

CALIFORNIA ISO
REPORT OF PROCEEDINGS
BEFORE THE
FEDERAL ENERGY REGULATORY COMMISSION

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I. SUMMARY OF RECENT DECISIONS

- Order issued 11/1/99 (89 FERC ¶ 61,134) - the Commission rejected the rehearing requests of the Coalition of New Market Participants and the Western Power Trading Forum to the 8/5/99 Order granting the Oversight Board's request for a declaratory order regarding SB 96.
- Order issued 11/1/99 (89 FERC ¶ 61,137) - the Commission accepts PX compliance filing regarding distribution of sanction revenues.
- Order issued 11/1/99 (89 FERC ¶ 61,130) - the Commission accepted for filing, without suspension or hearing, the proposed Generation Aggregation Tariffs GATs filed by Nevada Power. In those GATs, Nevada Power proposed that a price cap of \$250/MWh, which is equal to the California PX's purchase price cap for the real-time energy imbalance market, should apply to sales from the generating units that Nevada Power planned to divest. The cap also includes an adjustment mechanism that allows the cap to be lowered if a generation owner is able to recover significantly higher prices than the California PX price South of Path 15 (SP 15) for an extended period of time, but then returned to the \$250/MWh level once actual revenues have been shown to be a certain amount lower than the PX revenues over a span of time. The Commission approved the price cap as "a reasonable mitigation measure for sales made in the presence of constraints in Nevada, given that there is, as yet, no competitive market for power in Nevada." The Commission determined that it was reasonable to base the price cap on the real-time energy imbalance purchase price cap and the market price of power in the adjacent California markets. It found the circumstances in Nevada similar to those described in *Consolidated Edison Company of New York, Inc.*, 84 FERC ¶ 61,287 (1998), in which the Commission also accepted a cost-based price cap as a market mitigation measure. However, the Commission expressed misgivings about price caps in general, stating that if a price cap is set too high, it will increase the cost of power unnecessarily and might result in the unnecessary construction of new generation, or in the building of generation in situations where building new transmission would be the more sensible course. On the other hand, the Commission was concerned that if a price cap is set too low, the new owners of the divested generation will lose revenues from that generation which, in turn, will ultimately result in higher prices in the form of higher stranded costs. Accordingly, the Commission stated that it would consider future modifications to the price cap proposed by any interested parties.
- Order issued 11/1/99 (89 FERC ¶ 61,133) - the Commission granted the request for clarification and/or rehearing of the Cal. PX and denied to requests of PG&E, SDG&E, and SCE regarding assessment of FERC annual charges. Because of the need to collect annual charges in advance, the Commission stated it "will not assess annual charges against PXs, whether operated by an ISO or operating as a separate entity, or against ISOs, until such time as [FERC has] completed [its] review of [its] annual charges assessments with respect to RTOs, ISO, and PXs, and also other market participants." FERC did not address the California companies concerns regarding the assessment of annual charges with respect to unbundled retail transmission.

- Order issued 11/10/99 (89 FERC ¶ 61,153) - the Commission responded to certain questions regarding jurisdiction and price limits for firm transmission rights. FERC concluded that resales of FTRs in the secondary market are jurisdictional transactions and required public utility resellers to file for authorization to make such sales. FERC also stated that the resales were subject to its policy with respect to price caps for transmission rights but noted that since FTRs would initially be sold for terms of less than one year the prices paid in the secondary market should not exceed the sellers opportunity cost thus meeting the standard. Finally, FERC required the ISO to post prices at which FTRs are sold in the secondary market.
- Order issued 11/12/99 (89 FERC ¶ 61,168) - the Commission denies rehearing regarding its 9/15/99 order conditionally approving the NY ISO's Temporary Emergency Procedures and Cutover Plan to address unanticipated market design flaws. The rehearing rejected challenges that the proposal lacked sufficient specificity.
- Order issued 11/12/99 - the Commission accepts Amendment No. 21 regarding the ISO's request to extend its price cap authority for another year.
- Order issued 11/15/99 (89 FERC ¶ 61,182) - the Commission sets for hearing a complaint filed by Turlock and Modesto alleging discrimination in being required to execute a PGA in order to participate in the A/S markets in contrast to resources located outside the ISO's control area. FERC held the hearing in abeyance and instituted settlement judge proceedings.
- Order issued 11/16/99 (89 FERC ¶ 61,186) - the Commission denies rehearing of the new generator interconnection initial processing queue as authorized in its June 17, 1999 order conditionally accepting the PJM tariff.
- Order issued 11/23/99 (89 FERC ¶ 61,196) - the Commission accepts in part and rejects in part the NY ISO's market monitoring and mitigation plan. The NY ISO plan consists of an internal Market Monitoring Unit and an outside Market Advisor. FERC approved the monitoring proposal and accepted a willful misconduct standard of liability rather than a negligence standard. With respect to mitigation, the Commission found that in instances where the NY ISO concludes that a specific market participant is exercising market power the NY ISO could: (1) engage in discussion to resolve the issue informally, (2) issue demand letters requesting the participant cease certain behavior, or (3) recommend changes to tariffs, agreements and procedures. FERC rejected the proposal to allow the NY ISO to reduce bid flexibility, impose financial obligations to pay operating reserves or impose default bids. The Commission noted that it was rejecting certain of the mitigation measures previously-approved for PJM and California, stating that these were appropriate for an initial period given a lack of prior experience with ISOs and that it "intend[ed] to revisit the authorities and discretion of these other ISOs." The Commission also granted Enron's request to limit the NY ISO's authority to impose mitigation measures to a period of six months after the alleged conduct.
- Order issued 11/23/99 (89 FERC ¶ 61,211) - the Commission accepted certain revisions to ISO New England's Market Rule No. 6 regarding compensation to "postured" generating units (generators whose output the ISO designates for reserves). This compensation includes the opportunity cost based on the actual energy market clearing price multiplied by a volume based on a system software model which identifies the degree to which the unit would have been called on if it were not postured.
- Order issued 11/23/99 (89 FERC ¶ 61,209) - the Commission approved a 3-day extension but rejected a 60-day extension of market Rule 15 regarding ISO New England's authority to take emergency corrective actions to address market design and

implementation flaws. FERC also conditionally accepted proposed revisions to market rules 6,8, and 9 allowing price caps to remain in effect as to normal operating conditions until the earlier of 11/29/99 or the date that software to cascade bids in the operating reserve markets is implemented. With respect to periods of capacity deficiencies or emergencies, FERC approved the caps until 12/31/99.

- Order issued 11/24/99 (89 FERC ¶ 61,229) - Conditionally approving Amendment No. 22. The Commission: (1) approved the ISO's proposed Tariff changes which reflect the creation of a new congestion management zone south of transmission Path 15 (the current SP15 zone will be divided by transmission Path 26 into zone ZP26 to the north and zone SP15 to the south of Path 26); (2) found the ISO's proposed FTR registration requirements to be reasonable; (3) accepted the ISO's proposed method of establishing the seed price for FTRs in new zones; (4) authorized the ISO to allocate the costs of generating units that are not within the service area of a participating transmission owner (a "responsible utility") but which are designated as RMR units to the participating transmission owners whose service areas are contiguous to the designated unit (subject to the condition that any such allocation will require a separate section 205 rate filing); and (5) accepted the ETC and FTR template proposals. The Commission denied the request of an intervenor that the ISO be required to verify that its schedules, as submitted, properly reflect the FTR holdings of individual market participants. FERC did direct the ISO to submit in a compliance filing revised Tariff provisions indicating that certain information on FTR sales and resales that will be posted on the ISO Home Page. The Commission also accepted on a prospective basis proposed Tariff changes to modify the ISO's method of calculating transmission losses and to modify the ISO's method for allocating transmission losses for imbalance energy and unaccounted for energy to utility distribution companies. The Commission makes its approval of the Amendment No. 22 transmission loss changes subject to the Unresolved Issues proceeding in Docket No. ER98-3760, to the extent the outcome of that proceeding results in any changes to the ISO's transmission loss methodology. However, any changes that result from that proceeding will also be applied on a prospective basis only. Finally, the Commission approved the ISO's proposals: (1) to provide market participants with a mechanism to dispute new or modified charges or credits that appear for the first time on final settlement statements and (2) to modify Tariff revisions regarding the allocation of awards payable to or from the ISO pursuant to good faith negotiations and/or the Alternative Dispute Resolution process.
- Order issued 11/24/99 (89 FERC ¶ 61,223) - the Commission accepted the NY ISO's proposal to modify its payment calendar to require payments be made to it on the 15th day before the ISO paid out amounts on the 19th day. FERC rejected as unsupported the NY ISO's proposal to limit generator bids in the day-ahead market to the amount the ISO "reasonably believes the generator is capable of producing."
- Order issued 11/26/99 (89 FERC ¶ 61,234) - the Commission directs Oxbow Geothermal Corporation to interconnect with Sierra Pacific.
- Initial decision issued 11/26/99 in Midwest ISO Docket No. ER98-1438 (89 FERC ¶ 63,008) - Judge Nancy accepted the Midwest ISO's loss methodology based on a loss matrix where customers are responsible for replacing 100% of the losses for the transaction zones where the customer's load and generation are located. The Judge found that the filed rate divisor should be 12CP and rejected the proposed "ISO Cost Adder" associated with investment and expenses related to running the ISO. Judge Nancy also rejected the proposal of certain participants for distance-based prices, LBMP, and a levelized rate-making methodology.

- Order issued 12/16/99 - the Commission accepted NERC's proposal for a new transmission service, Next Hour Market Service. Individual transmission providers electing to provide such service are to propose it in individual filings.
- Order issued 12/17/99 (89 FERC ¶ 61,281) - the Commission accepts for filing and established settlement judge proceedings for PJM's grid management charge.
- Final RTO Rule issued 12/20/99 (89 FERC ¶ 61,289) - the Commission adopted a Final Rule that generally follows the approach of the Notice of Proposed Rulemaking. The Commission's objective is for all transmission-owning entities, including non-public utility entities, to place their transmission facilities under the control of appropriate RTOs in a timely manner. Order No. 2000 requires that each public utility that owns, operates, or controls transmission facilities to make certain filings with respect to forming and participating in an RTO. The Commission also codifies the minimum characteristics and functions of an RTO.
- Order issued 12/20/99 (89 FERC ¶ 61,298) - the Commission conditionally authorizes formation of the Alliance transco. FERC stated that the proposal did not satisfy ISO principles 1,2, and 3 regarding independence, financial interests in market participants, and pancaking of rates. The Commission also required additional information regarding principle 8 on pricing policies for A/S and other services. With respect to the RTO criteria, FERC noted that the applicants would have to revised the proposal to meet the independence and anti-pancaking standards and questioned whether the proposal would satisfy the scope and configuration requirements.
- Order issued 12/20/99 (89 FERC ¶ 61,290) - the Commissions grants the ISO request for additional time to file proposed Bylaw amendments. These must be filed by January 31, 2000.
- Order issued 1/7/00 (90 FERC ¶ 61,004) - the Commission accepts the PX's proposal to make its Day-of Market energy and ancillary services permanent. The PX had shifted from an hour-ahead timeline to three daily auctions. FERC rejected the proposal to incorporate the timeline in an operating manual, concluding it should be in the tariff.
- Order issued 1/7/00 (90 FERC ¶ 61,006) - the Commission accepts in part and rejects in part Amendment No. 23. The Commission rejected the ISO's proposal to expand out-of-market authority to situations in which generators had submitted bids but the ISO determined that the markets for such bids were not competitive. FERC found the existing intra-zonal congestion management approach "fundamentally flawed" and in need of being "overhauled or replaced." FERC accepted the proposed changes in the payment calculation for out of market calls and the allocation of the costs of ISO dispatch orders to manage intra-zonal congestion.
- Order issued 1/11/00 - PG&E SC Tariff. Noting that this proceeding will be moot if it reverses the initial decision in Docket No. ER97-2358 (regarding PG&E's initial request to recover SC expenses through the TRBAA), the Commission acted to "accept the SCS Tariff for filing, suspend it and set it for hearing, conditionally grant waiver of notice to make it effective March 31, 1998, subject to refund, but accept PG&E's proposal to defer billing, and also defer the hearing pending resolution of the issues before the Commission in Docket Nos. ER97-2358, et al." Within 45 days of the resolution of the proceeding in Docket No. ER97-2358, parties are to advise the Commission as to what action they would like the Commission to take regarding PG&E's proposed SCS Tariff.

