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Section or Appendix	Description	Reason
1.3.2(f)	This section should be modified to read "if the provisions of a CAISO Protocol and a section of the CAISO Tariff conflict, the provisions of the CAISO Tariff will prevail to the extent of the inconsistency;"	This amendment clarifies that if a CAISO protocol and a section of the Tariff conflict, the provisions of the Tariff will control to the extent of the inconsistency.
4.3.1.2	Add comma in first line after "Self-Schedules"	This amendment improves the grammar of the provision.
4.5.1.3	In the title and the body of the section, the phrase "Scheduling Coordinator Identification Code" should be replaced with "Scheduling Coordinator ID Code" to match the definition of that term in Appendix A to the tariff.	This amendment makes a conforming typographical change to section 4.5.1.3.
4.5.3.2.2	Capitalize "schedules"	This amendment capitalizes the defined term "Interchange Schedules" appropriately.
4.5.3.7	Delete text of section and designate as [NOT USED]	This section should be deleted in its entirety because it is displaced by resource adequacy provisions in Section 40.
4.6	Re-write section to read as follows: The CAISO shall not accept Bids for any Generating Unit interconnected to the <u>electric grid within the CAISO Balancing Authority Area Controlled Grid, or to the Distribution System of a Participating TO or of a UDC</u> otherwise than through a Scheduling Coordinator. The CAISO shall further not be obligated to accept Bids from Scheduling Coordinators relating to Generation from any Generating Unit interconnected to the <u>electric grid within the CAISO Controlled Grid Balancing Authority Area</u> unless the relevant Generator undertakes in writing, by entering into a Participating Generator Agreement, <u>or QF PGA, or Metered Subsystem Agreement</u> with the CAISO, to comply with all applicable provisions of this CAISO Tariff as they may be amended from time to time, including, without limitation, the applicable provisions of this Section 4.6 and Section 7.7.	These revisions clarify the circumstances under which a generator with a generating unit in the ISO balancing authority area is required to enter into an agreement with the ISO to establish the terms associated with its obligation to submit bids, including self-schedules, to the ISO as the balancing authority. This clarification reflects the ISO's past practice in applying this tariff provision.
4.6.3.1	Add the following text to the end of the section: "A Generating Unit with a rated capacity of less than 500 kW, unless the Generating Unit is participating in an aggregation agreement approved by the CAISO, is not eligible to participate in the CAISO Markets and the Generator is not a Participating Generator for that Generating Unit."	These revisions clarify the circumstances under which a generator with a small generating unit may be exempt from compliance with ISO tariff requirements applicable to participating generators. This clarification is a companion to the revisions to the definition of "Participating Generator" and both conform to the similar tariff revisions filed by the ISO in Docket No. ER10-1755. These revisions also provide a clarification reflecting the ISO's past practice of excluding very small generating units from participation in its markets.
4.6.5	Add "NERC and" to the beginning of the title for the section	This amendment clarifies that NERC and WECC standards and criteria apply to Participating Generators.
4.6.5.1	Re-write section to read as follows: Participating Generators shall, in relation to each of their Generating Units, meet all <u>applicable Reliability Criteria, WECC standards</u> including any standards <u>including NERC and WECC Reliability Standards and reliability criteria</u> regarding governor response capabilities, use of power system stabilizers, voltage control capabilities and hourly Energy delivery. Unless otherwise agreed by the CAISO, a Generating Unit must be capable of operating at capacity registered in the CAISO Controlled Grid interconnection data, and shall follow the voltage schedules issued by the CAISO from time to time.	NERC has developed reliability standards that supplement and supersede the requirements for generators previously established by WECC. The reference in this section to compliance with WECC standards should be supplemented by the addition of a reference to the need for generators to comply with all Applicable Reliability Criteria.

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Section or Appendix	Description	Reason
4.6.5.2	Delete text and replace it with [NOT USED]	<p>The ISO proposes to delete the provisions of Sections 4.6.5.2 and 4.6.5.3 pursuant to the letter dated September 29, 2010 by the Western Electricity Coordinating Council notifying the ISO of its intent to terminate its Reliability Management System (RMS) agreement and associated reliability criteria agreement with the ISO. On October 11, 2010, WECC also filed with the Commission a notice of proposed cancellation of its RMS agreement originally filed in Docket No. ER99-3396-000. WECC has represented in its letter to the ISO that the RMS served as a predecessor to the mandatory reliability standards approved by the Commission under its authority pursuant to section 215 of the Federal Power Act (16 U.S.C. §824o) and that the Commission's approval of these standards has rendered the RMS redundant for users, owners, and operators of the bulk power system in the United States. In its notice of proposed cancellation filed with the Commission, WECC has made a similar representation that the RMS has been rendered obsolete.</p> <p>The provisions of Sections 4.6.5.2 and 4.6.5.3 incorporate requirements applicable to generators intended to bind the generators to comply with reliability criteria agreements pursuant to WECC RMS requirements applicable to generators. The ISO has incorporated this requirement into the tariff for no purpose other than to satisfy the requirements of its own RMS agreement with WECC. As the ISO's RMS agreement with WECC will be terminating, and as WECC has represented that the RMS is redundant to the mandatory reliability standards and is obsolete, the ISO sees no further purpose in requiring generators to comply with WECC requirements for generators set forth in Sections 4.6.5.2 and 4.6.5.3. For this reason, the ISO proposes to delete the provisions of these sections from the tariff.</p>

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Section or Appendix	Description	Reason
4.6.5.3	Delete text and replace it with [NOT USED]	<p>The ISO proposes to delete the provisions of Sections 4.6.5.2 and 4.6.5.3 pursuant to the letter dated September 29, 2010 by the Western Electricity Coordinating Council notifying the ISO of its intent to terminate its Reliability Management System (RMS) agreement and associated reliability criteria agreement with the ISO. On October 11, 2010, WECC also filed with the Commission a notice of proposed cancellation of its RMS agreement originally filed in Docket No. ER99-3396-000. WECC has represented in its letter to the ISO that the RMS served as a predecessor to the mandatory reliability standards approved by the Commission under its authority pursuant to section 215 of the Federal Power Act (16 U.S.C. §824o) and that the Commission's approval of these standards has rendered the RMS redundant for users, owners, and operators of the bulk power system in the United States. In its notice of proposed cancellation filed with the Commission, WECC has made a similar representation that the RMS has been rendered obsolete.</p> <p>The provisions of Sections 4.6.5.2 and 4.6.5.3 incorporate requirements applicable to generators intended to bind the generators to comply with reliability criteria agreements pursuant to WECC RMS requirements applicable to generators. The ISO has incorporated this requirement into the tariff for no purpose other than to satisfy the requirements of its own RMS agreement with WECC. As the ISO's RMS agreement with WECC will be terminating, and as WECC has represented that the RMS is redundant to the mandatory reliability standards and is obsolete, the ISO sees no further purpose in requiring generators to comply with WECC requirements for generators set forth in Sections 4.6.5.2 and 4.6.5.3. For this reason, the ISO proposes to delete the provisions of these sections from the tariff.</p>
4.10.1.5.1	Add letter (e) to state, "identity of the applicant's Affiliates, as described in Section 39.9."	This change provides clarification that a CRR applicant is required to provide information about its affiliates as part of the registration process.
4.10.1.5.1	Remove the word "and" from the end of sub-section (c); add a semi-colon and the word "and" at the end of sub-section (d)	This amendment makes a conforming typographical change to section 4.10.1.5.1.
4.10.2.2	Change reference to 4.10.4.2 to 4.10.3.2	This corrects an inaccurate reference in the tariff.
6.5.1.1.1(b)	Change "Constraints" to "Transmission Constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
6.5.1.1.2(b)	Change "Constraints" to "Transmission Constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
6.5.2.1(b)	The word "Operational" should be replaced with "Operating" to be consistent with the defined term "Operating Transfer Capability (OTC)" in Appendix A to the tariff.	This amendment makes a conforming typographical change to section 6.5.2.1(b).
6.5.2.2.2	Revise section to read as follows: "Seven (7) days prior to any Trading Day, the target Day Ahead Market Scheduling Coordinators can begin submitting Bids for the heat DAM <u>for that Trading Day.</u> "	This amendment clarifies that the bidding window for day-ahead markets opens seven days prior to the Trading Day.

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6.5.3.2.1	To correct an open parenthesis, the phrase "Operating Day)" should be modified to read "Operating Day" in the first sentence of section.	This amendment makes a typographical correction to section 6.5.3.2.1.
6.5.3.2.2(i)	Change "transmission Constraints" to "Transmission Constraints" and add "Transmission" before "Constraints" on second line.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
6.5.3.3	Change "transmission Constraints" to "Transmission Constraints" in two places in section; capitalize the words "contingencies" and "nomograms"; delete the word "that" from the fifth line of the section	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word. This amendment also makes changes to reflect to use of defined terms.
6.5.4.2.2	Revise section to read as follows: "At thirty (30) minutes No later than forty (40) minutes before the Trading Hour, on an hourly basis, the CAISO will publish on OASIS the following . . ."	Clarification to make consistent with Section 6.5.4.1.5 and to make clear that the data under 6.5.4.2.2 only needs to be published at some point before 40 minutes before the Trading Hour, rather than exactly at 30 minutes before the Trading Hour.
6.5.6.1.1	The section states that certain information should be released on OASIS 180 days after the applicable Trading Day. This should be changed to 90 days to match Section 20.4(a). Section 20.4(a) was changed to 90 days per a mandate in FERC Order No. 719.	This amendment makes the tariff internally consistent.
6.5.6.1.1	Change "90" to "ninety (90)"; change three instances of "ISO" to "CAISO"	These amendment make conforming typographical changes to section 6.5.6.1.1.
6.5.7	Change "transmission Constraints" to "Transmission Constraints."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
7.3.3	Insert requirement to comply with NAESB Wholesale Electric Quadrant standard WEQ-015	The Commission's Order No. 676-F requires the incorporation of this provision into all open access transmission tariffs.
7.7.8.1	Delete last sentence	This amendment modifies the tariff because WECC (and not the ISO) oversees the Under-Frequency Load Shedding program.
7.7.8.2	Delete section	This amendment modifies the tariff because WECC (and not the ISO) oversees the Under-Frequency Load Shedding program.
7.7.8.3	Delete section	This amendment modifies the tariff because WECC (and not the ISO) oversees the Under-Frequency Load Shedding program.
7.7.8.4	Delete section	This amendment modifies the tariff because WECC (and not the ISO) oversees the Under-Frequency Load Shedding program.
7.7.15.4	Replace "ISO Tariff" with "CAISO Tariff".	This amendment makes a conforming typographical change to section 7.7.15.4.
8.3.1	Modify description of how ISO contracts for Voltage Support and Black Start to delete reference to "CAISO Operation Date" and initial procurement practices	This amendment updates the tariff section to reflect current procurement practices for voltage support and black start.
8.3.1	Change "upon" to "on" and delete "and RTUC Time Horizon, respectively" in the last sentence of the first paragraph; delete second reference to "Time Horizon" and clarify that the procurement of Ancillary Services in the Real-Time Market is for the applicable 15 minute interval.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
8.3.3.2	Modify the phrase "look to" to read "use" in the third sentence; move comma in the same sentence from after the word "limits" and insert after the word "include"	This amendment replaces the words "look to" with the word "use" to clarify the factors that the ISO will rely upon to establish in minimum and maximum procurement limits in the ancillary services sub-regions.

