



California Independent  
System Operator Corporation

April 18, 2011

The Honorable Kimberly D. Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street, NE  
Washington, DC 20426

**Re: California Independent System Operator Corporation  
Docket Nos. ER08-1178-\_\_\_ and EL08-88-\_\_\_  
Corrected February 2011 Exceptional Dispatch Report (Chart 1  
data)**

Dear Secretary Bose:

On the 15<sup>th</sup> of every month, the California Independent System Operator (ISO) files a monthly Exceptional Dispatch Report (Chart 1 data). On April 15, 2011, the Market Disruption report, a report that the ISO also files on the 15<sup>th</sup> of every month in dockets ER06-615-000 and ER07-1257-000, was inadvertently attached to the Exceptional Dispatch report cover letter instead of the Exceptional Dispatch report. Accordingly, the ISO is submitting the Chart 1 data for February 2011, pursuant to the Commission's September 2, 2009 and May 4, 2010 orders in the above referenced dockets. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 order, as modified by the ISO's September 14 motion for clarification, which the Commission granted in its May 4 order.

Respectfully submitted,

**By: /s/ Sidney M. Davies**

Nancy Saracino  
General Counsel  
Sidney M. Davies  
Assistant General Counsel  
California Independent System  
Operator Corporation  
250 Outcropping Way  
Folsom, CA 95630  
Tel: (916) 608-7144  
Fax: (916)608-7222  
[sdavies@caiso.com](mailto:sdavies@caiso.com)



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# **Exceptional Dispatch Report**

## **Table 1: February 2011**

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## Introduction

This report is filed pursuant to FERC’s September 2, 2009 and May 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15<sup>th</sup> of each month and one issued on the 30<sup>th</sup> of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in February 2011.

## The Nature of Exceptional Dispatch

The ISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, a post-day-ahead unit commitment, or a real-time exceptional dispatch<sup>1</sup>. A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. For the purposes of this report, a real-time exceptional dispatch above the resource day-ahead award is considered an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is considered a decremental dispatch instruction.

The ISO issues exceptional dispatch instructions primarily for constraints which are not enforced or not completely enforced in the market software. Whenever the ISO issues an exceptional dispatch instruction, such instructions are logged into the scheduling and logging system (“SLIC”), including the associated reason. These reasons are associated with the constraints that are not currently incorporated into the market application. In addition to model constraints, the ISO also issues exceptional dispatch instructions for software failures.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other requirements, such as ramp requirements and inertia emergency assistance. All reason codes starting with “G” refer to an ISO operating procedure for generation requirements and reason codes starting with “T” refer to an ISO operating procedure for transmission facilities. Most of the generation procedures are internal to the ISO and not available on the ISO website. All of the transmission procedures are available on the CAISO website<sup>2</sup>.

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<sup>1</sup> The ISO can issue exceptional dispatch instruction subject to authority of the ISO Tariff Section 34.9 and in accordance with ISO Operating Procedure M-402.

<sup>2</sup> A list of all of the ISO’s publicly available Operating Procedures are available at the following link: <http://www.caiso.com/thegrid/operations/opsdoc/index.html>

In February 2011, the ISO issued exceptional dispatches for the following local area generation requirement: (1) G-206, San Diego area generation requirements. Exceptional dispatch instructions were also issued for the following transmission management requirements: (1) T-103, Southern California import transmission (SCIT) nomogram; (2) T-129, transmission facilities in Fresno area; (3) T-132, transmission facilities in San Diego and Imperial Valley area; (4) T-138, transmission facilities in Humboldt area; and (5) other transmission outages in PG&E, SCE and SDG&E area.

The following additional reasons for exceptional dispatch instructions in February 2011 were not related to specific generation or transmission operating procedures: (1) Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the ISO software does not handle multi day commitment. (For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the ISO issues an exceptional dispatch to commit this resource in 2400 so that it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues.); (2) Market Disruption, when the exceptional dispatch instructions were issued due to HASP failures; and (3) Ramp Rate, when exceptional dispatch instructions were issued to dispatch a resource above its physical minimum to a level where the resource has significantly higher ramp rate capability. For example, a resource could have a ramp rate of 2 MW/min at its physical minimum of 100 MW, but a significantly higher ramp rate of 10 MW/min at 250 MW. The operators could issue an exceptional dispatch for this resource to be dispatched to 250 MW, so that the resource could respond to the anticipated steep load ramp or to a potential contingency. There were a few other reasons used to explain exceptional dispatch instructions in February, which are self explanatory.

