

## Market Simulation – Fall 2023

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Sep 07, 2023

### Recordings

Calls and webinars are recorded for stakeholder convenience, allowing those who are unable to attend to listen to the recordings after the meetings. The recordings will be publicly available on the ISO web page for a limited time following the meetings. The recordings, and any related transcriptions, should not be reprinted without the ISO's permission.



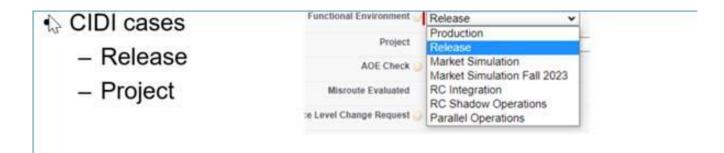
### Agenda

- Market Simulation Issue Management
- Settlements update
- Fall 2023 Market Simulation Initiatives & Timelines
- Initiatives
  - WEIM resource sufficiency evaluation redesign phase 2
  - Energy storage enhancements Track 2
  - Washington WEIM greenhouse gas enhancements
  - Hybrid Resources 2C Metered Quantities
  - Maximum import capability enhancements
- Fall 2023 System Interface Changes
- MAP Stage Availability
- Next Steps



### Market Simulation Issue Management

- Submit all issues to the CIDI case management system
- CIDI tickets must have "Functional Environment" = "Market Simulation Fall 2023" to be reviewed by ISO SMEs
- Any feedback not related to market simulation "Functional Environment" = "Release".





### Settlements update

The 2<sup>nd</sup> draft configuration output file for the Fall 2023 settlements release has been posted to caiso.com under *Release Planning > 2023 releases > Fall 2023 > Fall 2023 settlements release > Draft settlements technical documents.* 

This configuration has been deployed to mapstage and will be used to calculate market simulation settlement statements beginning with trade date 9/5/23.

Please note :

- The calculation logic associated with Energy Storage Enhancement Track 2 is included but inactive.
- The Intertie Deviation Settlement configuration scope is active in mapstage for market simulation statements beginning with TD 9/5/23.



### Fall 2023 Market Simulation Initiatives & Timelines

| Fall 2022 Initiatives                                 | Start Date | <u>Start Date</u><br>(Trade Date) | End Date | Structured Simulation<br>Start (Trade Date) |
|---|------------|-----------------------------------|----------|---|
| Fall 2022 Market Simulation                           | 08/14      | 08/14                             | 09/29    |   |
| Maximum import capability enhancements                | 08/14      | 08/14                             | 09/29    | 08/17                                       |
| Energy storage enhancements Track 2                   | 09/18      | 09/18                             | 09/29    | 09/19, <b>09/20</b> , 09/21                 |
| Washington WEIM greenhouse gas<br>enhancements        | 09/07      | 09/07                             | 09/29    | N/A   |
| WEIM resource sufficiency evaluation redesign phase 2 | 09/14      | 09/14                             | 09/29    | 09/14, 09/26                                |
| Hybrid Resources 2C – Metered Quantities              | 09/07      | 09/07                             | 09/29    | N/A   |

### Market Simulation Plan:

https://www.caiso.com/Documents/MarketSimulationPlan-Fall2023Release.pdf



### WEIM Resource Sufficiency Evaluation Redesign Phase2

- Timeline: 09/14 09/29
- Structured: 09/14, 09/26
- Testing hours: 3PM 5PM

Structured Scenarios document -

https://www.caiso.com/Documents/MarketSimulationStructure dScenarios-ResourceSufficiencyEvaluationEnhancements-Phase2Track2.pdf



### Energy Storage Enhancements Track 2

- Timeline: 09/18- 09/29
- Structured: 09/19, 09/20, 09/21

Structured Scenarios document https://www.caiso.com/Documents/MarketSimulationStructure dScenarios-EnergyStorageEnhancementsTrack2.pdf