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8.3.3.2	Change "Constraints" to "constraints" in sub-section (g).	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
8.3.3.3	Clarify description of timeframe in which ISO will publish forecasted Ancillary Service Requirements	This amendment clarifies the timeframe in which the ISO publishes forecasted Ancillary Service requirements.
8.3.3.5	Replace "CAISO Market Processes" with "CAISO Markets Processes"; change "network constraints" to "Transmission Constraints"	These amendments makes conforming typographical changes to section 8.3.3.5.
8.9.3.1	Capitalize "interchange schedule"	This amendment capitalizes the defined term "Interchange Schedule" appropriately.
8.9.15.2	Add a reference to Section 8.10 as pertaining to financial penalties for failure of an ancillary services performance audit and change the reference for sanctions for failure of an ancillary services performance audit from Section 8.10 to Section 8.9.16 in the last sentence of the section; add a comma after "addition" in last sentence of section	This amendment clarifies the appropriate references for different consequences of failure of an ancillary services performance audit.
8.9.15.2	Delete the line "come into effect" at the end of the section and add the word "apply."	This amendment clarifies the appropriate penalties for failure to pass a performance audit of RUC capacity or an ancillary service.
8.10.8.1	Delete reference to Day-Ahead Market	This amendment deletes references to the day-ahead market because the e-tag requirement referenced in this section applies to ancillary service awards in the day ahead market as well as other market intervals.
9.3.6.5.1	Delete section and designate as NOT USED	This amendment removes provisions that require the ISO to gather data which relate to outage coordination but which the ISO does not use in connection with current business practices.
9.3.10.6	Change reference to Section 9.3.10.2.1 to Section 9.3.10.2.	This amendment corrects an inaccurate reference in the tariff.
9.3.10.6	Change new reference of 9.3.10.2 to 9.3.10.3.1	This amendment corrects an inaccurate reference in the tariff.
9.3.10.6	Change "ten percent (10%)" to "ten (10) percent"	This amendment makes a conforming typographical change to section 9.3.10.6
9.5	Change title of section to "Information about Outages," assign text for Section 9.5 to new Section 9.5.1 entitled, "Approved Maintenance Outages;" and create new Section 9.5.2 entitled, "Publication to Website" and add the following: "The CAISO shall publish on the CAISO Website a list of all Generating Units that have been reported to the CAISO pursuant to the CAISO Tariff or contract as undergoing Outages, together with the Generating Unit's PMax, the amount of the curtailment, the name of its Scheduling Coordinator, and other non-confidential information about these Generating Units as ISO determines."	Since 2001, the ISO has published to its website a list of "Curtailed and Non-Operational Generating Units." This list is required generally by Section 352.5 of the California Public Utilities Code, but there has been no applicable tariff provision. This new provision describes the ISO's longstanding practice, with one exception. It requires the ISO to publish the name of the Scheduling Coordinator of the unit. The ISO's prior practice has been to publish the name of the owner of the generating unit. The purpose is to provide a contact for persons who wish to inquire about the outage. The unit's scheduling coordinator would be a more helpful contact than the direct owner of a generating unit - typically an LLC that owns the unit only. Moreover, the ISO's information about SC's is most up to date. The amendment also clarifies that ISO is authorized to publish this information, and that it should not publish information about generators within the state of California that do not provide that information to the ISO under the tariff or a contract.
9.5.2	Add "CA" to "ISO" in last line of section.	This amendment makes a conforming typographical change to section 9.5.2
10.2.8.2.1	Add "other than its Scheduling Coordinator" after first occurrence of "third party" in second paragraph of this section.	This clarifies a potentially unclear reference in the tariff, as the tariff and Meter Service Agreement are intended to provide a Scheduling Coordinator access to the revenue meters of the market participants it represents without the need for additional ISO approval.

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Section or Appendix	Description	Reason
10.2.8.2.1	Add "as set forth in a schedule to the Meter Service Agreement for CAISO Metered Entities," after "CAISO's prior approval" in the second-to-last sentence of the section.	This clarifies a potentially unclear reference in the tariff, as the tariff and Meter Service Agreement do not specify where the ISO's approval of access to a market participant's revenue meters is to be set forth.
10.2.8.2.2	Add "other than its Scheduling Coordinator" after first occurrence of "third party" in second paragraph of this section.	This clarifies a potentially unclear reference in the tariff, as the tariff and Meter Service Agreement are intended to provide a Scheduling Coordinator access to the revenue meters of the market participants it represents without the need for additional ISO approval.
10.2.8.2.2	Add "as set forth in a schedule to the Meter Service Agreement for CAISO Metered Entities," after "CAISO's prior approval" in the last paragraph of this section.	This clarifies a potentially unclear reference in the tariff, as the tariff and Meter Service Agreement do not specify where the ISO's approval of access to a market participant's revenue meters is to be set forth.
10.3.6.2(c)	Add "C" after "T+43" in the last parenthetical reference in the sub-section.	This amendment makes a conforming typographical change to section 10.3.6.2 (c).
10.3.6.3	Replace "CAISO Payment Calendar" with "CAISO Payments Calendar".	This amendment makes a conforming typographical change to section 10.3.6.3.
11.1.5(b)	Replace "Real Time" with "Real-Time"; change all occurrences of "fifteen percent (15%)" to "fifteen (15) percent"; change "fifth (5) business day" to "fifth (5th) business day."	These amendments make conforming typographical changes to section 11.1.5(b).
11.2.4.5	Change "CVR" to "Converted Rights" and capitalize "self-schedule"	These revisions correct usage of defined terms.
11.2.5.1	Change Section 39.9.2 to Section 39.6.2.	This amendment makes a typographical correction to section 11.2.5.1.
11.5.1	Change "Real-Time Self-Schedule Energy" to "Real-Time Self-Scheduled Energy" in two places in section.	This amendment makes a typographical correction to section 11.5.1.
11.5.1.1	Change "Real-Time Self-Schedule Energy" to "Real-Time Self-Scheduled Energy" in fourth line of section.	This amendment makes a typographical correction to section 11.5.1.1.
11.5.1.2	Change "Real-Time Self-Schedule Energy" to "Real-Time Self-Scheduled Energy" in fourth line of section.	This amendment makes a typographical correction to section 11.5.1.2.
11.5.2	Remove "resources within a System Unit of" from the third-to-last sentence and then move rest of sentence to end of section to replace the last sentence.	This clarifies a potentially unclear provision of the tariff. The third-to-last sentence and the last sentence address essentially the same circumstances in slightly different terms and need to be reconciled. In addition, the reference to resources within a System Unit of an MSS Operator in the third-to-last sentence is outdated in relation to the current provisions of this section. With the deletion of this phrase, the third-to-last sentence is the better statement of the applicable approach than the last sentence and should replace the last sentence, as its current location tends to isolate the second-to-last sentence from the rest of the section to which it is more directly related.
11.5.6.2.5.1	In second sentence, delete "pro-rata" and "Participating TOs" and add "of the affected Participating TOs with PTO Service Territories" after "Transmission Revenue Requirements."	This clarifies a potentially unclear reference in the tariff, consistent with the ISO's December 16, 2009 filing in the FERC proceeding on the transmission owner tariff of Trans Bay Cable, FERC docket no. ER10-266.
11.5.6.3.2(b)	Revise to read as follows: (b) Start-Up Costs for Condition 2 RMR Units providing service outside the RMR Contract, and any additional Start-Up Cost associated with a Condition 2 RMR Unit providing service under the RMR Contract when the unit's total service has exceeded an RMR Contract service limit but neither the RMR Contract counted MWh, counted service hours or counted Start-Ups under the RMR Contract have exceeded the applicable RMR Contract service limit, shall be treated similar to costs under Section 11.5.6.2.5.2.	This amendment deletes language that should have been deleted in the filing in compliance with the July 8, 2004 order in the Amendment 60 proceeding, ER04-835.
11.5.6.4	Change title name to "Settlement of IIE for Exceptional Dispatches for Testing"; add "periodic testing, including" between "testing," and "PMax" in second line of section; and add commas before and after, "for Generating Units" in third line of section.	These revisions clarify that Exceptional Dispatch authority applies generally to periodic testing, including Pmax testing and pre-commercial operation testing.
11.8.6.5.3(i)	Revise to refer to RUC Award instead of RUC Capacity.	This amendment corrects the use of a defined term.
11.10.1.4	Change the references to Section 11.5.6.1 and 11.5.6.2.5.2 to reference 11.5.6	This amendment makes the reference to Exceptional Dispatch settlement rules in Section 11.5.6 more general.

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11.10.3.2	Change "five percent (5%)" to "five (5) percent"; change "seven percent (7%) to "seven (7) percent"; change "one-hundred percent (100%)" to "one-hundred (100) percent;" modify final phrase of section to read, "plus its scheduled on-demand obligations"	These amendments make typographical changes to section 11.10.3.2.
11.10.9.4	Change tariff section to read as follows: "Payments rescinded pursuant to Sections 8.10.8 and 11.10.9 shall be allocated to Scheduling Coordinators in proportion to their Ancillary Services Obligation for the same Trading Day" and delete second sentence of the section.	This amendment conforms the tariff to current ISO business practices
11.13.10	Change reference to Section 11.29.10.1 to Section 11.29.10.5	This amendment corrects an inaccurate reference in the tariff.
11.19.1.2	Re-write section to read as follows: Scheduling Coordinators shall pay FERC Annual Charges assessed against them by the CAISO on a monthly or annual basis. Scheduling Coordinators that pay FERC Annual Charges on a monthly basis shall make the payment for such charges within five (5) Business Days after issuance of the market Invoice or Payment Advice containing the charges. Scheduling Coordinators that must pay FERC Annual Charges on an annual basis shall make the payment for such charges within five (5) Business Days from the Payment Date stated on the Invoice for FERC Annual Charges. For Scheduling Coordinators electing monthly settlement of the FERC Annual Charges, these charges are assessed for a given Trading Month in the same semi-monthly that are due monthly Invoice and Payment Advice that containings the market Settlement and Grid Management Charge issued in accordance with the CAISO Payment Calendar, and the amounts owed will be issued to Scheduling Coordinators at least twice a month in their Settlement Statements accordance with the CAISO Payments Calendar in the same Invoice and Payment Advice that contains the market Settlement and Grid Management Charge. For Scheduling Coordinators electing yearly settlement of the FERC Annual Charges, the charges for a given Trading Month that are due annually will be issued to Scheduling Coordinators twice a month are issued in accordance with the CAISO Payment Calendar on the same day as the market Invoice or and Payment Advice but in a separate Invoice as indicated in Section 11.29.10, and the amounts owed are issued to Scheduling Coordinators at least twice a month in their Settlement Statements. Further, the FERC Annual Charges amounts are provided to Scheduling Coordinators at least twice a month in their Settlement Statements. Once the final FERC Annual Charge Recovery Rate is received from FERC in the spring or summer of the following year, revised FERC Annual Charges will be calculated and included on a supplemental Invoice or Payment Advice. All Scheduling Coordinators shall make payment for such charges within five (5) Business Days after the CAISO issues such supplemental Invoice.	This amendment clarifies the issuance of FERC fees in settlement statements.
11.19.3.4	Change two instances of "ten percent (10%)" to "ten (10) percent"; change "60 days" to "sixty (60) days" in last sentence.	These amendments make conforming typographical changes to section 11.19.3.4.
11.19.3.4	Change third-to-last sentence of section to read: Such The surcharge or credit shall be allocated among all active Scheduling Coordinators based on the percentage of the surcharge or credit that reflects the each active Scheduling Coordinators' metered Demand and exports during the relevant year	This amendment eliminates a potential ambiguity in this section to clarify that a portion of the surcharge or payment related to the recovery of the annual FERC rate is allocated to Scheduling Coordinators based on their metered demand and exports.
11.19.3.4	Change two instances of "ten percent (10%)" to "ten (10) percent."	This amendment makes a conforming typographical change to Section 11.19.3.4.
11.19.4	Modify section to read as follows: "In addition to the surcharges or credits permitted under this CAISO Tariff, the CAISO shall credit or debit as appropriate, the account of a the appropriate Scheduling Coordinator for any over- or under-assessment of FERC Annual Charges that the CAISO determines occurred due to the error, omission, or miscalculation by the CAISO or the Scheduling Coordinator."	This amendment makes a conforming typographical change to section 11.19.4.
11.20.5	Replace "ISO" with "CAISO"	This amendment makes a conforming typographical change to section 11.20.5.
11.20.7.3	Correct the spelling of "Dispute" in the title of this section.	This amendment corrects a typographical error in Section 11.20.7.3.
11.21.1	Add the word "for" in the eleventh line of section between the words "MWhs" and "each"; make the word "segment" plural in the twelfth line of section; add "calculation of the IFM Congestion Charge reflected in Section 11.2.4.1 and the" between "the" and "allocation" in last sentence of section.	This amendment adds detail to describe the financial implications in the IFM. This section currently describes the financial implications of the calculation of the price corrections make-whole payments for demand and exports in the real-time market.