As mentioned earlier, the data shown in Table 1 is based on a template specified in the September 2009 order<sup>3</sup>. Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following

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<sup>3</sup> The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC/NA column specifies if there was an incremental dispatch, a decremental dispatch, or only a unit commitment. If the exceptional dispatch was only a unit commitment, the column shows NA for the classification. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates that there were a total of 175 exceptional dispatches in February 2011, increasing slightly as compared to the March 15, 2011 report for January 2011. There were no exceptional dispatches in the day-ahead market. All exceptional dispatches in February were issued in the real-time market. Exceptional dispatches issued for the following reasons accounted for approximately 51 percent of the total exceptional dispatches during the reporting period: Software Limitation, T-103, and Ramp Rate. There was no designation of capacity under Interim Capacity Procurement Mechanism (ICPM) in February 2011.

**Table 1: Exceptional Dispatches in February 2011**

<b>California Independent System Operator Corporation Exceptional Dispatch Report April 15, 2011</b>											
<b>Chart 1: Table of Exceptional Dispatches for Period 01/February/2011 – 28/February/2011</b>											

Num ber	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commit ment	INC_DEC	Hours	Begin Time	End Time
1	RT	G-206	SDG&E	San Diego	10-Feb-11	20	No	INC	14	10:00	23:59
2	RT	Gas Supply Curtailment	SCE	N/A	3-Feb-11	59- 476	No	DEC	13	11:15	23:59
3	RT	Gas Supply Curtailment	SCE	N/A	3-Feb-11	112	No	INC	14	10:53	23:59
4	RT	Gas Supply Curtailment	SDG&E	N/A	3-Feb-11	117- 440	No	DEC	13	11:04	23:59
5	RT	Gas Supply Curtailment	SDG&E	N/A	3-Feb-11	0	No	INC	7	12:22	18:24
6	RT	Gas Supply Curtailment	SDG&E	San Diego	3-Feb-11	57- 379	No	DEC	14	10:44	23:59
7	RT	Gas Supply Curtailment	SDG&E	San Diego	3-Feb-11	0	No	INC	14	10:44	23:14
8	RT	Gas Supply Curtailment	SDG&E	San Diego	4-Feb-11	56- 369	Yes	DEC	12	0:00	11:59
9	RT	Generation Outage	PG&E	N/A	4-Feb-11	110	Yes	INC	18	6:00	23:59
10	RT	Generation Outage	SCE	LA Basin	4-Feb-11	20	Yes	INC	18	6:00	23:59
11	RT	InterTie Emergency Assistance	N/A	N/A	2-Feb-11	300	Yes	INC	1	6:00	6:59
12	RT	InterTie Emergency Assistance	N/A	N/A	17-Feb-11	60- 75	No	INC	2	7:20	8:59
13	RT	Market Disruption	N/A	N/A	7-Feb-11	30	Yes	INC	1	11:00	11:59
14	RT	Market Disruption	PG&E	Bay Area	22-Feb-11	614	No	INC	2	0:20	1:42
15	RT	Market Disruption	PG&E	Fresno	22-Feb-11	320- 467	No	INC	2	0:18	1:42
16	RT	Market Disruption	SCE	LA Basin	22-Feb-11	98- 143	No	DEC	2	0:24	1:42
17	RT	Market Disruption	SCE	LA Basin	22-Feb-11	160- 320	No	INC	2	0:35	1:42
18	RT	Market Disruption	SDG&E	San Diego	22-Feb-11	5	No	INC	2	0:21	1:42
19	RT	Over Generation	N/A	N/A	7-Feb-11	180	Yes	DEC	1	6:07	6:20