- Order issued 1/12/00 (90 FERC ¶ 61,015) - the Commission conditionally accepts proposed revisions to the NY ISO Tariffs. FERC approved the NYISO's proposal that the ISO Board could unilaterally file temporary (120 day) tariff amendments, without the concurrence of the Management Committee, to address exigent circumstances. The Commission rejected a proposal to add a provision to the ISO Transmission Tariff that would limit their liability except in circumstances of negligence or willful misconduct. The Commission accepted added language that provides the NY ISO will the ability to procure insurance to cover the risks associated with carrying out its responsibilities but states that "transmission customers are not required to indemnify (in any manner, including through the payment of insurance premiums) the ISO or the Transmission Owner in cases of negligence or intentional wrongdoing." FERC accepted a commitment among the Member Systems to work in good faith to minimize cost shifting as a result of the litigation in Docket No. ER97-1523-011 and a proposal concerning the scheduling and balancing of deliveries from QF units subject to the outcome of that same docket. FERC also approved a proposal such that transmission owners releasing residual TCCs [FTRs] or existing transmission capacity for native load into the auction will not incur a payment obligation to the other transmission owners if the ultimate purchaser acquires the TCC at a negative market clearing price, but rather all transmission owners will proportionately bear the cost of the negatively-valued TCC through the auction revenues distribution process. Other changes accepted by FERC included: (1) a proposal to calculate the installed capacity requirements annually rather than seasonally and (2) a revision to permit inclusion of working capital. FERC rejected proposals to exempt from Regulation penalties certain QF generators and intermittent generators.
- Order issued 1/13/00 - FERC accepts the RMR settlement in ER98-495-007.
- Order issued 1/13/00 (90 FERC ¶ 61,028) - the Commission accepts the PX proposal to continue its Post Close Quantity Match process which allows participants to bid quantities that were not fully awarded in the primary auction to "even up" their market positions after the close of the market provided that the unfulfilled quantities were at bid prices that fall within a predetermined band width.
- Order issued 1/14/00 (90 FERC ¶ 61,036) - th Commission acted on the Amendment No. 14 rehearings and compliance filing. The Commission clarified that the ISO's buy back proposal should require that only Ancillary Services that are voluntarily withdrawn from the day-ahead schedule by an SC, regardless of whether they are self-provided or sold into the market, should be subject to the buy back proposal. The Commission reiterated a statement made in the May 26 Order, that the shouldconsider implementing a bidding mechanism to address situations in which it must change the amount of capacity self-provided or sold into the Ancillary Services markets. The Commission also: (1) rejected SoCal Edison's request for rehearing of the Commission's approval of the ISO's proposal to allocate to load the cost of extra Replacement Reserves needed to meet demand not scheduled in the day-ahead market; (2) denied rehearing requests by El Segundo and Long Beach alleging inconsistent Commission treatment of different kinds of price caps and that the ISO's treatment of above-cap bids results in unilateral adjustments to bidders' rate schedules; and (3) granted the ISO's clarification that the the May 26 Order merely cautioned that FERC-licensed hydro facilities must have the flexibility to control output if necessary but was not intended to suggest that licensees should be exempted. The Commission also rejected as moot all requests for rehearing concerning the extension of the ISO's price cap authority, because the Commission's approval of Amendment No. 21 had already extended the ISO's price cap authority until November 15, 2000.

- Order issued 1/14/00 (90 FERC ¶ 61,042) - the Commission denied rehearing of its September 30, 1999 Order which accepted for filing the revised New York member system transmission agreements.
- Order issued 1/14/00 (90 FERC ¶ 61,045) - the Commission acted on the New York Member System's compliance filing in response to the 7/29/99 Order. FERC denied rehearing of Sithe's contention that the different imbalance provisions of the NY ISO Transmission Tariff and the New York ISO Services Tariff were discriminatory; Sithe's objection to the one-time right to convert existing TSAs to TCCs; and Sithe's protest of the compliance filing with respect to voltage support payments. The Commission granted clarification that all terms of existing TSAs, including the ability to substitute alternate receipt and delivery points will be honored. FERC concluded that the Member System's continued to include items not related to scheduling transactions in the scheduling charge residual adjustment and directed that the ISO's funding mechanism be revised to allocate costs for non-transmission services to the parties that benefit from those services.

II. SUMMARY OF RECENT FILINGS

- November 1, 1999 - Answer to interventions on Amendment No. 22
- November 3, 1999 - Answer in opposition to SMUD's request for leave to answer in EL99-93
Amendment to MSA with Reliant
Amendment to PGA Reliant Energy Etiwanda
- November 10, 1999 - Amendment No. 23
- November 12, 1999 - SCA and MSA with NewEnergy Inc.
- November 15, 1999 - Amendment No. 18 Compliance filing
- November 16, 1999 - Comments on MSC Report on A/S Redesign
- November 22, 1999 - Motion to postpone prehearing conference in ER98-495
- November 23, 1999 - PGA and MSA with City of Sunnyvale
SCA with PP&L Montana, LLC
Answer to motion for extension of time in ER00-555
- November 24, 1999 - Motion for extension of time to comply with 8/5/99 order requiring changes to the bylaws
- November 30, 1999 - Intervention in PX Docket No. ER00-535
- December 1, 1999 - Compliance studies on zone creation, A/S bids (one part vs. 2 part) and losses
Unresolved Issues Settlement
- December 2, 1999 - Answer to comments on October 19, 1999 Report on A/S Markets
Motion to intervene in support of Reliant Energy Etiwanda Docket No. ER99-4398

- December 3, 1999 - SCA with Puget Sound
Motion to intervene in PG&E Docket No. ER00-565
- December 6, 1999 - PGA with El Dorado Energy
- December 7, 1999 - SCA with Sierra Pacific Power Co.
Notice of Market Availability regarding final settlement disputes
- December 9, 1999 - Designation of corporate officials to receive service
- December 14, 1999 - Motion to intervene in PG&E Docket No. ER00-657
Motion to intervene in PG&E Docket No. ER00-658
- December 15, 1999 - GMC informational filing
- December 16, 1999 - Motion to intervene in SDG&E Docket No. ER98-496 et al.
Motion to intervene in PG&E Docket No. ER98-494 et al.
- December 17, 1999 - Answer to comments of Metropolitan Water District in Docket No. ER00-3301
Amended Motion to intervene regarding PG&E Scheduling Coordinator Tariff
- December 20, 1999 - Answer to interventions and comments on Amendment No. 23
- December 21, 1999 - Amendment No. 24 - Long Term Grid Planning
Motion to intervene in PX Docket No. ER00-708
- December 22, 1999 - Interim Agreement between the ISO, PG&E, SMUD
RMR Testimony of Brian Theaker and Eric Hildebrand
Amendment No. 22 compliance filing
- December 23, 1999 - Amendment to the Non-QF PGA settlement
- December 28, 1999 - PGA with Delano Energy

Motion for a one week extension to file revised transmission access charge

MSA with Delano Energy

- December 30, 1999 - Application to Issue Securities
PGA with Louisiana-Pacific Samoa
MSA with Louisiana-Pacific Samoa
Study of Market Power in San Diego Basin
- January 4, 2000 - Updated Report of Unresolved Issues
Unresolved Issues - Joint Statement of Issues
Letter in Response to FERC Staff regarding time-frame in which the Commission will act under ER00-866
- January 5, 2000 - Amendment No. 5 to PG&E RPTO Agreement
- January 6, 2000 - Motion to intervene in Enron Docket No. ER00-833 (resale of FTRs)
Motion to intervene in Reliant Docket No. ER00-829 (resale of FTRs)
Motion to intervene in SDG&E Docket No. ER00-830
Motion to intervene and comments in SCE Docket No. ER00-845
Motion to intervene and comments in Duke Docket No. ER00-824
Motion to intervene in SCE Docket No. ER00-805
- January 7, 2000 - Answer in opposition to motion to modify FERC's joint briefing requirement in the Unresolved Issues case.
SCA with Koch Energy Trading, Inc.
- January 10, 2000 - Motion to intervene in San Diego Gas & Electric Co. ER00-858

Answer in support of PG&E's Notice of Withdrawal in Docket No. ER00-658

Supplemental comments in PG&E Docket No. ER00-565

Motion to intervene in San Diego Gas & Electric Co. ER00-860

- January 11, 2000 - Response to Motions to intervene in Docket No. ER00-742, SCA with Sierra Pacific

Motion to intervene in PG&E Docket No. ER00-871-000

Motion to intervene in Geysers Power Docket No. ER00-894-000

- January 12, 2000 - PGA with San Joaquin Cogen Limited

- January 13, 2000 - Amendment No. 1 to MSA with Cabirllio, Docket No. ER00-1087-000

Amendment No. 2 to MSA with PGE Energy Services Docket No. ER00-1086-000

III. SUMMARY OF UPCOMING EVENTS

- January 28, 2000 - Proponents Initial Brief in the Unresolved Issues case
- January 31, 2000 - Bylaws compliance filing
- February 8, 2000 - Testimony by Governmental Agencies in three RMR Cases - ER98-496-006, ER98-496-000, and ER98-495-000
- February 9, 2000 - FERC meeting
- February 14, 2000 - ISO Testimony in RMR Phase II case
- February 15, 2000 - Settlement conference in TID/MID complaint
- February 23, 2000 - FERC meeting
- February 25, 2000 - Alturas FERC Staff Testimony
- March 1, 2000 - Rebuttal Testimony in three RMR Cases - ER98-496-006, ER98-496-000, and ER98-495-000
- March 7, 2000 - PG&E TO-3 hearing
- March 10, 2000 - Answering Brief in the Unresolved Issues case
- March 13, 2000 - Rebuttal Testimony in RMR Phase II case
- March 14, 2000 - Alturas Cross-Answering Testimony
- March 15, 2000 - FERC meeting
- March 20, 2000 - Hearing in ER98-495-000
- March 27, 2000 - Hearing in ER98-496-000
- March 31, 2000 - Proponent's Reply Brief in the Unresolved Issues case
- April 3, 2000 - Hearing in ER98-496-006
- April 4, 2000 - Alturas Company Rebuttal Testimony

