Policy Catalog and Roadmap
Process Updates

October 24, 2023
Market Strategy and Governance
New pre-registration process to join meetings

• Pre-registration is required for all future stakeholder meetings in order to receive a link to join the meeting.
• A recent update to WebEx disabled the ability to view the list of meeting attendees.
• The new pre-registration process will allow us to provide the list of meeting attendees to stakeholders during the call.
Housekeeping reminders

• This call is being recorded for informational and convenience purposes only. Any related transcriptions should not be reprinted without ISO’s permission.

• Meeting is structured to stimulate dialogue and engage different perspectives.

• Please keep comments professional and respectful.

• Please try and be brief and refrain from repeating what has already been said so that we can manage the time efficiently.
Instructions for raising your hand to ask a question

• If you are connected to audio through your computer or used the “call me” option, select the raise hand icon 🤗 located on the top right above the chat window. **Note:** #2 only works if you dialed into the meeting.

  – Please remember to state your name and affiliation before making your comment.

• If you need technical assistance during the meeting, please send a chat to the event producer.

• You may also send your question via chat to either to all panelists.
Current Catalog and Roadmap Process

- **Catalog**: Stakeholders submissions accepted on a rolling basis; Catalog is updated and published twice per year.

- **Roadmap**: updated annually in the fall for the following three calendar years.
There are opportunities to improve internal coordination and external engagement

• **Internal planning is now focused on prioritization**
  – With suggestions for around 60 policy initiatives, the Catalog is unwieldy as an internal planning document
  – Policy Roadmap should be coordinated with ISO Strategic Goals, Strategic Planning, Enterprise Roadmap, budget, and resources

• **We want our policy efforts to reflect the shared priorities of our stakeholders**
  – Catalog feels like a laundry list; Stakeholders perceive Policy Roadmap as largely final
Goals for new Catalog and Roadmap

• **Meaningfully engage external stakeholders**
  – Integrate priorities from stakeholders, including RIF Roundtable

• **Transparent**
  – Clear methods to identify & prioritize proposals
  – Fair access to ISO for all stakeholders

• **Aligned with internal planning processes**
  – Strategic goals, strategic and enterprise roadmaps

• **Focused scope**
  – Initiatives connect with ISO strategic goals, ISO capacity, and SH priorities

• **Incorporate urgent requests**
  – Allow for unexpected initiatives, scope changes, and timeline extensions
Two-pronged approach to improving the Catalog and Roadmap process

- **Meaningful engagement with external stakeholders**
  - Establish new catalog of potential discretionary initiatives each year from which to prioritize
  - Integrate priorities from stakeholders into new Catalog effort
  - Integrate input from RIF Roundtable

- **Aligned with internal planning processes**
  - Strategic goals
  - Strategic Roadmap
  - Enterprise roadmap
Annual Catalog process

- Starts with annual level-setting workshop in January to provide the framework for prioritization based on Strategic Goals

- Stakeholders and ISO together develop annual catalog of potential discretionary initiatives for the upcoming 3-year Roadmap
  - Potential initiatives should be (re)submitted for the fresh prioritization effort to ensure they reflect current stakeholder needs, and are supportive of the ISO Strategic Goals
  - ISO seeks feedback on the fate of the existing, cumulative catalog – retire it, establish a working group to go through all initiatives, keep it as an additional product, change format to a matrix…
  - The goal of the new annual catalog will be to hone a “short list” of discretionary initiatives from which the Roadmap will be developed
Stakeholders will have multiple touchpoints for input in the prioritization of policy initiatives

- **January** – ISO holds level-setting stakeholder workshop
- **February** – Stakeholders submit potential discretionary initiatives
- **March** – Regional Issues Forum holds prioritization roundtable, ISO hosts stakeholder prioritization workshops
- **April** – ISO publishes draft Catalog for stakeholder comment
- **May** – ISO publishes final Annual Catalog
- **September** – ISO publishes draft Roadmap for stakeholder comment
- **December** – Present final Roadmap to ISO Board and WEIM Governing Body
Policy Catalog and Roadmaps should be integrated with other internal planning processes.

• **Strategic goals** inform stakeholders of our vision for all our efforts and what comes into policy catalog process.

• Policy catalog and stakeholder input generally feeds into, as appropriate, our internal **strategic roadmap**.

• Internal **enterprise roadmap and budget and resource limitations** inform policy roadmap.
Next Steps

- **October- November 2023**: Stakeholder meeting(s) to discuss new process, and get input and feedback

- **January 2024**: Kickoff annual new process with level-setting workshop

- **February 2024**: Annual catalog submissions followed by workshops to discuss submissions and prioritization
Next Steps

• If you have any questions please contact isostakeholderaffairs@caiso.com
• Energy Matters blog provides timely insights into ISO grid and market operations as well as other industry-related news

http://www.caiso.com/about/Pages/Blog/default.aspx.

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**October 10, 2023**

*Leadership, Markets, Operations, Transmission*

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By Danielle Osborn Mills Principal, Infrastructure Policy Development

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