



# EIM/WECC Business Rules Document

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## OMS Replacement Project EIM/WECC

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# 1. Introduction

## 1.1 Purpose

The purpose of this document is to provide a comprehensive description of the business rules associated with the OMS System.

# 2. Business Rules

## 1.2 Common Business Rules

The table listed below specifies Business Rules. Each Business Rule is assigned a unique ID starting with the system acronym plus a prefix of "BRL" followed by a 3 digit number with leading zeros if necessary. Each Business Rule must be related to and referenced by at least one Functional Requirement, but may be referenced by multiple Functional Requirements and Use Cases.

BRL ID	Business Rule Description
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OMS-COM-BRL101 An outage transitions through various states throughout its lifecycle, from inception to completion, from the equipment's initial loss of capacity to return to normal operation.

These states are:

State	Description	Outage Requester Action (Allowed, Required or Not Allowed)
<u>Received</u>	<u>An outage request goes to the "Received" state when an Outage Requester has created and submitted an outage request that has passed all validation rules.</u>	<u>Allowed</u>
Cancelled	An outage goes to the "Cancelled" state when the Outage Requester submits an outage change request with a cancel action to the ISO.	Not allowed
Approved	An outage goes to the "Approved" state when the Outage Requester submits the outage to the ISO	Allowed
OUT	An outage goes to the "OUT" state at the actual start time of the outage, if provided, or else at the planned start time of the outage	Allowed
<u>IN Service Editable</u>	<u>An outage goes to the "IN Service Editable" state either via an automatic transition by the system or a manual transition by the outage requester to indicate the actual end time of an outage</u>	<u>Allowed</u>
IN Service	An outage goes to the "IN Service" state at the actual end time of an outage, if provided, or else at the planned end time of the outage	Not allowed

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OMS-COM-BRL102 A cancel change request action on an outage can be submitted when the outage is in the "Approved" state:

A cancel change request action on an outage cannot be submitted when the outage is in any of the following states:

- "OUT";
- "IN Service".

OMS-COM-BRL103 All outage change requests for EIM/WECC outages are automatically accepted by the ISO

OMS-COM-BRL104 For EIM/WECC outages, an outage change request cannot be withdrawn since these outage change requests are automatically accepted upon submission

OMS-COM-BRL105 An outage is assigned either 'Planned' or 'Forced' type upon entry by comparing the length of time period between the Outage Entry and Outage Start against a predefined threshold (which is set to seven calendar days, not including the submission date and date of the outage).

**If** { (Planned Outage Start Time – Time of Outage Entry) <= Threshold }  
**Then** Outage Type = "Forced"  
**Else** Outage Type = "Planned"

<p>OMS-COM-BRL106</p>	<p><u>A change request to a Forced outage may transition it into the Planned timeframe. This will lead to an outage type change, in compliance with conditions described below.</u></p> <p><u>If {(Outage Type = "Forced") and (New Planned Outage Start Time – Time of Outage Request Entry) &gt; Threshold}</u> <u>Then Outage Type = "Planned"</u> <u>Else Outage Type remains unchanged</u></p> <p><u>A change request to an outage may transition a Planned Outage into the Forced timeframe and, conversely, a Forced Outage into the Planned timeframe. This will lead to outage type changes, in compliance with conditions described below.</u></p> <p><u>If {(Outage Type = "Planned") and (New Planned Outage Start Time – Time of Outage Request Entry) &lt;= Threshold}</u> <u>Then Outage Type = "Forced"</u> <u>Else If {(Outage Type = "Forced") and (New Planned Outage Start Time – Time of Outage Request Entry) &gt; Threshold}</u> <u>Then Outage Type = "Planned"</u> <u>Else Outage Type remains unchanged</u></p>
<p>OMS-COM-BRL122</p>	<p><u>A change request to a Planned Transmission outage may transition it into the Forced timeframe. This will lead to an outage type change, in compliance with conditions described below.</u></p> <p><u>If {(Outage Type = "Planned") and (New Planned Outage Start Time – Time of Outage Request Entry) &lt;= Threshold}</u> <u>Then Outage Type = "Forced"</u> <u>Else Outage Type remains unchanged</u></p> <p><u>A change request to a Planned resource (generation) outage will not lead to an outage type change. For an originally planned resource (generation) outage that is approved, if the change request is accepted, then the outage remains "Planned". If the change request is denied, there is no change to the original "Planned" outage and a new outage may be submitted.</u></p>
<p>OMS-COM-BRL107</p>	<p>Outage Approval Type can either be Final Approval Required (FAR) or Final Approval Not Required (FAN). All EIM &amp; WECC outages will be considered to be FAN outages.</p>



