



Exceptional Dispatch Report

Table 1: November 2020

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Introduction

This report is filed pursuant to FERC's September 2, 2009, and May 4, 2010, orders in Docket No. ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one originally issued on the 30th of each month. Both Table 1 and Table 2 reports will be issued on the 15th of each month due to the availability of necessary data. This report provides data on the frequency and reasons for Exceptional Dispatches issued in November 2020.

The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include a post-day-ahead unit commitment, or a real-time exceptional dispatch.¹ A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website.²

The following reason for exceptional dispatch instructions in November 2020 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a

¹ The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.11 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

² A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <http://www.caiso.com/thegrid/operations/opsdoc/index.html>

resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. Interconnection Reliability Operating Limits (IROL) are system operating limits that are established to prevent instability, uncontrolled separation or cascading as described in operating procedure 3100. System Operating Limit (SOL) are the facility ratings, system voltage limits, transient stability limits, and voltage stability limits that are used in the operating horizon – any of which can be the most restrictive limit at any point in time, pre – or post – contingency. Control Point (CP) are imposed to protect the area transmission network against N – 1 contingencies. There were a few other reasons used to explain exceptional dispatch instructions in November 2020, which are self explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.³ Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC column specifies if there was an incremental dispatch or a decremental dispatch from the IFM schedule. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 230 exceptional dispatches in November 2020, as compared to 325 exceptional dispatches in October 2020. Exceptional dispatches issued for the following reasons accounted for approximately 82

³ The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

percent of the total exceptional dispatches during the reporting period: load forecast uncertainty, planned transmission outages, reliability assessment, and ramping capacity. Exceptional dispatches with the reason “Reliability Assessment” were due to Real Time Contingency Analysis, Voltage Stability Analysis, and operating procedure number 7110 (along with 7720). Reliability Assessment is the reason as explained in the operator procedure 2330C⁴ that encompasses Control Point (CP), Interconnection Reliability Operating Limit (IROL), System Operating Limit (SOL) and congestion related EDs. This reason is used to mitigate reliability issues identified through the real – time assessment tools such as Real Time Contingency Analysis (RTCA), Voltage Stability Analysis (VSA), Dynamic Stability Analysis (DSA) and/or Operating Procedure (OP) or offline study.

