

October 17, 2016

The Honorable Kimberly D. Bose
Secretary
Federal Energy Regulatory Commission
888 First Street, NE
Washington, DC 20426

**Re: California Independent System Operator Corporation
Docket Nos. ER08-1178-____, and EL08-88-____
August 2016 Exceptional Dispatch Report (Chart 1 data)**

Dear Secretary Bose:

Pursuant to the Commission's September 2, 2009 and May 4, 2010 orders in the above referenced dockets, the California Independent System Operator Corporation submits the attached report. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 order, as modified by the ISO's September 14 motion for clarification, which the Commission granted in its May 4 order. The attached report provides Chart 1 data for the month of August 2016.

Respectfully submitted,

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Exceptional Dispatch Report

Table 1: August 2016

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Introduction

This report is filed pursuant to FERC's September 2, 2009 and July 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one issued on the 30th of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in August 2016

The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch¹. A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website².

The following reason for exceptional dispatch instructions in August 2016 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the

¹ The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.9 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

² A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <http://www.caiso.com/thegrid/operations/opsdoc/index.html>

following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. There were a few other reasons used to explain exceptional dispatch instructions in August 2016, which are self-explanatory.

The data in Table 1 is based on a template specified in the September 2009 order³. Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC/NA column specifies if there was an incremental dispatch, a decremental dispatch, or only a unit commitment. If the exceptional dispatch was only a unit commitment, the column shows NA for the classification. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 193 exceptional dispatches in August 2016, as compared to 156 exceptional dispatches in July 2016. Exceptional dispatches issued for the following reasons accounted for approximately 77 percent of the total exceptional dispatches during the reporting period: planned transmission outages, software limitations, load forecast uncertainty, and operating procedure number 7110 (along with 7230, 7430, 7450, 7500, and 7570).

³ The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

Table 1: Exceptional Dispatches in August 2016

**California Independent System Operator Corporation
Exceptional Dispatch Report
October 15, 2016**

Chart 1: Table of Exceptional Dispatches for Period 01/August/2016 - 31/August/2016

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
1	RT	Bridging Schedules	SCE	Big Creek-Ventura	8/13/2016	100	No	INC	2	22:00	23:59
2	RT	Bridging Schedules	SCE	LA Basin	8/13/2016	10	Yes	INC	3	21:00	23:59
3	RT	Bridging Schedules	SCE	LA Basin	8/17/2016	50	Yes	INC	2	22:00	23:59
4	RT	Bridging Schedules	SCE	LA Basin	8/30/2016	40	Yes	INC	1	23:00	23:59
5	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	8/16/2016	70	No	INC	1	23:00	23:59
6	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	8/17/2016	10- 180	No	INC	2	22:00	23:59
7	RT	Conditions beyond the control of the CAISO	SDG&E	San Diego-IV	8/17/2016	20- 60	No	INC	15	9:00	23:59
8	RT	Contingency Dispatch	SCE	LA Basin	8/1/2016	25	Yes	INC	21	1:00	21:59
9	RT	Contingency Dispatch	SDG&E	San Diego-IV	8/1/2016	20	No	INC	20	2:00	21:59
10	RT	Emergency Assistance	Intertie	N/A	8/16/2016	100	No	INC	1	12:35	12:59
11	RT	Fast Start Unit Management	SCE	LA Basin	8/11/2016	0	No	INC	1	23:45	0:44
12	RT	Fast Start Unit Management	SCE	LA Basin	8/12/2016	0	No	INC	1	0:00	0:44
13	RT	Fast Start Unit Management	SCE	LA Basin	8/31/2016	0	No	INC	1	13:10	14:09
14	RT	Fast Start Unit Management	SDG&E	San Diego-IV	8/2/2016	0	No	INC	1	16:45	17:44
15	RT	Incomplete or Inaccurate Transmission	N/A	N/A	8/26/2016	24- 32	No	INC	4	20:07	23:59
16	RT	Load Forecast Uncertainty	PG&E	Bay Area	8/13/2016	45	No	INC	21	3:00	23:59
17	RT	Load Forecast Uncertainty	PG&E	Bay Area	8/15/2016	90	No	INC	11	13:55	23:59
18	RT	Load Forecast Uncertainty	PG&E	Bay Area	8/16/2016	85	No	INC	18	6:00	23:59
19	RT	Load Forecast Uncertainty	PG&E	N/A	8/13/2016	52	No	INC	16	8:00	23:59
20	RT	Load Forecast Uncertainty	PG&E	N/A	8/14/2016	52	No	INC	16	8:00	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
21	RT	Load Forecast Uncertainty	PG&E	N/A	8/15/2016	52	No	INC	11	13:55	23:59
22	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	8/12/2016	100	No	INC	9	15:00	23:59
23	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	8/15/2016	100	No	INC	11	13:55	23:59
24	RT	Load Forecast Uncertainty	SCE	LA Basin	8/11/2016	10- 30	No	INC	19	5:00	23:59
25	RT	Load Forecast Uncertainty	SCE	LA Basin	8/12/2016	10	No	INC	13	11:00	23:59
26	RT	Load Forecast Uncertainty	SCE	LA Basin	8/15/2016	10- 190	No	INC	14	10:00	23:59
27	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	8/12/2016	20	No	INC	11	13:00	23:59
28	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	8/14/2016	20	No	INC	1	20:30	21:14
29	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	8/15/2016	20- 40	No	INC	13	11:00	23:59
30	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	8/22/2016	20	No	INC	13	11:00	23:59
31	RT	Load Pull	SCE	LA Basin	8/2/2016	130	No	INC	10	12:30	21:59
32	RT	Market Disruption	PG&E	NCNB	8/17/2016	24	No	INC	8	16:40	23:59
33	RT	Operating Procedure Number and Constraint	SCE	LA Basin	8/17/2016	384	No	INC	4	18:45	21:59
34	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/1/2016	16- 36	No	INC	24	0:00	23:59
35	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/2/2016	24- 48	No	INC	18	6:35	23:59
36	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/3/2016	15- 36	No	INC	24	0:00	23:59
37	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/4/2016	29- 36	No	INC	17	7:05	23:59
38	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/5/2016	10- 14	No	INC	10	9:15	19:14
39	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/17/2016	24	No	INC	17	7:16	23:59
40	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/18/2016	36- 48	No	INC	16	8:50	23:59
41	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/19/2016	36- 76	No	INC	21	1:35	22:14