### Energy Storage Enhancements Track 2

- Use of Regulation Capacity/Energy during SOC ED intervals: If a unit is taken off of Regulation during SoC ED intervals, what does that mean for the unit's Regulation Capacity award? Does this become a No Pay/Buy Back process, or does the CAISO just go and procure additional Regulation Capacity to replace the unit for the held intervals without penalty to the unit in question?
  - This falls under existing methods for when a unit with AS is issued a SYSEMR ED instruction. Prior to issuing any hold/charge SOC ED, Operator confirms if the resource is already providing reg. In most cases, Operator would consider doing a hold/charge SOC ED if the resource is not a good candidate if battery is providing reg. If absolutely necessary, the Operator would buy back reg. If ISO takes a resource off reg, it is not a no-pay situation since the taking the action and the resource is providing. The ISO would buy back. If the ISO buys back and it's still not enough, then we would procure more. The ISO would coordinate and take it off AGC (reg award bought back); this is rare.
- What happens if a unit is put on an SoC hold but does not comply? Example: A storage unit is on an SoC ED with an instructed output of 0 MW/h. The RTD LMP at their location is consistently \$1,000 and for some reason (possibly Regulation Energy dispatch, system error, human error, etc.) the unit discharges when it shouldn't have. Under the current proposal the unit would then receive \$1000/MWh for their Uninstructed Imbalance Energy (UIE) as well as a \$1000/MWh opportunity cost valuation for recovery under the counterfactual process.
  - There shall be compliance for any ED per the signed PGA and there are consequences/fees for non-compliance. The ISO recognizes that while there are high prices, hold SOC batter resources would be missing out, and the intention of the counterfactual payment is to make battery compensation whole for Settlements. UIE is not following market dispatch or manual dispatch. When ISO puts you at 0 then it is instructed.
- BRS references proposed "buy back" of residual SoC at the end of the day
  - This BRQ 094 and references to it will be removed from the BRS as this scope is not consistent with the policy or Tariff language

d.

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|---|-----------------|--|---|--------------------|
|   | ESE2-<br>BRQ094 | For the final SOC difference between<br>counterfactuals with and without ED: system<br>shall calculate (SOC difference)*(DEB for<br>discharge) | Core<br>Compliance:<br>§11.5.6.1.2<br>BPM: Market<br>Operations | Internal<br>System |

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### Energy Storage Enhancements Track 2

- To test this CAISO published scenarios "Demonstrate proper settlement for energy storage resources for different ED types." MPs will need the inputs/data of the counterfactual calculations (demonstrated by Monique in 08/17 Market Simulation call) that is used for the calculating the SOC ED opportunity cost. This data can help the MPs validate/verify the settlement results (CC6482, 6485). Can CAISO explain in detail how will the MPs have access to the RT SOC data for shadowing the opportunity cost calculations both in Market simulation and in Production?
  - Market Participants can submit a CIDI case requesting the SOC for the binding interval corresponding to the SOC HOLD reason type ED and the ISO will provide the values.
- The co-located "no charge from grid" flag can be changed between day ahead and real time.
  - The off-grid charging is a hourly biddable parameter in IFM and RTM. However, a mismatch in bids between RTM and IFM will trigger conditions as described in requirements 250, 251, and 254.
- Will the counter-factual calculation use the real time clean bids? If not (for example, if it uses bids in place at the time of the ED) how would the bid data be collected since it is not in SIBR in a stable form? If yes, isn't there a potential for strategic bidding that needs to be addressed? In other words, can't a battery change its real time bids after receiving an ED, for the hours in which charge is held, to inflate the opportunity costs compensated in the calculation?
  - The Final Bids which include the mitigated and unmitigated bids used by the market will help determine the economic dispatches for the counterfactual calculations. It is possible to increase the opportunity cost uplift if the RT bids are lowered within the accepted timelines. Any opportunity cost uplift will be accounted in RT BCR calculations across the day.
- If a co-located battery receives an ED to charge and then hold charge, will the charging be done from the co-located VER if possible (based on the flag), or will it immediately be considered to charge from the grid?
  - As per requirement 253, in the presence of any ED the off-grid charging is not observed.
- What if the counterfactual for a unit indicates that it would have been economic to charge the unit when it was on the SoC fold? How would the calculation account for the increase in SoC that would have occurred (and hence additional shift in opportunity costs)?



- Counterfactual will account for this. Example presented 8/17 was updated and published. COPYRIGHT © 2023 by California ISO. All Rights Reserved.

### Hybrid Resources 2C – Metered Quantities

- Timeline: 09/07- 09/29
  - For the unstructured Market Simulation:
    - SC's of Hybrid resources must have the ability to submit Metered Quantities on a component ID only, which would override the current Metered Quantities.
    - Existing Hybrid resources with component ID's can be utilized for this effort.