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OMS-COM-BRL108

Alerts are issued by the system in response to direct user actions when creating, modifying, or acting on an outage. Such alerts can include notifications about validation errors in outage data upon entry or incorrect actions on outages that violate business rules. These include but are not limited to the following:

Alert Type (Warning, Error, Information)	Alert Description
Information	Upon detection of outage conflicts a soft alert will be generated informing the user about conflicts with the proposed outage that needs to be resolved (i.e. contingency conflicts)
Information	When a user attempts to save an outage that has been modified by other users, since it was first opened, a soft alert will be produced informing the user that saving the outage will overwrite changes made by other another user
Information	When equipment is selected during outage creation through the outage entry display by an internal or external client, the user shall receive a soft alert notifying them those operational procedures that reference the selected equipment is available
Information	When a user specifies "AVR/Exciter" as the NOW in outage entry, a soft alert with the text "Contact Transmission Operator for Voltage Schedules" will be issued
Error	Upon detection of switch conflicts in transmission outages, if the trumping option is not available a hard alert will be issued. Users will be asked to resolve the conflict before the entry can be allowed.  The alert will include: <ol style="list-style-type: none"> <li>1. All outages in conflict</li> <li>2. All switch(es) in conflict</li> <li>3. Time periods for all conflicts</li> </ol>
Information	Upon detection of switch conflicts in transmission outages, if the trumping option is available, the alert presented will be issued as a soft alert.  The alert will include: <ol style="list-style-type: none"> <li>1. All outages in conflict</li> <li>2. All switch(es) in conflict</li> <li>3. Time periods for all conflicts</li> </ol>
Information	When a user specifies equipment rating Start/End Time outside the outage time span, the equipment rating time range will be automatically adjusted to the outage Start/End Times and a soft alert will be issued to inform the user



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OMS-COM-BRL108 (continued)	Alert Type (Warning, Error, Information)	Alert Description
	Error	Upon detection of conflicts in equipment with shared ownership a hard alert will be produced blocking the entry of the new outage. In such cases, an outage creator has rights to equipment but not outages with that equipment entered by co-owners. A hard alert will be issued informing a user about a conflict with the co-owner's outage along with a recommendation to contact the ISO to resolve the situation
	Information	When an internal user makes a change to an outage that is linked within an outage group, they will receive a soft alert that will indicate the other outages that are part of the group as well as any additional note associated with the group
	Warning	If any outage in an Outage Group changes in one of the manners below, all remaining outages in group will receive a warning that must be acknowledged. <ol style="list-style-type: none"> <li>1. Change to start or end time</li> <li>2. Change to modeling</li> <li>3. Outage Cancellation or Disapproval</li> <li>4. Change to any Market Impacts</li> </ol>
	Warning	A warning will be issued in the following scenarios with regards to equipment ownership change to the: <ol style="list-style-type: none"> <li>1. new equipment owner about outages now assigned to his company by ownership changes.</li> <li>2. old equipment owner for outages now assigned to another company due to ownership changes</li> </ol>
	Information	A notification alert is issued to users when they attempt to extend or shorten either a trumping or impacted outage
	Error	Miscellaneous validation errors associated with outage data upon entry or incorrect actions on outages that violate business rules
	Information	Miscellaneous validation information messages associated with outage data upon entry or update actions on outages
OMS-COM-BRL109	Warnings are acknowledged on a company basis. The first user acknowledging a warning will do so on behalf of his company (internal or external). All warnings must be acknowledged in order to be cleared.	
OMS-COM-BRL110	External Warnings can be acknowledged by either an external ISO participant, or an ISO internal user. If an external warning is acknowledged by an internal user, the user will receive an alert verifying their intent to acknowledge the external warning prior to processing the request	