- April 7, 2000 - Owners Rebuttal Testimony in RMR Phase II case
- April 14, 2000 - Initial Briefs in ER98-495-000
- April 25, 2000 - Alturas hearing
- April 28, 2000 - Reply Briefs in ER98-495-000
- May 1, 2000 - Initial Brief in ER98-496-006
- May 2, 2000 - Hearing in RMR Phase II case
- May 12, 2000 - Reply Briefs in ER98-496-000
- May 15, 2000 - Reply Brief in ER98-496-006
- November 15, 2000 - Expiration of price cap authority
- December 1, 2000 - Report on Long-Term FTRs
- January 15, 2001 - Date for RTO filing

IV. SUMMARY OF MATTERS SET FOR HEARING

Case	Subject	Schedule	Comments
El Segundo Power, LLC; ER98-2550-000	RMR	<p>Offer of Settlement filed 4/2/99. Certified as uncontested by order dated 4/27/99.</p> <p>Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.</p> <p>Offer of settlement filed on 11/3/99. Certified by Order dated 11/29/99. Approved by letter order dated 1/13/00 in ER98-495-007</p> <p>Offer of Settlement with PG&E filed 11/12/99. Certified to the Commission as an uncontested partial settlement on 12/21/99. Approved by letter order dated 1/14/99 in ER98-495-008</p> <p>Offer of Settlement with Geysers Power filed on July 1, 1999. Certified to the Commission as unconditional Offer of Settlement by order dated 7/23/99.</p> <p>Offer of Settlement with Williams filed on 8/31/99. Certified to the Commission as unconditional Offer of Settlement by order dated 10/5/99</p> <p>Offer of Settlement with Reliant filed on 9/8/99. Certified to the Commission as unconditional Offer of Settlement by order dated 10/5/99</p> <p>Offer of Settlement with Duke filed 11/22/99. Certified to the Commission as an uncontested offer of settlement on 1/4/00</p>	Before Judge Bobbie McCartney
Southern California Edison, ER98-441, California ISO ER98-1019-000 and El Segundo Power, ER98-2550-000	Black Start Agreement and RMR		Offer of Settlement filed on 6/15/98 on Black Start accepted by letter order dated 9/17/98; Before Judge Wagner
Duke Energy Moss Landing ER98-2668 and ER98-4300; Duke Energy Oakland ER98-2669-000 and ER98-4296-000	RMR		Before Judge Wagner - Schedule suspended by 11/1/99 Order

PG&E, ER98-495-000, ER98-1614, ER98-2145 (PG&E/Southern)	RMR	2/8/00 - Direct Testimony of Governmental Agencies 3/1/00 - Rebuttal testimony 3/14/00 - final discovery requests 3/17/00 - Joint Stipulation of Issues 3/20/00 - Hearing 4/14/00 - Initial Brief 4/28/00 - Reply Brief	Before Judge Young
SDG&E, ER98-496-000 (SDG&E/Southern)	RMR	2/8/00 - Direct Testimony of Governmental Agencies 3/1/00 - Rebuttal testimony 3/27/00 - Hearing 5/12/00 - Reply Briefs 6/9/00 - Initial Decision	Before Judge Joseph Nacy
SDG&E, ER98-496-006 (SDG&E/Dynergy)	RMR	2/8/00 - Direct Testimony of Governmental Agencies 3/1/00 - Rebuttal testimony 3/14/00 - final discovery requests 4/3/00 - Hearing 5/1/00 - Initial Brief 5/15/00 - Reply Brief 6/12/00 - Initial Decision	Before Judge Herbert Grossman
Southern California Edison; ER98-441-000	RMR Phases II	<u>Phase II</u> 10/29/99 - Owners direct testimony 12/29/99 - TOs Direct testimony 2/14/00 - FERC/CPUC/ISO answering testimony 3/13/00 - TO/FERC/CPUC/ISO rebuttal 4/7/00 - Owners Rebuttal testimony 5/2/00 - Hearing	Before Judge Joseph Nacy
Pacific Gas & Electric, ER98-2087 and ER97-2358	TO Tariff	Offer of settlement filed 4/14/99 as corrected on 4/30/99	Before Judge Bruce Birchman - contested offer of settlement certified to the Commission by order dated 5/20/99
Southern California Edison, ER98-2322 and ER97-2355	TO Tariff	Initial Decision issued 3/31/99;	Briefs on Exception and Opposing Exceptions have been filed with FERC; Order issued 9/17/99 establishing further procedures on ROE issues

ER97-2358-002; ER97-2355-002; ER97-2364-002; ER97-4235-002 ER98-497-002	Non-rate terms and conditions of TO tariff	Partial Offer of Settlement certified to the Commission by Order dated 9/1/99 Initial Decision issued 9/1/99	
CAL ISO, ER98-1499-000, ER98-1500-000, ER98-1501-000, ER98-1502-000	Meter Service Agreements	Uncontested Offer of Settlement certified to the Commission by Order dated October 18, 1999	
CAL ISO, ER98-992, et al.	Non-QF Participating Generator Agreement	Contested Offer of Settlement certified to the Commission on March 8, 1999	
CAL ISO, ER98-997-000 and ER98-1309	QF PGA	Procedural Schedule suspended - joint motion for appointment of a settlement judge has been granted	Before Judge Delbert Terrill, Jr Settlement Judge William Cowan
Duke Energy, ER99-1127-000 and ER99-1128-000	Duke affiliate service agreements	Order granting motion to hold paper hearing in abeyance issued 3/18/99	
Sierra Pacific Power ER99-28-000, ER99-945-000 and EL99-38-000	Alturas	October 1, 1999 - Joint Statement of Issues November 18, 1999 - Company Testimony January 21, 2000 - Other Party's Testimony February 25, 2000 - Staff testimony March 14, 2000 - Cross-Answering testimony April 4, 2000 - Sierra Pacific files rebuttal testimony April 14, 2000 - Final Joint Statement of Issues April 25, 2000 - Hearing	Before Judge Silverstein

<p>PG&E ER99-2326-000 and EL99-68-000</p>	<p>TO 3 Tariff</p>	<p>June 22, 1999 - Initial discovery on PG&E July 13, 1999 - PG&E responses to initial discovery July 29-30, 1999 - settlement conference August 6, 1999 - PG&E suppl. direct testimony on rate design August 13, 1999 - Follow-up discovery September 8, 1999 - PG&E responses to follow-up discovery September 17, 1999 - further discovery on PG&E October 1, 1999 - PG&E response to discovery October 29, 1999 - Intervenor answering testimony November 19, 1999 - Discovery to Intervenor testimony ends December 3, 1999 - Staff/CPUC answering testimony January 13, 2000 - cross - answering testimony, discovery to Staff/CPUC ends January 20, 2000 - cross- answering discovery ends February 10, 2000 - PG&E rebuttal testimony February 17, 2000 - Discovery ends on PG&E rebuttal February 22, 2000 - Joint stipulation of issues February 24, 2000 - final PG&E discovery responses March 7, 2000 - hearing</p> <p>On 11/8/99, PG&E filed an Offer of Settlement covering wholesale transmission rate issues. This was certified to the Commission as an uncontested settlement on 12/9/99.</p>	<p>Before Judge H. Peter Young</p>
<p>PG&E ER99-4323</p>	<p>TO 4 Tariff</p>		<p>Before Judge Joseph R. Nacy</p>
<p>CAL PX, ER99-4113-000</p>	<p>Tariff Simplification</p>	<p>Settlement conference 2/23/00</p>	<p>Settlement Judge Stephen Grossman</p>
<p>Turlock and Modesto v. ISO, EL99-93-00</p>	<p>TID/MID Complaint</p>	<p>Settlement conference 2/15/00</p>	<p>Settlement Judge William J. Cowan</p>

V. SUMMARY OF MATTERS ON REHEARING & APPEAL

MATTERS ON REHEARING

Case	Issues	Status
EC96-19-001 to 005; ER98-1663-001 to 006; Order dated October 30, 1997, 81FERC ¶ 61,122	Rehearing requests on issues other than governance	By Order in Docket No. ER98-3760 these rehearing requests will be considered as part of the Unresolved Issues settlement and Briefing
EC96-19-023; ER9-1663-024; Order dated May 28, 1988, 83 FERC ¶ 61,209 - Rehearing Requests Designated EC96-19-030 and ER96-1663-031	Rehearing of Amendment No. 7	
EC96-19-024; ER96-1663-025; Order dated March 11, 1998, 82 FERC ¶ 61,236	Rehearing of selection of RMR units	
El Segundo, ER98-2550-000	CAL ISO rehearing request on cost-based rate cap	FERC issued a tolling order on 7/31/98
AES, ER98-2843-000, 98-2844, 98-2883-000; Long Beach, ER98-2972-000; El Segundo, ER98-2971-000; Ocean Vista et al., ER98-2977-000	Request for Emergency Stay, Request for Rehearing and Motion for Clarification regarding authorization to sell Ancillary Services at market-based rates	<p>Order dated July 17, 1998 - FERC denies motions for emergency stay of 6/30/98 and 7/10/98 Orders but authorizes the ISO to “reject bids in excess of whatever price levels it believes appropriate for Regulation, Spinning Reserve, Non-Spinning Reserve, and Replacement Reserve.” FERC issues tolling order on 8/12/98.</p> <p>Order issued October 28, 1998 in AES Redondo Beach, LLC, et al., 85 FERC ¶ 61, 123 - FERC authorizes market-based rates for all sellers of Ancillary Services and Replacement Reserve Services with California and extends the interim authority of the ISO to limit prices it will pay for Ancillary Services. FERC directs the ISO to conduct a stakeholder process and make a comprehensive proposal to restructure the Ancillary Service markets by March 1, 1999. FERC also denies the requests for rehearing of its prior orders and SoCal’s complaint in Docket No. EL98-62-000.</p>
AES, ER98-2843-005, 98-2844-005, 98-2883-005; Long Beach, ER98-2972-006; El Segundo, ER98-2971-006; Ocean Vista et al., ER98-2977-004; Williams ER98-3106-002; Duke Energy, ER98-3416-004, et al.; Southern California Edison, EL98-62-003; Sempra Energy, ER98-4497-002; and SDG&E, ER98-4498-002	Rehearing requested by ISO, CPUC, and Bonneville	Tolling order issued 12/18/98

Williams Energy Services; ER98-3106-000	Authorization to sell Ancillary Services at Market-based rates	Consolidated with AES Dockets.
CAL ISO, ER98-3760-002	Metropolitan Water District and Southern California Edison Rehearing Requests of September 11, 1998 Order accepting clarification filing	Tolling order issued 11/9/98
CAL ISO, EC96-19-029 and ER96-1663-030 Woychick vs. CA ISO, EL98-51-000	Oversight Board rehearing of 11/24/98 Order finding noncompliance, denying request for public conference, and granting complaint	Order denying rehearing issued 2/4/99
CAL PX, EC96-19-028 and ER96-1663-029		
CAL ISO, EC96-19-044 and ER96-1663-046	Turlock rehearing on Amendment 10	Tolling order issued 12/14/98
CAL ISO, EC96-19-043 and ER96-1663-044	SDG&E rehearing on Amendment 11	Tolling order issued 11/17/98
Sierra Power Pacific, ER99-28-000	Rehearing requests of November 30, 1998 Order accepting Alturas Intertie Project Interconnection and Operation and Maintenance Agreement	Order issued 2/26/99 (86 FERC ¶ 61,198) FERC accepted for filing Sierra Pacific Power Company's Operating and Scheduling Agreement for Alturas. FERC consolidated the O&S Agreement with the prior dockets for the Interconnection and Operation and Maintenance Agreement. FERC noted that "the mutual agreement on these issues that we anticipated could be reached by the parties apart from formal Commission proceedings has not occurred." FERC not only set for hearing the O&S Agreement, but also granted rehearing and set for hearing the previously-approved Interconnection and O&M Agreement. The hearing is to evaluate the justness and reasonableness of the terms and conditions of the agreements, including how the agreements may impact the reliable operation of interconnected transmission systems, and the interregional transmission grid's ability to effect power deliveries to customers in both Nevada and California.