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Num ber	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commit ment	INC_DEC	Hours	Begin Time	End Time
20	RT	Over Generation	PG&E	Fresno	7-Feb-11	83	No	DEC	1	6:09	6:24
21	RT	Over Generation	PG&E	Fresno	20-Feb-11	0	No	INC	1	17:14	17:34
22	RT	Over Generation	SCE	Big Creek- Ventura	20-Feb-11	0	No	INC	2	16:17	17:34
23	RT	Ramp Rate	SCE	LA Basin	4-Feb-11	14- 266	No	DEC	3	16:00	18:59
24	RT	Ramp Rate	SCE	LA Basin	4-Feb-11	20- 183	Yes	INC	12	11:07	22:59
25	RT	Ramp Rate	SCE	LA Basin	5-Feb-11	16- 266	No	DEC	10	10:02	19:59
26	RT	Ramp Rate	SCE	LA Basin	5-Feb-11	48- 144	No	INC	10	10:02	19:59
27	RT	Ramp Rate	SCE	LA Basin	6-Feb-11	5	No	INC	1	23:40	23:59
28	RT	Ramp Rate	SCE	LA Basin	7-Feb-11	69- 508	No	INC	10	0:00	9:59
29	RT	Ramp Rate	SCE	LA Basin	9-Feb-11	4- 256	No	DEC	5	15:55	19:59
30	RT	Ramp Rate	SCE	LA Basin	9-Feb-11	144- 264	No	INC	5	15:55	19:59
31	RT	Ramp Rate	SCE	LA Basin	10-Feb-11	14- 266	No	DEC	5	15:40	19:59
32	RT	Ramp Rate	SCE	LA Basin	10-Feb-11	150- 269	No	INC	5	15:30	19:59
33	RT	Ramp Rate	SCE	LA Basin	11-Feb-11	8- 75	No	DEC	3	17:10	19:59
34	RT	Ramp Rate	SCE	LA Basin	11-Feb-11	80- 144	No	INC	3	17:00	19:59
35	RT	Ramp Rate	SCE	LA Basin	14-Feb-11	24- 493	No	DEC	6	14:45	19:59
36	RT	Ramp Rate	SCE	LA Basin	14-Feb-11	15- 260	No	INC	6	14:45	19:59
37	RT	Ramp Rate	SCE	LA Basin	15-Feb-11	460- 840	No	INC	9	12:00	20:59
38	RT	Ramp Rate	SCE	LA Basin	16-Feb-11	14- 266	No	DEC	4	16:00	19:59
39	RT	Ramp Rate	SCE	LA Basin	16-Feb-11	144- 494	No	INC	5	15:25	19:59
40	RT	Ramp Rate	SCE	LA Basin	17-Feb-11	68- 300	No	DEC	7	13:00	19:59
41	RT	Ramp Rate	SCE	LA Basin	17-Feb-11	24- 166	No	INC	7	13:00	19:59
42	RT	Ramp Rate	SCE	LA Basin	19-Feb-11	20	Yes	INC	17	6:00	22:59
43	RT	Ramp Rate	SCE	LA Basin	22-Feb-11	72	No	INC	6	15:25	20:59
44	RT	Ramp Rate	SCE	LA Basin	25-Feb-11	24- 318	No	DEC	8	13:30	20:59
45	RT	Ramp Rate	SCE	LA Basin	25-Feb-11	302- 374	Yes	INC	8	13:30	20:59
46	RT	Ramp Rate	SCE	LA Basin	26-Feb-11	531	No	INC	14	9:53	22:59
47	RT	Ramp Rate	SCE	LA Basin	27-Feb-11	14- 266	No	DEC	7	13:15	19:59