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11.22.2.5.8	Revise section to read as follows: The Settlements, Metering, and Client Relations Charge for each Scheduling Coordinator is fixed at \$1000.00 per month, per Scheduling Coordinator ID <u>Code</u> with a non-zero invoice value where the non-zero value reflects market activity other than \$0.00 in the current Trading Month, as indicated in Appendix F, Schedule 1, Part A, subject to the requirements set out in Appendix F, Schedule 1, Part F. Excess GMC costs related to the provision of these services that are not recovered through this charge are allocated to the other GMC service categories as specified in Appendix F, Schedule 1, Part E.	These revisions clarify that the charge identified in this section applies only to active SCIDs in a given month.
11.22.2.5.8	Add "Code" after "Scheduling Coordinator ID" in second line of section.	This amendment makes a conforming typographical change to section 11.22.2.5.8.
11.29.5.2	Add a comma after "T+7B"	This amendment makes a conforming typographical change to Section 11.29.5.2.
11.29.7.1	Add the following text to the end of the section: "The CAISO will notify affected Market Participants regarding failed or late publication of any settlement statements specified above and will rectify such failed or late publications pursuant to its procedure posted on the CAISO Website."	This amendment includes detail concerning how the ISO handles late publications of settlement statements.
11.29.7.1.1	Change "T+TB" in second sentence to read, "T+7B."	This amendment corrects a typographical error.
11.29.7.1.2	Change "T+TB" in second sentence to read, "T+7B."	This amendment corrects a typographical error.
11.29.7.3.4	Delete the second sentence and revise the first sentence to refer to Recalculation Settlement Statements in accordance with 11.29.10.3.	This makes the provision consistent with the very similar but more recently revised provisions 11.29.10.3.
11.29.9.6.1(a)	Add ", and unless the CAISO instructs otherwise pursuant to Section 11.29.11," after "Section 11.29.3"	These revisions allow ISO to instruct a market participant not to pay an erroneous charge.
11.29.10.6	Add "unless the CAISO has authorized the adjustment pursuant to Section 11.29.11" at the end of the section	These revisions allow ISO to instruct a market participant not to pay an erroneous charge.
11.29.11	Add "Unless the CAISO instructs otherwise," and change "Each" to "each" at the beginning of section; add to the end of the section the following sentence, "In the event of a verifiable error that would be reversed on a future Invoice, the CAISO may instruct a Scheduling Coordinator or CRR Holder not to remit payment for a specific charge shown on an Invoice. Any such occurrence will not constitute a payment default under the CAISO Tariff. If the payment amount would otherwise be payable to identified Market Participants, the CAISO will inform those entities that they will not be receiving payment for any specific corresponding charge code on a Payment Advice."	These revisions allow ISO to instruct a market participant not to pay an erroneous charge. This amendment is intended to address situations such as those underlying the limited tariff waiver to address an inadvertent data entry error that resulted in an erroneous charge to the City of Riverside. (See, California Indep. System Operator Corp. 132 FERC ¶ 61,004 (2010).) If the ISO verifies an error that it would reverse on a future invoice, this amendment would allow the ISO to instruct a Scheduling Coordinator or CRR Holder not to remit payment for a specific charge shown on that Invoice that results from the error.
11.31.1	Change the text in the first paragraph of this section to refer to "Settlement Period" instead of "Settlement Interval"	This amendment corrects a typographical error in Section 11.31.1.
12.1.3.1.1	Change "five (7) trading days" to "five (5) trading days"	This amendment makes a typographical correction to Section 12.1.3.1.1.
12.5.1(b)	Revise to change " Inter-SCE Traces" to "Inter-SC trades"	This amendment makes a typographical correction to Section 12.5.1(b)
13.5.2	Change T+38 BD to T+7B	This amendment corrects the use of an outdated term.
14.5.2	Delete the words "except to" the second time that they appear in this section.	This amendment corrects a typographical error.
19	Delete text of section	This section should be deleted in its entirety because it is displaced by resource adequacy provisions in Section 43.
20.4(e)(i)	Change "ISO" to "CAISO;" insert "a" between "before" and "Local" under item (e); and insert a comma after "reject a request for CEII and" in last sentence of section	These revisions correct usage of defined terms and grammar.
20.4(e)(ii)	Change the reference of 20.2(f)(ii) to Section 20.4(e)(ii) and replace "; and" with a period at the end of the section.	This amendment corrects an incorrect reference and clarifies punctuation
20.4(e)(ii)	Add the word "this" before "Section 20.4.(e)(ii)."	This amendment makes a conforming typographical change to Section 20.4(e)(ii)
22.4.1	Add new sub-section (d) - "(d) upon receipt of confirmation by return e-mail if sent by e-mail," and change existing sub-section (d) to sub-section (e)	This amendment allows notice to be provided by e-mail with confirmation by return e-mail.
22.4.3	Delete the words, "Operating Procedure or" in second line of section.	This amendment removes an inadvertent reference to the tem "Operating Procedure" in the description of the required timeframe for noticing changes to a Business Practice Manual.

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22.4.3	Re-write the section to read as follows: (2) <u>the change falls within Category A of Section 22.11.1.4(a), in which case the provisions of that section shall apply;</u> (3) the change is reasonably required to address an emergency affecting the CAISO Controlled Grid or its operations, or (4) the change is to a provision of a Business Practice Manual that is necessitated by emergency circumstances specific to that Business Practice Manual.	This clarifies a potential ambiguity between two provisions of the tariff.
22.11.1.5	Re-write third and fourth sentences of this section to read as follows: Comments shall be posted to the CAISO Website. After their comment periods have expired and , BPM PRRs shall be considered by the CAISO at a regularly established monthly public meeting or specially-noticed meeting dedicated to that purpose.	This clarifies that a BPM PRR may be considered at a monthly meeting before the written comment period has concluded and makes the tariff consistent with the ISO's current business practice.
22.11.1.6	Re-write last sentence of this section to read as follows: If not satisfied with the decision on appeal, the appellant may raise concerns it may have with the CAISO Governing Board at the next regularly scheduled B board meeting through the public comment period or through prior letter to the <u>CAISO</u> Governing Board.	This corrects incorrect usage in the tariff.
24.14.3.2	Delete "of" between "date" and "Blythe" at end of first sentence; in second sentence, insert "Blythe" before "Path 59, insert comma after "upgrade", insert "CRR" before "Option" in two places, delete acronyms for "CRRs" in in two places; delete "s" from two instance of "MWs," and lower-case "letter order" in second sentence.	These revisions correct grammar and use of defined terms.
25.1(d)	Delete "Qualifying Facility"	This clarifies that the tariff provisions governing the application of the ISO's interconnection procedures once a generating unit's power sales arrangements have terminated apply to all generating units and not just to qualifying facilities.
25.1.2	Remove reference to "Qualifying Facility" in section title; replace references to "Qualifying Facility" with references to "Generating Unit."; change "and" to "or" in second sentence	This clarifies that the tariff provisions governing the application of the ISO's interconnection procedures once a generating unit's power sales arrangements have terminated apply to all generating units and not just to qualifying facilities. The change from "and" to "or" clarifies that the requirements are intended to apply to either circumstance.
25.1.2.1	Replace reference to "Qualifying Facility" with references to "Generating Unit"	This clarifies that the tariff provisions governing the application of the ISO's interconnection procedures once a generating unit's power sales arrangements have terminated apply to all generating units and not just to qualifying facilities.
25.1.2.2	Replace reference to "Qualifying Facility" with references to "Generating Unit"	This clarifies that the tariff provisions governing the application of the ISO's interconnection procedures once a generating unit's power sales arrangements have terminated apply to all generating units and not just to qualifying facilities.
26.5	Add the following sentence to the end of the section: "At the conclusion of the ten-year TAC Transition Period, the obligations of this Section 26.5 shall cease to apply."	This clarifies the effect of the end of the transition period on the tariff provisions that currently determine the ISO's transmission access charges.
27.1.1	In second line of section, change "transmission" to "Transmission" and delete "facility"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.1.1	Add language clarifying that the LMP for Energy at a Pnode, as described in Appendix C, considers, among other things, Energy Bid Curves.	This amendment clarifies applicable time periods for market processes and the use of Energy Bid Curves in the calculation of LMPs. This amendment also clarifies that the HASP, which is conducted hourly, calculates fifteen-minute HASP Inertie LMPs for the Subsequent Trading Hour.

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Section or Appendix	Description	Reason
27.1.1.3	Rewrite first sentence of section to read as follows: The Marginal Cost of Congestion at a PNode reflects a linear combination of the Shadow Prices of <u>the</u> binding <u>Transmission</u> Constraints in the network, each multiplied by the corresponding Power Transfer Distribution Factor (PTDF).	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.1.2.1	Delete "procurement requirement Constraints" in the seventh sentence; make all capitalized instances of "Constraint(s)" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.4.1	Add language clarifying the market processes for which the ISO uses SCUC and the time intervals over which SCUC commits resources.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
27.4.1	Delete "of the" in the second-to-last-sentence of the section and insert a comma after "Trading Day" in the last sentence.	This amendment corrects a typographical error and grammar.
27.4.1.1	Replace the term "Time Horizon" with the term "applicable market intervals."	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
27.4.2	In second line of section, add "constraints" after "resource" in second line of section and capitalize "transmission"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.4.2	Replace the term "Time Horizon" with a description of the intervals for which SCED produces binding Dispatch Instructions.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
27.4.3	Capitalize "transmission constraints" in fourth sentence of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.4.3.1	In first sentence, capitalize two instances of "transmission" and capitalize "constraint"; in second-to-last sentence, change "constraint" to "Transmission Constraint"; change "constrained transmission facility" to "Transmission Constraint"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.4.3.2	In first sentence, capitalize "transmission" and change "Constraint" to "Transmission Constraint"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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Section or Appendix	Description	Reason
27.4.3.5	Capitalize all instances of "transmission" in the section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.4.3.5	Change "CVR" to "Converted Rights" in title and four places in section.	This amendment corrects the use of a defined term.
27.4.3.6	Change "constraint" to "Transmission Constraint" in first sentence; change "two percent (2%)" to "two (2) percent" in last sentence.	These amendments makes a conforming typographical change to Section 27.4.3.6.
27.5.1	Capitalize "transmission."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.5.1.1	Capitalize "transmission"; change all instances of "network constraints" to "Transmission Constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.5.2	Change two instances of "network constraints" to "Transmission Constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.5.3	Change "network constraints" to "Transmission Constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.5.5	Replace the term "Time Horizon" with the phrase, "use in the CAISO Market Processes."	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
27.5.6	Capitalize all instances of "transmission" in the main paragraph of the section; in sub-section (a), capitalize two instances of "transmission;" in sub-sections (b), capitalize "transmission"; in sub-sections (c) and (d), capitalize "transmission" and make "constraint" lower-case in sub-section (e), capitalize "transmission"; in paragraph after sub-sections; capitalize "transmission."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
27.7.5	Delete the term "Time Horizon" and add reference to Section 34.2.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
28.3.1	Change "Scheduling Coordinator identification" to "Scheduling Coordinator ID Code" in two places in section.	This amendment makes a conforming typographical change to section 28.3.1.