<p>CAL ISO, ER99-473-000</p>	<p>Enron and CA ISO rehearing requests of Order approving extension of GMC settlement</p>	<p>Orders issued 4/2/99 (87 FERC ¶ 61,016 and 87 FERC ¶ 61,023) - the Commission: (1) accepted the ISO's informational filing of 12/15/98 and rejected EPUC/CAC's protest; (2) dismissed Western Power Trading Forum's complaint as duplicative; (3) reaffirmed its determination that the extension filing was one under section 205 to modify an existing rate rather than a contested settlement; (4) established a refund effective date under section 206 (since there was no rate increase their could not be a refund); and (5) affirmed that no purpose would be served by holding a hearing prior to the July 1, 1999 proceeding.</p>
<p>CAL ISO, ER99-896-000</p>	<p>DWR, Cities of Redding and Santa Clara and M-S-R, ECI, TANC, So Cal Edison, and SDG&E rehearing of Amendment No. 13</p>	<p>Tolling order issued 4/9/99</p>

<p>CAL ISO, ER99-3594-000</p>	<p>Rehearings of Amendment No. 9</p>	<p>In an Order issued 8/2/99, 88 FERC ¶ 61,156, FERC acted on the rehearing requests regarding Amendment No. 9. FERC granted the ISO's request to postpone FTR implementation deadlines due to Y2K concerns. The ISO may conduct its initial FTR auction to permit release effective February 1, 2000 through March 31, 2001. The reports of the ISO and the Market Surveillance Committee, formerly due October 1, 1999, will now be due December 1, 2000. The Commission denied the Intervenor's request for rehearing on the availability of physical transmission rights, stating that "properly designed financial rights" can be as effective as firm physical transmission rights, as long as the ISO has the ability to manage congestion efficiently. FERC denied requests for clarification that the ISO provide for FTRs that last for at least twenty years, on the one hand, and requests that the ISO not make any decision on long-term FTRs until there has been time to analyze market performance, on the other. The Commission expressed itself satisfied with the current plan, which will provide for FTR's lasting one year, while leaving the requirement that the ISO report on progress towards making longer-term FTRs available unchanged apart from the date the report will be due (December 1, 2000). The Commission denied the intervenors' request for rehearing on treatment of revenues for counter scheduling, stating such transactions need to be compensated appropriately, as the ISO Tariff currently provides. FERC granted the ISO's request to determine available capacity using a 99.5 percent historic capacity availability standard, as it was "satisfied...that the ISO is taking a conservative approach" which considers the possible harms which would result if the ISO released too much or too little capacity. The Commission directed the ISO to continue to review its methodology, to determine whether a more definite measure of available capacity can be developed, and to address its progress in this regard in the report. The Commission denied requests for rehearing on the desirability of creating new congestion zones before FTRs expire, treated in Tariff Section 9.2.2.1. As it did in the May 3 order, FERC again delayed providing guidance on issues related to secondary market transactions. The Commission also directed the ISO to modify its tariff to include the clarification that "any Participating Transmission Owner that has no transmission customers need not develop a Transmission Revenue Balancing Account, a Transmission Revenue Requirement, nor an Access Charge."</p>
<p>CAL ISO, ER98-3594-005</p>	<p>TANC, M-S-R, Santa Clara, Redding and Modesto rehearing of 11/10/9 order (89 FERC 61,153)</p>	
<p>CAL ISO, ER98-3574-003</p>	<p>California ISO, SoCal Edison, and Dynegy requests for rehearing on Amendment No. 9</p>	<p>Tolling order issued 9/23/99</p>
<p>CAL ISO, ER99-1770-001</p>	<p>Coalition of New Market Participants rehearing of Path 15 Operating Instructions</p>	<p>Tolling order issued 8/10/99</p>

<p>CAL ISO, ER99-2730-002; and EL99-67-001</p>	<p>Western Power Trading Forum, Enron and Coral Power clarification and rehearing of June 17, 199 GMC Order - Amendment No. 16</p>	<p>Tolling order issued 8/10/99</p>
<p>PG&E, ER99-2326-001 and EL99-68-001</p>	<p>California Commission rehearing of Order at 87 FERC ¶ 61,218 on PG&E TO3 case</p>	<p>Tolling order issued 7/15/99</p>
<p>CAL ISO, ER99-1971-000</p>	<p>Rehearings of Amendment No. 14</p>	<p>Order issued 7/26/99 (88 FERC ¶ 61,096), FERC denied the ISO's request for rehearing and stay of the May 26, 1999 order rejecting the buy-back proposal (Billing on Metered Demand) as to self-provided capacity withdrawn at the instruction of the ISO.</p> <p>Order issued 1/14/00 (90 FERC ¶ 61,036) the Commission clarified that the ISO's buy back proposal required that only Ancillary Services that are voluntarily withdrawn from the day-ahead schedule by an SC, regardless of whether they are self-provided or sold into the market, should be subject to the buy back proposal. The Commission then reiterated a statement made in the May 26 Order, that the shouldconsider implementing a bidding mechanism to address situations in which it must change the amount of capacity self-provided or sold into the Ancillary Services markets. The Commission also: (1) rejected SoCal Edison's request for rehearing of the Commission's approval of the ISO's proposal to allocate to load the cost of extra Replacement Reserves needed to meet demand not scheduled in the day-ahead market; (2) denied rehearing requests by El Segundo and Long Beach alleging inconsistent Commission treatment of different kinds of price caps and that the ISO's treatment of above-cap bids results in unilateral adjustments to bidders' rate schedules; and (3) granted the ISO's clarification that the the May 26 Order merely cautioned that FERC-licensed hydro facilities must have the flexibility to control output if necessary but was not intended to suggest that licensees should be exempted. The Commission also rejected as moot all requests for rehearing concerning the extension of the ISO's price cap authority, because the Commission's approval of Amendment No. 21 had already extended the ISO's price cap authority until November 15, 2000.</p>

CAL ISO, ER99-3301-002	DWR Rehearing on Amendment No. 18	Tolling order issued 9/23/99
CAL ISO; ER99-3339-001	ISO, IEP, and Duke rehearings of Amendment No. 19	Tolling Order issued 11/9/99
CAL ISO; ER99-4462-001	Williams, Dynegy, Duke, SMUD, SCE, and Southern rehearings of Amendment No. 21	Tolling order issued 1/5/00
CAL ISO; ER99-4545	PG&E, CPUC, and SCE rehearings of Amendment No. 22	
California Oversight Board; EL99-75-001	WPTF and CNMP rehearing of 8/5/99 Order granting request for declaratory order on SB 96	Order issued 11/1/99 (89 FERC ¶ 61,134) - the Commission rejected the rehearing requests of the Coalition of New Market Participants and the Western Power Trading Forum to the 8/5/99 Order granting the Oversight Board's request for a declaratory order regarding SB 96.
PG&E; ER99-3145-001 and Laguna; EL98-46-006	PG&E and Edison rehearing of Laguna interconnection order- 88 FERC ¶ 61,164	Tolling order issued 9/30/99

MATTERS ON APPEAL

Case	Issues	Status
<p>California Independent System Operator Corporation V. FERC, No. 98-1225</p> <p>and</p> <p>California Electricity Oversight Board v. FERC, No. 98-1226 and No. 99-1133</p> <p>and</p> <p>Motion to Dismiss, No. 98-1384</p>	<p>Does FERC have jurisdiction over matters included in a state law that asserts jurisdiction over reliability decisions affecting retail transactions; does FERC have authority to change the governance of an entity created under state law and charged with carrying out both federal and state functions; can a FERC order bind an entity that did not exist when the order was issued; and did FERC abuse its discretion in denying rehearing as untimely when the entity against whom the original order was issued did not exist?</p>	<p>FERC filed motion to dismiss on June 18, 1998; ISO filed response on June 29, 1998</p> <p>Status Report due 7/22/99</p>
<p>Duke Energy Moss Landing, LLC and Duke Energy Oakland, LLC</p> <p>v.</p> <p>FERC</p> <p>No. 99-1141</p>	<p>Recovery of acquisition premiums</p>	<p>Filed April 8, 1999. Motion to dismiss filed July 1999</p> <p>No briefing schedule has been set as yet</p>
<p>Western Power Trading Forum et al. v. FERC</p> <p>No. 99-1532</p>	<p>Appeal of FERC's August 5, 1999 and November 1, 1999 governance orders in California Electricity Oversight Board, EL99-75</p>	<p>Filed December 22, 1999</p>

VI. COMPLAINTS

Complaint	Filed Date	Noticed	Response Due	Comments
EL98-51-000 Eric Woychick Utility Reform Network et al v. California ISO	5/27/98	7/1/98	7/31/98	<p>Complaint by TURN, UCAN and CU regarding the governance structure</p> <p>Order issued November 24, 1998 (85 FERC ¶ 61,263) addressing compliance issues relating to ISO governance. FERC directs the ISO to amend its Bylaws within 45 days, to seat Mr. Woychik immediately for a full term or on an interim basis pending further elections.</p> <p>Order denying the Oversight Board's rehearing request issued 2/4/99</p>
EL98-62-000	7/13/98	7/17/98	8/17/98	<p>Complaint filed by SCE regarding FERC authorizations to AES and others to sell ancillary services at market-based rates. Order issued October 28, 1998 in AES Redondo Beach, LLC, et al., 85 FERC ¶ 61,123 - FERC denies the complaint in Docket No. EL98-62-000.</p>
EL99-30-000	1/20/99	3/5/99	3/5/99	<p>Complaint by Western Power Trading Forum alleging that the GMC is unjust, unreasonable, unduly discriminatory, and in violation of prior ISO settlement</p> <p>Orders issued 4/2/99 (87 FERC ¶ 61,016 and 87 FERC ¶ 61,023) - the Commission: (1) accepted the ISO's informational filing of 12/15/98 and rejected EPUC/CAC's protest; (2) dismissed Western Power Trading Forum's complaint as duplicative; (3) reaffirmed its determination that the extension filing was one under section 205 to modify an existing rate rather than a contested settlement; (4) established a refund effective date under section 206 (since there was no rate increase their could not be a refund); and (5) affirmed that no purpose would be served by holding a hearing prior to the July 1, 1999 proceeding.</p>
EL99-93-000	9/17/99	9/20/99	10/7/99	<p>Complaint by Turlock and Modesto alleging undue discrimination in treatment of resources supplying AS and IE from units inside the ISO Control Area as opposed to units outside the ISO Control Area. Order issued 11/15/99 (89 FERC ¶ 61,182) - the Commission sets complaint for hearing but holds the hearing in abeyance and instituted settlement judge proceedings.</p>