1) ⁴ The operator procedure 2330C - <http://www.caiso.com/Documents/2330C.pdf>

Table 1: Exceptional Dispatches in November 2020

**California Independent System Operator Corporation
Exceptional Dispatch Report
January 15, 2021**

Chart 1: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
1	RT	Conditions beyond the control of the CAISO	PGAE	Bay Area	11/4/2020	10	No	INC	2	13:00	15:00
2	RT	Conditions beyond the control of the CAISO	PGAE	Bay Area	11/5/2020	140	No	INC	8	16:30	0:00
3	RT	Conditions beyond the control of the CAISO	PGAE	NA	11/4/2020	50	No	INC	3	13:00	16:00
4	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/4/2020	50	No	INC	14	10:00	0:00
5	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/5/2020	50	No	INC	24	0:00	0:00
6	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/6/2020	50	No	INC	24	0:00	0:00
7	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/3/2020	10 - 20	No	INC	2	22:00	0:00
8	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/4/2020	10 - 20	Yes	INC	24	0:00	0:00
9	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/5/2020	20	No	INC	1	23:00	0:00
10	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/6/2020	20	No	INC	24	0:00	0:00
11	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	11/4/2020	155	No	DEC	8	15:00	23:00
12	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	11/4/2020	155	No	INC	18	6:00	0:00
13	RT	Fast Start Unit Management	SCE	LA Basin	11/1/2020	0	No	INC	2	6:50	8:00
14	RT	Fast Start Unit Management	SCE	LA Basin	11/4/2020	0	No	INC	2	22:40	23:45
15	RT	Fast Start Unit Management	SCE	LA Basin	11/5/2020	0	No	INC	2	22:55	0:00
16	RT	Fast Start Unit Management	SCE	LA Basin	11/29/2020	0	No	INC	1	23:45	0:00
17	RT	Fast Start Unit Management	SCE	LA Basin	11/30/2020	0	No	INC	1	0:00	0:25
18	RT	Incomplete or Inaccurate Transmission	SDGE	San Diego-IV	11/5/2020	21	No	DEC	3	16:00	19:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
19	RT	Incomplete or Inaccurate Transmission	SDGE	San Diego-IV	11/5/2020	21	No	INC	11	13:10	0:00
20	RT	Load Forecast Uncertainty	SCE	LA Basin	11/2/2020	10	No	INC	8	16:00	0:00
21	RT	Load Forecast Uncertainty	SCE	LA Basin	11/3/2020	10	No	DEC	16	0:00	16:00
22	RT	Load Forecast Uncertainty	SDGE	San Diego-IV	11/27/2020	155	No	INC	2	14:40	16:00
23	RT	Market Disruption	PGAE	Bay Area	11/1/2020	370	No	DEC	1	1:10	1:45
24	RT	Market Disruption	PGAE	Bay Area	11/18/2020	197	No	INC	2	15:55	17:30
25	RT	Market Disruption	PGAE	NA	11/18/2020	35 - 80	No	INC	1	16:00	16:30
26	RT	Market Disruption	SCE	Big Creek-Ventura	11/1/2020	460	No	DEC	1	1:00	1:45
27	RT	Market Disruption	SCE	LA Basin	11/1/2020	300	No	INC	1	1:00	1:00
28	RT	Market Disruption	SDGE	San Diego-IV	11/18/2020	50	No	DEC	1	17:00	17:30
29	RT	Market Disruption	SDGE	San Diego-IV	11/18/2020	50	No	INC	2	16:00	17:30
30	RT	Planned Transmission Outage	PGAE	Bay Area	11/11/2020	420	No	DEC	1	3:55	4:15
31	RT	Planned Transmission Outage	PGAE	Bay Area	11/16/2020	20	No	INC	12	8:30	20:30
32	RT	Planned Transmission Outage	PGAE	Humboldt	11/1/2020	29	No	DEC	3	0:00	2:00
33	RT	Planned Transmission Outage	PGAE	Humboldt	11/1/2020	29 - 57	No	INC	25	0:00	0:00
34	RT	Planned Transmission Outage	PGAE	Humboldt	11/2/2020	15 - 30	No	DEC	9	13:00	22:00
35	RT	Planned Transmission Outage	PGAE	Humboldt	11/2/2020	15 - 42	No	INC	24	0:00	23:45
