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Issued by: Roger Smith, Senior Regulatory Counsel Issued on: April 20, 2000

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CALIFORNIA INDEPENDENT SYSTEM OPERATOR CO FERC ELECTRIC TARIFF ORIGINAL VOLUME NO. I	RPORATION	Second Revised Sheet No. 271 Replacing First Revised Sheet No. 271
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CALIFORNIA INDEPENDENT SYSTEM OPERATOR CORPORATION
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CALIFORNIA INDEPENDENT SYSTEM OPERATOR CORPORATION FERC ELECTRIC TARIFF ORIGINAL VOLUME NO. I	Second Revised Sheet No. 281 Replacing First Revised Sheet No. 281
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Issued by: N. Beth Emery, General Counsel and Vice President Issued on: June 1, 1998 Effective: March 31, 1998

#### 26. TEMPORARY CHANGES TO ANCILLARY SERVICES PENALTIES

# 26.1 Application and Termination

The temporary change, respecting Ancillary Services penalties, set out in Section 26.2 shall continue in effect until such time as the Chief Executive Officer of the ISO issues a Notice of Full-Scale Operations, posted on the ISO Internet "Home Page", at http://www.caiso.com, or such other Internet address as the ISO may publish from time to time, specifying the date on which this Section 26 shall coase to apply, which date shall be not less than seven (7) days after the Notice of Full-Scale Operations is issued.

26.2 For so long as this Section 26.2 remains in effect, Scheduling Coordinators shall not be liable for the penalties specified in Section 2.5.26 of the ISO Tariff if, as a result of limitations associated with the ISO's Congestion Management software, the scheduled output of the resource from which the Scheduling Coordinator has committed to provide an Ancillary Service is adjusted by the ISO to a level that conflicts with the Scheduling Coordinator's Ancillary Service capacity commitments, thereby resulting in a failed availability test.

Issued by: N. Beth Emery, General Counsel and Vice President

Issued on: July 27, 1998 Effective: July 27, 1998

# 27. TEMPORARY RULE LIMITING ADJUSTMENT BIDS APPLICABLE TO DISPATCHABLE LOADS AND EXPORTS

# 27.1 Application and Termination

The temporary change limiting Adjustment Bids for Dispatchable Loads and exports set out in Section 27.2 shall continue in effect until such time as the Chief Executive Officer of the ISO posts a notice ("Notice of Full-Scale Operations"), on the ISO Home Page specifying the date on which this Section 27 shall cease to apply, which date shall not be less than seven (7) days after the Notice of Full-Scale Operations is posted.

27.2 For so long as this Section 27.2 remains in effect, Scheduling Coordinators shall continue to be allowed to specify Adjustment Bids for Dispatchable Loads and exports, conditioned on the rule that the last segment of the Adjustment Bid (i.e., the maximum MW value) must equal the preferred MW operating point specified for the Dispatchable Load or export.

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Issued on: June 1, 1998 Effective: March 31, 1998

# 28. TEMPORARY RULE DISQUALIFYING CERTAIN ENERGY AND ANCILLARY SERVICE BIDS

# 28.1 Application and Termination

The temporary change disqualifying certain Energy and Ancillary Service bids set out in Section 28.2 shall continue in effect until November 15, 2000, unless its effectiveness is extended by order of the FERC.

#### 28.2 Disqualification of Certain Energy and Ancillary Service Bids

The ISO may establish price levels for the Imbalance Energy market and/or for any Ancillary Service market above which any bid for a Settlement Period to which the price level applies will be rejected. The ISO shall establish such price levels in accordance with criteria adopted by the ISO Governing Board from time to time and shall publish such price levels on WEnet or the ISO Home Page in advance of the first Settlement Period for which the ceiling price levels apply.

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Issued on: September 17, 1999 Effective: November 15, 1999

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# 30. YEAR 2000 COMPLIANCE

# 30.1 Y2K Compliance

"Y2K Compliance" or "Y2K Compliant" means hardware, software, firmware, or other systems or processes (hereafter "systems and processes") that correctly manage, calculate, compare and sequence date data from, into and between the 20<sup>th</sup> and 21<sup>st</sup> centuries, including leap year calculations, without human intervention. Y2K Compliant systems and processes must utilize input and output date formats that are compatible with the ISO's systems and processes, must conform to the International Organization for Standardization ISO 8601:1988 standards for representation of dates and must not cause incorrect date calculations.

### 30.2 Responsibility for Y2K Compliance

It is the sole responsibility of each Market Participant or other entity that interfaces with the ISO's systems and processes to ensure that the entity's interfacing systems or processes are Y2K Compliant. The ISO will provide joint Y2K test opportunities to ensure interoperability between the ISO systems and external systems that interface with the ISO (e.g., Scheduling Coordinators, and other entities). This proactive test program is an opportunity to minimize the possibilities of transmitting Y2K related erroneous data to the ISO. Participation in this testing program is voluntary, and not a requirement.

#### 30.3 Disconnection of Non-Y2K Compliant Systems and Processes

In order to protect and maintain the integrity of the ISO's systems and processes, the ISO shall have the authority to immediately disconnect the systems or processes of any Scheduling Coordinator or other entity that is believed by the ISO to be passing Y2K related erroneous data;

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i.e., data from systems and processes that do not meet the Section 30.1 standards for Y2K Compliance. The ISO will immediately notify the disconnected Scheduling Coordinator or other entity of the reason for the action taken by the ISO. The ISO shall permit such Scheduling Coordinator or other entity to reestablish interfaces with the ISO after receiving and approving documented test results showing that the disconnected systems or processes are Y2K Compliant and would not otherwise adversely affect the ISO's systems and processes. The ISO will review and approve or reject documented test results within two (2) business days of their receipt. The ISO will reconnect the entity within one (1) business day of the ISO's approval.

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