VII. STATUS OF ISO FERC FILINGS

A. Tariff & Protocol Filings

TARIFF & PROTOCOL FILINGS						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	F ERC Orders	Status
ISO Tariff	PG&E <i>et al</i> EC96-19-003 ER96-1663-003	8/15/97	7/30/97 ⁱ	9/2/97	10/30/97	See below.
ISO Tariff Changes For Info Purposes	PG&E <i>et al</i> EC96-19-008 ER96-1663-009	10/31/97	11/6/97	N/A	12/17/97	Changes accepted with nominal suspension, accepted and permitted to go into effect on ISO Operations Date; conforming changes made and tariff posted 12/22/97; compliance filing due 60 days from ISO Operations Date.
ISO Protocols	PG&E <i>et al</i> EC96-19-008 ER96-1663-009	10/31/97	11/6/97	11/21/97	12/17/97	Informational filing was accepted with nominal suspension as part of ISO Tariff and permitted to go into effect; conforming changes made and posted 12/22/97 as part of ISO Tariff.
ISO Grid Mgmt. Charge	ISO, ER98-211-000	10/17/97	10/21/97	11/7/97	12/17/97; 6/1/98	Settlement accepted by FERC order dated 6/1/98. On 10/28/98 the ISO filed to extend Settlement for six months.
ISO Grid Mgmt. Charge	ISO, ER99-473-000	10/28/98	11/10/98	11/20/98	12/23/98 4/2/99	Order issued December 23, 1998 (85 FERC ¶ 61,433) accepting, subject to refund and further orders, proposed six month extension of current GMC Orders issued 4/2/99 (87 FERC ¶ 61,016 and 87 FERC ¶ 61,023) - the Commission: (1) accepted the ISO's informational filing of 12/15/98 and rejected EPUC/CAC's protest; (2) dismissed Western Power Trading Forum's complaint as duplicative; (3) reaffirmed its determination that the extension filing was one under section 205 to modify an existing rate rather than a contested settlement; (4) established a refund effective date under section 206 (since there was no rate increase their could not be a refund); and (5) affirmed that no purpose would be served by holding a hearing prior to the July 1, 1999 proceeding.

Informational filing for GMC settlement	ISO, ER99-921	12/29/98	N/A		4/2/99	Orders issued 4/2/99 (87 FERC ¶ 61,016 and 87 FERC ¶ 61,023) - the Commission: (1) accepted the ISO's informational filing of 12/15/98 and rejected EPUC/CAC's protest; (2) dismissed Western Power Trading Forum's complaint as duplicative; (3) reaffirmed its determination that the extension filing was one under section 205 to modify an existing rate rather than a contested settlement; (4) established a refund effective date under section 206 (since there was no rate increase their could not be a refund); and (5) affirmed that no purpose would be served by holding a hearing prior to the July 1, 1999 proceeding.
ISO Financing	ISO; ES98-9-000	11/17/97	11/21/97	12/16/97	12/22/97	Order allowed closing of transfer from Trust; amendment required prior to permanent financing.
ISO Tariff Amendment No. 1	PG&E <i>et al</i> EC96-19-014 EC96-1663-015	2/19/98	2/27/98	3/12/98	3/27/98	Proposed amendment is accepted, with conditions and modifications discussed in <u>82 FERC ¶ 61,312</u> . The ISO shall post this amendment on the ISO Home Page and shall file these changes with the compliance filing within 60 days of the ISO Grid Operation date.
ISO Tariff Amendment No. 2	PG&E <i>et al</i> EC96-19-015 ER96-1663-016	2/25/98	2/27/98	3/12/98	3/27/98	Proposed amendment is hereby rejected.
ISO Tariff Amendment No. 3	PG&E <i>et al</i> EC96-19-016 ER96-1663-017	2/25/98	2/27/98	3/12/98	3/27/98	Proposed amendment is hereby rejected.
ISO Tariff Amendment No. 4	PG&E EC96-19-017 ER96-1663-018	3/3/98	3/4/98	3/16/98	3/30/98	Proposed amendment is hereby accepted for filing, and suspended for a nominal period, to become effective on the ISO Operations Date, subject to refund, subject to the conditions and modifications discussed, and subject to further Commission orders. The ISO is hereby directed to refile the ISO Tariff no later than 60 days after the ISO Operations Date. The ISO is directed to inform the Commission of tariff provisions that will be staged and timing of future implementation.
ISO Tariff Amendment No. 5	PG&E <i>et al</i> EC96-19-018 ER96-1663-019	3/3/98	3/4/98	3/16/98	3/30/98	Proposed amendment is hereby accepted for filing, and suspended for a nominal period, to become effective on the ISO Operations Date, subject to refund, subject to the conditions and modifications discussed, and subject to further Commission orders. The ISO is hereby directed to refile the ISO Tariff no later than 60 days after the ISO Operations Date. The ISO is directed to inform the Commission of tariff provisions that will be staged and timing of future implementation.

ISO Tariff Amendment No. 6	PG&E <i>et al</i> EC96-19-021 ER96-1663-022	3/23/98	3/25/98	4/9/98	3/30/98	Proposed amendment is hereby accepted for filing, and suspended for a nominal period, to become effective on the ISO Operations Date, subject to refund, subject to the conditions and modifications discussed, and subject to further Commission orders. The ISO is hereby directed to refile the ISO Tariff no later than 60 days after the ISO Operations Date. The ISO is directed to inform the Commission of tariff provisions that will be staged and timing of future implementation.
ISO Tariff Amendment No. 7	PG&E <i>et al</i> EC96-19-023 ER96-1663-024	3/31/98	4/20/98	5/11/98	5/28/98; 83 FERC ¶ 61,209	Amendment 7 other than the proposed modification to section 2.1.4 is accepted.
Amendment No. 7 clarification	EC96-19-031; ER96-1663-032	6/29/98	7/6/98	7/23/98		
ISO Tariff Amendment No. 8	PG&E <i>et al</i> EC96-19-027 ER96-1663-028	5/19/98	5/29/98	6/8/98	6/24/98; 83 FERC ¶ 61,309	Conditionally accepted subject to clarification and future reporting requirements
ISO Tariff Amendment No. 8 Compliance	CAL ISO, EC96-19-034; ER96-1663-035	7/24/98	7/29/98	8/13/98		
ISO Tariff Amendment No. 9 [FTR]	CAL ISO; ER98-3594-000	6/30/98	7/6/98	7/20/98	12/21/98; 85 FERC 61,405	Order grants ISO's motion to extend the effective date for implementation of FTRs
		12/4/98	12/9/98	12/28/98	5/3/99; 87 FERC 61,143 8/2/99	Order conditionally accepting proposed tariff changes

<p>Amendment No. 9 [FTR]</p>	<p>ISO; ER98-3594-001</p>				<p>8/2/99; 88 FERC ¶ 61,156</p>	<p>FERC granted the ISO's request to postpone FTR implementation deadlines due to Y2K concerns. The ISO may conduct its initial FTR auction to permit release effective February 1, 2000 through March 31, 2001. The reports of the ISO and the Market Surveillance Committee, formerly due October 1, 1999, will now be due December 1, 2000. The Commission denied the intervenors' request for rehearing on the availability of physical transmission rights, stating that "properly designed financial rights" can be as effective as firm physical transmission rights, as long as the ISO has the ability to manage congestion efficiently. FERC denied requests for clarification that the ISO provide for FTRs that last for at least twenty years, on the one hand, and requests that the ISO not make any decision on long-term FTRs until there has been time to analyze market performance, on the other. The Commission expressed itself satisfied with the current plan, which will provide for FTR's lasting one year, while leaving the requirement that the ISO report on progress towards making longer-term FTRs available unchanged apart from the date the report will be due (December 1, 2000). The Commission denied the intervenors' request for rehearing on treatment of revenues for counter scheduling, stating such transactions need to be compensated appropriately, as the ISO Tariff currently provides. FERC granted the ISO's request to determine available capacity using a 99.5 percent historic capacity availability standard, as it was "satisfied...that the ISO is taking a conservative approach" which considers the possible harms which would result if the ISO released too much or too little capacity. The Commission directed the ISO to continue to review its methodology, to determine whether a more definite measure of available capacity can be developed, and to address its progress in this regard in the report. The Commission denied requests for rehearing on the desirability of creating new congestion zones before FTRs expire, treated in Tariff Section 9.2.2.1. As it did in the May 3 order, FERC again delayed providing guidance on issues related to secondary market transactions. The Commission also directed the ISO to modify its tariff to include the clarification that "any Participating Transmission Owner that has no transmission customers need not develop a Transmission Revenue Balancing Account, a Transmission Revenue Requirement, nor an Access Charge."</p>
<p>Amendment No. 9</p>					<p>11/10/99</p>	<p>Order issued 11/10/99 (89 FERC ¶ 61,153) - the Commission responded to certain questions regarding jurisdiction and price limits for firm transmission rights. FERC concluded that resales of FTRs in the secondary market are jurisdictional transactions and required public utility resellers to file for authorization to make such sales. FERC also stated that the resales were subject to its policy with respect to price caps for transmission rights but noted that since FTRs would initially be sold for terms of less than one year the prices paid in the secondary market should not exceed the sellers opportunity cost thus meeting the standard. Finally, FERC required the ISO to post prices at which FTRs are sold in the secondary market.</p>

Amendment No. 9 Compliance filing	California ISO Docket No. ER99- 3594-002	8/13/99 & 8/17/99	8/18/99 & 8/23/99	9/2/99 & 9/7/99	9/17/99	Accepted for filing
June 1, 1998 Compliance Filing	PG&E <i>et al</i> EC96-19-029; ER96-1663-030	6/1/98	6/9/98	8/5/98		Order issued 10/28/98 accepting certain proposed changes to the ISO's Bylaws. Order issued 4/28/99 (87 FERC ¶ 61,102) - the Commission issues an order accepting the March 11, 1999 unresolved issues report and establishing further procedures for the Offer of Settlement and briefing of the Unresolved Issues.
July 15, Clarification Filing	Cal ISO; ER98- 3760-000	7/15/98	7/20/98	Initially 8/4/98 extended to 8/17/98	7/31/98; 9/11/98 4/28/99	7/31/98 Order extends date for interventions and protests. 9/11/98 Order issued September 11, 1998 - FERC accepts all clarification changes, except a change that was superseded by Amendment 10; requires the filing within 15 days of a protocol describing how the ISO will exercise its discretion under sec. 2.2.12.1 to waive scheduling guidelines; and denies (without prejudice) the ISO's proposal to move all unresolved issues to the Clarification docket, but otherwise adopting the ISO's proposed procedural approach, including establishing a 120-day period for the parties to agree on a comprehensive open-issues list and to settle as many issues as possible, with trial staff's participation. Order issued 4/28/99 (87 FERC ¶ 61,102) - the Commission issues an order accepting the March 11, 1999 unresolved issues report and establishing further procedures for the Offer of Settlement and briefing of the Unresolved Issues.
Compliance filing from 9/11 order on clarification filing	Cal ISO, ER98- 3760-001	9/28/98	10/1/98 errata 10/2/98	10/16/98	12/16/98	ISO directed to amend protocol within 15 days to include specific waiver criteria
Compliance filing from 12/16 Order	Cal ISO, ER98- 3760	12/30/98	1/6/98	1/19/98	2/18/99	Accepted for filing
Amendment No. 10	Cal ISO, EC96-19- 035 and ER96- 1663-036	7/27/98 amend. 7/28/98	7/28/98	8/6/98	7/31/98	Order issued July 31, 1998 (84 FERC ¶ 61,121) - FERC conditionally accepts Amendment No. 10 to permit the ISO to receive ancillary bids from producers outside the control area. FERC also accepts, on a prospective basis, the proposed amendment to section 26.2 to clarify that the ISO will only waive penalties incurred as a result of limitations with the ISO's software.