### Washington WEIM greenhouse gas enhancements

• Timeline: 09/07 - 09/29



Maximum Import Capability Enhancements

- Timeline: 08/14 09/29
- Structured: 8/17

Structured Scenarios Document – <u>https://www.caiso.com/Documents/Market-Simulation-</u> <u>Structured-Scenarios-Maximum-import-capability-</u> <u>enhancements.pdf</u>



# Fall 2023 Release Overview – System Interface Changes

| System  | Project | UI   | API  | Data/Comments   | Tech Specs | MapStage |
|---------|---------|--|--|---|------------|----------|
| ADS*    | RSEE2T2 | Existing – bottom right grid for Instructions<br>ADS will start showing under 'Instruction<br>Type' column, values that include "EN",<br>"DAPT", and "DALPT", with corresponding<br>value in the 'Cleared MW' column. For<br>multiple priority types, there will be multiple<br>rows with the different Instruction<br>Type/Priority and associated Cleared MW<br>value. | Changes to getDispatchBatch v8 API<br>to populate EN<br>instructions and Breakdown of<br>Cleared MW by priority types for<br>Batch Type 5.   | Currently, ADS only processes advisory, and with this RSEE2T2<br>change, it will start processing energy and showing Priority<br>Type and Cleared MW.   | 8/10/2023  | 09/14    |
| MF RDT* | RSEE2T2 | Add a new Resource-Specific Capacity Test<br>Failed-to-Start Rule Exemption flag   | Add a new Resource-Specific Capacity<br>Test Failed-to-Start Rule Exemption<br>flag: capacityTestFTSExemptFlag<br>under Resource Attributes  |   | 8/10/2023  | 09/07    |
| CMRI*   | RSEE2T2 | New report for resource-specific market<br>priority types and associated MW schedules<br>breakdown for export resources<br>New: Day-Ahead > RUC Import Export<br>Schedules by Market Priority Types  | <b>New</b> :<br>RetrieveMarketPriorityType_CMRIv1<br>RetrieveMarketPriorityType_CMRIv1_<br>DocAttach   | Similar to the Existing Day-Ahead > DA Import-Export<br>Schedules report, but the Schedule Type column shall be<br>replaced with "Market Priority Type" and its data<br>enumeration shall be:<br>• ETC/TOR<br>• DAPT<br>• DALPT<br>• DAECON | 8/10/2023  | 09/14    |
| CMRI*   | RSEE2T2 | New report for resource-specific market<br>priority types and associated MW schedules<br>breakdown for export resources<br>New: Real-Time > Real-Time Export<br>Schedules by Market Priority Types   | ciated MW schedules<br>resources<br>-Time Export<br>RetrieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>DocAttach<br>RetrieveMarketPriorityType_CMRIv1_<br>DocAttach<br>PartieveMarketPriorityType_CMRIv1_<br>DocAttach<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityType_CMRIv1_<br>PartieveMarketPriorityT |   | 8/10/2023  | 09/14    |



### Fall 2023 Release Overview – System Interface

### Changes

| System | Project         | UI   | ΑΡΙ   | Data/Comments   | Tech<br>Specs | Map Stage |
|--------|-----------------|--|---|---|---------------|-----------|
| ITS    | RSEE2T2         | Existing   | NA  | Exports e-Tagging SubmissiExports e-Tagging Submission Requirement<br>> SCs shall be required to e-tag the following as "Firm Provisional<br>Energy (G-FP)", via utilizing Misc. field:<br>o RT economic (RTECON) exports that clear HASP<br>o DA economic (DAECON) exports that clear both RUC and HASP<br>o RTLPT exports that clear HASP<br>o DALPT exports that clear both RUC and HASP<br>> SCs shall be required to e-tag the following as "Firm Energy (G-F)":<br>o RTPT exports that clear HASP<br>o DAPT exports that clear HASP<br>o DAPT exports that clear HASP<br>o RTPT exports that clear HASP<br>o RTPT exports that clear HASP<br>o RTPT exports that clear both RUC and HASP<br>o RTPT exports that clear both RUC and HASP | NA            | 08/23     |
| CMRI   | WA WEIM<br>GHGE | NA   | A New Attributes/Records to indicate state/include GHG index price for each state |   | NA            | 09/07     |
| OASIS* |                 | Existing: Prices > Index Prices > Greenhouse<br>Gas Allowance Index Prices | Existing – Prices > Index Prices ><br>Greenhouse Gas Allowance Index<br>Prices 7/ |   | 7/17/202<br>3 | 09/07     |
|        |                 | Add a new BAA level attribute to identify                                  |   | 1. Convert the GHG details in 0N nested element to record GHG<br>details for each State applicable to the Generator.<br>2. Add an additional element "State" to indicate CA, WA etc.  | 8/10/202<br>3 | 09/07     |
| SIBR   | WA WEIM<br>GHGE | Existing   | Existing  | Consume WA GHG adders   | NA            | 08/21     |



