

CPS Subscriber's Agreement for Remote Intelligent Gateways (RIGs)

The California Independent System Operator (CAISO) issues digital certificates for use in RIG units according to the practices described in the Certification Practice Statement for Basic Assurance Certification Authority (CPS). The CPS is a statement of the practices, which a Certification Authority (CA) employs in issuing certificates. It is a comprehensive description of such details as the precise implementation of service offerings and detailed procedures of certificate life-cycle management. The CPS also delineates mutual obligations of the Certification Authority and the Subscriber.

This document describes the obligations of the Subscriber to the CAISO (the Certification Authority). This document only supplements and does not replace the Certification Practice Statement for Basic Assurance Certification Authority (CPS). An electronic copy of the CPS is available at: http://www.caiso.com/docs/2003/02/18/200302181600117192.html. A hard copy of the Certification Practice Statement for Basic Assurance Certification Authority (CPS) may be obtained from the office of the Policy Management Authority at the address below:

California Independent System Operator C/O Manager of Information Security 250 Outcropping Way Folsom, California 95630

CAISO strongly recommends that you read and familiarize yourself with the Certification Practice Statement for Basic Assurance Certification Authority (CPS).

Each Subscriber to the Basic Assurance Certificate Authority (Basic CA) agrees to the following terms and conditions:

- 1. When a Subscriber generates his or her own key pair, the Subscriber must use acceptable industry practices and standards for key generation, as set forth in the Certification Practice Statement for Basic Assurance Certification Authority (CPS).
- 2. The Subscriber will use the keys certified by the Basic Assurance CA only for the purposes of identity authentication and establishing a session key for protecting confidential information.
- 3. The Subscriber agrees to protect the certificates/keys assigned to them by the Basic CA at all times, as set forth in the Certification Practice Statement for Basic Assurance Certification Authority (CPS).
- 4. The Subscriber agrees to adhere to the dispute resolution procedures of Section 13 of the ISO Tariff.

Form Last Update: 06/27/2019 ISO Public Page 1 of 2



- 5. The Subscriber agrees that the provisions of Section 14 of the ISO Tariff will apply to liability arising from the Basic Assurance CA system.
- 6. The Subscriber agrees to promptly notify the CAISO and request the Basic Assurance CA to revoke its certificate in any of the following circumstances:
 - a) When any of the information in the certificate is no longer valid;
 - b) When the device to whom the certificate was issued is reassigned or decommissioned and is no longer an authorized user;
 - c) Upon suspected or known compromise of the assigned private key and certificates; or
 - e) If the assigned private keys are lost.

The Certification Practice Statement for Basic Assurance Certification Authority (CPS) describes the procedures for requesting certificate revocation. In brief, the requesting party needs to prepare a request and forward it to a Registration Authority (RA) of the Basic Assurance CA. The revocation of a certificate may only be requested by:

- 1. The Subscriber;
- 2. An authorized individual within the organization who sponsored the Subscriber;
- 3. The individual or organization, which made the application for the certificate on behalf of a system, device or software application;
- 4. The California Independent System Operator;
- 5. The operational authority of the Basic Assurance CA; and/or
- 6. The Registration Authorities of the Basic Assurance CA.

I have read and agree to comply with this Basic Assurance Certification Practice Statement Subscriber's Agreement. I understand that this does not replace the Certification Practice Statement for Basic Assurance Certification Authority (CPS) and that I am obligated to comply with the terms and conditions as set forth in the Certification Practice Statement for Basic Assurance Certification Authority (CPS).

Print Name	Position		Company	
Signature		Date		