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Section or Appendix	Description	Reason
30.5.2.1	<p>Modify the section to read as follows: In addition to the resource-specific Bid requirements of this Section, all Supply Bids must contain the following components: Scheduling Coordinator ID Code; Resource Location or Resource ID, as appropriate; ID and the MSG Configuration ID, as applicable; Resource Location; PNode or Aggregated Pricing Node as applicable; Energy Bid Curve; or Self-Schedule component; Ancillary Services Bid, RUC Availability Bid, as applicable; the Market to which the Bid applies; Trading Day to which the Bid applies; Priority Type (if any). Supply Bids offered in the CAISO Markets must be monotonically increasing. Energy Bids in the RTM must also contain a Bid for Ancillary Services to the extent the resource is certified and capable of providing Ancillary Service in the RTM up to the registered certified capacity for that Ancillary Service less any Day-Ahead Ancillary Services Awards. Scheduling Coordinators must submit the applicable Supply Bid components, including Self-Schedules, for the submitted MSG Configuration.</p>	These revisions clarify the bid components of supply bids.
30.5.2.2	Add "as applicable" after "components" in the first sentence of section.	This revisions clarify the bid components for supply bids on behalf of generators.
30.5.2.7	<p>Modify the text of the section to read as follows: Scheduling Coordinators may submit RUC Availability Bids for specific Generating Units capacity that is not Resource Adequacy Capacity or ICPM Capacity of in the DAM; however, Scheduling Coordinators for Resource Adequacy Capacity or ICPM Capacity must participate in RUC to the extent that such capacity is not reflect in an IFM Schedule but need not submit RUC Availability Bids. Resource Adequacy Capacity participating in RUC will be optimized using a zero dollar (\$0/MW-hour) RUC Availability Bid for that capacity to the extent that the capacity has not been submitted in a Self-Schedule or already been committed to provide Energy or capacity in the IFM. Capacity that does not have Bids for Supply of Energy in the IFM will not be eligible to participate in the RUC process. The RUC Availability Bid component is MW-quantity of non-Resource Adequacy Capacity in \$/MW per hour, and \$0/MW for Resource Adequacy Capacity or ICPM Capacity.</p>	The ISO SIBR system is now capable of generating RUC Availability Bids for Resource Adequacy Capacity and ICPM capacity. Accordingly, it is no longer necessary for a Scheduling Coordinator to submit such bids. These tariff changes remove this obligation from the tariff.
30.5.3	Delete text in section after the end of the second sentence.	The ISO SIBR system is now capable of generating RUC Availability Bids for Resource Adequacy Capacity and ICPM capacity. Accordingly, it is no longer necessary for a Scheduling Coordinator to submit such bids. These tariff changes remove this obligation from the tariff.
30.5.3.1	Capitalize "code" in first sentence.	This amendment makes a conforming typographical change to section 30.5.3.1.
30.7.3.1	<p>Delete the last sentence in the section and then insert the following at the end of the section: "The CAISO will not insert or extend any Bid for Regulation Up or Regulation Down in the Real Time Market for a Use-Limited Resource except as provided in Section 40.6.8. The CAISO will not insert or extend a Spinning Reserve or Non-Spinning Reserve Ancillary Service Bid at \$0 in the Real Time Market for any certified Operating Reserve capacity of a resource unless that resource submits an Energy Bid and fails to submit an Ancillary Service Bid in the Real Time Market."</p>	<p>The proposed language is intended to clarify that the ISO will not submit a spin or non-spin bid at \$0 in the RTM, if a resource submits and energy bid and only a spin or non-spin bid. This language is consistent with other tariff language in this section, which states: "To the extent that an Energy Bid to the HASP/RTM is not accompanied by an Ancillary Services Bid, the CAISO will insert a Spinning Reserve and Non-Spinning Reserve Ancillary Services Bid at \$ 0/MW for any certified Operating Reserve capacity."</p>
30.7.6.1	<p>This amendment clarifies that SIBR does not generate or extend an Energy Bid for self-provision of spinning reserve or non-spinning reserve, if a Scheduling Coordinator submits a partial Energy Bid. Add the word "is" in the sentence beginning "For Generating Units with certified Regulation capacity....." between the words "there" and "no"</p>	This amendment modifies the AS bid extension rules to be consistent with SIBR.

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Section or Appendix	Description	Reason
30.7.6.1	Modify last paragraph in section to read as follows: "Notwithstanding any of the provisions of Section 30.7.6.1 set forth above, the CAISO will not insert or extend any Bid for Regulation Up or Regulation Down in the Real Time Market for a Use-Limited Resource except as provided in Section 40.6.8. The CAISO will not insert a Spinning Reserve or Non-Spinning Reserve Ancillary Service Bid at \$0 in the Real Time Market for any certified Operating Reserve capacity of a resource unless that resource submits an Energy Bid but fails to submit an Ancillary Service Bid in the Real Time Market."	This amendment clarifies a current business practice of the ISO concerning submission of regulation bids in the real time market for specified resources.
30.7.6.2	At the end of second-to last sentence of section, insert a comma between "Generating Units" and "Participating Loads" and delete the period and extra space between the two phrases.	This amendment makes a conforming typographical change to section 30.7.6.2.
30.7.6.2(c)	Add "account" before "transmission" and then capitalize "transmission constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word. This amendment makes a conforming typographical change to Section 30.7.6.2(c).
31.1	Modify section to read as follows: Bids, including Self-Schedules and Ancillary Services Bids, and Submissions to Self-Provide an Ancillary Service shall be submitted pursuant to the submission rules specified in Section 30. There is a single bid submission in which Scheduling Coordinators' submit a single Bids to be- <u>are</u> used for purposes of in the DAM, which includes the MPM-RRD, the IFM and RUC. Scheduling Coordinators may submit Bids for the DAM as early as seven (7) days prior to the applicable Trading Day ahead of the targeted DAM and up to Market Close of the DAM for the applicable a targeted Trading Day. The CAISO will validate all Bids submitted to the DAM pursuant to the procedures set forth in Section 30.7. Scheduling Coordinators must submit Bids for participation in the IFM for Resource Adequacy Capacity as required in Section 40.	These revisions clarify the nature bid submission and the timeline for submission of bids in the day ahead market.
31.2.1	Capitalize "transmission."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
31.3.1.3	Capitalize "transmission" in first sentence; make all instances of "Constraints" lower-case; change "constraint" in first sentence of section to "Transmission Constraint"; add ",including Transmission Constraints" after "No constraints" and change "Balance" to "Balancing" in last sentence of section	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
31.3.1.3	Lower-case "self-scheduled"	This revision corrects the use of defined terms.
31.3.1.3(a)	insert "Unit" after "RMR" and insert "-RRD" after "MPM"	These revisions correct the use of defined terms.
31.3	Make "Constraints" lower-case in fourth line of section; change "one hundred percent (100%)" to one hundred (100) percent" in fifth line of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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31.3.3	Add "Transmission" before two instances of "Constraint(s)" in first sentence; change two instances of "network constraints" to "Transmission Constraints" in third sentence	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
31.4	In second sentence of section, make "optimization" lower-case; change "BPMs" to "Business Practice Manuals"; in the seventh sentence, insert a comma after "Section 27.4.3.5"; change "CVR" to "Converted Rights" in two places, and add an "s" to "Self-Schedules"	These revisions correct grammar and the use of defined terms.
31.4(d)	Capitalize "transmission."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
31.5.1.2	Modify the text of the section to read as follows: Scheduling Coordinators may only submit RUC Availability Bids for capacity (above the Minimum Load) for which they are also submitting an Energy Bid to participate in the IFM. The RUC Availability Bid for the Any available Resource Adequacy Capacity and ICPM Capacity will be optimized at submitted by a Scheduling Coordinator must be \$0/MW in RUC. per hour for the entire Resource Adequacy Capacity. If the Scheduling Coordinator fails to submit a \$0/MW per hour for Resource Adequacy Capacity, the CAISO will insert the \$0/MW per hour for the full amount of Resource Adequacy Capacity for a given resource reduced by any upward Ancillary Services Awards. For Multi-Stage Generating Resources that fail to submit a \$0/MW per hour for the Resource Adequacy Capacity, the CAISO will insert the \$0/MW per hour for the resource's Resource Adequacy Capacity at the MSG Configuration level up to the minimum of the Resource Adequacy Capacity or the PMax of the MSG Configuration. Scheduling Coordinators may submit non-zero RUC Availability Bids for the portion of a resource's capacity that is not Resource Adequacy Capacity <u>or ICPM Capacity.</u>	The ISO SIBR system is now capable of generating RUC Availability Bids for Resource Adequacy Capacity and ICPM capacity. Accordingly, it is no longer necessary for a Scheduling Coordinator to submit such bids. These tariff changes remove this obligation from the tariff.
31.5.4	In first sentence of section, change "network constraints" to "Transmission Constraints" and make "Constraints" lower-case; in sub-section (c), make "Constraints" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
31.5.4(b)	Replace "Generation Units" with "Generating Units".	This amendment makes a conforming typographical change to section 31.5.4(b).
31.5.5	Make "Constraints" lower-case in last sentence of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
31.6.4	Delete section and designate as NOT USED	This section should be deleted in its entirety because it is displaced by resource adequacy provisions in Section 43.
31.7	Capitalize "Start-Up Time"; insert "Resource" after "ELS"; and change "resources initial condition" to "resource's initial condition" in fifth sentence in section.	These revisions correct grammar and the use of defined terms.
33.2	Delete the term "Time Horizon" and add language clarifying when HASP may commit resources.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
33.3	Replace "Generation Units" with "Generating Units".	This amendment makes a conforming typographical change to section 33.3.

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Section or Appendix	Description	Reason
33.8.1	Delete the term "Time Horizon" and add language clarifying when a Constrained Output Generator will be eligible to set the Dispatch Interval LMP.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34	Replace "Fast Start and some Short Start resources" with "Fast Start Units and some Short Start Units".	This amendment makes a conforming typographical change to section 34.
34	Delete the term "Time Horizon" and add language clarifying the time intervals over which the STUC and RTUC will may commit resources and with regard to the look-ahead period for RTD to determine optimal dispatch instructions.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34	Add "interval" after "In any give five-minute..." at the beginning of second-to-last sentence of section.	This amendment makes a conforming typographical change to section 34.
34.2	Replace "Fast Start and Short Start resources" with "Fast Start and Short Start Units".	This amendment makes a conforming typographical change to section 34.2.
34.2	Delete the term "Time Horizon" and add language referring to time intervals referenced by the Tariff; change "as" to "at" in the fifteenth line of section	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34.2.1	In the title and the body of the section, replace the phrase "Fast Start and Short Start resources" with "Fast Start and Short Start Units".	This amendment makes a conforming typographical change to section 34.2.1.
34.2.1	Re-write first sentence of section to state: RTUC produces binding and advisory Start-Up and Shut-Down Dispatch Instructions for Fast Start and Short Start Units resources that have Start-Up Times that would allow the resource to be committed prior to the end of the relevant time period Time Horizon of the RTUC run as described in Section 34.2 .	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34.3.1	Re-write first sentence of section to state: RTED mode of operation for RTD normally runs every five (5) minutes starting at approximately 7.5 minutes prior to the start of the next Dispatch Interval and produces a binding Dispatch Instructions s for Energy for the next Dispatch Interval and advisory Dispatch Instructions for multiple as many as twelve future Dispatch Intervals over the RTD optimization Time Horizon of through at least the next Trading Hours sixty five (65) minutes .	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34.3.3	Replace "network" with "Transmission" before "Constraints" in second sentence of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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34.4	<p>Re-write section to state: At the top of each Trading Hour, immediately after the RTUC run is completed, the CAISO performs an approximately five (5) hour Short-Term Unit Commitment (STUC) run using SCUC and the CAISO Forecast of CAISO Demand to commit Medium Start Units and Short Start Units with Start-Up Times greater than the time periodTime Horizon covered by the RTUC described in Section 34.2. The Time Horizon for theSTUC looks ahead over a period of at least optimization run will extend three hours beyond the Trading Hour for which the RTUC optimization was run, and will utilize replicate the Bids available from other CAISO Markets for used in that Trading Hour for these additional hours. The CAISO revises these replicated Bids each time the hourly STUC is run, to utilize the most recently availablesubmitted Bids. A Start-Up Instruction produced by STUC is considered binding if the resource could not achieve the target Start-Up Time as determined in the current STUC run in a subsequent RTUC or STUC run as a result of the Start-Up Time of the resource. A Start-Up Instruction produced by STUC is considered advisory if it is not binding, such that the resource could achieve its target start time as determined in the current RTUC run in a subsequent STUC or RTUC run based on its Start-Up Time. A binding Dispatch Instruction produced by STUC that results in a change in Commitment Status will be issued, in accordance with Section 6.3, after review and acceptance of the Start-Up Instruction by the CAISO Operator. The STUC will only decommit a resource to the extent that resource's physical characteristics allow it to be cycled in the same approximately five (5) hour look-ahead time period Time Horizon for which it was previously decommitted. STUC does not produce Locational Marginal Pricesprices for Settlement. A Day-Ahead Schedule or RUC Schedule for an MSG Configuration that is later impacted by the resource's derate or outages, will be reconsidered in the STUC process taking into consideration the impacts of the derate or outage on the available MSG Configurations.</p>	<p>This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."</p>
34.5 (2)	<p>Make "Constraints" lower-case.</p>	<p>The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.</p>
34.5 (2)	<p>Re-write sub-section to state: In each run of the RTED or RTCD the objective will be to meet the projected Energy requirements over the applicable forward-looking-ahead time period of the Time Horizon of that run, subject to transmission and resource operational Cconstraints, taking into account the short term CAISO Forecast of CAISO Demand adjusted as necessary by the CAISO Operator to reflect scheduled changes to Interchange and non-dispatchable resources in subsequent Dispatch Intervals;</p>	<p>This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."</p>
34.5 (6)	<p>Make "Constraints" lower-case.</p>	<p>The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.</p>
34.5 (6)	<p>Re-write sub-section to state: In determining the Dispatch Instructions for a target Dispatch Interval while at the same time achieving the objective to minimize Dispatch costs to meet the forecasted conditions of the entire forward looking time periodTime Horizon, the Dispatch for the target Dispatch Interval will be affected by: (a) Dispatch Instructions in prior intervals, (b) actual output of the resource, (c) forecasted conditions in subsequent intervals within the forward looking time period Time Horizon of the optimization, and (d) operational Cconstraints of the resource, such that a resource may be dispatched in a direction for the immediate target Dispatch Interval that is different than the direction of change in Energy needs from the current Dispatch Interval to the next immediate Dispatch Interval, considering the applicable MSG Configuration;</p>	<p>This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."</p>