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
42	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/20/2016	15- 36	No	INC	19	2:00	20:59
43	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/21/2016	24	No	INC	18	7:20	0:29
44	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	8/22/2016	12- 36	No	INC	17	7:00	23:29
45	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	8/5/2016	10- 15	No	INC	17	6:55	23:04
46	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	8/18/2016	24- 70	No	INC	2	21:55	23:44
47	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	8/19/2016	16- 36	No	INC	16	7:00	22:14
48	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	8/20/2016	15	No	INC	4	2:00	5:29
49	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	8/21/2016	15- 30	No	INC	18	7:20	0:29
50	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	8/22/2016	12- 30	No	INC	19	5:10	23:59
51	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	8/13/2016	20- 40	No	INC	8	15:30	23:29
52	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	8/14/2016	20	No	INC	10	13:30	23:29
53	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/2/2016	166	Yes	INC	4	20:25	23:44
54	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/4/2016	40	No	INC	1	13:02	13:19
55	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/5/2016	83	No	INC	1	17:40	17:59
56	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/6/2016	70	No	INC	2	16:10	17:29
57	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/7/2016	70	No	INC	5	14:20	18:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
58	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/8/2016	75- 241	Yes	INC	4	19:08	22:44
59	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/10/2016	70	No	INC	4	19:50	23:14
60	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/11/2016	70	No	INC	1	2:10	2:14
61	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/16/2016	83	No	INC	1	23:30	23:59
62	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/17/2016	83	No	INC	1	0:00	0:44
63	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/21/2016	75	No	INC	4	21:25	0:29
64	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/22/2016	75	No	INC	24	0:05	23:59
65	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	8/29/2016	300	No	INC	1	19:27	19:39
66	RT	Operating Procedure Number and Constraint (7450)	PG&E	Kern	8/15/2016	32	No	INC	7	17:15	23:59
67	RT	Operating Procedure Number and Constraint (7450)	PG&E	Kern	8/16/2016	32	No	INC	6	16:20	21:59
68	RT	Operating Procedure Number and Constraint (7450)	PG&E	Kern	8/17/2016	44	No	INC	6	17:00	22:14
69	RT	Operating Procedure Number and Constraint (7450)	PG&E	Kern	8/18/2016	32	No	INC	5	16:30	20:59
70	RT	Operating Procedure Number and Constraint (7450)	PG&E	Kern	8/19/2016	32	Yes	INC	4	19:45	23:44
71	RT	Operating Procedure Number and Constraint (7450)	PG&E	Kern	8/25/2016	32	No	INC	6	18:42	23:59
72	RT	Operating Procedure Number and Constraint (7450)	PG&E	Kern	8/26/2016	32	Yes	INC	4	16:30	20:29
73	RT	Operating Procedure Number and Constraint (7500)	SCE	Big Creek-Ventura	8/6/2016	180	No	INC	2	16:10	17:29