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OMS-COM-BRL111	<p>Outage Priority Date is computed when the outage is entered and possibly also when the outage is modified.</p> <p>On outage entry, Outage Priority Date is set to the outage submission date</p> <p>Outage Priority Date is updated on an outage modification:</p> <ol style="list-style-type: none"> <li>1. For Generation Outages when there is an increase in the PMax derate of the outage or increase in time scope.</li> <li>2. For Transmission Outages when there is an increase in time scope.</li> </ol>
OMS-COM-BRL112	<p><b>Outage Creation Validation:</b> Emergency Return Time cannot exceed outage duration and will only be validated on outage creation.</p>
OMS-COM-BRL113	<p><b>Outage Creation/Modification Validation:</b> Outage Planned end date/time must be greater than outage Planned start date/time.</p>
OMS-COM-BRL114	<p><b>Outage Creation Validation:</b> System assigns unique numeric ID (Outage ID) upon outage creation.</p>
OMS-COM-BRL115	<p><b>Outage Creation Validation:</b> For the outage time period, only an active resource/equipment and participant can be utilized.</p>
OMS-COM-BRL116	<p><b>Outage Creation/Modification Validation:</b> All Start and End Dates and Times for referenced equipment or profiles within the outage must be wholly contained within the outage Start and End Date/Time.</p>
OMS-COM-BRL117	<p>An outage group is created when two or more outages are linked together. When an outage group is created it is assigned a unique ID and all outages in the group will share the same outage group ID.</p>
OMS-COM-BRL118	<p>An outage group can only be created manually by internal users or automatically by the System under certain conditions such as trumping.</p> <p>Only internal users can manage outage groups.</p>
OMS-COM-BRL119	<p>An outage group may be visible by internal users only or All Users. When a group is manually created by a ISO internal user, the Group visibility flag will default to Internal Only, but the ISO user may change the flag to be All Users, if desired. Groups automatically created by the System (e.g., Trumping Actions) can optionally set the visibility of the group as needed.</p>
OMS-COM-BRL120	<p>An outage group can be removed (i.e. ungrouped) by ISO users only.</p>
OMS-COM-BRL121	<p>The following common outage attributes are required by the ISO:</p> <ol style="list-style-type: none"> <li>1. Short description of the outage</li> <li>2. Participant outage ID</li> <li>3. Planned start date of the outage</li> <li>4. Planned end date of the outage</li> <li>5. Participant ID</li> <li>6. Nature of Work of the outage</li> </ol>
<u>OMS-COM-BRL122</u>	<p><u>The planned start time of an outage can only be modified when the outage is in the following states:</u></p> <ul style="list-style-type: none"> <li>• <u>"Received"</u></li> <li>• <u>"Approved"</u></li> </ul>

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### 1.3 Generation Business Rules

The table listed below specifies Business Rules. Each Business Rule is assigned a unique ID starting with the system acronym plus a prefix of "BRL" followed by a 3 digit number with leading zeros if necessary. Each Business Rule must be related to and referenced by at least one Functional Requirement, but may be referenced by multiple Functional Requirements and Use Cases.

BRL ID	Business Rule Description
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OMS-GEN-BRL101

Allowed de-rates and re-rates based on Nature of Work are:

Nature of Work	Pmax Curtailment/Stated Availability	Pmin Rerate	Loadmax Derate	Loadmin Rerate	A/S Availability	Ramp Rate Rerate	Use Limit	Overlaps Allowed	Exclusive Nature of Work
Environmental Restrictions	A	A	A	A	A	-	-	Yes	Yes
Use Limit Reached	A	-	A	A	A	-	R	Yes	Yes
Transmission Induced	A	-	A	-	-	-	-	Yes	Yes
Plant Maintenance	A	A	A	A	A	-	-	Yes	Yes
Plant Trouble	A	A	A	A	A	-	-	Yes	Yes
Unit Cycling	A	A	A	A	-	-	-	Yes	Yes
Unit Supporting Startup	A	A	-	-	-	-	-	Yes	Yes
Transitional Limitation	A	A	A	A	-	-	-	Yes	Yes
Ambient Due to Temp	A	A	A	A	A	-	-	No	Yes
Ambient Not Due to Temp	A	A	A	A	A	-	-	No	Yes
Power System Stabilizer	-	-	-	-	A	-	-	No	Yes
New Generator Test Energy	-	-	-	-	-	-	-	Yes	Yes
Unit Testing	A	A	A	A	A	-	-	Yes	Yes
Metering/Telemetry	-	-	-	-	R	-	-	Yes	Yes
RTU/RIG	-	-	-	-	R	-	-	Yes	Yes
ICCP	-	-	-	-	R	-	-	Yes	Yes
Ramp Rate	-	-	-	-	-	R	-	No	Yes
AVR/Exciter	-	-	-	-	A	-	-	Yes	Yes
Pre Unit Commercial Testing	A	A	A	A	-	-	-	Yes	Yes

Nature of Work	Pmax Curtailment/Stated Availability	Pmin Rerate	Loadmax Derate	Loadmin Rerate	A/S Availability	Ramp Rate Rerate	Use Limit	Exclusive Nature of Work
Environmental Restrictions	A	A	A	A	A	-	-	Yes
Use Limit Reached	A	-	A	A	A	-	R	Yes