# Fall 2023 Release Overview – System Interface Changes

| System | Project | UI  | API  | Data/Comments   | Tech Specs | MapStage |
|--------|---------|---|--|---|------------|----------|
| CMRI*  | ESET2   | New: Post-Market > Exceptional Dispatch   | <b>New</b> :<br>RetrieveStorageUpliftData_CMRIv1<br>RetrieveStorageUpliftData_CMRIv1_D<br>ocAttach   |   | 7/17/2023  | 09/18    |
| SIBR*  | ESE2    | New Hourly feature on Hourly tab to elect<br>Y/N for Off Grid Charge.   | New optional element in xsd for<br>'offGridCharge' used by designated<br>resource to manage Sub/Stand Alone<br>ACC. RawBidSet, BidResults,<br>CleanBidSet v5 xsd. Version<br>20231101. | New HourlyParameter for offGridCharge this is a Yes/No type that is optional.   | 8/10/2023  | 8/28     |
| RIMS   | ESET2   | Existing: Existing > App & Study > Equipment<br>Configuration tab > Generation as Modeled<br>and Implemented grid | NA   | <ul> <li>Pull storage resource MWh from MF</li> <li>Add new field for calculated MWh</li> <li>Add new field for storage resource duration in hours</li> </ul> | NA         | 09/18    |
| OASIS* | ESET2   | Existing: Energy > System > Operator-<br>Initiated Commitment report  | Existing: System > Operator-Initiated<br>Commitment report   | New ED type Reason Code: "SOC Hold" and "SOC Charge"  | 7/17/2023  | 09/18    |



## Fall 2023 Release Overview – System Interface Changes

| System | Project         | UI  | API  | Data/Comments | Tech Specs | MapStage  |
|--------|-----------------|---|--|---------------|------------|-----------|
| CIRA   | MIC<br>Enhancem | New: Plans > Manage Import Allocation ><br>1)SC Request Unassigned Import Capability<br>2)Reserved Import Capability<br>3)Contact Information<br>New: Reports > Import Capability RA Report | NA   |               | NA         | 8/14/2023 |
| OASIS* | Enhancom        | New: Available Import Capability Data<br>New: Import Capability used in RA Plan Data  | New: AVAIL_IMP_CAP_GRP<br>New:<br>ANNUAL_IMP_CAP_USED_RA_PLAN_<br>GRP<br>MONTHLY_IMP_CAP_USED_RA_PLAN<br>_GR |               | 7/17/2023  | 8/14/2023 |

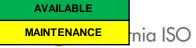


### Market Simulation MAP Stage Availability

#### MAP Stage weekly maintenance window is Friday\*

| System | UI | API | Comments |
|--------|----|-----|----------|
| ADS    |    |     |          |
| ALFS   | NA |     |          |
| BAAOP  |    |     |          |
| BSAP   |    |     |          |
| CIRA   |    |     |          |
| CMRI   |    |     |          |
|        |    |     |          |
|        |    |     |          |
| CRR    |    | NA  |          |
| DRRS   |    |     |          |
| MF     |    |     |          |
| OASIS  |    |     |          |
| OMS    |    |     |          |
|        |    |     |          |
| RCBSAP |    |     |          |
| RIMS   |    | NA  |          |
| SIBR   |    |     |          |
| MRIS   |    |     |          |
|        |    |     |          |

\*Maintenance may also occur after 1600 PT on other days and may deviate from the weekly maintenance window as necessary