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
74	RT	Operating Procedure Number and Constraint (7500)	SCE	Big Creek-Ventura	8/10/2016	275	No	INC	8	16:00	23:29
75	RT	Operating Procedure Number and Constraint (7500)	SCE	Big Creek-Ventura	8/11/2016	200-275	No	INC	19	1:45	19:59
76	RT	Operating Procedure Number and Constraint (7500)	SCE	Big Creek-Ventura	8/19/2016	250	No	INC	1	14:10	14:59
77	RT	Operating Procedure Number and Constraint (7570)	SCE	LA Basin	8/16/2016	1594-4868	No	INC	13	11:40	23:59
78	RT	Operating Procedure Number and Constraint (7570)	SCE	LA Basin	8/18/2016	245	No	INC	4	18:30	21:59
79	RT	Operating Procedure Number and Constraint (7570)	SDG&E	San Diego-IV	8/16/2016	131	No	INC	10	14:00	23:59
80	RT	Other Reliability Requirement	PG&E	N/A	8/31/2016	20	No	INC	7	13:25	19:59
81	RT	Other Reliability Requirement	PG&E	Stockton	8/3/2016	41- 45	No	INC	4	18:50	21:59
82	RT	Other Reliability Requirement	PG&E	Stockton	8/14/2016	22	No	INC	5	17:25	21:35
83	RT	Other Reliability Requirement	PG&E	Stockton	8/17/2016	22	No	INC	2	16:12	17:59
84	RT	Other Reliability Requirement	SCE	Big Creek-Ventura	8/17/2016	400	No	INC	8	14:30	21:59
85	RT	Other Reliability Requirement	SCE	LA Basin	8/16/2016	96	No	INC	3	17:45	19:59
86	RT	Other Reliability Requirement	SCE	LA Basin	8/17/2016	382-817	No	INC	8	14:00	21:59
87	RT	Other Reliability Requirement	SCE	LA Basin	8/30/2016	0	No	INC	10	14:00	23:59
88	RT	Other Reliability Requirement	SCE	N/A	8/17/2016	240	No	INC	9	14:58	22:59
89	RT	Other Reliability Requirement	SDG&E	San Diego-IV	8/2/2016	0	No	INC	3	11:00	13:04
90	RT	Other Reliability Requirement	SDG&E	San Diego-IV	8/31/2016	40	No	INC	1	17:00	17:59
91	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/6/2016	32- 96	No	INC	19	5:10	23:59
92	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/7/2016	30- 45	No	INC	23	1:35	23:59
93	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/8/2016	35- 46	No	INC	19	6:40	0:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
94	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/9/2016	20- 80	No	INC	24	1:00	0:29
95	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/10/2016	25- 35	No	INC	22	2:00	23:29
96	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/11/2016	15- 72	No	INC	20	4:15	23:59
97	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/12/2016	20- 280	No	INC	24	0:00	23:59
98	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/13/2016	24- 128	No	INC	23	1:10	23:59
99	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/14/2016	10- 90	No	INC	1	0:00	0:44
100	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/15/2016	30- 90	No	INC	24	0:00	23:59
101	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/16/2016	15- 40	No	INC	23	1:40	23:59
102	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/23/2016	15	No	INC	19	1:00	19:29
103	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/24/2016	24- 36	No	INC	13	11:00	23:59
104	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/25/2016	24- 36	No	INC	7	17:00	23:59
105	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/27/2016	15- 30	No	INC	18	6:05	23:59
106	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/28/2016	30	No	INC	18	2:10	19:59
107	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/29/2016	24- 30	No	INC	18	6:20	23:59
108	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/30/2016	24- 65	No	INC	23	1:15	23:59
