conference Information		Conference Call Information
URL: <a href="https://caiso.webex.com/meet/settlementuser">https://caiso.webex.com/meet/settlementuser</a> Meeting Number: 961 854 046 (Please provide your name and email address to join the meeting)		Domestic Call In: (866) 528-2256 International Call In: (216) 706-7052 Pass Code: 6737864
Time	Topic	Presenter
10:00 – 10:10	Welcome and Introduction	Monica Breck
10:10 – 10:20	RC/HANA Status Updates <ul style="list-style-type: none"> <li>– Production</li> <li>– Release Information</li> </ul> Open Discussion	Monica Breck
10:20 – 11:00	Market Status Updates <ul style="list-style-type: none"> <li>– Production</li> <li>– Release &amp; Market Simulation</li> <li>– PRR/BPM</li> <li>– Exceptional Dispatch Settlement Issue</li> </ul> Open Discussion	Monica Breck Mel Ciubal Brenda Corona Uma Ramanathan



California ISO

# RC/HANA Status Updates

For Settlements User Group  
Status Report Date: 10/16/2019

External Use

# Settlement User Group – 10/16 Status Updates

- **Production:**
  - RC Services
    - Informational Statement timeline:
      - Informational Statement will publish 10/30/2019
      - Customer review and re-submit 2018 data (if needed) by 12/2/2019
    - RC West Payment Calendar :  
<http://www.caiso.com/Documents/RCWestAnnualPaymentsCalendar.pdf>
  - HANA Services: No updates
- **Release Info:** No upcoming releases
- **Open Discussion**



# Market Production Status Updates

For Settlements User Group  
Status Report Date: 10/16/2019

By: Market Settlements Production (MSP)

# Settlement User Group – 10/16 Production Status Updates

## Market

- No updates



# Settlements Configuration Release, Market Simulation, and PRR

For Settlements User Group

Status Report Date: 10/16/2019

By: Market Settlements Design and Configuration (MSDC)

# Settlement User Group – 10/16 Market Release Update

- Fall 2019 Settlements Release
  - Scope includes:
    - Projects: RMR and CPM Enhancements, EIM 2019 Enhancements, ESDER Phase 3A, FERC 841 & RTM Neutrality projects
    - Non-project items – PRR BPMs published 8/26
  - BPMs have replaced draft Settlements technical docs – **starting 9/4**
  - Third draft config output file – **posted 9/20**
  - Release component summary – **posted 9/23**
  - Anticipated prod deployment – **10/30**
  - Market Sim – please refer to calendar

# Settlement User Group – 10/16 MSDC report

## PRR/BPM status:

- **2<sup>nd</sup> Comment Period:**
  - PRRs 1177-1179; 1182-1185 and 1197: 9/30-10/11
  - One comment received for PRR 1178

PRR 1177	Updated BPM configuration guide for pre-calculation high voltage access charge metered load 5.5 to accommodate FERC order 841. (Effective 12/1/2019)
PRR 1178	Update the pre-calculation metered subsystem deviation penalty quantity configuration to properly calculate the metered subsystem expected instructed imbalance energy as per the metered subsystem agreement definition and configuration to accommodate the changes as per market issue assessment form 2019-26 metered subsystem agreement gaps. (Effective 1/1/2018 and 1/1/2019)
PRR 1179	Updated BPM configuration guide for charge code 8830 monthly resource adequacy availability incentive mechanism settlement to accommodate pass through bill calculation visibility to scheduling coordinators. (Effective 5/1/2018)
PRR 1182	Update the pre-calculation Real Time Energy Quantity configuration to accommodate the changes for Energy imbalance market enhancements 2019. (Effective 11/13/2019)
PRR 1183	Updated BPM Configuration guide for CC 6806 Day Ahead Residual Unit Commitment (RUC) Tier 1 Association 5.11 and PC Real Time Energy Quantity 5.20 and PC Real Time Market Net Amount 5.33 and PC SPIN Non-Spin No Pay Quantity 5.21 to accommodate ESDER 3A Initiative. (Effective 11/13/2019)
PRR 1184	Update Settlement Charge Codes for Reliability must run and Capacity procurement mechanism Enhancements initiative. (Effective 1/1/2020)
PRR 1185	Update settlement charge codes for real time market neutrality settlement initiative. (Effective: 8/1/2019 and 11/13/2019)
PRR 1197	Updates to the BPM for Settlements and Billing due to termination of Peak RC settlement and invoicing (Effective: 7/1/2019).

- Next BPM meeting for Settlements will be held on 10/22/19

# Settlement User Group – 10/16

## Exceptional Dispatch Settlement Issue Identified

- June 2019 the ISO Department of Market Monitoring identified the ISO was not mitigating the Exceptional Dispatch (ED) price correctly for:\*
  - Test Energy: When the ED is for Instruction Type of TEST and
  - Mitigated ED: Other Reason for Dispatchable PMIN (DPMIN)
- The Test Energy ED's were being settled at:
  - Max of the Clean Bid (CB), Default Energy Bid (DEB), or Locational Marginal Price (LMP)
  - The correct settlement is the Default Energy Bid or Locational Marginal Price, whichever is higher.
- ED to DPMIN was being settled using the unmitigated settlement of Max (CB, DEB, LMP).
  - The correct mitigated exceptional dispatch settlement is:
    - Max (Min (CB, DEB), LMP)
    - Or if the CB is less than DEB, Max (CB, LMP)

# Settlement User Group – 10/16

## Resettlement of Issue

- ISO will be making the corrections for DPMIN and TEST from Trade Date 4/1/2018 through 9/4/2019.
- Corrections will be reflected as follows:
  - T+18M – From Trade Dates 4/1/2018 through 12/31/2018
  - T+9M - From Trade Dates 1/1/2019 through 7/1/2019
  - T+55B - From Trade Dates 7/2/2019 through 9/4/2019
- We have fixed the software for both DPMIN and TEST in production starting from Trade Date 9/4/2019.