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 The next teleconference is scheduled on September 11<sup>th</sup> at 2 PM



### References



## Maximum Import Capability Enhancements – Market Sim Scenario

| enario<br>Imber |                                       | Unstructured scenario (MIC)   |  |  |  |
|-----------------|---------------------------------------|---|--|--|--|
|                 | Description                           | Facilitate submission and retrieval of import capability reports.   |  |  |  |
|                 | ISO Actions                           | Facilitate preparation of customer data for setup (NQC/Supply Plan/RA Plan)<br>data from Production to MapStage.<br>Inform the month and year for which the data would be made available.   |  |  |  |
| 1               | WEIM Market<br>Participant<br>Actions | N/A   |  |  |  |
|                 | ISO Market<br>Participant<br>Actions  | <ol> <li>Submit request for unassigned import capability as part of step 13 of th<br/>import allocation process for 2023 &amp; 2024 RA years.</li> <li>Upload trades and RA showing for 2023.</li> <li>Update contact information for 2023.</li> <li>Update the reserve versus tradeable capability for 2023.</li> <li>Validate two new OASIS reports for 2023         <ul> <li>OASIS &gt; Resource Adequacy &gt; Available Import Capability</li> <li>OASIS &gt; Resource Adequacy &gt; Import Capability Used in R/<br/>Plan Data (Monthly &amp; Annually)</li> </ul> </li> </ol> |  |  |  |
|                 | Expected<br>Outcome                   | <ul> <li>Participants to verify: <ul> <li>a) Submitting Step 13 request for Unassigned Import Capability.</li> <li>b) Monthly available Import capability.</li> <li>c) Monthly / Annual import capability in RA plan.</li> <li>d) OASIS reports <ul> <li>i. OASIS &gt; Resource Adequacy &gt; Available Import Capability</li> <li>ii. OASIS &gt; Resource Adequacy &gt; Import Capability Used in RA Plan Data (Monthly &amp; Annually)</li> </ul> </li> </ul></li></ul>   |  |  |  |
|                 | Anticipated<br>Settlement<br>Outcome  | N/A   |  |  |  |
|                 | Expected<br>Settlement<br>Outcome     | N/A   |  |  |  |



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### Fall 2023 MAP Stage Connectivity Testing

- Timeline:
  - Start 8/11



### **ISO Market Simulation Support**

- Hours: Monday thru Friday 0900-1800 PDT
- ISO's 24x7 Service Desk: (916) 351-2309 (Connectivity – UI or API)
- Email: <u>MarketSim@caiso.com</u>



### Fall 2023 Communication Plan

### Schedule

| Monday                           | Tuesday | Thursday                                 |
|----------------------------------|---------|--|
| Aug 14, 21, 28<br>Sep 11, 18, 25 | Sep 5   | Aug 10, 17, 24, 31<br>Sep 07, 14, 21, 28 |

### Connection Information

- Web Conference Information
  - Web Address: <u>https://caiso.webex.com/meet/MarketSim</u>
  - Meeting Number: 962 067 710
  - Audio connection instructions will be available after connecting to the web conference. When prompted, select "Call me" and enter the phone number you will use during the call. You will be called by the conference shortly.

#### - Conference Call Information

- Dial-in Number:
  - 1-844-517-1271 (Toll Free)
  - 1-682-268-6591
- Access Code: 962 067 710



### **Structured Scenario Registration Details**

Market Participants will need to register their request with the ISO to participate in this simulation via the <u>MarketSim@caiso.com</u> mailbox.

Date of registration for MIC enhancements was July 31, 2023. Date of registration for rest of the projects is extended till Aug 15, 2023.