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Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
48	RT	Ramp Rate	SCE	LA Basin	27-Feb-11	311	No	INC	5	15:00	19:59
49	RT	Ramp Rate	SDG&E	San Diego	7-Feb-11	18	Yes	DEC	1	6:00	6:19
50	RT	Ramp Rate	SDG&E	San Diego	10-Feb-11	68	No	INC	1	16:10	16:59
51	RT	Ramp Rate	SDG&E	San Diego	16-Feb-11	68	No	INC	1	16:00	16:59
52	RT	Ramp Rate	SDG&E	San Diego	22-Feb-11	68	No	INC	5	15:35	19:59
53	RT	SDGE Imports	SDG&E	San Diego	5-Feb-11	100	Yes	INC	1	18:01	18:29
54	RT	Software Limitation	N/A	N/A	1-Feb-11	0	No	INC	9	8:40	16:59
55	RT	Software Limitation	N/A	N/A	2-Feb-11	0	Yes	INC	2	5:20	6:49
56	RT	Software Limitation	N/A	N/A	9-Feb-11	24	Yes	DEC	7	3:00	9:29
57	RT	Software Limitation	N/A	N/A	9-Feb-11	20	Yes	INC	7	3:00	9:29
58	RT	Software Limitation	N/A	N/A	18-Feb-11	185	No	INC	3	15:40	17:39
59	RT	Software Limitation	N/A	N/A	21-Feb-11	75- 121	No	DEC	3	19:55	21:59
60	RT	Software Limitation	N/A	N/A	23-Feb-11	20	Yes	INC	1	23:00	23:59
61	RT	Software Limitation	N/A	N/A	24-Feb-11	160	Yes	INC	15	9:00	23:59
62	RT	Software Limitation	N/A	N/A	25-Feb-11	160	Yes	INC	16	7:00	22:59
63	RT	Software Limitation	N/A	N/A	27-Feb-11	350	No	INC	1	22:45	22:59
64	RT	Software Limitation	PG&E	Bay Area	17-Feb-11	620	No	INC	3	7:50	9:59
65	RT	Software Limitation	PG&E	Humboldt	8-Feb-11	42	No	INC	2	18:13	19:29
66	RT	Software Limitation	PG&E	N/A	17-Feb-11	141	No	DEC	6	0:00	5:59
67	RT	Software Limitation	PG&E	N/A	24-Feb-11	0	No	INC	10	0:20	9:59
68	RT	Software Limitation	SCE	Big Creek-Ventura	22-Feb-11	0	No	INC	2	0:18	1:42
69	RT	Software Limitation	SCE	LA Basin	1-Feb-11	0	No	INC	2	8:40	9:09
70	RT	Software Limitation	SCE	LA Basin	3-Feb-11	607- 712	No	DEC	11	13:45	23:59
71	RT	Software Limitation	SCE	LA Basin	3-Feb-11	300	No	INC	11	13:38	23:59
72	RT	Software Limitation	SCE	LA Basin	4-Feb-11	20- 300	Yes	INC	18	2:00	19:59
73	RT	Software Limitation	SCE	LA Basin	5-Feb-11	300	No	INC	4	15:25	18:39
74	RT	Software Limitation	SCE	LA Basin	9-Feb-11	140	No	INC	4	2:30	5:29
75	RT	Software Limitation	SCE	LA Basin	10-Feb-11	300	No	INC	6	14:00	19:59