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Section or Appendix	Description	Reason
34.5 (7)	Re-write sub-section to state: Through Start-Up Instructions the CAISO may instruct resources to start up or shut down, or may reduce Load for Participating Loads, over the forward looking time period <u>Time Horizon</u> for the RTM based on submitted Bids, Start-Up Costs and Minimum Load Costs, Pumping Costs and Pump Shut-Down Costs, as appropriate for the resource, or for Multi-Stage Generating Resource as appropriate for the applicable MSG Configuration, consistent with operating characteristics of the resources that the SCED is able to enforce. In making Start-Up or Shut-Down decisions in the RTM, the CAISO may factor in limitations on number of run hours or Start-Ups of a resource to avoid exhausting its maximum number of run hours or Start-Ups during periods other than peak loading conditions;	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34.5 (8)	Re-write sub-section to state: The CAISO shall only start up resources that can start within the applicable time periods Time Horizon <u>of the various CAISO Markets Processes that comprise the</u> used by the RTM optimization methodology ;	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34.5 (9)	Re-write sub-section to state: The RTM optimization may result in resources being shut down consistent with their Bids and operating characteristics provided that: (a4) the resource does not need to be on-line to provide Energy, (b2) the resource is able to start up within the <u>applicable time periods of the processes that comprise the</u> RTM optimization Time Horizon , (c3) the Generating Unit is not providing Regulation or Spinning Reserve, and (d4) Generating Units online providing Non-Spinning Reserve may be shut down if they can be brought up within ten (10) minutes as such resources are needed to be online to provide Non-Spinning Reserves;	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
34.7	Replace "Short Start resource" with "Short Start Unit".	This amendment makes a conforming typographical change to section 34.7.
34.9.1	Replace "Generation Units" with "Generating Units".	This amendment makes a conforming typographical change to section 34.9.1.
34.9.2	Add "periodic testing of Generating Units, including" in between "perform" and "PMax" in sub-section (3)	This amendment clarifies that Exceptional Dispatch authority applies generally to periodic testing, including Pmax testing and pre-commercial operation testing.
34.15.1	Make "Constraints" lower-case.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
34.15.6 (a) - (c)	Make "Constraints" lower-case.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
34.16.3.4(a)	Insert "CAISO" before "tariff," delete "the" before "Part E," and replace semi-colon with period;	These revisions correct grammar and the use of defined terms.
34.16.3.4(b)	Capitalize first occurrence of "the", " delete "the" after "within"; insert "established pursuant to Section 8.2.3.3" after "power factor limits"; delete "of 0.9 lagging to 0.95 leading"; delete "of the CAISO Tariff; and replace semi-colon with period at end of sub-section.	These revisions correct grammar and the use of defined terms. The proposed revision would also remove a redundant and potentially conflicting tariff requirement and leave the power factor requirements to be specified in section 8.2.3.3.
34.16.3.4(c)	Add "The CAISO" in beginning and add "the" before CAISO tariff and replace place semi-colon with period at the end of sub-section.	These revisions correct grammar and the use of defined terms.
34.16.3.4(c)	add a second "f" to the misspelled word "tariff" at the end of the sub-section.	This amendment corrects a typographical error.
34.16.3.4(d)	Insert "Section" before "34.16.3.4," delete space between "k" and period, delete "and" at the end of the sub-section and replace place semi-colon with period at end of sub-section	These revisions correct grammar, correct typographical errors and the use of defined terms.
34.16.3.4(e)	Capitalize "the" in beginning of sub-section	These revisions correct grammar and the use of defined terms.

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34.17.2	Change "Section 36.11.1" to "Section 34.11.1"	This corrects an inaccurate reference in the tariff.
34.17.2	Make the word "Section" before 34.11.1 plural; add "and 34.11.2" after "Sections 34.11.1"	This amendment corrects a typographical error and adds a cross-reference to Section 34.11.2.
34.19.2.3	Re-write second-to-last sentence of section to state: A Constrained Output Generator that has the ability to be committed or shut off within <u>the Time Horizon of the applicable time periods that comprise the</u> RTM will be eligible to set the Dispatch Interval LMP if any portion of its Energy is necessary to serve Demand.	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
36.13.6	Change "CRR Sink" to "CRR Source" and change "CRR Source" to "CRR Sink" in last sentence of section.	This amendment corrects an incorrect description of market clearing price.
36.15	Delete this section and designate as NOT USED	The language in this section was included in the tariff as necessary to transition from Financial Transmission Rights to Congestion Revenue Rights under a nodal market. The ISO has now transitioned to its nodal market. Therefore, the requirements in this section are no longer applicable because conditional events included in this section have either occurred or will no longer occur.
37.2.1.1	Market Participants must comply with operating orders issued by the CAISO as authorized under the CAISO Tariff. For purposes of enforcement under this Section 37.2, an operating order shall be an order(s) from the CAISO directing a Market Participant to undertake, a single, clearly specified action (e.g., the operation of a specific device, or change in status of a particular Generating Unit) that is feasible and intended to resolve a specific operating condition. <u>Deviation from an ADS Dispatch Instruction shall not constitute a violation of this Section 37.2.1.1.</u> A Market Participant's failure to obey an operating order containing multiple instructions to address a specific operating condition will result in a single violation of Section 37.2. If some limitation prevents the Market Participant from fulfilling the action requested by the CAISO or the action is otherwise infeasible, then the Market Participant must promptly and directly communicate the nature of any such limitation or infeasibility to the CAISO.	These amendments are necessary to provide clarification as to what type of instruction must be issued by the ISO and violated by the Scheduling Coordinator in order for the Scheduling Coordinator to be penalized under Section 37.2.
37.2.5	Except as otherwise specifically provided, penalty amounts shall be tripled for any violation of Section 37.2.1, Section 37.2.2 or Section 37.2.4 if a CAISO System Emergency exists at the time an operating order becomes effective or at any time during the Market Participant's non-performance. Notwithstanding the foregoing, violations of Section 37.2.1, Section 37.2.2 and Section 37.2.4 are subject to penalty under this <u>rule Section 37.2</u> only to the extent that the CAISO has issued a separate and distinct non-automated Dispatch Instruction to the Market Participant. Any penalty amount that is tripled under this provision and that would exceed the \$10,000 per day penalty limit shall not be levied against a Market Participant until the CAISO proposes and the Commission approves such an enhancement. A Market Participant that is subject to an enhanced penalty amount under this Section 37.2.5 may appeal that penalty amount to FERC if the Market Participant believes a mitigating circumstance not covered in Section 37.9.2 exists. The duty of the Market Participant to pay the enhanced penalty amount will be tolled until FERC renders its decision on the appeal.	This amendment makes a conforming typographical change to Section 37.2.5.
37.4.1.1	The section contains an outdated cross-reference to Section 9.3.10.2.1, which should be changed to a cross-reference to Section 9.3.10.3.1.	This amendment makes a typographical correction to section 37.4.1.1.
37.4.3.1	The section contains an outdated cross-reference to Section 9.3.10.2.1, which should be changed to a cross-reference to Section 9.3.10.3.1.	This amendment makes a typographical correction to section 37.4.3.1.
37.5.2.1	Strike the three instances of the phrase "issuance of Initial Settlement Statement T+7B or Recalculation Settlement Statement, as relevant" (or similar language) and replace with "T+43C." The referenced data needs to be submitted at T+43C so that it can be incorporated in the Recalculation Settlement Statement, which is issued at T+38B.	This change is necessary to reflect payment acceleration settlement timelines.
37.8.10	Change T+38 BD to T+7B in four places, change reference from 11.29.8.2 to 11.29.8 and capitalize "sanction"	These revisions correct an outdated term, clarify a reference, and correct the use of a defined term.