Owner: System Account

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OMS-GEN-BRL102

Allowed de-rates and re-rates based on Resource types are:

OMS Resource Type	Resource Classification	Stated Availability	Pmax Curtailment	Pmin Rerate	Load max Derate	Load min Rerate	Ramp Rate Rerate	A/S Availability	Minimum Energy limit	Maximum Energy Limit
GEN or TG	Individual	Yes	Calculated	Yes	No	No	Yes	Yes	No	No
GEN	MSG	Calculated or entered total derate to zero	Calculated	No	No	No	No	Yes	No	No
GEN	MSG Configuration	Yes	Calculated	Yes	No	No	Yes	No	No	No
GEN or TG	Aggregate	Yes	Calculated	Yes	No	No	Yes	Yes	No	No
GEN or TG	Aggregate Child	Yes	Calculated	No	No	No	No	No	No	No
NGR	Individual	Yes	Calculated	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Pump Storage	Individual	Yes	Calculated	Yes	Yes	Yes	Yes	Yes	No	No
Participating Load	Individual	No	No	No	Yes	Yes	No	Yes	No	No
ITIE or ETIE	Individual	Yes	Calculated	Yes	No	No	No	No	No	No
Load (Pumps)	Individual	No	No	No	Yes	Yes	No	Yes	No	No

GEN = Generator, TG = Tie Generator, ITIE = Import Intertie, ETIE= Export Intertie, NGR= Non Generating Resource, MSG = Multi Stage Generator

OMS-GEN-BRL103

For PMin re-rates, ramp rate re-rates, LoadMax derates, LoadMin rerates, Minimum Energy Limits, Maximum Energy Limits and AS availability changes, overlapping outages are not allowed.

OMS-GEN-BRL104

For PMax derates, overlapping outages are allowed.

OMS-GEN-BRL105

For NGRs:

1. The Maximum Energy Limit (Maximum Stored Energy) de-rated value must be zero or positive. In addition, it must be lower than or equal to the value defined in resource reference model.
2. The Minimum Energy Limit (Minimum Stored Energy) de-rated value must be zero or positive. In addition, it must be lower than or equal to the value defined in the reference model and lower than the Maximum Energy Limit de-rated value.

<p>OMS-GEN-BRL106</p>	<p>For outages with PMax derates, Outage requesters must explicitly state availability in every new or modified outage while resulting curtailments will be computed by the system based on the stated availability values subject to existing curtailments in overlapping outages.</p> <p>The curtailment is computed as follows: Curtailment (i) = Previous Availability(i) – New Availability(i) where (i) is each time segment of the new or modified outage.</p> <p>For a modified generation outage: New Curtailment(i) = Previous Curtailment(i) + (Previous Availability(i) – New Availability(i))</p> <p>The new curtailment computed using either of these formulas must be non-negative for each time segment. If the computation yields a negative value, an error is declared and the outage entry/modification is rejected.</p>
<p>OMS-GEN-BRL107</p>	<p>A special case of outage modification is a change in its Start Time and/or End Time. If the new Outage Start Time is later than the original Start Time and/or the new End Time is earlier than the original one, a portion of the original outage time span will not be covered by new duration resulting in one or more vacated period(s).</p> <p>Other outages of the same resource may or may not overlap with periods vacated in a given outage.</p> <p>If there are no overlaps, this means that no outages exist for such periods and the resource availability will be automatically reset to Pmax</p> <p>If overlaps exist, the availability must be stated for the vacated periods on the modified outages along with the indication of an outage id to which of the overlapping outages, if there are more than one, this availability value applies. The curtailment will be recomputed for the specified outage for the affected period(s).</p>
<p>OMS-<del>GENCOM</del>-BRL108</p>	<p><b>Outage Creation/Modification Validation:</b> Stated Availability cannot exceed Resource PMax (submitted value must be a non-negative value).</p>
<p>OMS-<del>GENCOM</del>-BRL109</p>	<p><b>Outage Creation/Modification Validation:</b> PMin rerate value cannot be below PMin (submitted value must be a positive value).</p>
<p>OMS-<del>GENCOM</del>-BRL110</p>	<p><b>Outage Creation/Modification Validation:</b> Load Curtailment cannot exceed Load Max (submitted value must be a positive value).</p>
<p>OMS-<del>GENCOM</del>-BRL111</p>	<p><b>Outage Creation/Modification Validation:</b> Load rerate value cannot be below Load Min (submitted value must be a positive value).</p>
<p>OMS-<del>GENCOM</del>-BRL112</p>	<p><b>Outage Creation/Modification Validation:</b> Ramp rate re-rate values can only last for the balance of the day they are submitted for, unless it is after 2200 when they can be entered for the next day. The duration of the ramp rate rerate configurable.</p>
<p>OMS-<del>GENCOM</del>-BRL113</p>	<p><b>Outage Creation/Modification Validation:</b> PMin rerate cannot exceed Stated Availability value.</p>