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Num ber	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commit ment	INC_DEC	Hours	Begin Time	End Time
76	RT	Software Limitation	SCE	LA Basin	11-Feb-11	300	No	INC	5	15:51	19:59
77	RT	Software Limitation	SCE	LA Basin	17-Feb-11	20- 184	No	DEC	10	6:00	15:59
78	RT	Software Limitation	SCE	LA Basin	22-Feb-11	25	Yes	INC	11	9:10	19:59
79	RT	Software Limitation	SCE	LA Basin	24-Feb-11	0	No	INC	2	6:55	7:24
80	RT	Software Limitation	SCE	LA Basin	26-Feb-11	0	No	INC	2	6:45	7:59
81	RT	Software Limitation	SDG&E	San Diego	1-Feb-11	0	No	INC	9	8:25	16:59
82	RT	Software Limitation	SDG&E	San Diego	2-Feb-11	20	Yes	DEC	6	0:00	5:59
83	RT	Software Limitation	SDG&E	San Diego	2-Feb-11	20	Yes	INC	24	0:00	23:59
84	RT	Software Limitation	SDG&E	San Diego	5-Feb-11	127- 135	Yes	INC	1	18:15	18:59
85	RT	Software Limitation	SDG&E	San Diego	7-Feb-11	200	Yes	INC	6	0:00	5:59
86	RT	Software Limitation	SDG&E	San Diego	16-Feb-11	20- 68	No	INC	15	9:21	23:59
87	RT	Software Limitation	SDG&E	San Diego	22-Feb-11	0	Yes	INC	2	17:40	18:19
88	RT	Software Limitation	SDG&E	San Diego	23-Feb-11	20	No	INC	2	7:00	8:59
89	RT	Software Limitation	SDG&E	San Diego	27-Feb-11	20	No	INC	10	13:00	22:59
90	RT	System Energy	N/A	N/A	3-Feb-11	460- 1077	Yes	INC	4	14:00	17:59
91	RT	System Energy	N/A	N/A	4-Feb-11	433	Yes	INC	1	17:00	17:59
92	RT	System Energy	N/A	N/A	7-Feb-11	100	Yes	INC	1	18:00	18:59
93	RT	System Energy	N/A	N/A	24-Feb-11	500	No	INC	1	1:00	1:59
94	RT	System Energy	PG&E	Bay Area	3-Feb-11	0	No	INC	1	23:20	23:24
95	RT	System Energy	PG&E	Bay Area	4-Feb-11	180	Yes	INC	6	0:00	5:59
96	RT	System Energy	PG&E	N/A	19-Feb-11	141	No	INC	18	5:00	22:59
97	RT	System Energy	SCE	Big Creek- Ventura	4-Feb-11	140	Yes	INC	6	0:00	5:59
98	RT	System Energy	SCE	LA Basin	19-Feb-11	20	Yes	INC	23	0:00	22:59
99	RT	System Energy	SDG&E	N/A	19-Feb-11	117	Yes	INC	18	5:00	22:59
100	RT	System Reliability	N/A	N/A	18-Feb-11	0	No	INC	2	14:40	15:29
101	RT	System Reliability	PG&E	Fresno	5-Feb-11	0	Yes	DEC	5	13:45	17:59
102	RT	System Reliability	PG&E	Fresno	5-Feb-11	83	Yes	INC	5	13:45	17:59

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Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
103	RT	System Reliability	PG&E	Fresno	6-Feb-11	83	Yes	INC	4	13:00	16:59
104	RT	System Reliability	PG&E	Fresno	9-Feb-11	321	No	INC	1	6:18	6:49
105	RT	System Reliability	PG&E	Fresno	18-Feb-11	800	No	INC	1	14:34	14:59
106	RT	System Reliability	PG&E	Humboldt	16-Feb-11	60	No	INC	14	10:53	23:58
107	RT	System Reliability	PG&E	Humboldt	17-Feb-11	16- 80	No	INC	24	0:00	23:58
108	RT	System Reliability	PG&E	Humboldt	18-Feb-11	32	No	INC	24	0:00	23:59
109	RT	System Reliability	PG&E	Humboldt	19-Feb-11	64	No	INC	10	0:00	9:59
110	RT	System Reliability	PG&E	N/A	18-Feb-11	100	No	INC	1	15:00	15:59
111	RT	System Reliability	SDG&E	San Diego	18-Feb-11	320	No	INC	2	14:50	15:14
112	RT	T-103	PG&E	Bay Area	26-Feb-11	45	No	INC	1	23:00	23:59
113	RT	T-103	PG&E	N/A	4-Feb-11	180	Yes	INC	7	0:00	6:59
114	RT	T-103	PG&E	N/A	19-Feb-11	180	Yes	INC	17	6:00	22:59
115	RT	T-103	PG&E	N/A	26-Feb-11	180	No	INC	1	23:00	23:59
116	RT	T-103	SCE	LA Basin	19-Feb-11	320- 345	Yes	INC	18	5:00	22:59
117	RT	T-103	SCE	LA Basin	20-Feb-11	110- 455	No	INC	24	0:00	23:59
118	RT	T-103	SCE	LA Basin	21-Feb-11	40- 385	No	INC	24	0:00	23:59
119	RT	T-103	SCE	LA Basin	22-Feb-11	110- 295	Yes	INC	23	0:00	22:59
120	RT	T-103	SCE	LA Basin	23-Feb-11	435	No	INC	1	23:00	23:59
121	RT	T-103	SCE	LA Basin	24-Feb-11	70- 255	No	INC	24	0:00	23:59
122	RT	T-103	SCE	LA Basin	25-Feb-11	70	Yes	INC	23	0:00	22:59
123	RT	T-103	SCE	LA Basin	26-Feb-11	415	No	INC	1	23:00	23:59
124	RT	T-103	SCE	LA Basin	27-Feb-11	95- 255	No	INC	23	0:00	22:59
125	RT	T-103	SCE	LA Basin	28-Feb-11	255	No	INC	1	23:00	23:59
126	RT	T-103	SDG&E	San Diego	20-Feb-11	20	No	INC	24	0:00	23:59
127	RT	T-103	SDG&E	San Diego	21-Feb-11	20	No	INC	24	0:00	23:59
128	RT	T-103	SDG&E	San Diego	22-Feb-11	20- 40	Yes	INC	23	0:00	22:59
129	RT	T-103	SDG&E	San Diego	23-Feb-11	20	No	INC	16	8:02	23:59
130	RT	T-103	SDG&E	San Diego	24-Feb-11	200	No	INC	17	7:00	23:59
131	RT	T-103	SDG&E	San Diego	26-Feb-11	200	No	INC	1	23:00	23:59