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37.9.3.1	Change T+38 BD to T+ 7B, delete references to Initial Settlement Statement Reissues in two places, and delete "as relevant" in last sentence.	These revisions correct outdated terms.
37.9.3.1	<p><i>"The CAISO will administer any penalties issued under this Section 37 through Initial Settlement Statements T + 38 BD, and Initial Settlement Statement Reissues or Recalculation Settlement Statements, as relevant, issued to the responsible Scheduling Coordinator by the CAISO."</i></p> <p>Due to changes made as part of payment acceleration, that sentence should now read: <i>"The CAISO will administer any penalties issued under this Section 37 through Initial Settlement Statements T + 38 BD, and Initial Settlement Statement Reissues or Recalculation Settlement Statements, as relevant, issued to the responsible Scheduling Coordinator by the CAISO."</i></p> <p>The rationale for this change, as provided by the business unit, is that "the Initial Settlement Statement is now published at T+7B, not T+38B and we no longer calculate or publish Initial Settlement Statement Reissues."</p>	This change is necessary to reflect payment acceleration settlement timelines.
37.9.3.1	Add "T+7B" after "Initial Settlement Statements" in first sentence of section.	This amendment makes a conforming typographical change to Section 37.9.3.1.
37.11.1	Add, "and no penalty will be assessed" to the end of the sentence before the table A2 that reads, "For cases where the CAISO....."; In table A2 - right hand column, delete the penalties in the second and fourth line; and add, "and no penalty will be assessed" to the end of the sentence that reads, "If the error is to the detriment...." in the notes to Table A2.	This amendment corrects a typographical error in section 37.11.1 and clarifies the application of penalties resulting from the inaccurate calculation of meter data.
37.11.1	Change three instances of "thirty percent (30%)" to "thirty (30) percent"; changed two instances of "seventy-five percent (75%)" to "seventy-five (75) percent"; added "percent" after "seventy-five" in last paragraph; changed "130%" to "one-hundred thirty (130) thirty"; changed "175%" to "one-hundred and seventy five (175) percent."	This amendment makes a conforming typographical change to section 37.11.1.
37.11.1	<p>Modify second sentence of section to read as follows: "However, an error in submitted Meter Data that exists after forty three (43) calendar days after the Trading Day (T+43C) is discovered after issuance of a Recalculation Settlement Statement constitutes a Rule of Conduct violation."</p> <p>In Notes to Tables A1 and A2, delete the two instances of the sentence "The applicable price will be the greater of the relevant hourly LMP or \$10/MWh," and replace with "The applicable price will be the greater of the simple average of the twelve (12) five-minute LMPs for each hour in which a meter data occurred or \$10/MWh." The phrase "hourly LMP" has no specific meaning under the Tariff.</p>	Clarification is necessary in light of payment acceleration
37.11.1	<p>The notes to Table A1 and A2 state: <i>"The applicable price will be the greater of the relevant hourly LMP or \$10/MWh. The LMP used will be the values posted on OASIS for each Trading Hour of the applicable Trading Day period."</i></p> <p>To clarify what the relevant hourly LMP is, the notes should state: <i>"The applicable price will be the greater of the simple average of the relevant twelve (12) five-minute LMPs for each hour in which inaccurate meter data occurred or \$10/MWh."</i></p>	This amendment provides clarity as to how the relevant penalties will be calculated.
39.3.1 (3)	Capitalize "transmission constraint."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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Section or Appendix	Description	Reason
39.6.1.6.1	In sub-section (1), remove "NYMEX" and lower-case "Natural Gas Futures;" in sub-section (2), remove "NYMEX;" in sub-section (4), change "froth" to "forth."	The first two amendments remove references to NYMEX because it was sold & eliminate references to proprietary markets in order to avoid changing the Tariff every time a name is changed. The third amendment corrects a typographical error.
39.7	Capitalize "Local Market Power Mitigation" and "Market Participants."	These revisions correct the use of defined terms.
39.7	Modify "constraint" in second sentence to read, "Transmission Constraints;" capitalize all occurrences of "transmission constraint(s)."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
39.7	Capitalize "congestion" in last sentence of section.	This amendment makes a conforming typographical change to section 39.7.
39.7.1	Capitalize "option" in first reference to "Variable Cost option"	This amendment corrects the use of a defined term.
39.7.1.1.1	Revise the last paragraph of the section to read as follows: "Heat rate curves and average cost curves shall be stored, updated, and validated in the Master File. To calculate the natural gas price, the CAISO will use different gas price indices for the Day-Ahead Market and the Real-Time Market and each gas price index will be calculated using at least two prices from two or more of the following publications: Natural Gas Intelligence, SNL Energy/BTU's and Daily Gas Wire, Platt's Gas Daily and the Intercontinental Exchange. If a gas price index is unavailable for any reason, the CAISO will use the most recent available gas price index. For the Day-Ahead Market, the CAISO will update the gas price index between 19:00 and 22:00 00:00 and 03:00 Pacific Time in the Day Ahead using natural gas prices published two days prior to the applicable Trading Day on the prior day , unless gas prices are not published on that day, in which case the CAISO will use the most recently published prices that are available. For the Real-Time Market, the CAISO will update gas price indices between the hours of 19:00 and 22:00 Pacific Time using natural gas prices published one day prior to the applicable Trading Day in the Day Ahead , unless gas prices are not published on that day, in which case the CAISO will use the most recently published prices that are available.	These revisions reflect the names of publications and timing of publications used to determine gas prices index and to publish a single gas price index each day while using the most recent published for each market application (i.e. real-time market uses more recent gas price index than prior day-ahead market) and to clarify that most recent available gas price index is used if a gas price index is unavailable for any reason.
39.7.1.1.1	Change "eighty percent (80%)" to "eighty (80) percent" in first and second paragraphs of section	This amendment makes a conforming typographical change to Section 11.10.1.4
39.7.1.2	Revise the section to read as follows: The CAISO will calculate the LMP Option for the Default Energy Bid as a weighted average of the lowest quartile of LMPs at the Generating Unit PNode in periods when the unit was Dispatched during the preceding ninety (90) days period for which LMPs that have passed the price validation and correction process set forth in Section 35 are available. The weighted average will be calculated based on the quantities Dispatched within each segment of the Default Energy Bid curve. The LMP Option for Default Energy Bids will not be available until ninety (90) days of LMP pricing has occurred. Each Bid segment created under the LMP Option for Default Energy Bids will be subject to a feasibility test, as set forth in a Business Practice Manual, to determine whether there are a sufficient number of data points to allow for the calculation of an LMP based Default Energy Bid. The feasibility test is designed to avoid excessive volatility of the Default Energy Bid under the LMP Option that could result when calculated based on a relatively small number of prices.	This amendment clarifies that price corrected prices are utilized to calculate the LMP option for default energy bids.
39.7.2.1	Capitalize "transmission constraints."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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Section or Appendix	Description	Reason
39.7.2.2	Capitalize two occurrences of "transmission constraint."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
39.10	Capitalize "transmission" in sub-section (1); make "Constraints" lower-case in sub-section (2).	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
40.3.1.2	Remove the following two lines off of the LCR table: 9. SLG fault (stuck breaker or protection failure) for Bus section All other extreme combinations D1-14.	This amendment corrects an inadvertent error in section 40.3.1.2
40.5.1(1)	Change one instance of "one hundred percent (100%)" to "one-hundred (100) percent", changed two instances of "one hundred and fifteen percent (115%)" to "one hundred and fifteen (115) percent."	This amendment makes a conforming typographical change to section 40.5.1(1).
40.5.1(1)(iv)	Change "one hundred percent (100%)" to "one-hundred (100) percent"	This amendment makes a conforming typographical change to section 40.5.1(1)(iv).
40.5.1(3)	Change "one hundred and seven percent (107%)" to "one hundred and seven (107) percent"	This amendment makes a conforming typographical change to section 40.5.1(3).
40.5.2	Correct punctuation error by replacing "including, but not necessary limited to the California Legislature" with "including, but not necessarily limited to, the California Legislature".	This amendment makes a typographical correction to section 40.5.2.
40.6.2	Replace "Resource Adequacy capacity" with "Resource Adequacy Capacity".	This amendment makes a conforming typographical change to section 40.6.2.
40.6.2	Change "one hundred percent (100%)" to "one-hundred (100) percent"	This amendment makes a conforming typographical change to section 40.6.2.
40.6.4.1	Replace semicolon with colon immediately after the phrase "but not limited to".	This amendment makes a typographical correction to section 40.6.4.1.
40.6.8	In two places in the section, replace "RA capacity" with "RA Capacity" to match up with the definition of that term in Appendix A to the tariff.	This amendment makes a conforming typographical change to section 40.6.8.
40.6.8	Revise the last sentence of Section 40.6.8 to read as follows: "Notwithstanding any of the provisions of Section 40.6.8 set forth above, the CAISO will not insert any Bid <u>in the Real-Time Market required under this Section 40</u> for a Resource Adequacy Resource that is a Use-Limited Resource <u>unless the resource submits an Energy Bid and fails to submit an Ancillary Service Bid.</u> "	This amendment specifies that the ISO may insert a bid required under Section 40 for a resource adequacy resource that is use-limited, if the resource submits an energy bid and fails to submit an ancillary service bid.
41.5.1	Change "Constraint" to "Constraints" in sixth sentence of second paragraph	This amendment makes a typographical correction to section 41.5.1.
43.1.2.1	Revise first paragraph of section to read as follows: "Where the CAISO determines that a need for ICPM Capacity exists under Section 43.1.2, but prior to any designation of ICPM Capacity, the CAISO shall issue a Market Notice, no later than sixty (60) days before the beginning of the Resource Adequacy Compliance Year making such a procurement, identifying the deficient Local Capacity Area and the quantity of capacity that would permit the deficient Local Capacity Area to comply with the Local Capacity Technical Study criteria provided in Section 40.3.1.1 and, where only specific resources are effective to resolve the Reliability Criteria deficiency, the CAISO shall provide the identity of such resources. Any Scheduling Coordinator may submit a revised annual Resource Adequacy Plan within thirty (30) days of the beginning of the Resource Adequacy Compliance Year <u>date of the Market Notice</u> demonstrating procurement of additional Local Capacity Area Resources consistent with the Market Notice issued under this Section."	These revisions better align tariff provisions regarding cure period with resource adequacy program objectives.
43.7.1	Add "Area" after "Local Capacity" in last sentence of section.	This amendment makes a typographical correction to section 43.7.1.

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Section or Appendix	Description	Reason
43.7.3	Modify text of section to read as follows: In addition to the ICPM Capacity Payment identified in Section 43.6, ICPM resources shall be entitled to retain any revenues received as a result of their selection in the CAISO Markets, provided, however, that ICPM resources are required to participate in the RUC process will be optimized using a through submission of a zero (\$0) dollar RUC Availability Bid and are not eligible to receive compensation through the RUC process.	The ISO SIBR system is now capable of generating RUC Availability Bids for Resource Adequacy Capacity and ICPM capacity. Accordingly, it is no longer necessary for a Scheduling Coordinator to submit such bids. These tariff changes remove this obligation from the tariff.
44	Delete text of Section 44 as designate as NOT USED	This section should be deleted in its entirety because tariff authority was only applied for the first 30 days of the new market.
Appendix A	Aggregated Participating Load -- correct typographical error by replacing the phrase "for the purposes of enabling participating of the Participating Load" with "for purposes of enabling participation of the Participating Load".	This amendment makes a typographical correction to this definition.
Appendix A	All Constraints Run - Capitalize "transmission"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Available Transfer Capability (ATC) - Add a comma after "MW" in first line and delete the comma after "Rights" in second line; change "allocation" to "subtraction," change "rights" to "capacity," and change "to" to "from"	These revisions clarify the intent of the definition.
Appendix A	CAISO Audit Committee - Add a comma after "audit" in sub-section (1).	This amendment corrects grammar.
Appendix A	Competitive Constraints Run - Make "constraints" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Competitve Constraint Run - Modify the definition of the term "Competitive Constraint Run."	This amendment clarifies the definition of Competitive Constraint Run to make the description consistent with Section 31.2.1, which specifies that only transmission constraints pre-designated as competitive constraints are enforced.
Appendix A	Congestion - Add "Transmission" before "Constraint"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Constraints - Delete the defined term.	Propose use of "Transmission Constraints," instead of "Constraints" and retain the definition for "Constraints" to use for the new term "Transmission Constraints." Then the term "constraints" can be used for the plain english meaning of the term.
Appendix A	Curtaillable Demand -- Delete the last sentence of this definition.	This amendment eliminates unnecessary language to define Curtaillable Demand.
Appendix A	Day-Ahead Inter-SC Trade Period - Remove reference to "12:00 p.m."	This removes redundant language from the tariff.
Appendix A	Delivery Network Upgrades - Change "constraints" to "Transmission Constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Estimated Aggregate Liability -- delete "or CRR Holder's" from the phrase "Market Participant's or CRR Holder's", because a Market Participant is defined in Appendix A to include a CRR Holder.	This amendment makes a conforming typographical change to this definition.
Appendix A	E-Tag - Capitalize "schedule"	This corrects the use of a defined term.