36	RT	Planned Transmission Outage	PGAE	Humboldt	11/3/2020	30 - 42	No	DEC	4	15:00	19:00
37	RT	Planned Transmission Outage	PGAE	Humboldt	11/3/2020	28 - 45	No	INC	18	6:00	0:00
38	RT	Planned Transmission Outage	PGAE	Humboldt	11/4/2020	28	No	DEC	5	15:00	20:00
39	RT	Planned Transmission Outage	PGAE	Humboldt	11/4/2020	14 - 30	No	INC	24	0:00	0:00
40	RT	Planned Transmission Outage	PGAE	Humboldt	11/5/2020	28 - 42	No	DEC	5	16:00	21:00
41	RT	Planned Transmission Outage	PGAE	Humboldt	11/5/2020	28 - 42	No	INC	24	0:00	0:00
42	RT	Planned Transmission Outage	PGAE	Humboldt	11/6/2020	28 - 60	No	INC	24	0:00	0:00
43	RT	Planned Transmission Outage	PGAE	Humboldt	11/7/2020	28 - 45	No	INC	24	0:00	0:00
44	RT	Planned Transmission Outage	PGAE	Humboldt	11/8/2020	14 - 42	No	INC	24	0:00	0:00
45	RT	Planned Transmission Outage	PGAE	Humboldt	11/9/2020	14 - 60	No	INC	24	0:00	0:00
46	RT	Planned Transmission Outage	PGAE	Humboldt	11/10/2020	16 - 80	No	INC	24	0:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
47	RT	Planned Transmission Outage	PGAE	Humboldt	11/11/2020	16 - 75	No	INC	24	0:00	0:00
48	RT	Planned Transmission Outage	PGAE	Humboldt	11/12/2020	15 - 60	No	INC	24	0:00	0:00
49	RT	Planned Transmission Outage	PGAE	Humboldt	11/13/2020	15 - 45	No	INC	24	0:00	0:00
50	RT	Planned Transmission Outage	PGAE	Humboldt	11/14/2020	14 - 42	No	INC	24	0:00	0:00
51	RT	Planned Transmission Outage	PGAE	Humboldt	11/15/2020	15 - 28	No	DEC	4	17:00	21:00
52	RT	Planned Transmission Outage	PGAE	Humboldt	11/15/2020	15 - 30	No	INC	24	0:00	0:00
53	RT	Planned Transmission Outage	PGAE	Humboldt	11/16/2020	28 - 42	No	DEC	4	15:00	19:00
54	RT	Planned Transmission Outage	PGAE	Humboldt	11/16/2020	28 - 45	No	INC	24	0:00	0:00
55	RT	Planned Transmission Outage	PGAE	Humboldt	11/17/2020	30	No	DEC	2	16:00	18:00
56	RT	Planned Transmission Outage	PGAE	Humboldt	11/17/2020	28 - 42	No	INC	24	0:00	0:00
57	RT	Planned Transmission Outage	PGAE	Humboldt	11/18/2020	14 - 28	No	INC	24	0:00	0:00
58	RT	Planned Transmission Outage	PGAE	Humboldt	11/19/2020	28 - 42	No	INC	24	0:00	0:00
59	RT	Planned Transmission Outage	PGAE	Humboldt	11/20/2020	28 - 73	No	INC	24	0:00	0:00
60	RT	Planned Transmission Outage	PGAE	Humboldt	11/22/2020	16	No	DEC	4	0:00	4:00
61	RT	Planned Transmission Outage	PGAE	Humboldt	11/22/2020	15 - 75	No	INC	21	3:30	0:00
62	RT	Planned Transmission Outage	PGAE	Humboldt	11/23/2020	15 - 75	No	INC	24	0:00	0:00
63	RT	Planned Transmission Outage	PGAE	Humboldt	11/24/2020	15 - 75	No	INC	6	0:00	5:45
64	RT	Planned Transmission Outage	PGAE	NCNB	11/3/2020	25 - 30	No	DEC	2	10:10	11:15
65	RT	Planned Transmission Outage	PGAE	Sierra	11/7/2020	14 - 30	No	INC	1	11:25	12:15
66	RT	Planned Transmission Outage	PGAE	Sierra	11/14/2020	20	No	INC	15	7:25	22:00
67	RT	Planned Transmission Outage	PGAE	Sierra	11/22/2020	20	No	DEC	3	16:00	19:00
68	RT	Planned Transmission Outage	PGAE	Sierra	11/22/2020	20	No	INC	12	8:10	20:00
69	RT	Planned Transmission Outage	PGAE	Sierra	11/26/2020	20	No	DEC	1	17:00	18:00
70	RT	Planned Transmission Outage	PGAE	Sierra	11/26/2020	20	No	INC	13	8:50	21:00
71	RT	Planned Transmission Outage	PGAE	Sierra	11/27/2020	20	No	DEC	4	16:00	20:00
72	RT	Planned Transmission Outage	PGAE	Sierra	11/27/2020	20	No	INC	12	8:20	20:00
73	RT	Planned Transmission Outage	PGAE	Sierra	11/28/2020	20	No	DEC	2	17:00	19:00
74	RT	Planned Transmission Outage	PGAE	Sierra	11/28/2020	20	No	INC	13	7:35	20:00