Amendment 10 compliance	Cal ISO, EC96-19-035 and ER96-1663-036	8/17 and 8/20 compliance	8/24/94	9/7/98	10/16/98	Accepted for filing pending further compliance filing to incorporate both Amendment 10 and Amendment 11 changes into a single conformed sheet. Turlock has requested rehearing - tolling order issued 12/14/98
Amendment 10 Correction	Cal ISO, EC96-19-035 and ER96-1663-036	errata 8/21/98			10/16/98	Accepted for filing pending further compliance filing to incorporate both Amendment 10 and Amendment 11 changes into a single conformed sheet.
Amendment No. 11 - Downward Regulation Bids	Cal ISO, EC96-19-039 ER96-1663-040	8/14/98	8/14/98	8/28/98	9/17/98	Accepted for filing
		8/20/98	8/25/98	9/9/98		
Amendment 10 & 11 Compliance filing	Cal ISO, EC96-19-045 and ER96-1663-47	11/16/98 errata 11/17/98	11/20/98	12/4/98	6/1/99	Accepted for filing, 87 FERC ¶ 61,256.
Amendment No. 12 - Extension of the BEEP cap	Cal ISO, ER99-826-000	12/4/98	12/9/98	12/28/98	1/27/99	Order issued January 27, 1999 (86 FERC ¶ 61,059) rejecting ISO's proposed amendment to establish price caps for imbalance energy but granting ISO interim authority to impose purchase price caps in the real-time energy market in the same manner as FERC has granted it for the other ISO markets.
Amendment No. 13	Cal ISO, ER99-896-000	12/11/98	12/16/98	1/7/98	2/9/99	Order issued 2/9/99, approving Amendment No. 13 except for retroactive adjustment to settlement statements for Replacement Reserves
Amendment No. 13 Compliance	Cal ISO, ER99-896-001	2/24/99	3/1/99	3/16/99	3/24/99	Accepted for filing
Governance compliance filing	Cal ISO, EC96-19-047; ER96-1663-049	1/8/99	1/15/99	2/8/99	3/10/99	Commission accepts Enron's notice of withdrawal of protest.

A/S Redesign Amendment No. 14	Cal ISO, ER99-1971	3/1/99	3/4/99	3/26/99	5/26/99 7/26/99	Order issued 5/26/99, 87 FERC ¶ 61,208, FERC largely approves Amendment 14 and confirms that the ISO acted correctly when it reduced above-cap A/S bids to the applicable cap. FERC: (1) conditionally accepted the rational buyer proposal, while expressing doubts about some components and requiring MSC to report on its implementation by 10/15/99; (2) approved the changes for replacement reserves/effective price and automated BEEP without condition; (3) accepted the Reg up/ Reg down and inter-SC trade proposals noting ISO agreement to clarify tariff provisions; (4) accepted the buy-back proposal (Billing on Metered Demand) as to self-provided capacity that is voluntarily withdrawn by an SC, but rejected it as to self-provided capacity withdrawn at the instruction of the ISO (noting the situation where self-provided capacity must be withdrawn because a transmission line is derated); (5) accepted the Generator Communication proposal; (6) rejected arguments that FERC mandate filing of pro forma PLA agreement, leaving that issue to stakeholder discussions; (7) permitted ISO to retain price cap authority only through November 15, 1999 (if ISO wants to retain authority, it must demonstrate after the summer that market design flaws remain); (8) rejected the argument that ISO should eliminate or modify the 25% limit on A/S imports; and (9) confirmed the reasonableness of the ISO's reducing above-cap bids to the applicable cap.
Amendment No. 14 Rehearing	Cal ISO, ER99-1971-001	6/25/99			7/26/99	Order issued 7/26/99 (88 FERC ¶ 61,096), FERC denied the ISO's request for rehearing and stay of the May 26, 1999 order rejecting the buy-back proposal (Billing on Metered Demand) as to self-provided capacity withdrawn at the instruction of the ISO.
Amendment No. 14 Compliance Filing	Cal ISO; ER99-1971-002	7/2/99 & 8/6/99	7/8/99 & 8/23/99	7/22/99 & 9/9/99	10/8/99	Accepted for filing

Amendment No. 14	Cal ISO; ER99-1971-002				1/14/99	Order issued 1/14/00 (90 FERC ¶ 61,036) the Commission clarified that the ISO's buy back proposal required that only Ancillary Services that are voluntarily withdrawn from the day-ahead schedule by an SC, regardless of whether they are self-provided or sold into the market, should be subject to the buy back proposal. The Commission then reiterated a statement made in the May 26 Order, that the shouldconsider implementing a bidding mechanism to address situations in which it must change the amount of capacity self-provided or sold into the Ancillary Services markets. The Commission also: (1) rejected SoCal Edison's request for rehearing of the Commission's approval of the ISO's proposal to allocate to load the cost of extra Replacement Reserves needed to meet demand not scheduled in the day-ahead market; (2) denied rehearing requests by El Segundo and Long Beach alleging inconsistent Commission treatment of different kinds of price caps and that the ISO's treatment of above-cap bids results in unilateral adjustments to bidders' rate schedules; and (3) granted the ISO's clarification that the the May 26 Order merely cautioned that FERC-licensed hydro facilities must have the flexibility to control output if necessary but was not intended to suggest that licensees should be exempted. The Commission also rejected as moot all requests for rehearing concerning the extension of the ISO's price cap authority, because the Commission's approval of Amendment No. 21 had already extended the ISO's price cap authority until November 15, 2000.
Employee Code of Conduct	Cal ISO, ER99-2563-000	4/22/99	4/28/99	5/12/99	5/26/99	Accepted for filing
Amendment No. 15, RMR	Cal ISO, ER99-2407-000	4/7/99	4/12/99	4/27/99		Accepted by letter order dated 5/28/99, 87 FERC 61,229

ISO GMC - Amendment 16	Cal ISO, ER99-2730-000	4/30/99	5/5/99	5/20/99	6/17/99	FERC approves an order on the GMC. FERC: (1) accepts the filing effective July 1, 1999, to extend the GMC to 12/31/2000; (2) initiates a 206 investigation of the filing, effective 60 days after notice is published; (3) denies requests for hearings in light of fact that there is no unbubling study or computer capabilities; and (4) makes the GMC for this period subject to the outcome of the GMC filing to become effective on January 1, 2001. In light of the decisions to put the case off to the next filing, the Commission rejects the surcharge request as premature.
Amendment No. 16 Compliance filing	Cal ISO, ER99-2730-001	6/24/99	6/29/99	7/14/99	7/27/99	Accepted for filing
Amendment No. 17	Cal ISO,ER99-3289-000	6/17/99	6/22/99	7/7/99	8/16/99	Order issued 8/16/99 – The Commission conditionally accepted Amendment No. 17. The revisions concerned: (1) an extension of the current payment calendar; (2) a <u>pro forma</u> PLA; (3) a revised outage coordination protocol; (4) the recovery of WSCC fines; (5) the recovery of costs for communications services; (6) REPA allocation; (7) dispatch instructions; and (8) the broadening of financial instruments with which Scheduling Coordinators can establish their creditworthiness. The ISO is to complete an evaluation of its payment calendar.
Amendment No. 17 Compliance filing	Cal ISO, ER99-3289	9/15/99	9/20/99	10/5/99	10/15/99 and 10/27/99	Accepted for filing
Amendment No. 18- Intra-zonal congestion management	Cal ISO, ER99-3301-000	6/18/99	6/21/99	7/1/99	7/30/99	Accepted for filing as modified, 88 FERC ¶ 61,146
Amendment No. 18 Compliance filing	Cal ISO, ER99-3301-001	8/13/99	8/18/99	9/2/99	10/15/99	Commission conditionally accepts compliance filing - ISO directed to modify the tariff to include the operating procedure used to manage intra-zonal congestion.
Amendment No. 18 Compliance filing	CAL ISO, ER99-3301-003	11/15/99	11/22/99	12/3/99	1/13/00	Accepted for filing (90 FERC ¶ 61,025)
Amendment No. 19 - New Generator Interconnection	Cal ISO,ER99-3339-000	6/23/99	6/28/99 7/9/99	7/13/99 7/27/99	9/15/99	Order issued 9/15/99 – The Commission rejected the proposed Amendment No. 19 to the ISO Tariff regarding the new generation interconnection policy. The California ISO was directed to reconvene its stakeholder process to redesign its new generation interconnection policy. The Commission granted the ISO's request for an extension of time to file a report evaluating zone creation.

Supplemental Bylaw filings	Cal ISO, EC96-19-047 and ER96-1663-049	7/8/99 7/16/99	7/15/99 7/21/99	8/9/99		
Amendment No. 20- Rational Buyer technical corrections	Cal ISO, ER99-3879-000	7/30/99	8/4/99	8/19/99	9/1/99	Accepted for filing
Amendment No. 21 Price Caps	Cal ISO, ER99-4462-000	9/17/99	9/22/99 & 10/6/99	10/7/99 extended to 10/14/99	11/12/99	FERC accepts extension of price cap authority until 11/15/00
Amendment No. 22 - Quarterly filing	Cal ISO, ER99-4545-000	9/27/99	10/4/99	10/15/99	11/24/99	FERC: (1) approved creation of a new congestion management zone south of transmission Path 15; (2) found the ISO's proposed FTR registration requirements to be reasonable; (3) accepted the ISO's proposed method of establishing the seed price for FTRs in new zones; (4) authorized the ISO to allocate the costs of generating units that are not within the service area of a participating transmission owner but which are designated as RMR units to the PTO whose service areas are contiguous to the designated unit (subject to a separate section 205 rate filing); and (5) accepted the ETC and FTR template proposals. FERC denied the request of an intervenor that the ISO be required to verify that its schedules, as submitted, properly reflect the FTR holdings of individual market participants. FERC directs the ISO to submit in a compliance filing revised Tariff provisions indicating that certain information on FTR sales and resales that will be posted on the ISO Home Page. The Commission also accepted on a prospective basis proposed Tariff changes to modify the ISO's method of calculating transmission losses and to modify the ISO's method for allocating transmission losses for imbalance energy and unaccounted for energy to utility distribution companies. Finally, FERC approved the ISO's proposals: (1) to provide market participants with a mechanism to dispute new or modified charges or credits that appear for the first time on final settlement statements and (2) to modify Tariff revisions regarding the allocation of awards payable to or from the ISO pursuant to good faith negotiations and/or the ADR process.
Amendment No. 22 - market notice	Cal ISO, ER4545-001	12/7/99	12/13/99	12/27/99	1/7/00	Accepted for filing