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OMS-GENCOM-BRL114	<b>Outage Creation/Modification Validation:</b> Ramp rate rerate value for each segment must be between the minimum and maximum values defined for the resource (entered value must be a positive value).
OMS-GENCOM-BRL115	<b>Outage Creation Validation:</b> For a Resource outage that is considered Forced Immediate i.e. the start time of the outage (at the time of the outage submittal) is either the current time or a time in the past or a Resource outage modification that extends the return time of an outage: The System will make known to the user if the Resource is identified on the Market Impacts Tab (Gen Requirements) of any other outage that overlaps the timeframe of the outage.
OMS-GENCOM-BRL116	The following generation outage attributes are required by the ISO: <ol style="list-style-type: none"> <li>1. Short Notice Opportunity Outage indicator (used for resource adequacy purposes and should be set to "NO" for EIM/WECC outages)</li> <li>2. Off Peak Opportunity Outage indicator (used for resource adequacy purposes and should be set to "NO" for EIM/WECC outages)</li> <li>3. The resource(s) to be outaged</li> </ol>
<u>OMS-GEN-BRL117</u>	<u>For Regulation Up, Regulation Down, Spin and Non Spin A/S availability MW values, the OMS shall only allow zeroes to be entered by the outage requester. When either regulation up/down set to zero then the system shall set both of them to zero.</u>
<u>OMS-GEN-BRL118</u>	<u>For MSGs, the plant level stated availability is calculated to be highest stated availability out of it's configurations except for when it is entered as a total derate to zero</u>
<u>OMS-GEN-BRL119</u>	<u>Actual times cannot be entered by the user. They will be auto-populated at the planned start time and the planned end time</u>
<u>OMS-GEN-BRL120</u>	<u>For a PMin re-rate on an MSG resource, user must set the PMin re-rate for the specific configuration(s) to the desired value(s). All other configurations must be set to their reference data PMin value.</u>
<u>OMS-GEN-BRL121</u>	<u>For a PMax de-rate on an MSG, user must set the availability value for the specific configuration(s) to the desired value(s). All other higher configurations must be set to Out-of-Service using the OOS flag and lower configurations must be set to their reference data PMax value</u>
<u>OMS-GEN-BRL122</u>	<u>Availability, PMin, LoadMax, LoadMin, Minimum Energy Limit, Maximum Energy Limit cannot be updated for time periods in the past when an outage is in the "OUT" state.</u>
<u>OMS-GEN-BRL123</u>	<u>An outage may be flagged as invalid or inconsistent due to reference data changes. Inconsistencies/invalidity may span an entire outage or just portions of an outage</u>

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OMS-GEN-BRL124

Reference data changes may result in an impacted outage being flagged as inconsistent or invalid as indicated in the table below.