We will do our best to accommodate late registration



### Fall 2023 Market Simulation Settlements Calendar

#### Market Simulation Fall 2023 Calendar (MAP STAGE) Aug 29, 2023 through Sep 28, 2023

|           |           |        |                           |                                 | Submit Meter Data |  |   |
|-----------|-----------|--------|---------------------------|---------------------------------|-------------------|--|---|
| Calendar  | Day       | CMRI   | Submit Meter Data         | Publish Initial Statement       | by T+5B 18:00 for | Publish Recalc Statement   |   |
| Day       | Day       | T+1B   | by T+2B 10:00 for Initial | T+5B                            | Recalc            | T+8B   | Publish Market Invoice                      |
| Day       |           | ITID   |                           |                                 |                   |  | Publish Market Invoice                      |
|           |           |        | T+9B                      | T+9B                            | T+70B             | T+70B  |   |
| 28-Aug-23 | Monday    |        |                           |                                 |                   |  |   |
| 29-Aug-23 | Tuesday   |        |                           |                                 |                   |  |   |
| 30-Aug-23 | Wednesday | 29-Aug |                           |                                 |                   |  |   |
| 31-Aug-23 | Thursday  |        | 29-Aug                    |                                 |                   |  |   |
| 01-Sep-23 | Friday    | 31-Aug |                           |                                 |                   |  |   |
| 02-Sep-23 | Saturday  |        |                           |                                 |                   |  |   |
| 03-Sep-23 | Sunday    |        |                           |                                 |                   |  |   |
| 04-Sep-23 | Monday    |        |                           |                                 |                   | ISO Holiday  |   |
| 05-Sep-23 | Tuesday   |        | 31-Aug                    |                                 |                   |  |   |
| 06-Sep-23 | Wednesday | 5-Sep  |                           | 29-Aug                          | 29-Aug            |  |   |
| 07-Sep-23 | Thursday  |        | 5-Sep                     |                                 |                   |  |   |
| 08-Sep-23 | Friday    | 7-Sep  | 0.060                     | 31-Aug                          | 31-Aug            |  |   |
| 09-Sep-23 | Saturday  | 7-36p  |                           | ST-Aug                          | 31-Aug            |  |   |
| 10-Sep-23 | Sunday    |        |                           |                                 |                   |  |   |
|           |           |        | 7.0                       |                                 |                   | 00.4   |   |
| 11-Sep-23 | Monday    |        | 7-Sep                     |                                 |                   | 29-Aug   | Daily Initial 29-Aug; Daily Initial 31-Aug; |
|           |           |        |                           | Monthly Initial 29-Aug - 31-Aug |                   |  | Monthly Initial 29-Aug - 31-Aug;            |
| 12-Sep-23 | Tuesday   |        |                           | 5-Sep                           | 5-Sep             |  |   |
| 13-Sep-23 | Wednesday | 12-Sep |                           |                                 |                   | 31-Aug   |   |
| 14-Sep-23 | Thursday  |        | 12-Sep                    | 7-Sep                           | 7-Sep             |  | Daily Recalc 29-Aug; Daily Recalc 31-Aug;   |
|           |           |        |                           |                                 |                   | Monthly Recalc 29-Aug - 31-Aug   | Monthly Recalc 29-Aug - 31-Aug;             |
| 15-Sep-23 | Friday    | 14-Sep |                           |                                 |                   | 5-Sep  | Daily Initial 05-Sep; Daily Initial 07-Sep; |
|           |           |        |                           | Monthly Initial 05-Sep - 07-Sep |                   |  | Monthly Initial 05-Sep - 07-Sep;            |
| 16-Sep-23 | Saturday  |        |                           |                                 |                   |  |   |
| 17-Sep-23 | Sunday    |        |                           |                                 |                   |  |   |
| 18-Sep-23 | Monday    |        | 14-Sep                    |                                 |                   |  |   |
| 19-Sep-23 | Tuesday   |        | in oop                    | 12-Sep                          | 12-Sep            | 7-Sep  |   |
| 20-Sep-23 | Wednesday | 19-Sep |                           | 12-060                          | 12-060            | 7-06p  | Daily Recalc 05-Sep; Daily Recalc 07-Sep;   |
| 20-06p-20 | weunesuay | 19-Oeb |                           |                                 |                   |  |   |
| 01.0      | 77        |        | 40.0                      | 11.0                            | 44.0              | Monthly Recalc 05-Sep - 07-Sep   | Monthly Recalc 05-Sep - 07-Sep;             |
| 21-Sep-23 | Thursday  |        | 19-Sep                    | 14-Sep                          | 14-Sep            | 10.0   |   |
| 22-Sep-23 | Friday    | 21-Sep |                           |                                 |                   | 12-Sep   | Daily Initial 12-Sep; Daily Initial 14-Sep; |
|           |           |        |                           | Monthly Initial 12-Sep - 14-Sep |                   |  | Monthly Initial 12-Sep - 14-Sep;            |
| 23-Sep-23 | Saturday  |        |                           |                                 |                   |  |   |
| 24-Sep-23 | Sunday    |        |                           |                                 |                   |  |   |
| 25-Sep-23 | Monday    |        | 21-Sep                    |                                 |                   |  |   |
| 26-Sep-23 | Tuesday   |        |                           | 19-Sep                          | 19-Sep            | 14-Sep   |   |
| 27-Sep-23 | Wednesday | 26-Sep |                           |                                 |                   |  | Daily Recalc 12-Sep; Daily Recalc 14-Sep;   |
|           |           |        |                           |                                 |                   | Monthly Recalc 12-Sep - 14-Sep   | Monthly Recalc 12-Sep - 14-Sep;             |
| 28-Sep-23 | Thursday  |        | 26-Sep                    | 21-Sep                          | 21-Sep            | and the second sec |   |
| 29-Sep-23 | Friday    | 28-Sep |                           |                                 |                   | 19-Sep   | Daily Initial 19-Sep; Daily Initial 21-Sep; |
| 20 000 20 |           | 20.000 |                           | Monthly Initial 19-Sep - 21-Sep |                   | in out   | Monthly Initial 19-Sep, 21-Sep;             |
| 30-Sep-23 | Saturday  |        |                           | wonully initial 19-Sep - 21-Sep |                   |  | Montniy Initial 19-Sep - 21-Sep;            |
| 01-Oct-23 | Sunday    |        |                           |                                 |                   |  |   |
|           |           |        | 00 Cee                    |                                 |                   |  |   |
| 02-Oct-23 | Monday    |        | 28-Sep                    |                                 |                   |  |   |
| 03-Oct-23 | Tuesday   |        |                           | 26-Sep                          | 26-Sep            | 21-Sep   |   |
| 04-Oct-23 | Wednesday |        |                           |                                 |                   |  | Daily Recalc 19-Sep; Daily Recalc 21-Sep;   |
|           |           |        |                           |                                 |                   | Monthly Recalc 19-Sep - 21-Sep   | Monthly Recalc 19-Sep - 21-Sep;             |
| 05-Oct-23 | Thursday  |        |                           | 28-Sep                          | 28-Sep            |  |   |
| 06-Oct-23 | Friday    |        |                           |                                 |                   | 26-Sep   | Daily Initial 26-Sep; Daily Initial 28-Sep; |
|           |           |        |                           | Monthly Initial 26-Sep - 28-Sep |                   |  | Monthly Initial 26-Sep - 28-Sep;            |
| 07-Oct-23 | Saturday  |        |                           |                                 |                   |  |   |
| 08-Oct-23 | Sunday    |        |                           |                                 |                   |  |   |
| 00 000 20 | Canady    |        |                           |                                 |                   |  |   |