109	RT	Planned Transmission Outage and Constraint	N/A	N/A	8/31/2016	20- 90	No	INC	24	0:00	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
110	RT	Planned Transmission Outage and Constraint	PG&E	Bay Area	8/27/2016	175-363	No	INC	4	20:30	23:59
111	RT	Planned Transmission Outage and Constraint	PG&E	Bay Area	8/28/2016	363	No	INC	2	4:40	5:44
112	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/7/2016	10- 15	No	INC	4	19:45	22:59
113	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/8/2016	25- 30	No	INC	4	21:12	0:59
114	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/9/2016	15- 30	No	INC	24	1:00	0:29
115	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/10/2016	25- 40	No	INC	17	7:25	23:29
116	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/11/2016	15- 25	No	INC	22	2:15	23:59
117	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/12/2016	15- 96	No	INC	24	0:00	23:59
118	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/13/2016	30- 76	No	INC	9	15:25	23:59
119	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/14/2016	24- 32	No	INC	20	0:00	19:59
120	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/15/2016	15	No	INC	16	8:40	23:59
121	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/23/2016	15- 30	No	INC	19	4:30	23:29
122	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/24/2016	10- 20	No	INC	16	6:45	22:29
123	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/25/2016	15	No	INC	5	19:45	23:59
124	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/26/2016	15- 24	No	INC	18	6:20	23:59
125	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/27/2016	12- 30	No	INC	18	6:05	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
126	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/28/2016	12- 30	No	INC	20	0:00	19:59
127	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/29/2016	24- 30	No	INC	18	6:20	23:59
128	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/30/2016	20	No	INC	3	21:53	23:59
129	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	8/31/2016	12- 56	No	INC	24	0:00	23:59
130	RT	Planned Transmission Outage and Constraint	PG&E	Kern	8/1/2016	32- 64	No	INC	6	16:35	21:59
131	RT	Planned Transmission Outage and Constraint	PG&E	Kern	8/2/2016	32	No	INC	6	16:10	21:59
132	RT	Planned Transmission Outage and Constraint	PG&E	Kern	8/3/2016	32	No	INC	7	14:45	20:59
133	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	8/31/2016	8- 10	No	INC	5	5:55	10:29
134	RT	Planned Transmission Outage and Constraint	SCE	LA Basin	8/16/2016	91	No	INC	3	19:22	21:59
135	RT	Planned Transmission Outage and Constraint	SCE	N/A	8/4/2016	240	No	INC	11	5:35	16:14
136	RT	Planned Transmission Outage and Constraint	SCE	N/A	8/29/2016	410-450	No	INC	7	17:15	23:59
137	RT	Planned Transmission Outage and Constraint	SCE	N/A	8/30/2016	410	No	INC	8	14:05	21:59
138	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	8/1/2016	40	No	INC	2	6:45	7:59
139	RT	Pump Management	PG&E	Fresno	8/2/2016	83	No	INC	2	17:50	19:04
140	RT	Software Limitation	Intertie	N/A	8/5/2016	0	No	INC	4	20:05	23:59
141	RT	Software Limitation	N/A	N/A	8/13/2016	33	No	INC	1	23:30	23:59
142	RT	Software Limitation	N/A	N/A	8/14/2016	16	No	INC	1	0:15	0:59
143	RT	Software Limitation	N/A	N/A	8/16/2016	16	No	INC	1	23:30	23:59
144	RT	Software Limitation	PG&E	Bay Area	8/16/2016	480	No	INC	1	12:10	12:50