Amendment No. 22 - Compliance filing	Cal ISO, ER99-4545-003	12/22/99	12/28/99	1/11/99		
Amendment No. 23	Cal ISO, ER00-555-000	11/10/99	11/19/99 & 11/24/99	12/3/99	1/7/00	Order issued 1/7/00 (90 FERC ¶ 61,006) - the Commission accepts in part and rejects in part Amendment No. 23. The Commission rejected the ISO's proposal to expand out-of-market authority to situations in which generators had submitted bids but the ISO determined that the markets for such bids were not competitive. FERC found the existing intra-zonal congestion management approach "fundamentally flawed" and in need of being "overhauled or replaced." FERC accepted the proposed changes in the payment calculation for out of market calls and the allocation of the costs of ISO dispatch orders to manage intra-zonal congestion.
GMC informational filing	Cal ISO, ER00-800-000	12/15/99	12/22/99	1/7/00		
Amendment No. 24 - Long Term Grid Planning	Cal ISO, ER00-866	12/21/99	12/27/99 & 1/5/00	1/20/99		

B. Reliability and Must Run Agreements

RELIABILITY AND MUST RUN AGREEMENTS						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	FERC Orders	Status
	SDG&E ER98-496-000; ER98-2160-000	10/31/97	11/6/97	11/21/97	12/17/97	Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.
Amendment	SDG&E ER98-2160	3/11/98			5/1/98, 83 FERC P 61,113	Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.
Amendment	SDG&E; ER98-4500	9/9/98		9/29/98		Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.
	PG&E ER98-495-000	10/31/97	11/6/97	11/21/97	12/17/97	Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.
	SCE ER98-441-000	10/31/97	11/6/97	11/21/97	12/17/97 2/25/98	Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.
	Duke Energy Moss Landing; ER98-2668, 4300, and 1127					Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.
	Duke Energy Oakland; ER98-2669, 4296, and 1128					Partial Offer of Settlement on RMR issues approved by letter order dated 5/28/99, 87 FERC ¶ 61,250. Procedural schedule set for remaining issues.
Compliance Report	Duke; ER98-441, et al.	7/30/99	8/4/99	8/19/99		
	ISO Must Run Selection EC96-19-012, ER96-1663-013	12/12/97	12/23/97	1/16/98	3/11/98	The initial RMR Unit selection, as amended, is accepted for filing. ISO shall post current listing of RMR Units on Home Page. The ISO shall file, for informational purposes, a summary of its long-term reliability requirement studies. If its long-term has not yet been completed within 30 days after the first year of operations then the ISO shall file, for informational purposes, a preliminary report summarizing and updating its reliability needs.
RMR 35 MVar/45 MW synchronous condenser	PG&E; ER99-3603-000	7/16/99	7/20/99	8/5/99	9/14/99; 88 FERC ¶ 61,213	Accepted for filing and consolidated with RMR proceeding
	Duke - South Bay;	11/1/99	11/9/99	11/19/99		

	ER00-435-000					
	Duke - Moss Landing; ER00-436-000	11/1/99	11/9/99	11/19/99	12/1/99	Accepted for filing
	Duke - Oakland; ER00-437-000	11/1/99	11/9/99	11/19/99	12/1/99	Accepted for filing
	PG&E; ER00-462-000	11/3/99	11/12/99	11/23/99	12/6/99	Accepted for filing
	PG&E; ER00-871-000	12/22/99	12/28/99	1/11/00		
	Geysers Power; ER00-894-000	12/22/99	12/28/99	1/11/00		
	Southern Energy Delta; ER00-936-000	12/29/99	1/5/00	1/18/00		
	Southern Energy Potrero; ER00-937-000	12/29/99	1/5/00	1/18/00		

C. Transmission and Distribution Access Rates

TRANSMISSION AND DISTRIBUTION ACCESS RATES						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	FERC Orders	Status
	PG&E ER97-2358-000	3/31/97	4/7/97	6/6/97	12/17/97	Offer of Settlement filed 4/14/99 as corrected 4/30/99 certified to the Commission by Order dated 5/20/99
TO 3	PG&E ER99-2326-000	3/31/99	4/5/99	4/20/99	5/27/99	Accepted for filing subject to refund. Hearing established. On 11/8/99, PG&E filed an Offer of Settlement covering wholesale transmission rate issues. This was certified to the Commission as an uncontested settlement on 12/9/99.
TO 4	PG&E ER99-4323-000	9/1/99	9/9/99	9/20/99	10/27/99	89 FERC ¶ 61,081 - Commission accepts PG&E TO 4 Tariff for filing suspends the rate for five months until 4/1/00 and establishes a hearing.
FTR Implementation	PG&E; ER99-3500-000	7/6/99	7/12/99	7/26/99	9/3/99 88 FERC ¶ 61,208	Accepted for filing
GMC Pass-Through	PG&E; ER00-708-000	12/1/99	12/9/99	12/21/99	1/6/00	Accepted for filing
Out-of-market reliability calls	PG&E; ER00-851-000	12/20/99	12/27/99	1/7/00		
	SCE ER97-2355-000	3/31/97	4/7/97	6/6/97	12/17/97	Initial Decision issued 3/31/99; briefs on exceptions have been filed; brief opposing exceptions are due 5/27/99
FTR Implementation	SCE; ER99-3501-000	7/6/99	7/12/99	7/26/99	9/3/99 88 FERC ¶ 61,208	Accepted for filing
	SDG&E ER97-2364-000; ER97-4235-000;	3/31/97	4/7/97	6/6/97 9/24/97 11/20/97	12/17/97	Offer of Settlement approved by the Commission by letter order dated 3/12/99, 86 FERC ¶ 61,265.
Refund Report		8/9/99	8/18/99	8/30/99	9/10/99	Accepted for filing
Recovery from end-use customers of RMR charges	SDG&E ER99-2762-000	4/30/99	5/6/99	5/20/99	7/2/99; 88 FERC ¶ 61,017	Accepted for filing
FTR Implementation	SDG&E; ER99-3496-000	7/6/99	7/12/99	7/26/99	9/3/99 88 FERC ¶	Accepted for filing

					61,208	
Out-of market reliability calls	SDG&E; ER00-860-00	12/21/99	12/27/99	1/10/99		

D. Utility Pass-Through of GMC and PX Charge

UTILITY PASS-THROUGH OF GMC AND PX CHARGE						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	FERC Orders	Status
	PG&E, ER98-556-000 ER98-557-000	10/31/97	11/20/97	12/4/97	6/1/98	Consolidated with ER98-211-000. Offer of settlement approved 6/1/98 for 1998 GMC. PG&E and SCE have filed revised tariff sheets to implement the settlement. FERC noticed SCE and PG&E compliance filings on 7/7/98 with comments due on 7/21/98. Order accepting the compliance filing in ER98-556-004 issued August 5, 1998, 84 FERC ¶ 61,164 and October 2, 1998, 85 FERC ¶ 61,015
Extension request to collect pass-through of GMC	PG&E, ER99-418-000	10/29/98	11/6/98	11/19/98	12/23/98	Accepted for filing subject to refund. PG&E may not pass through GMC to TANC or Santa Clara for transactions under SOTP and Grizzly Amendments
Extension request to collect pass-through of GMC	PG&E; ER99-1035; EL99-34-000			1/12/99	2/10/99	Accepted for filing to be effective 1/1/99 subject to refund
Continued pass-through to wholesale customers GMC	PG&E; ER99-2884-000	5/10/99	5/14/99	5/28/99	7/20/99	Accepted for filing, PG&E must submit revised tariff reference
Administrative revisions	PG&E; ER99-2884-001	7/13/99		8/4/99	9/17/99; 88 FERC ¶ 61,243	Accepted for filing
Modify GMC collection	PG&E; ER99-4471-00	9/20/99	9/23/99	10/8/99	10/21/99	Accepted for filing
	SCE, ER98-462-000	10/31/97	11/20/97	12/4/97	6/1/98	Consolidated with ER98-211-000. Offer of settlement approved 6/1/98 for 1998 GMC. PG&E and SCE have filed revised tariff sheets to implement the settlement. FERC noticed SCE and PG&E compliance filings on 7/7/98 with comments due on 7/21/98. Order accepting the compliance filing in ER98-556-004 issued August 5, 1998, 84 FERC ¶ 61,164 and October 2, 1998, 85 FERC ¶ 61,015

E. UDC Agreements

UDC AGREEMENTS						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	FERC Orders	Status
	Anaheim Public Utilities Department ER98-1923-000 (Unilateral)	2/18/98	2/27/98	3/13/98	3/30/98 5/28/99	Letter order issued 5/28/99, 87 FRC ¶ 61,232, accepting UDC settlement. Compliance filing made 7/27/99.
	Anaheim (executed) ER98-1923-001	9/25/98	9/30/98	10/15/98	5/28/99	Letter order issued 5/28/99, 87 FRC ¶ 61,232, accepting UDC settlement. Compliance filing made 7/27/99.
Compliance filing	Anaheim	7/27/99	8/6/99	8/20/99		
	Pasadena; ER99-3619-000	7/16/99	7/22/99	8/5/99	8/19/99	Accepted for filing, effective on the date of Pasadena's decertification of its control area
	PG&E ER98-899-000 (Executed)	12/2/97	12/9/97	1/5/98	2/25/98 5/28/99	Letter order issued 5/28/99, 87 FRC ¶ 61,232, accepting UDC settlement. Compliance filing due 8/2/99.
Compliance filing		8/2/99	8/6/99	8/20/99		
	SCE ER98-899-000 (Executed)	12/2/97	12/9/97	1/5/98	2/25/98 5/28/99	Letter order issued 5/28/99, 87 FRC ¶ 61,232, accepting UDC settlement. Compliance filing due 8/2/99.
Compliance filing		8/2/99	8/6/99	8/20/99		
	SDG&E ER98-899-000 (Executed)	12/2/97	12/9/97	1/5/98	2/25/98 5/28/99	Letter order issued 5/28/99, 87 FRC ¶ 61,232, accepting UDC settlement. Compliance filing due 8/2/99.
Compliance filing	SDG&E	8/2/99	8/6/99	8/20/99		

E. FINANCING

FINANCING						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	FERC Orders	Status
	ES98-9-000	11/17/97		12/16/97	12/22/97; 81 FERC ¶ 62,220	Accepted.
	ES98-9-001	3/13/98		4/9/98	83 FERC ¶ 62,039	Accepted.
	ES00-12-00	12/30/99	1/7/99	1/27/00		

F. ISO/PX REPORTS

REPORTS						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	FERC Orders	Status
MSU Annual Report	ISO; ER99-3158-000	6/4/99	6/10/99 6/22/99	7/8/99	9/29/99	Directed to submit by 12/31/99 an evaluation of the market in the San Diego Basin
PX Annual Report	EC96-19-000; ER96-1663-000	7/30/99	8/4/99	8/27/99		
zone creation, A/S bids (one part vs. 2 part) and losses	ISO; ER00-703-000	12/1/99	12/9/99 & 12/20/99	1/11/00		
Study of Market Power in San Diego Basin	ER00-997-000	12/30/00	1/5/00	1/19/00		

VIII. OTHER FERC PROCEEDINGS IN WHICH THE ISO IS PARTICIPATING

OTHER PROCEEDINGS						
Description	Company & Docket	Date Filed	Date Noticed	Interv. Due	FERC Orders	Status
	Duke Energy Moss Landing LLC; ER98-2668-000	4/24/98	4/28/98	5/13/98	6/25/98	Order accepting for filing and suspending RMR tariffs, dismissing proposed acquisition adjustment and consolidating tariffs and establishing hearing procedures.
	Duke Energy Oakland LLC; ER98-2669-000	4/24/98	4/29/98	5/14/98		
	PG&E; ER98-2785					
	Duke Energy Oakland; ER98-4296	4/24/98 & 8/20/98		9/9/98	6/25/98 & 10/14/98	Order accepting for filing and suspending RMR tariffs and consolidating tariffs and establishing hearing procedures.
	Duke Energy Moss Landing; ER98-4300	4/24/98 & 8/20/98		9/9/98	6/25/98 & 10/14/98	Order accepting for filing and suspending RMR tariffs and consolidating tariffs and establishing hearing procedures.
cost based rates for ancillary services	Long Beach Generation, ER98-2537	4/14/98		5/4/98	6/10/98, 83 FERC P 61,277	Order accepting for filing and establishing hearing procedures and consolidating dockets Offer of settlement (ER98-2537) accepted by Order dated 11/30/98
cost based rates for ancillary services	El Segundo Power, ER98-2550	4/15/98		5/5/98		
Market based rates for ancillary services	El Segundo Power; ER98-2971-000	5/12/98			7/10/98 7/17/98	7/10/98 - Accepted without suspension or hearing. 7/17/98 - FERC denies motions for emergency stay of 7/10/98 Orders but authorizes the ISO to reject bids in excess of whatever price levels it believes appropriate for Regulation, Spinning Reserve, Non-Spinning Reserve, and Replacement Reserve. See 10/28/98 AES Order.