Data Change	Compare to Rerate/Derate	Resulting Outage Classification
<u>PMax New &gt; PMax Old</u>	<u>N/A</u>	<u>Inconsistent</u>
<u>PMax New &lt; PMax Old</u>	<u>PMax New &lt; Stated Availability</u>	<u>Inconsistent</u>
	<u>PMax New &gt; Stated Availability</u>	<u>Inconsistent</u>
	<u>PMax New &gt; PMin Rerate</u>	<u>Inconsistent</u>
<u>PMIn New &gt; PMin Old</u>	<u>PMIn New &gt; PMin Rerate</u>	<u>Inconsistent</u>
	<u>PMIn New &lt; PMin Rerate</u>	<u>N/A</u>
	<u>PMIn New &gt; Stated Availability</u>	<u>Inconsistent</u>
<u>PMIn New &lt; PMin Old</u>	<u>N/A</u>	<u>N/A</u>
<u>MSG to Non MSG</u> <u>Non MSG to MSG</u> <u>Aggregate to Non Aggregate</u> <u>Non Aggregate to Aggregate</u> <u>Addition/Removal of MSG configurations</u> <u>Addition/Removal of child resources</u> <u>Addition/Removal of Ramp rate segments</u>	<u>N/A</u>	<u>Invalid</u>
<u>Load Max New &gt; Load Max Old</u>	<u>All</u>	<u>N/A</u>
<u>Load Max New &lt; Load Max Old</u>	<u>Load Max New &lt; LoadMax Rerate</u>	<u>Inconsistent</u>
	<u>Load Max New &gt; LoadMax Rerate</u>	<u>N/A</u>
	<u>Load Max New &lt; LoadMin Rerate</u>	<u>inconsistent</u>
<u>Load Min New &gt; Load Min Old</u>	<u>Load Min New &gt; LoadMin Rerate</u>	<u>inconsistent</u>
	<u>Load Min New &lt; LoadMin Rerate</u>	<u>N/A</u>
	<u>Load Min New &gt; LoadMax Rerate</u>	<u>Inconsistent</u>
<u>Load Min New &lt; Load Min Old</u>	<u>N/A</u>	<u>N/A</u>
<u>Maximum Energy Limit New &gt; Maximum Energy Limit Old</u>	<u>All</u>	<u>N/A</u>
<u>Maximum Energy Limit New &lt; Maximum Energy Limit Old</u>	<u>Maximum Energy Limit New &lt; Maximum Energy Limit Rerate</u>	<u>Inconsistent</u>
	<u>Maximum Energy Limit New &gt; Maximum Energy Limit Rerate</u>	<u>N/A</u>
	<u>Maximum Energy Limit New &lt; Minimum Energy Limit Rerate</u>	<u>N/A</u>
<u>Minimum Energy Limit New &gt; Minimum Energy Limit Old</u>	<u>Minimum Energy Limit New &gt; Minimum Energy Limit Rerate</u>	<u>N/A</u>
	<u>Minimum Energy Limit New &lt; Minimum Energy Limit Rerate</u>	<u>N/A</u>
	<u>Minimum Energy Limit New &gt; Maximum Energy Limit Rerate</u>	<u>Inconsistent</u>
<u>Minimum Energy Limit New &lt; Minimum Energy Limit Old</u>	<u>N/A</u>	<u>N/A</u>

OMS-GEN-BRL125

Invalid outages must be shortened or canceled while inconsistent outages can be updated to correct the inconsistency caused by the increase or decrease in reference data values.

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<u>OMS-GEN-BRL126</u>	<u>Invalid outages will not complete auto state transitions while still flagged as invalid while inconsistent outages will complete auto state transitions as applicable</u>
<u>OMS-GEN-BRL127</u>	<u>Pending requests associated with inconsistent/invalid outages will be left as-is however such pending requests cannot be accepted by a CAISO user. These requests can only be rejected by a CAISO user or withdrawn by the requester</u>
<u>OMS-GEN-BRL128</u>	<u>New break points will be added to an invalid outage indicating the start of the reference data change (such as MSG to Non MSG) that caused the outage to be flagged as invalid</u>
<u>OMS-GEN-BRL129</u>	<u>New availability break points will be added to an inconsistent outage indicating the start of a PMax increase or decrease.</u>
<u>OMS-GEN-BRL130</u>	<u>Warnings will be generated and associated with invalid or inconsistent outages</u>
<u>OMS-GEN-BRL131</u>	<u>All resource de-rate and re-rate profiles (e.g. availability, PMin, etc) must match the start and date of the outage</u>

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### 1.4 Transmission Business Rules

The table listed below specifies Business Rules. Each Business Rule is assigned a unique ID starting with the system acronym plus a prefix of "BRL" followed by a 3 digit number with leading zeros if necessary. Each Business Rule must be related to and referenced by at least one Functional Requirement, but may be referenced by multiple Functional Requirements and Use Cases.

BRL ID	Business Rule Description
OMS-TRN-BRL101	Outage Approval Type is viewable by both ISO Internal and External Users
OMS-TRN-BRL102	Via the Graphical User Interface (GUI), when Facility Outage Definition Modeling flag is set and data is populated into the Outage Entry page, the user will be able to manually modify the selected equipment before the outage is saved
OMS-TRN-BRL103	Via an Application Programming Interface (API) request to submit an outage, when the Facility Outage Definition Modeling flag is set, the outage will be created with the default equipment provided in the pre-defined modeling. No additional information defining switches associated with the facility can be submitted with the outage submittal. If additional switches are submitted for the facility, the outage submittal will be rejected.