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
145	RT	Software Limitation	PG&E	Fresno	8/2/2016	83	No	INC	2	18:15	19:49
146	RT	Software Limitation	PG&E	Fresno	8/4/2016	0	No	INC	21	3:50	23:59
147	RT	Software Limitation	PG&E	Fresno	8/5/2016	0	No	INC	4	18:55	21:59
148	RT	Software Limitation	PG&E	Fresno	8/12/2016	166	No	INC	3	19:00	21:59
149	RT	Software Limitation	PG&E	Fresno	8/20/2016	0	No	INC	4	20:40	23:59
150	RT	Software Limitation	PG&E	Fresno	8/22/2016	-317	No	INC	3	1:10	3:14
151	RT	Software Limitation	PG&E	Fresno	8/24/2016	0	No	INC	3	21:25	23:59
152	RT	Software Limitation	PG&E	Fresno	8/25/2016	0	No	INC	4	20:10	23:59
153	RT	Software Limitation	PG&E	Fresno	8/27/2016	-315	No	INC	3	1:45	3:59
154	RT	Software Limitation	PG&E	Fresno	8/28/2016	-315	No	INC	3	9:45	11:59
155	RT	Software Limitation	PG&E	Fresno	8/29/2016	-315	No	INC	1	4:30	5:29
156	RT	Software Limitation	PG&E	Humboldt	8/27/2016	16	No	INC	1	23:00	23:59
157	RT	Software Limitation	PG&E	Humboldt	8/31/2016	16	No	INC	1	22:30	22:59
158	RT	Software Limitation	PG&E	N/A	8/5/2016	122	No	INC	1	19:00	19:59
159	RT	Software Limitation	PG&E	Stockton	8/10/2016	0	No	INC	2	16:40	17:44
160	RT	Software Limitation	SCE	Big Creek-Ventura	8/7/2016	0	No	INC	1	22:25	23:24
161	RT	Software Limitation	SCE	Big Creek-Ventura	8/16/2016	16	No	INC	1	12:10	12:39
162	RT	Software Limitation	SCE	Big Creek-Ventura	8/18/2016	0	No	INC	1	19:20	20:14
163	RT	Software Limitation	SCE	Big Creek-Ventura	8/21/2016	0	No	INC	11	13:50	23:59
164	RT	Software Limitation	SCE	Big Creek-Ventura	8/23/2016	0	No	INC	4	15:00	18:59
165	RT	Software Limitation	SCE	Big Creek-Ventura	8/24/2016	0	No	INC	15	9:50	23:59
166	RT	Software Limitation	SCE	Big Creek-Ventura	8/25/2016	0	No	INC	18	6:50	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
167	RT	Software Limitation	SCE	Big Creek-Ventura	8/26/2016	0	No	INC	13	11:35	23:59
168	RT	Software Limitation	SCE	Big Creek-Ventura	8/27/2016	0	No	INC	9	15:45	23:59
169	RT	Software Limitation	SCE	Big Creek-Ventura	8/28/2016	0	No	INC	10	14:45	23:59
170	RT	Software Limitation	SCE	Big Creek-Ventura	8/29/2016	0	No	INC	15	9:40	23:59
171	RT	Software Limitation	SCE	Big Creek-Ventura	8/30/2016	0	No	INC	13	11:35	23:59
172	RT	Software Limitation	SCE	LA Basin	8/15/2016	130	No	INC	1	20:00	20:59
173	RT	Software Limitation	SCE	LA Basin	8/16/2016	706-710	No	INC	1	12:10	12:50
174	RT	Software Limitation	SCE	LA Basin	8/23/2016	0	No	INC	1	23:30	0:29
175	RT	Software Limitation	SCE	LA Basin	8/31/2016	0	No	INC	3	21:00	23:54
176	RT	Software Limitation	SDG&E	San Diego-IV	8/2/2016	0	No	INC	2	12:50	14:29
177	RT	Software Limitation	SDG&E	San Diego-IV	8/14/2016	20	No	INC	4	20:30	0:24
178	RT	Software Limitation	SDG&E	San Diego-IV	8/29/2016	0	No	INC	1	20:35	21:34
179	RT	Start-Up Instructions	PG&E	Fresno	8/22/2016	150-265	No	INC	2	19:35	21:29
180	RT	Start-Up Instructions	PG&E	N/A	8/16/2016	200-380	No	INC	3	0:25	2:44
181	RT	Unit Testing	N/A	N/A	8/19/2016	100-300	No	INC	1	9:35	10:29
182	RT	Unit Testing	PG&E	Bay Area	8/25/2016	76- 120	No	INC	1	20:30	21:29
183	RT	Unit Testing	PG&E	Stockton	8/10/2016	220	No	INC	10	7:20	16:59
184	RT	Unit Testing	SCE	LA Basin	8/31/2016	45	No	INC	2	11:57	12:59
185	RT	Unit Testing	SDG&E	San Diego-IV	8/29/2016	31- 560	No	INC	2	14:19	15:29
186	RT	Unplanned Outage	PG&E	NCNB	8/9/2016	164-179	No	INC	5	19:34	23:59
187	RT	Unplanned Outage	PG&E	NCNB	8/14/2016	98- 154	No	INC	6	17:45	23:14

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
188	RT	Unplanned Outage	PG&E	NCNB	8/15/2016	86- 308	No	INC	23	1:25	23:59
189	RT	Unplanned Outage	PG&E	NCNB	8/16/2016	92- 152	No	INC	24	0:00	23:59
190	RT	Unplanned Outage	PG&E	NCNB	8/17/2016	75- 149	No	INC	24	0:00	23:59
191	RT	Unplanned Outage	PG&E	NCNB	8/18/2016	24- 150	No	INC	21	0:20	20:59
192	RT	Voltage Support	PG&E	Fresno	8/25/2016	-314	No	INC	1	5:15	6:14
193	RT	Voltage Support	PG&E	Sierra	8/6/2016	20	Yes	INC	9	14:00	22:59

Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Table 2: Instructions Prior to Day-Ahead Market

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service lists in the above-referenced proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 17th day of October 2016.

/s/ Anna Pascuzzo
Anna Pascuzzo