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Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
132	RT	T-103	SDG&E	San Diego	27-Feb-11	200	No	INC	23	0:00	22:59
133	RT	T-103	SDG&E	San Diego	28-Feb-11	200	No	INC	1	23:00	23:59
134	RT	T-129	PG&E	Fresno	8-Feb-11	13	No	DEC	13	9:18	21:59
135	RT	T-132	N/A	N/A	5-Feb-11	99	Yes	INC	2	18:20	19:04
136	RT	T-132	SCE	LA Basin	17-Feb-11	70	No	INC	13	0:00	12:59
137	RT	T-132	SDG&E	San Diego	5-Feb-11	120- 310	Yes	INC	3	17:49	19:09
138	RT	T-132	SDG&E	San Diego	17-Feb-11	20	No	INC	11	0:00	10:29
139	RT	T-138	N/A	N/A	2-Feb-11	1	Yes	DEC	2	21:50	22:59
140	RT	T-138	N/A	N/A	2-Feb-11	32	Yes	INC	18	5:35	22:59
141	RT	T-138	N/A	N/A	3-Feb-11	2- 32	Yes	INC	2	0:00	1:59
142	RT	T-138	PG&E	Humboldt	1-Feb-11	32- 70	No	INC	24	0:00	23:59
143	RT	T-138	PG&E	Humboldt	2-Feb-11	32- 48	No	INC	12	5:20	16:59
144	RT	T-138	PG&E	Humboldt	3-Feb-11	29- 80	No	INC	18	6:50	23:59
145	RT	T-138	PG&E	Humboldt	18-Feb-11	16- 48	No	INC	24	0:00	23:59
146	RT	Transmission Outage Other	PG&E	Sierra	3-Feb-11	34	Yes	DEC	2	17:30	18:30
147	RT	Transmission Outage Other	SCE	LA Basin	19-Feb-11	70	Yes	INC	23	0:00	22:59
148	RT	Transmission Outage Other	SDG&E	San Diego	19-Feb-11	20	No	INC	23	0:00	22:59
149	RT	Transmission Outage PG&E	PG&E	Fresno	19-Feb-11	83	Yes	INC	4	14:15	17:59
150	RT	Transmission Outage PG&E	PG&E	NCNB	17-Feb-11	22- 47	No	DEC	2	16:06	17:49
151	RT	Transmission Outage SCE	SCE	LA Basin	16-Feb-11	160- 300	No	INC	18	4:00	21:59
152	RT	Transmission Outage SDG&E	SCE	LA Basin	14-Feb-11	70	No	INC	2	21:00	22:59
153	RT	Transmission Outage SDG&E	SCE	LA Basin	15-Feb-11	70	No	INC	24	0:00	23:59
154	RT	Transmission Outage SDG&E	SCE	LA Basin	16-Feb-11	70	No	INC	24	0:00	23:59
155	RT	Transmission Outage SDG&E	SCE	LA Basin	17-Feb-11	240	No	INC	10	13:00	22:59
156	RT	Transmission Outage SDG&E	SCE	LA Basin	18-Feb-11	70	No	INC	1	23:00	23:59
157	RT	Transmission Outage SDG&E	SDG&E	San Diego	11-Feb-11	45	No	INC	9	8:15	16:59
158	RT	Transmission Outage SDG&E	SDG&E	San Diego	14-Feb-11	200	No	INC	18	4:00	21:59
159	RT	Transmission Outage SDG&E	SDG&E	San Diego	15-Feb-11	20	No	INC	15	9:00	23:59
160	RT	Transmission Outage SDG&E	SDG&E	San Diego	16-Feb-11	20	No	INC	14	2:00	15:59