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Section or Appendix	Description	Reason
Appendix A	Forward Scheduling Charge -- correct punctuation error by replacing "including, but not limited to the costs" with "including, but not limited to, the costs".	This amendment makes a typographical correction to this definition.
Appendix A	Full Network Model - Capitalize "transmission"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Gross Load - Replace comma with semi-colon before beginning of sub-section (2); insert "of" before "Appendix I" and replace comma with semi-colon after "Appendix I" in sub-section (2); add "(3)" after "Appendix I; and;" change "customers" to "customer's" and replace comma with semi-colon in sub-section (a); replace comma with semi-colon at the end of sub-section (b) after "1978"	These amendments make typographical changes to this definition.
Appendix A	HASP AS Award - Change "awards" and "imports" to "award" and "import" and add "an" before each change.	This amendment makes typographical changes to this definition.
Appendix A	Henry Hub - Change definition to read, "The pricing point for natural gas futures contracts."	The first two amendments remove references to NYMEX because it was sold & eliminate references to proprietary markets in order to avoid changing the Tariff every time a name is changed.
Appendix A	Locational Marginal Price - Capitalize "transmission" and delete "facility."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	LSE - Replace "Load-Serving Entity" with "Load Serving Entity".	This amendment makes a conforming typographical change to this definition.
Appendix A	Market Participant - Make the word "Capacity" lowercase.	This corrects an inaccurate reference in the tariff.
Appendix A	Market Usage Charge -- correct punctuation error by replacing "including, but not limited to the costs" with "including, but not limited to, the costs";	This amendment makes a conforming typographical change to this definition.
Appendix A	Material Change in Financial Condition -- in three places in the definition, delete "or CRR Holder" from the phrase "Market Participant or CRR Holder", because a Market Participant is defined in Appendix A to include a CRR Holder; for similar reasons, replace the phrase "Market Participant's or CRR Holder's" with "Market Participant's", and replace the phrase "Market Participant or CRR Holder's" with "Market Participant's; change "five percent (5%" to five (5) percent."	This amendment makes a conforming typographical change to this definition.
Appendix A	Net Procurement - Delete the "s" from "MWs" and delete ", (ii)"	This amendment corrects grammar.
Appendix A	Non-Dynamic System Resource - Change "be" to "been"	This amendment corrects grammar.
Appendix A	Non-priced Quantity - capitalize "transmission constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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Section or Appendix	Description	Reason
Appendix A	Participating Generator - Add language to permit generators with units between 500 kW and 1 MW to elect to be Participating Generators, add numbers before the three categories of Participating Generator, delete "and/," and add "or QF PGA" at the end of the definition.	These revisions clarify the circumstances under which a generator with a small generating unit will be required to comply with ISO tariff requirements applicable to participating generators. This clarification is a companion to the revisions to Section 4.6.3.1 and both conform to the similar tariff revisions filed by the ISO in Docket No. ER10-1755. These revisions also provide a clarification reflecting the ISO's past practice of excluding very small generating units from participation in its markets and clarify that a QF Participating Generator may satisfy its requirement to enter into an agreement with the ISO by executing the QF PGA instead of the regular PGA.
Appendix A	Participating TO Service Territory - Insert "(PTO)" in the defined term	This amendment clarifies the defined term.
Appendix A	PTO Service Territory - Delete the definition and insert reference to defined term "Participating TO Service Territory"	This amendment eliminates duplicate definitions.
Appendix A	Qualifying Facility - Delete "small" before "qualifying" and add "small" after qualifying"; insert a period after "C.F.R"	This amendment makes a typographical correction to this definition.
Appendix A	Reliability Services Costs - Change "an Reliability Must-Run Contract" to "a Reliability Must-Run Contract"	This corrects a typographical error.
Appendix A	RUC Zone - Change "CASIO" to "CAISO"	This amendment corrects a typographical error.
Appendix A	Scheduling Coordinator ID Code (SCID) - Delete "Bid component that indicates the" and capitalize "Identification"	This amendment corrects the use of a defined term.
Appendix A	Seasonal CRR Load Metric -- Change .05 percent to 0.5 percent.	This amendment makes the definition of Seasonal CRR Load Metric consistent with Section 36.8.2.1
Appendix A	Security Constrained Unit Commitment - Capitalize "transmission"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Security Constrained Unit Commitment - Modify the definition of the term "Security Constrained Unit Commitment."	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
Appendix A	Self-Commitment Period -- correct typographical error by replacing the phrase "Submission to Self-Provide an Ancillary Services" with "Submission to Self-Provide an Ancillary Service".	This amendment makes a typographical correction to this definition.
Appendix A	Settlement Period - Add a comma after "transactions" and remove a comma after "hour" in the first line of the definition.	This amendment corrects grammar.
Appendix A	Settlements, Metering, and Client Relations Charge -- add the word "Code" to the end of the phrase "Scheduling Coordinator ID"; correct punctuation error by replacing "including, but not limited to the costs" with "including, but not limited to, the costs".	This amendment makes a typographical correction to this definition.
Appendix A	Shadow Price - Make "Constraint" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Short-Term Unit Commitment - Modify the definition of the term "Short-Term Unit Commitment."	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
Appendix A	Simultaneous Feasibility Test - Capitalize "transmission" and delete "system."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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Section or Appendix	Description	Reason
Appendix A	Spinning Reserve Obligations - Delete the "s" from "Obligations" in the defined term	This amendment makes the term consistent with its definition.
Appendix A	System Resource - Capitalize "schedule"	This amendment corrects the use of a defined term.
Appendix A	Time Horizon - Delete definition of the term "Time Horizon."	This amendment clarifies applicable time periods for market processes and eliminates conflicting uses of defined term "Time Horizon."
Appendix A	Transmission Constraints - Add new definition that reads, "Physical and operational limitations on the transfer of electrical power through transmission facilities."	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Tolerance Band - Insert "the" before the four instances of "number of Settlement Intervals per Settlement period"; change "three percent (3%) to "three (3) percent" in sub-section (2) in first and second paragraphs	This amendment clarifies grammar and a typographical error.
Appendix A	Total Transfer Capability - Insert hyphens between "pre Contingency" and "post Contingency"	This amendment corrects a typographical error.
Appendix A	Transmission Constraints Enforcement Lists - Capitalize all instances of "transmission;" add "Transmission" before "Constraints" in fourth and fifth sentences; make three instances of "Constraints" lower-case in last sentence of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix A	Unaccounted for Energy (UFE) - add a comma after "Service Area Transmission Losses."	This clarifies a potentially unclear reference in the tariff.
Appendix A	Unsecured Credit Limit -- replace the phrase "Market Participant or CRR Holder" with "Market Participant", because a Market Participant is defined in Appendix A to include a CRR Holder.	This amendment makes a conforming typographical change to this definition.
Appendix A	Voltage Limits - Insert hyphens between two instances of "post Contingency"	This amendment corrects a typographical error.
Appendix A	WSCC Reliability Criteria Agreement - Delete defined term	<p>The ISO proposes to delete the provisions of Sections 4.6.5.2 and 4.6.5.3 pursuant to the letter dated September 29, 2010 by the Western Electricity Coordinating Council notifying the ISO of its intent to terminate its Reliability Management System (RMS) agreement and associated reliability criteria agreement with the ISO. On October 11, 2010, WECC also filed with the Commission a notice of proposed cancellation of its RMS agreement originally filed in Docket No. ER99-3396-000. WECC has represented in its letter to the ISO that the RMS served as a predecessor to the mandatory reliability standards approved by the Commission under its authority pursuant to section 215 of the Federal Power Act (16 U.S.C. §824o) and that the Commission's approval of these standards has rendered the RMS redundant for users, owners, and operators of the bulk power system in the United States. In its notice of proposed cancellation filed with the Commission, WECC has made a similar representation that the RMS has been rendered obsolete.</p> <p>The provisions of Sections 4.6.5.2 and 4.6.5.3 incorporate requirements applicable to generators intended to bind the generators to comply with reliability criteria agreements pursuant to WECC RMS requirements applicable to generators. The ISO has incorporated this requirement into the tariff for no purpose other than to satisfy the requirements of its own RMS agreement with WECC. As the ISO's RMS agreement with WECC will be terminating, and as WECC has represented that the RMS is redundant to the mandatory reliability standards and is obsolete, the ISO sees no further purpose in requiring generators to comply with WECC requirements for generators set forth in Sections 4.6.5.2 and 4.6.5.3. For this reason, the ISO proposes to delete the provisions of these sections from the tariff -- and to delete the associated defined term "WSCC Reliability Criteria Agreement" that is used solely to implement those sections.</p>

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Section or Appendix	Description	Reason
Appendix B.4, Article IV, section 4.3	Add a comma after "and the Participating Load shall not submit;" delete the comma after "Bids"	This corrects a typographical error.
Appendix B.4, Article IV, section 4.6.1	Add a comma after "When the Scheduling Coordinator on behalf of the Participating Load submits a Bid"	This corrects a typographical error.
Appendix B.6, Article I	Insert "DEFINITIONS AND INTERPRETATION" as the title of the article under the Article I heading	This amendment corrects an inadvertent omission from the tariff.
Appendix B.8, Article III, section 3.2	Change "Section 22.10 and 22.4.2" to "Section 15"	This corrects an inaccurate reference in the tariff.
Appendix B.8, Article III, section 3.4.1	Change "Sections 22.10" to "Sections 22.11"	This corrects an inaccurate reference in the tariff.
Appendix B.8, Article III, section 3.6	Delete the last sentence in the section and then add the following sentence after the first sentence in the section: <u>"The Parties agree to exchange operational contact information in a format to be provided by the CAISO and completed as of the effective date of this Operating Agreement. Each Party shall provide the other Party ten (10) calendar days advance notice of updates to its operational contact information as that information is expected to change."</u>	This removes a requirement for inclusion of confidential operational contact information in the ISO's pro forma agreements in order to reduce the possibility of accidental disclosure and reduce the extra effort associated with the filing of confidential information with FERC.
Appendix B.8, Schedule 2	Remove confidential phone numbers	This removes a requirement for inclusion of confidential operational contact information in the ISO's pro forma agreements in order to reduce the possibility of accidental disclosure and reduce the extra effort associated with the filing of confidential information with FERC.
Appendix B.8, Schedule 5	Delete the following text in the first sentence, ", as identified in Schedule 2,"	This removes a requirement for inclusion of confidential operational contact information in the ISO's pro forma agreements in order to reduce the possibility of accidental disclosure and reduce the extra effort associated with the filing of confidential information with FERC.
Appendix B.9, section 3.4	Delete the last sentence in the section and then add the following text to the end of the section, <u>"The Parties agree to exchange operational contact information in a format to be provided by the CAISO and completed as of the effective date of this Agreement. Each Party shall provide the other Party ten (10) calendar days advance notice of updates to its operational contact information as that information is expected to change."</u>	This removes a requirement for inclusion of confidential operational contact information in the ISO's pro forma agreements in order to reduce the possibility of accidental disclosure and reduce the extra effort associated with the filing of confidential information with FERC.
Appendix B.9, section 11.4	Replace "ICAA" with "Section" in the first sentence.	This amendment clarifies a reference to a section of an agreement.
Appendix B.9, Schedule 1	Delete "POINTS OF CONTACT [Section 3.4]" under the Schedule 1 heading	This amendment makes a conforming typographical change to Appendix B.9
Appendix B.9, Schedule 1	Delete items and designate schedule as "NOT USED"	This removes a requirement for inclusion of confidential operational contact information in the ISO's pro forma agreements in order to reduce the possibility of accidental disclosure and reduce the extra effort associated with the filing of confidential information with FERC.
Appendix B.10, Article III, section 3.4	Change "Section 22.10 and 22.4.3" to "Section 15"	This corrects an inaccurate reference in the tariff.

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Section or Appendix	Description	Reason
Appendix B.10, Article III, section 3.6.1	Change "Sections 22.10" to "Sections 22.11"	This corrects an inaccurate reference in the tariff.
Appendix B.10, Article III, section 3.8	Delete the last sentence in the section and then add the following text to the end of the section: <u>"The Parties agree to exchange operational contact information in a format to be provided by the CAISO and completed as of the effective date of this Operating Agreement. Each Party shall provide the other Party ten (10) calendar days advance notice of updates to its operational contact information as that information is expected to change."</u>	This removes a requirement for inclusion of confidential operational contact information in the ISO's pro forma agreements in order to reduce the possibility of accidental disclosure and reduce the extra effort associated with the filing of confidential information with FERC.
Appendix B.10, Schedule 3	Remove confidential phone numbers	This removes a requirement for inclusion of confidential operational contact information in the ISO's pro forma agreements in order to reduce the possibility of accidental disclosure and reduce the extra effort associated with the filing of confidential information with FERC.
Appendix B.10, Schedule 3	Delete "OPERATIONAL CONTACTS" under the Schedule 3 heading.	This amendment makes a conforming typographical change to Appendix B.10
Appendix B.14 Article 4 Section 4.3	Change the word "Servicing" to "Serving" in the last sentence of the section.	This amendment makes a conforming typographical change to Appendix B.14
Appendix C, section B	Replace "the ISO" with "the CAISO"	This amendment makes a conforming typographical change to Appendix C.
Appendix C, Section C	Capitalize "transmission constraints" in the line directly under formula, add "Transmission" and capitalize constraints in first and second lines of last paragraph of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix C, Section G.1.1	Capitalize "transmission" in third sentence of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix E, Section 6	Make two occurrences of "Constraint(s)" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix F, Schedule 1 Part A	Revise appendix as follows: 8. The rate for the Settlements, Metering, and Client Relations Charge will be fixed at \$1000.00 per month, per Scheduling Coordinator ID Code (SCID) with a <u>non-zero</u> invoice value <u>where the non-zero value reflects market activity</u> other than \$0.00 in the current Trading Month.	These revisions clarify that monthly settlements, metering, and client relations charge applies only to active SCIDs in a given month.
Appendix F, Schedule 1, Part F	Delete information and designate as [NOT USED]	This removes an outdated provision, as PG&E no longer acts as Path 15 facilitator.
Appendix F, Schedule 3, section 1.1(c)	Add the following sentence to the end of the section: " At the conclusion of the 10-year TAC Transition Period, the Transition Charge will cease to apply, and the HVAC will be based on the single CAISO Grid-wide rate."	This amendment clarifies the effect of the end of the transition period on the tariff provisions that currently determine the ISO's transmission access charges.