#### Market Simulation Settlements Calendar Fall 2023 :

https://www.caiso.com/Documents/MarketSimulationSettlementsCalendar-Fall2023.pdf





California ISO

## Energy storage enhancements Track 2 - Market Sim Scenario

| Scenario<br>Number |                                       | Structured scenario   |  |  |  |  |  |
|--------------------|---------------------------------------|---|--|--|--|--|--|
|                    | Description                           | Demonstrate proper settlement for energy storage resources for different ED types.  |  |  |  |  |  |
|                    | ISO Actions                           | <ol> <li>Operators will issue ED's for 2 storage resources to hold SOC.</li> <li>Operators will then issue another ED for 1 storage resource with a<br/>"hold" ED to move SOC</li> </ol>    |  |  |  |  |  |
|                    | WEIM Market<br>Participant<br>Actions | N/A   |  |  |  |  |  |
| 1                  | ISO Market<br>Participant<br>Actions  | N/A   |  |  |  |  |  |
|                    | Expected<br>Outcome                   | Different ED types for storage resource are being settled properly.   |  |  |  |  |  |
|                    | Anticipated<br>Settlement<br>Outcome  | When an energy resource receives a Hold SOC ED, it is anticipated to have<br>Real Time Excess Cost for Instructed Energy Settlement and Exceptional<br>Dispatch Hold SOC Uplift Settlement. |  |  |  |  |  |
|                    | Expected<br>Settlement<br>Outcome     | 6482, 6485  |  |  |  |  |  |