OMS-TRN-  
BRL104

Allowed features by Natures of Work are:

<u>Nature of Work</u>	<u>Switch Modeling</u>	<u>Equipment Derate</u>	<u>Overlaps Allowed</u>	<u>Exclusive Nature of Work</u>
<u>Out of service</u>	R	A	Yes	Yes
<u>Energized Work</u>			Yes	Yes
<u>Relay work</u>	A	A	Yes	Yes
<u>Special Setup</u>	A	A	Yes	Yes
<u>Test Program</u>	A	A	Yes	Yes
<u>Equipment Derate</u>	A	R	Yes	Yes
<u>Equipment Abnormal</u>	A	A	Yes	Yes
<u>Path Limitation</u>	A	A	Yes	Yes
<u>Communications</u>			Yes	Yes
<u>Out of Service with Special Setup</u>	A	A	Yes	Yes

<u>Nature of Work</u>	<u>Exclusive Nature of Work</u>
<u>Out of service</u>	Yes
<u>Energized Work</u>	Yes
<u>Relay work</u>	Yes
<u>Special Setup</u>	Yes
<u>Test Program</u>	Yes
<u>Equipment Derate</u>	Yes
<u>Equipment Abnormal</u>	Yes
<u>Path Limitation</u>	Yes
<u>Communications</u>	Yes
<u>Out of service with special setup</u>	Yes

OMS-TRN-BRL118 Out of Service with special setup NoW is used for transmission outages that include a combination of switches that are being closed as well as switches that are being opened.

OMS-TRN-BRL105 Via the API, the following are optional Transmission outage fields:  
 1. IsNewEquipmentEnergized - Not required, defaults to 'No'  
 2. SwitchModelingComplete - Not required, defaults to 'Yes'  
 3. isRecurring - Not required, defaults to 'No'

OMS-TRN-BRL106

Allowed transmission outage features based on Equipment types are:

Equipment Type	Facility Outage Definition	Equipment Rating Change
Substation	Yes	No
Busbar Section	Yes	No
Line	Yes	<del>Yes</del> No
AC Line Segment	Yes	<del>No</del> Yes
DC Line Segment	Yes	No
Power Transformer	Yes	Yes
Shunt Compensator	Yes	No
Series Compensator	Yes	No
Conforming Load	Yes	No
Non Conforming Load	Yes	No
Energy Consumer	Yes	No
Disconnect	No	No
Switch	No	No
Breaker	No	No

OMS-TRN-BRL107

For equipment types that have the facility outage definition available, the outage requester is required to specify whether the facility outage definition should be used or the discrete switches will be provided for the outaged equipment by the outage requester

OMS-TRN-BRL108

In order to resolve switch conflicts for outages that are submitted or modified within a specified time prior to the start of the outage, an outage will be allowed to trump one or more existing outages.

For all other outages, that are not submitted or modified within the specified time prior to the start of the outage, switch status conflicts will not be allowed.

OMS-TRN-BRL109

Trumping may impact more than one existing outage. In such cases the trumping will result in switch status changes in each of the impacted outages for the duration of trumping. A group will be automatically created that includes the trumping and impacted outages.

OMS-TRN-BRL110

Only a single level of trumping will be allowed i.e. any new conflicting outages that overlap the existing trumping period will be rejected and the trumping option will not be provided. Users will have to manually resolve switch status conflicts in order to enter a new outage in this case.



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OMS-TRN-BRL111	<p>Via the UI, within the time period that trumping is allowed, a user will be given the option to trump all outages in conflict (all or nothing) before a new outage can be entered without conflicts.</p> <p>If not within the time period that trumping is allowed or a user decides not to use trumping, a user will be required to resolve all conflicts before the System will accept the outage.</p>
OMS-TRN-BRL112	<p>Via the API, a user can submit or resubmit an outage with a trump allowed flag allowing it to trump one or more outages within the time period that trumping is allowed.</p> <p>If not within the time period that trumping is allowed and/or the trump allowed flag is not set, the outage request will be rejected.</p>
OMS-TRN-BRL113	<p>Via the API, when an outage modification request is made that will trump one or more outages, the new request will be auto accepted and the existing outages will be modified immediately.</p>
<del>OMS-COMTRN-</del> BRL114	<p><b>Outage Creation/Modification Validation:</b> Voltage value is only necessary when there is an equipment naming conflict with equipment at the same substation with different voltage levels. This will be editable and user will be able to select from a list of valid voltage values. A model for voltage levels will be maintained within the OMS System.</p>
<del>OMS-COMTRN-</del> BRL115	<p><b>Outage Creation/Modification Validation:</b> For Equipment Rating Changes:</p> <ol style="list-style-type: none"> <li>1. Start Date and End Date will default to the outage Start Date and End Date via the UI.</li> <li>2. Equipment Rating change values must be a positive value.</li> </ol> <p>Entered Normal values must be less than or equal to Emergency values.</p>
<del>OMS-COMTRN-</del> BRL116	<p>The following transmission outage attributes are required by the ISO:</p> <ol style="list-style-type: none"> <li>1. Equipment to be outaged</li> </ol>
<u>OMS-TRN-BRL117</u>	<p><u>For all forced (unplanned) outages, Primary (P) Cause Code and a Secondary (S) Cause Code are required upon outage submission. The cause code may be updated at a later date by PTO (i.e. if initial cause is "Unknown" but further investigation reveals cause was "Vegetation"). A list of valid primary and secondary cause codes shall be provided to select from via the UI and API.</u></p>