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Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
161	RT	Transmission Outage SDG&E	SDG&E	San Diego	17-Feb-11	68	No	INC	13	10:30	22:59
162	RT	Transmission Outage SDG&E	SDG&E	San Diego	18-Feb-11	20	No	INC	1	23:00	23:59
163	RT	Transmission Outage SDG&E	SDG&E	San Diego	22-Feb-11	36	Yes	INC	6	11:14	16:59
164	RT	Transmission Outage SDG&E	SDG&E	San Diego	24-Feb-11	45	Yes	INC	11	6:35	16:59
165	RT	Transmission Outage SDG&E	SDG&E	San Diego	25-Feb-11	45- 90	Yes	INC	9	8:13	16:24
166	RT	Unit Testing	N/A	N/A	7-Feb-11	310- 550	No	INC	5	17:43	21:29
167	RT	Unit Testing	SCE	LA Basin	15-Feb-11	46	No	INC	1	9:00	9:10
168	RT	Unit Testing	SCE	LA Basin	17-Feb-11	47	No	INC	1	9:11	9:20
169	RT	Voltage Support	SCE	LA Basin	24-Feb-11	71- 93	No	DEC	5	4:00	8:59
170	RT	Weather	PG&E	Bay Area	24-Feb-11	180	No	INC	1	23:00	23:59
171	RT	Weather	PG&E	Bay Area	25-Feb-11	180	Yes	INC	6	0:00	5:59
172	RT	Weather	PG&E	N/A	24-Feb-11	180	No	INC	1	23:00	23:59
173	RT	Weather	PG&E	N/A	25-Feb-11	180	Yes	INC	23	0:00	22:59
174	RT	Weather	SCE	LA Basin	25-Feb-11	25	Yes	INC	23	0:00	22:59
175	RT	Weather	SDG&E	San Diego	25-Feb-11	200	Yes	INC	16	7:00	22:59

## Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

### Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example the ISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure G-219. Similarly, the ISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally exceptional dispatches prior to the day-ahead market are commitments to minimum load. In this case the dispatch levels are all at minimum load.

**Table 2: Instructions Prior to Day-Ahead Market**

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	G-219
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	G-219
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	G-219.

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The begin time shows hour ending 5 as this was the hour ending for first dispatch of the day, and the end time shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be some hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

**Table 3: FERC Summary of Instructions Prior to DAM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	G-219	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

**Example 2: Incremental Exceptional Dispatch Instructions in RTM**

In this fictitious example the ISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure T-138. This resource did not have a day-ahead award in those hours. The ISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure T-138. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C is shown in Table 4.

**Table 4: Incremental Exceptional Dispatch Instructions in RTM**

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	t-138
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	t-138
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	t-138
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	t-138

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be some hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

**Table 5: FERC Summary of ED Instructions in RTM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	T-138	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00



**Example 3: Decremental Exceptional Dispatch Instructions in RTM**

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the ISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure T-129. The ISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

**Table 6: Decremental Exceptional Dispatch Instructions in RTM**

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	t-129
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	t-129
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	t-129

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The commitment column shows whether a resource was committed between the begin time and end time.

**Table 7: FERC Summary of Decremental ED Instructions in RTM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	T-129	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	T-129	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

## CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service list in the captioned proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 18<sup>th</sup> day of April, 2011.

*Anna Pascuzzo*  
Anna Pascuzzo