## Energy Storage Enhancements Track 2 - Market Sim Scenario

| Scenario<br>Number | Unstructured Guided scenario          |  |  |  |  |  |
|--------------------|---------------------------------------|--|--|--|--|--|
|                    | Description                           | Verify hourly do not charge from the grid bidding functionality for<br>Standalone or Sub ACC constraints.  |  |  |  |  |
| 2                  | ISO Actions                           | N/A  |  |  |  |  |
|                    | WEIM Market<br>Participant<br>Actions | Submit hourly bid attribute type to not charge from the grid in RTM<br>Submit economic bids for all the resources behind the standalone or sub<br>ACC constraint; for LES resources, submit economic bids to<br>charge/discharge.    |  |  |  |  |
|                    | ISO Market<br>Participant<br>Actions  | Submit hourly bid attribute to not charge from the grid in RTM and IFM<br>Submit economic bids for all the resources behind the standalone or sub<br>ACC constraint; for LES resources, submit economic bids to<br>charge/discharge. |  |  |  |  |
|                    | Expected<br>Outcome                   | Market shall enforce a withdrawal limit of 0 MW for the Standalone or Sub<br>ACC constraint.   |  |  |  |  |
|                    | Anticipated<br>Settlement<br>Outcome  | N/A  |  |  |  |  |
|                    | Expected<br>Settlement<br>Outcome     | N/A  |  |  |  |  |



### Energy Storage Enhancements Track 2 – Inputs to Lost Opportunity Cost

| BRS Item                        | Location             | Notes   |
|---------------------------------|----------------------|---|
| SOC Hold ED, Start Time         | ADS and CMRI         | ADS: ED instructions, ED Type will be SYSEMR                                      |
|                                 |                      | Constraint will be 'Fixed", GOTO MW will be 0                                     |
|                                 |                      | CMRI New Report Name: "Exceptional Dispatch Hold State of Charge"                 |
|                                 |                      | Report will contain: Trade Date, SCID, Resource, ED Start Time, ED End Time, SOC  |
|                                 |                      | Hold Instruction (Y/N), Counterfactual Dispatch with Hold (MW) and                |
|                                 |                      | Counterfactual Dispatch without Hold (MW)   |
|                                 |                      | SIBR (SC Submitted bid) or Clean Bid  |
|                                 |                      | CMRI will have Mitigated Bids: "Real-Time Dispatch (RTD) Market Power             |
| RTD Resource Bids               | SiBR and CMRI        | Mitigation (MPM) Results"   |
| RT SOC                          | Request via CIDI     | Actual 5-minute RT SOC. New item with this project.                               |
|                                 |                      | These are the MEC limits calculated during the Expected Energy and Allocation     |
| Upper and lower market energy   |                      | process, as detailed in Market Operations BPM Appendix C, Item C.3. (Operating    |
| capacity limits                 | Existing Calculation | range once Operating Limits, Economic Limits, and AS capacities are applied.)     |
| Minimum and maximum SOC         |                      |   |
| levels                          | Master File          | Submitted by market participant   |
| PMin/PMax                       | Master File          | Submitted by market participant   |
| OMS Cards, RT Operating Limits  | OMS                  | Submitted by market participant   |
| RT Min and Max SOC              | OMS                  | Submitted by market participant   |
|                                 | ADS and Operations   |   |
| Other EDs                       | Procedure            | 2330C   |
| AS Awards                       | CMRI                 | "Fifteen-Minute Market (FMM) Schedules"   |
| RTD Resource Specific LMP       | CMRI                 | "Real-Time Dispatch (RTD) Schedule Prices"  |
|                                 |                      | These are the economic dispatch levels during the Expected Energy and Allocation  |
|                                 |                      | process, as detailed in Market Operations BPM Appendix C, Item C.2.1. Essentially |
|                                 |                      | it's the intersection of the RTD Final Bid Curve and the RTD Resource Specific    |
| RT Economic Dispatch (RTL/UED)  | Existing Calculation | Price.  |
| DEB for discharge               | CMRI                 | "Default Energy Bid Curves " Select 'Storage' Default Bid Type                    |
| Efficiency Factor - Discharging | Master File          | Submitted by market participant   |