75	RT	Planned Transmission Outage	PGAE	Sierra	11/29/2020	20	Yes	DEC	3	17:00	20:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
76	RT	Planned Transmission Outage	PGAE	Sierra	11/29/2020	20	Yes	INC	9	8:00	17:00
77	RT	Planned Transmission Outage	PGAE	Stockton	11/10/2020	23 - 67.92	No	INC	3	11:20	14:00
78	RT	Planned Transmission Outage	SCE	LA Basin	11/26/2020	10 - 20	No	INC	12	12:00	0:00
79	RT	Planned Transmission Outage	SCE	NA	11/12/2020	125	No	DEC	1	23:00	0:00
80	RT	Planned Transmission Outage	SCE	NA	11/13/2020	125	No	DEC	3	15:00	17:15
81	RT	Planned Transmission Outage	SCE	NA	11/13/2020	125	No	INC	15	0:00	15:00
82	RT	Planned Transmission Outage	SCE	NA	11/14/2020	125	No	INC	8	7:00	15:00
83	RT	Planned Transmission Outage	SCE	NA	11/15/2020	125	No	INC	7	8:00	15:00
84	RT	Planned Transmission Outage	SCE	NA	11/16/2020	125	No	INC	7	8:00	15:00
85	RT	Planned Transmission Outage	SCE	NA	11/17/2020	125	No	INC	6	8:00	14:00
86	RT	Planned Transmission Outage	SCE	NA	11/18/2020	125	No	INC	7	8:00	15:00
87	RT	Planned Transmission Outage	SCE	NA	11/19/2020	125	No	INC	7	8:00	15:00
88	RT	Planned Transmission Outage	SDGE	San Diego-IV	11/23/2020	155 - 400	No	INC	13	2:00	15:00
89	RT	Pump Management	PGAE	Fresno	11/1/2020	-304	No	DEC	2	12:10	14:00
90	RT	Ramping Capacity	PGAE	Fresno	11/15/2020	25	No	DEC	2	12:20	14:00
91	RT	Ramping Capacity	PGAE	Fresno	11/15/2020	25	No	INC	3	14:00	17:00
92	RT	Ramping Capacity	SCE	LA Basin	11/2/2020	194	No	INC	5	17:15	22:00
93	RT	Ramping Capacity	SCE	LA Basin	11/4/2020	190 - 194	No	INC	4	16:00	20:00
94	RT	Ramping Capacity	SCE	LA Basin	11/5/2020	194	No	INC	7	15:00	22:00
95	RT	Ramping Capacity	SCE	LA Basin	11/11/2020	48 - 49	No	DEC	2	17:15	19:00
96	RT	Reliability Assessment	PGAE	Fresno	11/5/2020	83	No	DEC	1	14:00	14:15
97	RT	Reliability Assessment	PGAE	Fresno	11/5/2020	83	No	INC	1	14:00	14:15
98	RT	Reliability Assessment	PGAE	Fresno	11/16/2020	8	No	DEC	1	12:30	13:15
99	RT	Reliability Assessment	PGAE	Humboldt	11/1/2020	29	No	INC	6	18:05	0:00
100	RT	Reliability Assessment	PGAE	Humboldt	11/2/2020	15	No	DEC	1	23:45	0:00
101	RT	Reliability Assessment	PGAE	Humboldt	11/2/2020	15 - 29	No	INC	24	0:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
102	RT	Reliability Assessment	PGAE	Humboldt	11/3/2020	15	No	DEC	7	0:00	6:15
103	RT	Reliability Assessment	PGAE	Humboldt	11/3/2020	15	No	INC	6	0:00	6:00
104	RT	Reliability Assessment	PGAE	Humboldt	11/20/2020	28	No	INC	1	23:30	0:00
105	RT	Reliability Assessment	PGAE	Humboldt	11/21/2020	14 - 16	No	DEC	24	0:00	0:00
106	RT	Reliability Assessment	PGAE	Humboldt	11/21/2020	14 - 31	No	INC	24	0:00	0:00
107	RT	Reliability Assessment	PGAE	Humboldt	11/22/2020	16	No	DEC	4	0:00	4:00
108	RT	Reliability Assessment	PGAE	Humboldt	11/22/2020	16	No	INC	3	0:00	3:00
109	RT	Reliability Assessment	PGAE	Humboldt	11/24/2020	15 - 75	No	INC	19	5:20	0:00
110	RT	Reliability Assessment	PGAE	Humboldt	11/25/2020	15 - 75	No	INC	24	0:00	0:00
111	RT	Reliability Assessment	PGAE	Humboldt	11/26/2020	15	No	DEC	17	0:15	17:05
112	RT	Reliability Assessment	PGAE	Humboldt	11/26/2020	14 - 30	No	INC	24	0:00	0:00
113	RT	Reliability Assessment	PGAE	Humboldt	11/27/2020	14 - 15	No	DEC	23	1:30	0:00
114	RT	Reliability Assessment	PGAE	Humboldt	11/27/2020	14 - 30	No	INC	24	0:00	0:00
115	RT	Reliability Assessment	PGAE	Humboldt	11/28/2020	14	No	DEC	8	0:00	7:20