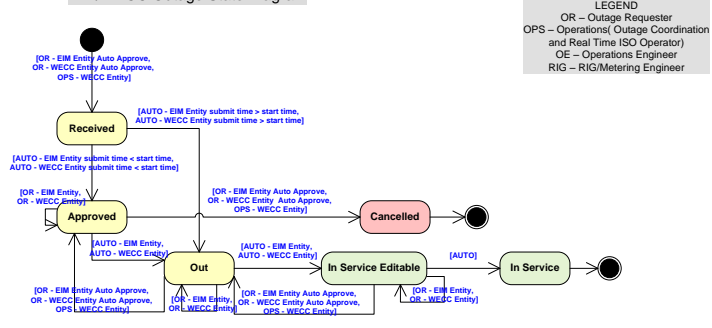
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### 3. Appendix

Field Code Changed

**EIM/WECC Outage State Diagram**



**LEGEND**  
 OR – Outage Request  
 OPS – Operations (Outage Co and Real Time ISO Oper  
 OE – Operations Engin  
 RIG – RIG/Metering Eng

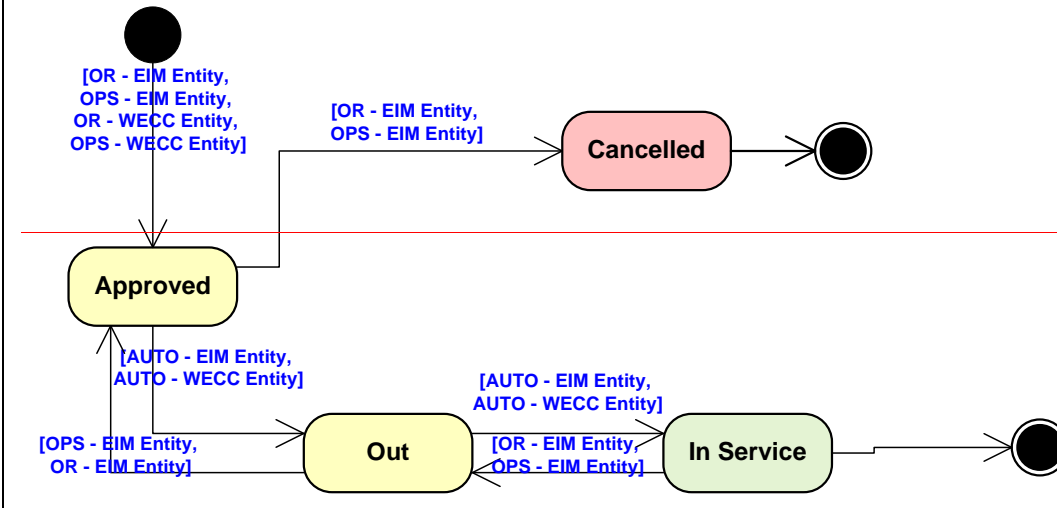


Figure 1: EIM/WECC Outage State Diagram

Table 1: Validation Rules for canceling outages and modifying outage planned start/end times

Outage State	Allow user to submit request to cancel and re-state availability for time periods that have already occurred:	Allow user to submit request to re-schedule Planned Start Time to be in the past (including re-stating availability for time periods that have already occurred, if necessary):	Allow user to submit request to re-schedule Planned End Time to be in the past (including re-stating availability for time periods that have already occurred, if necessary):	Allow user to submit request to cancel and re-state availability for time periods that have not already occurred:	Allow user to submit request to re-schedule Planned Start Time to be in the future (including re-stating availability for time periods that have not already occurred):	Allow user to submit request to re-schedule Planned End Time to be in the future (including re-stating availability for time periods that have not already occurred):	Allow user to submit request to re-schedule Planned End Time to be before Planned Start Time:
Received	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Approved	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Out	Yes	Yes	Yes	Yes	Yes	Yes	Yes



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