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Section or Appendix	Description	Reason
Appendix F, Schedule 3, section 2	Add the following sentence to the end of the section: "At the conclusion of the ten-year TAC Transition Period, the Transition Charge will cease to apply, and the HVAC will be based on the single CAISO Grid-wide rate."	This clarifies the effect of the end of the transition period on the tariff provisions that currently determine the ISO's transmission access charges.
Appendix F, Schedule 3, section 5.1	Add the following sentence to the end of the section: "At the conclusion of the ten-year TAC Transition Period, the Transition Charge will cease to apply, and the HVAC will be based on the single CAISO Grid-wide rate."	This clarifies the effect of the end of the transition period on the tariff provisions that currently determine the ISO's transmission access charges.
Appendix F, Schedule 3, section 5.2	In last sentence of this section, change "PTO" to subscript and add the following text to the end of the section: "At the conclusion of the ten-year TAC Transition Period, the Transition Charge will cease to apply, and the HVAC will be based on the single CAISO Grid-wide rate. Accordingly, the requirement for each Participating TO to divide its HVTRR into new and existing components shall cease to apply."	This amendment makes a typographical correction to Section 5.2 of Schedule 3 of Appendix F.
Appendix F, Schedule 3, section 5.5	On second line of this section, change "PTO" to subscript	This amendment makes a typographical correction to Section 5.5 of Schedule 3 of Appendix F.
Appendix F, Schedule 3, section 5.6	Change "I" and "A" in first formula to subscript	This amendment makes a typographical correction to Section 5.6 of Schedule 3 of Appendix F.
Appendix F, Schedule 3, section 5.9	Add the following sentence to the end of the section, ", and the provisions of this Section 5 of this Schedule 3 referring to the calculation and application of the TAC Transition Charge shall cease to apply."	This amendment clarifies the application of the TAC transition charge.
Appendix F, Schedule 3, section 5.9	Delete extra period at the end of the section.	This amendment makes a typographical correction to Section 5.9 of Schedule 3 of Appendix F.
Appendix F, Schedule 3, section 7	Add the following sentence to the end of the section: "At the conclusion of the ten-year TAC Transition Period, the Transition Charge and the obligations set forth in this Section 7 of this Schedule 3 will cease to apply, and the HVAC will be based on the single CAISO Grid-wide rate."	This clarifies the effect of the end of the transition period on the tariff provisions that currently determine the ISO's transmission access charges.
Appendix F, Schedule 3, section 8.2	In last sentence, replace "separately from" with "in"	This conforms the tariff provisions to the ISO's current practice in issuing settlements invoices.
Appendix F, Schedule 3, section 13.2	In second-to-last sentence in first paragraph, replace "the ISO" with "the CAISO, delete "on a monthly basis" in second-to-last sentence in first paragraph" and replace "separately from" with "in" in last sentence in second paragraph,	This conforms the tariff provisions to the ISO's current practice in issuing settlements invoices.
Appendix G, Article 1	Definition of Competitive Constraint Run - add an "s" to "Constraint"	This corrects a typographical error.
Appendix G, Article 1	Definition of Forced Outage -- replace "hour-head" with "hour-ahead".	This amendment makes a conforming typographical change to this definition.
Appendix G, Article 4, Section 4.1.(c)(i)(C)	Make "Constraints" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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Section or Appendix	Description	Reason
Appendix G, Article 4, Section 4.6(iv)	Make "Constraint" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix G, Article 4, Section 4.9(a)(iii)	Make "Constraints" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix G, Article 5, Section 5.1(a)	Make "Constraints" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix G, Article 5, section 5.2(b)	Add an "s" to "Constraint"	This corrects a typographical error.
Appendix G, Article 6, Section 6.1(b)(v)	Make "Constraints" lower-case	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix L, Section L.1.1	Change "Constraints" to "Transmission Constraints" in first line of second paragraph.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix L, Section L.1.3	Change the word "Constraints" to "Transmission Constraints"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix L, Section L.1.6	Replace "The ISO" with "The CAISO".	This amendment makes a conforming typographical change to this definition.
Appendix L, Section L.1.7	Replace "The ISO" with "The CAISO".	This amendment makes a conforming typographical change to this definition.
Appendix L, Section L.1.7	Capitalize "transmission" in fourth bullet	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix L, Section L.2	Add the word "Transmission" before "Constraint" in first column of table; capitalize "transmission" in third column of table for " Transmission Constraint"	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.

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Section or Appendix	Description	Reason
Appendix L, Section L.4	Add "Transmission" before "Constraints" in third sentence of section.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix M	Add "FERC" before "Docket No. RR07-11-000"; change "the Commission" to "FERC"; add "CAISO" before "Tariff"	This amendment clarifies references to FERC proceedings.
Appendix O, section 9.4	Change "tariff" to "Tariff"	This amendment makes a conforming typographical change to Section 9.4 of Appendix O.
Appendix P, section 5.1.7	"Where the CAISO disagrees with DMM's recommendation pursuant to Section 5.1 of this Appendix P or DMM disagrees with a proposed market rule, tariff, or market design change, CAISO shall notify the Commission of such disagreement. Such notification shall be made in writing to the Commission's Director of the Office of Energy Market Regulation as part of a referral under Section 12 of this Appendix P. "	This amendment is necessary because the current version of Appendix P, Section 5.1.7 refers to a referral under Appendix P, Section 12. However, that section describes the procedures for a DMM referral to FERC, whereas Appendix P, Section 5.1.7 deals with the ISO's reporting obligation to FERC.
Appendix P, section 5.5	Change "CAISO's Tariff" to "the CAISO Tariff"	This amendment makes a conforming typographical change.
Appendix P, section 5.5.1	Change "Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, Section 5.5.3	Capitalize "transmission" in last sentence.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix P, section 8.1.4	Change "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 8.5.1	Add "of the CAISO Tariff" after "Section 37"	This amendment makes a conforming typographical change.
Appendix P, section 8.6	Add "of the CAISO Tariff" after "Section 24"	This amendment makes a conforming typographical change.
Appendix P, section 11.1	Change each occurrence of "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 11.1.3	Change "the Commission" to "FERC"; delete brackets on either side of the section numbers	This amendment makes a conforming typographical change.
Appendix P, section 11.2	Change each occurrence of "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 11.2	Add "or" between "electronically" and "by" and delete the comma after "electronically"	This amendment makes a conforming typographical change.
Appendix P, section 11.3	Change "the Commission's" to "FERC's"	This amendment makes a conforming typographical change.
Appendix P, section 11.4.7	Change "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 11.5	Change each occurrence of "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 11.5	Add "or FERC staff" at the end of the section.	This amendment makes a conforming typographical change.
Appendix P, section 12.1	Change each occurrence of "the Commission" to "FERC"	This amendment makes a conforming typographical change.

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Section or Appendix	Description	Reason
Appendix P, section 12.2	Change each occurrence of "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 12.2	Add "or" between "electronically" and "by" and delete the comma after "electronically"	This amendment makes a conforming typographical change.
Appendix P, section 12.3	Change "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 12.4.4	Change "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix P, section 12.5	Change "the Commission" to "FERC"	This amendment makes a conforming typographical change.
Appendix Q section 4.1	Delete, "on the half hour" in the second sentence of this section.	This clarifies the ISO's requirements and makes the tariff consistent with actual practice.
Appendix Q section 4.2	Delete text and designate as [NOT USED]	This clarifies the ISO's requirements and makes the tariff consistent with actual practice.
Appendix U, section 11.3	The second paragraph should read: "The Interconnection Customer shall either: (i) execute four <u>the appropriate number of</u> originals of the tendered LGIA <u>as specified in the directions provided by the CAISO</u> and return one to the applicable Participating TO(s) and two them to the CAISO, <u>as directed, for completion of the execution process</u> ; or (ii) request in writing that the applicable Participating TO(s) and CAISO file with FERC an LGIA in unexecuted form. <u>The LGIA shall be considered executed as of the date that all Parties have signed the LGIA.</u> "	This amendment clarifies the process for execution of the LGIA.
Appendix U, Appendix 3 - Interconnection Feasibility Study Agmt., section 5.0	Add dashed bullets to items listed under this section	This amendment makes a conforming typographical change.
Appendix V, TOC	Delete TOC numbering	The Table of Contents numbering is inaccurate.
Appendix V, TOC	Add a comma after "Damages" in title for Article 18	This amendment makes a typographical correction.
Appendix V, TOC	Appendices to LGIA - change description of Appendix G to [NOT USED]	This amendment conforms to the deletion of the text of Appendix G of the LGIA.
Appendix V, Article 1	Definition of NERC - change "Council" to "Corporation"	This amendment corrects an incorrect reference in the LGIA
Appendix V, Article 5, section 5.4	Delete "and in accordance with the Reliability Management System in Appendix G."	This amendment conforms to the deletion of the text of Appendix G of the LGIA.
Appendix V, Article 9, section 9.1	Delete "and the Interconnection Customer shall execute the Reliability Management System Agreement of the Applicable Reliability Council attached hereto as Appendix G."	Execution of the WECC Reliability Management System Agreement is no longer necessary.
Appendix V, Article 9, section 9.6.2.1	Delete "and in accordance with the Reliability Management System Agreement in Appendix G."	This amendment conforms to the deletion of the text of Appendix G of the LGIA.

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Section or Appendix	Description	Reason
Appendix V, Article 30, section 30.11	Delete reference to "Appendix G"	This conforms to the deletion of the text of Appendix G of the LGIA.
Appendix V, signature block, list of Appendices	Change description of Appendix G to [NOT USED]	This amendment conforms to the deletion of the text of Appendix G of the LGIA.
Appendix V, Appendix G to LGIA	Delete title and section and designate as [NOT USED]	Execution of the WECC Reliability Management System Agreement is no longer necessary.
Appendix X, section 8.4	Change "Scheduling" to "Schedules"	This corrects the use of a defined term.
Appendix Y, Article 6, Section 6.3.2.1	Capitalize "transmission" in last sentence of second paragraph.	The ISO intends to substitute the defined term "Transmission Constraints" for the defined term "Constraints." The definition for the term "Transmission Constraints" will be the same as the current definition of the term "Constraints." The ISO also intends to refer to "constraints" in the tariff pursuant to the plain meaning of that word.
Appendix Z, TOC, Article 11	Change description for 11.5 to "Provision of Interconnection Financial Security" and delete placeholders for 11.5.1, 11.5.2, 11.5.3	This corrects an inaccurate reference in the LGIA and corrects errors in formatting.
Appendix Z, Article 1	Definition of NERC - change "Council" to "Corporation"	This corrects an inaccurate reference in the LGIA.
Appendix Z, Article 5, section 5.16	Change "Section 2.4 of this LGIA" to "Article 2.4" in second paragraph	This corrects an inaccurate reference in the LGIA.
Appendix BB, TOC	Add a space after "Damages," in title for Article 18	This amendment makes a typographical correction.
Appendix BB, TOC	Appendices to LGIA - change description of Appendix G to [NOT USED]	This amendment conforms to the deletion of the text of Appendix G of the LGIA.
Appendix BB, Article 1	Definition of NERC - change "Council" to "Corporation"	This amendment corrects an incorrect reference in the LGIA
Appendix BB, Article 5, section 5.4	Delete "and in accordance with the Reliability Management System in Appendix G."	This amendment conforms to the deletion of the text of Appendix G of the LGIA.
Appendix BB, Article 9, section 9.1	Delete "and the Interconnection Customer shall execute the Reliability Management System Agreement of the Applicable Reliability Council attached hereto as Appendix G."	Execution of the WECC Reliability Management System Agreement is no longer necessary.
Appendix BB, Article 9, section 9.6.2.1	Delete "and in accordance with the Reliability Management System Agreement in Appendix G."	This amendment conforms to the deletion of the text of Appendix G of the LGIA.
Appendix BB, Article 30, section 30.11	Delete reference to "Appendix G"	This conforms to the deletion of the text of Appendix G of the LGIA.

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Section or Appendix	Description	Reason
Appendix BB, signature block, list of Appendices	Change description of Appendix G to [NOT USED]	This amendment conforms to the deletion of the text of Appendix G of the LGIA.
Appendix BB, Appendix G to LGIA	Delete title and section and designate as [NOT USED]	Execution of the WECC Reliability Management System Agreement is no longer necessary.
Appendix CC, Article 1	Definition of NERC - change "Council" to "Corporation"	This corrects an inaccurate reference in the LGIA.
Appendix CC, Article 5, section 5.16	Change "Section 2.4 of this LGIA" to "Article 2.4" in second paragraph	This corrects an inaccurate reference in the LGIA.