116	RT	Reliability Assessment	PGAE	Humboldt	11/28/2020	14 - 28	No	INC	24	0:00	0:00
117	RT	Reliability Assessment	PGAE	Humboldt	11/29/2020	14 - 28	No	INC	24	0:00	0:00
118	RT	Reliability Assessment	PGAE	Humboldt	11/30/2020	15 - 60	No	INC	24	0:00	0:00
119	RT	Reliability Assessment	PGAE	Sierra	11/21/2020	20	No	DEC	2	18:00	20:00
120	RT	Reliability Assessment	PGAE	Sierra	11/21/2020	20	No	INC	1	20:00	20:45
121	RT	Reliability Assessment	SCE	NA	11/1/2020	474	No	DEC	25	0:00	0:00
122	RT	Reliability Assessment	SCE	NA	11/1/2020	474	No	INC	16	7:00	23:00
123	RT	Reliability Assessment	SCE	NA	11/2/2020	474	No	DEC	7	17:00	0:00
124	RT	Reliability Assessment	SCE	NA	11/2/2020	474	No	INC	17	0:00	17:00
125	RT	Reliability Assessment	SCE	NA	11/3/2020	474	No	DEC	6	0:00	6:00
126	RT	Reliability Assessment	SCE	NA	11/3/2020	474	No	INC	20	2:00	22:00
127	RT	Reliability Assessment	SCE	NA	11/7/2020	474	No	DEC	4	20:10	0:00
128	RT	Reliability Assessment	SCE	NA	11/7/2020	474	No	INC	2	21:00	23:00
129	RT	Reliability Assessment	SCE	NA	11/8/2020	474	No	DEC	24	0:00	0:00
130	RT	Reliability Assessment	SCE	NA	11/8/2020	474	No	INC	22	1:00	23:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
131	RT	Reliability Assessment	SCE	NA	11/9/2020	480	No	DEC	3	17:00	20:00
132	RT	Reliability Assessment	SCE	NA	11/9/2020	475 - 480	No	INC	1	16:00	17:00
133	RT	Reliability Assessment	SCE	NA	11/12/2020	450	No	DEC	1	16:00	16:30
134	RT	Reliability Assessment	SCE	NA	11/12/2020	450	No	INC	1	15:30	16:00
135	RT	Reliability Assessment	SCE	NA	11/13/2020	480	No	INC	5	19:45	0:00
136	RT	Reliability Assessment	SCE	NA	11/16/2020	445 - 470	No	DEC	15	6:35	21:00
137	RT	Reliability Assessment	SCE	NA	11/16/2020	445 - 470	No	INC	17	7:00	0:00
138	RT	Reliability Assessment	SCE	NA	11/17/2020	420 - 450	No	DEC	18	6:00	0:00
139	RT	Reliability Assessment	SCE	NA	11/17/2020	420 - 450	No	INC	23	0:00	23:00
140	RT	Reliability Assessment	SCE	NA	11/18/2020	425 - 445	No	DEC	15	5:00	20:00
141	RT	Reliability Assessment	SCE	NA	11/18/2020	425 - 445	No	INC	17	0:00	17:00
142	RT	Reliability Assessment	SCE	NA	11/19/2020	470	No	DEC	5	19:55	0:00
143	RT	Reliability Assessment	SCE	NA	11/19/2020	470	No	INC	2	21:00	23:00
144	RT	Reliability Assessment	SCE	NA	11/22/2020	450	No	DEC	5	19:15	0:00
145	RT	Reliability Assessment	SCE	NA	11/22/2020	450 - 475	No	INC	5	18:10	23:00
146	RT	Reliability Assessment	SCE	NA	11/23/2020	450 - 460	No	DEC	24	0:00	0:00
147	RT	Reliability Assessment	SCE	NA	11/23/2020	450 - 460	No	INC	22	1:00	23:00
148	RT	Reliability Assessment	SCE	NA	11/24/2020	460	No	DEC	19	5:00	0:00
149	RT	Reliability Assessment	SCE	NA	11/24/2020	460	No	INC	23	0:00	23:00
150	RT	Reliability Assessment	SCE	NA	11/25/2020	460	No	DEC	21	0:00	21:00
151	RT	Reliability Assessment	SCE	NA	11/25/2020	460	No	INC	23	1:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
152	RT	Reliability Assessment	SCE	NA	11/26/2020	460 - 480	No	DEC	17	5:00	21:15
153	RT	Reliability Assessment	SCE	NA	11/26/2020	460 - 480	No	INC	21	0:00	21:00
154	RT	Reliability Assessment	SCE	NA	11/27/2020	470	No	DEC	5	19:00	0:00
155	RT	Reliability Assessment	SCE	NA	11/27/2020	470	No	INC	2	21:00	23:00
156	RT	Reliability Assessment	SCE	NA	11/28/2020	470	No	DEC	19	5:00	0:00
157	RT	Reliability Assessment	SCE	NA	11/28/2020	470 - 475	No	INC	23	0:00	23:00
158	RT	Reliability Assessment	SCE	NA	11/29/2020	470	No	DEC	3	0:00	3:00