Market based rates for ancillary service	Long Beach Generation; ER98-2972	5/12/98			7/10/98 7/17/98	7/10/98 - Accepted without suspension or hearing. 7/17/98 - FERC denies motions for emergency stay of 7/10/98 Orders but authorizes the ISO to reject bids in excess of whatever price levels it believes appropriate for Regulation, Spinning Reserve, Non-Spinning Reserve, and Replacement Reserve. See 10/28/98 AES Order.
Market based rates for ancillary services	AES Redondo Beach, ER98-2843; AES-Huntington Beach, ER98-2844; AES Alamitos, ER98-2883	5/1/98		5/21/98 ext. to 6/8/98	6/30/98 7/17/98 (84 FERC ¶ 61,046)	6/30/98 - Accepted without suspension or hearing. 7/17/98 - FERC denies motions for emergency stay of 6/30/98 Orders but authorizes the ISO to reject bids in excess of whatever price levels it believes appropriate for Regulation, Spinning Reserve, Non-Spinning Reserve, and Replacement Reserve. 8/19/98 Market Surveillance Committee files report. Protests due 9/8/98. Order issued October 28, 1998 in AES Redondo Beach, LLC, et al., 85 FERC ¶ 61, 123 - FERC authorizes market-based rates for all sellers of Ancillary Services and Replacement Reserve Services with California and extends the interim authority of the ISO to limit prices it will pay for Ancillary Services. FERC directs the ISO to conduct a stakeholder process and make a comprehensive proposal to restructure the Ancillary Service markets by March 1, 1999. FERC also denies the requests for rehearing of its prior orders and SoCal's complaint in Docket No. EL98-62-000.
Market Monitoring Committee Report	ER98-2843, et al.	3/10/99	3/18/99 4/14/99	4/19/99		
Market Surveillance Committee Report	ER98-2843, et al.	3/35/99	3/29/99	4/19/99		
Market Surveillance Committee Report	ER98-2843-009	10/19 & 20/99	10/29/99	11/9/99		

Market based rates for ancillary services	Ocean Vista Power et al, (now Reliant Energy Mandalay) ER98-2977-000	5/13/98		6/2/98	7/10/98 7/17/98	7/10/98 - Accepted without suspension or hearing 7/17/98 - FERC denies motions for emergency stay of 7/10/98 Orders but authorizes the ISO to reject bids in excess of whatever price levels it believes appropriate for Regulation, Spinning Reserve, Non-Spinning Reserve, and Replacement Reserve. See 10/28/98 AES Order.
Market based rates for ancillary services	Williams Energy; ER98-3106-000	5/26/98		6/15/98	7/24/98	Accepted without suspension or hearing See 10/28/98 AES Order.
Market based rates for ancillary services	Duke Energy Oakland LLC; ER98-3416-000	6/18/98		ext. to 7/27/98	8/17/98; 84 FERC ¶61,186	Accepted without suspension or hearing See 10/28/98 AES Order.
Market based rates for ancillary services	Duke Energy Morro Bay; Docket No. ER98-3417-000	6/18/98		ext. to 7/27/98	8/17/98; 84 FERC ¶ 61,186	Accepted without suspension or hearing See 10/28/98 AES Order.
Market based rates for ancillary services	Duke Energy Moss Landing; ER98-3418-000	6/18/98		ext. to 7/27/98	8/17/98; 84 FERC ¶ 61,186	Accepted without suspension or hearing See 10/28/98 AES Order.
Market based rates for ancillary services	Mountainview Power; ER98-4301	8/20/98		9/9/98	10/16/98; 85 FERC ¶61,060	Accepted without suspension or hearing
Market based rates for ancillary services	Riverside Canal Power; ER98-4302	8/20/98		9/9/98	10/16/98; 85 FERC ¶61,060	Accepted without suspension or hearing
Market-based rates for ancillary services	San Diego Gas & Electric; ER98-4498-000	9/9/98		9/29/98	10/28/98	Conditionally accepted
Market-based rates for ancillary services	Sempra Energy Trading; ER98-4497-000	9/9/98		9/29/98	10/28/98	Conditionally accepted
Unexecuted service agreement placing the ISO under PacifiCorp's market based sales tariff	PacifiCorp; ER98-4083-000	7/31/98 & 8/12/98	8/7/98 & 8/18/98	9/2/98	9/9/98	Accepted for filing
Petition for Waiver of FERC annual charge	PJM Inter-connection; EL98-71-000	8/12/98	8/21/98	9/18/98		

Alturas Intertie Project	Sierra Pacific Power; ER99-28-000	10/2/98	10/17/98	10/22/98	11/30/98	Accepted for filing - jurisdictional parties are required to negotiate appropriate operating procedures
Alturas Intertie Operating and Scheduling Agreement	Sierra Pacific Power ER99-945-000	12/17/98	12/22/98	1/7/99		
Reliability Management System	Western Systems Coordinating Council; EL99-23-000	12/28/98		1/21/99	4/14/99	Order approving RMS on an experimental basis
Duke affiliate service agreements	Duke Energy Moss Landing and Duke Energy Oakland; ER99-1127-000 and ER99-112-000	12/31/98		1/20/99	2/25/99 86 FERC ¶ 61,187 3/18/99	Accepted for filing - paper hearing to consider whether additional transaction or reporting rules are needed to prevent affiliate abuse; trial-type hearing with respect to the reasonableness of prior affiliate sales. 3/18/99 - Order granting motion to hold paper hearing in abeyance.
PX Amendment 9	PX; ER99-1883-000	2/19/99	2/24/99	3/11/99	4/16/99 87 FERC ¶ 61,079	Order issued 4/16/99 (87 FERC ¶ 61,079) Commission accepts the PX's Amendment 9 allowing for netting (bookouts) of certain purchases and sales in the Day-Ahead Market at common delivery points external to the ISO Controlled Grid.
Termination of RMR Agreement	Duke Energy Moss Landing; ER99-2721-000	4/30/99	5/6/99	5/20/99		
SMUD interim short-term coordination agreement	PG&E; ER99-2794-000	5/4/99	5/11/99	5/24/99		
PG&E Interconnection with Laguna	PG&E; ER99-3145-000	6/2/99	6/7/99	6/22/99	8/3/99	FERC issued a final order directing interconnection and conditionally accepting the interconnection agreement for filing

Petition for Declaratory Order on SB 96	California Electricity Oversight Board; EL99-75-000	7/7/99	7/9/99	7/22/99	8/5/99 11/1/99	FERC grants petition finding that SB 96 prescribes an interim role for the Oversight Board that would be consistent with jurisdictional guidance given by the Commission in its prior orders and that appropriately would be reevaluated at such time that another state joins the ISO. Order issued 11/1/99 (89 FERC ¶ 61,134) - the Commission rejected the rehearing requests of the Coalition of New Market Participants and the Western Power Trading Forum to the 8/5/99 Order granting the Oversight Board's request for a declaratory order regarding SB 96.
Mountain West ISA	Sierra Pacific; EC99-100-000	7/23/99	7/29/99	8/23/99		
	Mountain West; ER99-3719-000	7/23/99	8/5/99	8/18/99		
PX Tariff Simplification	PX; ER99-4113-000	8/18/99	8/23/99	9/7/99	10/13/99	Commission accepts the PX Tariff simplification for filing and establishes proceedings before a settlement judge.
Sierra Pacific request to interconnect with Oxbow	Sierra Pacific; EL99-85-000	8/13/99			11/26/99	The Commission directs Oxbow Geothermal Corporation to interconnect with Sierra Pacific.
Revenue sharing for certain products & services	PG&E; EL99-91-000	9/8/99	9/9/99	10/8/99		
SC services tariff	PG&E; ER00-565-000	11/12/99	11/18/99	12/2/99	1/11/00	Noting that this proceeding will be moot if it reverses the initial decision in Docket No. ER97-2358 (regarding PG&E's initial request to recover SC expenses through the TRBAA), the Commission acted to "accept the SCS Tariff for filing, suspend it and set it for hearing, conditionally grant waiver of notice to make it effective March 31, 1998, subject to refund, but accept PG&E's proposal to defer billing, and also defer the hearing pending resolution of the issues before the Commission in Docket Nos. ER97-2358, et al." Within 45 days of the resolution of the proceeding in Docket No. ER97-2358, parties are to advise the Commission as to what action they would like the Commission to take regarding PG&E's proposed SCS Tariff.
Pro forma interconnection agreement	PG&E; ER00-658-000					PG&E has proposed to withdraw the filing.
PX Bylaw amendment	PX; EL99-75-002 et al	11/24/99	12/1/99	12/27/99		

ISO-PG&E-SMUD interim Agreement	ISO; ER00-879- 000	12/22/99	12/28/99	1/11/00		
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² A Commission Notice was not issued for the August 15 filing. However, the Restated Tariff was requested by the Commission in the July 30 Order and the deadline to file comments (9/2/97) was set forth in the July 30 Order.

² The December 30 filing is an amendment to the December 9 Scheduling Coordinator Agreement between the ISO and IAG Trading Company.

IX . RULEMAKINGS

Rulemakings					
Description	Docket	Date Noticed	Interv. Due	FERC Orders	Status
RTO	RM99-2-000	7/21/99	8/23/99	12/20/99 final rule, Order 2000, issued; 89 FERC ¶ 61,285	A public utility that is a member of an existing transmission entity that has been approved by the Commission as in conformance with the eleven ISO principles set forth in Order No. 888 must make a filing no later than January 15, 2001. That filing must explain the extent to which the transmission entity in which it participates meets the minimum characteristics and functions for an RTO, and either propose to modify the existing institution to the extent necessary to become an RTO, or explain the efforts, obstacles and plans with respect to conforming to these characteristics and functions.