159	RT	Reliability Assessment	SCE	NA	11/29/2020	470	No	INC	4	3:00	6:45
160	RT	Reliability Assessment	SDGE	San Diego-IV	11/27/2020	45	No	INC	12	8:05	20:00
161	RT	Software Limitation	PGAE	Bay Area	11/25/2020	350	No	DEC	1	1:20	2:00
162	RT	Software Limitation	PGAE	Fresno	11/1/2020	-320	No	DEC	1	1:35	2:30
163	RT	Software Limitation	SCE	LA Basin	11/18/2020	0	No	INC	1	18:30	19:00
164	RT	Software Limitation	SDGE	San Diego-IV	11/1/2020	24	No	INC	2	10:00	12:00
165	RT	Software Limitation	SDGE	San Diego-IV	11/23/2020	24 - 48	No	INC	8	8:20	16:00
166	RT	Unit Testing	Intertie	NA	11/1/2020	10 - 12	No	INC	6	12:00	18:00
167	RT	Unit Testing	Intertie	NA	11/2/2020	11 - 25	No	INC	24	0:00	0:00
168	RT	Unit Testing	Intertie	NA	11/3/2020	3 - 25	No	INC	24	0:00	0:00
169	RT	Unit Testing	Intertie	NA	11/4/2020	10 - 30	No	INC	11	10:00	21:00
170	RT	Unit Testing	Intertie	NA	11/5/2020	25	No	INC	24	0:00	0:00
171	RT	Unit Testing	Intertie	NA	11/6/2020	32 - 148	No	INC	24	0:00	0:00
172	RT	Unit Testing	Intertie	NA	11/7/2020	48 - 148	No	INC	24	0:00	0:00
173	RT	Unit Testing	Intertie	NA	11/8/2020	12 - 148	No	INC	18	0:00	18:00
174	RT	Unit Testing	Intertie	NA	11/9/2020	15 - 64	No	INC	24	0:00	0:00
175	RT	Unit Testing	Intertie	NA	11/10/2020	0 - 43	No	INC	22	2:00	0:00
176	RT	Unit Testing	Intertie	NA	11/11/2020	6	No	INC	20	1:00	21:00
177	RT	Unit Testing	Intertie	NA	11/12/2020	31 - 183	No	INC	11	13:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
178	RT	Unit Testing	Intertie	NA	11/13/2020	30 - 198	No	INC	24	0:00	0:00
179	RT	Unit Testing	Intertie	NA	11/14/2020	12 - 117	No	INC	24	0:00	0:00
180	RT	Unit Testing	Intertie	NA	11/15/2020	11 - 68	No	INC	24	0:00	0:00
181	RT	Unit Testing	Intertie	NA	11/16/2020	13 - 27	No	INC	8	0:00	8:00
182	RT	Unit Testing	Intertie	NA	11/17/2020	2 - 198	No	INC	16	8:00	0:00
183	RT	Unit Testing	Intertie	NA	11/18/2020	96 - 198	No	INC	24	0:00	0:00
184	RT	Unit Testing	Intertie	NA	11/19/2020	9 - 35	No	INC	24	0:00	0:00
185	RT	Unit Testing	Intertie	NA	11/20/2020	10 - 36	No	INC	24	0:00	0:00
186	RT	Unit Testing	Intertie	NA	11/21/2020	20 - 89	No	INC	24	0:00	0:00
187	RT	Unit Testing	Intertie	NA	11/22/2020	10 - 19	No	INC	11	0:00	11:00
188	RT	Unit Testing	Intertie	NA	11/23/2020	13 - 114	No	INC	23	1:00	0:00
189	RT	Unit Testing	Intertie	NA	11/24/2020	11 - 101	No	INC	19	0:00	19:00
190	RT	Unit Testing	Intertie	NA	11/25/2020	13 - 70	No	INC	24	0:00	0:00
191	RT	Unit Testing	Intertie	NA	11/26/2020	20 - 86	No	INC	24	0:00	0:00
192	RT	Unit Testing	Intertie	NA	11/27/2020	18 - 90	No	INC	24	0:00	0:00
193	RT	Unit Testing	Intertie	NA	11/28/2020	21 - 58	No	INC	24	0:00	0:00
194	RT	Unit Testing	Intertie	NA	11/29/2020	21 - 114	No	INC	24	0:00	0:00
195	RT	Unit Testing	Intertie	NA	11/30/2020	21 - 50	No	INC	8	7:00	15:00
196	RT	Unit Testing	PGAE	Bay Area	11/19/2020	125 - 200	No	INC	18	5:00	22:30
197	RT	Unit Testing	PGAE	Bay Area	11/20/2020	60 - 140	No	INC	5	19:50	0:00
198	RT	Unit Testing	PGAE	Bay Area	11/21/2020	46 - 140	Yes	INC	19	0:00	19:00
199	RT	Unit Testing	PGAE	Bay Area	11/24/2020	175 - 299	No	INC	7	7:00	14:00
200	RT	Unit Testing	PGAE	Sierra	11/18/2020	75 - 130	No	INC	5	8:30	13:00
201	RT	Unit Testing	PGAE	NA	11/1/2020	70	No	INC	7	10:30	17:00
202	RT	Unit Testing	PGAE	NA	11/10/2020	411	No	INC	1	14:10	15:00
203	RT	Unit Testing	PGAE	NA	11/19/2020	199.8	No	INC	1	19:00	19:40

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
204	RT	Unit Testing	SCE	Big Creek-Ventura	11/17/2020	400 - 700	No	INC	5	19:40	0:00
205	RT	Unit Testing	SCE	Big Creek-Ventura	11/18/2020	600	No	INC	3	0:00	2:30
206	RT	Unit Testing	SCE	LA Basin	11/19/2020	147 - 150	No	INC	3	16:30	18:35
207	RT	Unit Testing	SCE	San Diego-IV	11/25/2020	7.5 - 10	No	INC	1	21:05	21:40
208	RT	Unit Testing	SCE	NA	11/1/2020	85 - 125	No	INC	5	10:30	15:00
209	RT	Unit Testing	SCE	NA	11/27/2020	50 - 100	No	INC	2	14:45	16:35
210	RT	Unit Testing	SCE	NA	11/28/2020	70 - 115	No	INC	7	9:00	16:00
211	RT	Unit Testing	SCE	NA	11/29/2020	70 - 115	Yes	INC	8	8:00	15:30
212	RT	Voltage Support	PGAE	Big Creek-Ventura	11/8/2020	62	No	INC	15	0:50	15:00
213	RT	Voltage Support	PGAE	Fresno	11/1/2020	-320	No	DEC	8	1:30	8:00
214	RT	Voltage Support	PGAE	Fresno	11/2/2020	-303	No	DEC	7	0:45	7:00
215	RT	Voltage Support	PGAE	Fresno	11/3/2020	-301	No	DEC	2	3:20	4:45
216	RT	Voltage Support	PGAE	Fresno	11/4/2020	-302	No	DEC	4	1:50	5:45
217	RT	Voltage Support	PGAE	Fresno	11/5/2020	-302	No	DEC	4	2:30	5:45
218	RT	Voltage Support	PGAE	Fresno	11/8/2020	5	No	INC	2	22:45	0:00
219	RT	Voltage Support	PGAE	Fresno	11/9/2020	5	No	INC	7	0:00	7:00
220	RT	Voltage Support	PGAE	Fresno	11/22/2020	5	No	INC	4	4:10	8:00
221	RT	Voltage Support	PGAE	Sierra	11/15/2020	20	No	DEC	4	16:00	20:00
222	RT	Voltage Support	PGAE	Sierra	11/15/2020	20	No	INC	17	7:40	0:00
223	RT	Voltage Support	PGAE	Sierra	11/16/2020	20	No	DEC	4	16:00	20:00
224	RT	Voltage Support	PGAE	Sierra	11/16/2020	20	Yes	INC	16	0:00	16:00
225	RT	Voltage Support	PGAE	Sierra	11/17/2020	20	No	DEC	1	17:00	18:00
226	RT	Voltage Support	PGAE	Sierra	11/17/2020	20	No	INC	12	8:25	20:00
227	RT	Voltage Support	PGAE	NA	11/8/2020	50 - 307	No	INC	18	6:15	0:00
228	RT	Voltage Support	PGAE	NA	11/9/2020	205	No	DEC	2	5:00	7:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
229	RT	Voltage Support	PGAE	NA	11/9/2020	205 - 307	No	INC	5	0:00	5:00
230	RT	Voltage Support	PGAE	NA	11/16/2020	48.95	No	INC	7	1:10	8:00

Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Table 2: Instructions Prior to Day-Ahead Market

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00