

California ISO Fifth Replacement Conformed Tariff

Effective as of August 30, 2023

- Website Notes -

Currently approved and effective tariff language is posted on the ISO's website at:
<http://www.caiso.com/rules/Pages/Regulatory/Default.aspx>

Previous full versions of the Tariff can be found in the Tariff Archive:
<http://www.caiso.com/rules/Pages/Regulatory/TariffArchive/Default.aspx>

To view older Tariff Amendment Filings, please see:
<http://www.caiso.com/rules/Pages/Regulatory/Amendments/Default.aspx>

To view the Tariff on file at FERC, please see:
<https://etariff.ferc.gov/TariffBrowser.aspx?tid=848>

Amendments pending with FERC (not yet approved)

The following Tariff Amendment filings were submitted to FERC and are not yet approved by FERC and are ***not reflected in the posted version of the conformed tariff***. The pending language can be found in the filing submitted to FERC and will be added to the conformed tariff once it has been approved and becomes effective.

- Aug 22, 2023: Tariff amendment to enhance and extend the day-ahead market in the West (ER23-2686). Proposed effective dates of December 21, 2023 and May 1, 2025. See Filing: <http://www.caiso.com/Documents/Aug22-2023-DAME-EDAM-Tariff-Amendment-ER23-2686.pdf>.
- Aug 3, 2023: Tariff clarification filing to correct typographical errors, outdated cross references and inadvertent errors (ER23-2557). Proposed effective date is November 1, 2024. See Filing: <http://www.caiso.com/Documents/Aug3-2023-TariffAmendment-TariffClarification-2023-ER23-2557.pdf>.
- Aug 1, 2023: Tariff amendment to implement the second phase of the CAISO's energy storage enhancements initiative (ER23-2537). Proposed effective date is open-ended, no later than December 1, 2023. See Filing: <http://www.caiso.com/Documents/Aug1-2023-TariffAmendment-EnergyStorageEnhancements-Phase2-ER23-2537.pdf>.
- Jul 28, 2023: Tariff amendment to implement Transmission Service and Market Scheduling Priorities Initiative (ER23-2510). Proposed effective date is June 1,

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2024. See Filing: <http://www.caiso.com/Documents/Jul28-2023-TariffAmendment-WheelingThrough-ER23-2510.PDF>.

- Jul 28, 2023: Tariff amendment to remove submission deadline revisions and withdrawal in previous docket (ER23-2505 and ER21-955). Proposed effective date is October 1, 2023. See Filings: <http://www.caiso.com/Documents/Jul28-2023-PartialWithdrawal-SubmissionDeadlineRevisions-ER21-955-see-also-ER23-2505.pdf> and <http://www.caiso.com/Documents/Jul28-2023-TariffAmendment-RemovalofSubmissionDeadlineRevisions-ER23-2505-see-also-ER21-955.pdf>.
- Jul 21, 2023: Tariff amendment to revise competitive solicitation deposit and cap for project sponsors (ER23-2464). Proposed effective date is September 20, 2023. See Filing: <http://www.caiso.com/Documents/Jul21-2023-TariffAmendment-CompetitiveSolicitationDepositandCap-ER23-2464.pdf>.
- May 3, 2023: Compliance filing regarding North American Electric Standards Board (NAESB) compliance filing (ER23-426) and waiver filing (ER23-427). Proposed effective date is open-ended. See Filing: <http://www.caiso.com/Documents/May3-2023-ComplianceFiling-NorthAmericanEnergyStandardBoardNAESBVersion33-Part-II-ER23-426.pdf> and <http://www.caiso.com/Documents/May3-2023-WaiverFiling-NorthAmericanEnergyStandardBoardNAESBVersion33-Part-II-ER23-427.pdf>.

Amendments approved by FERC (not yet effective)

The following Tariff Amendment filings were submitted to, and approved by FERC, but are not yet effective and are ***not reflected in the posted version of the conformed tariff***. The pending language can be found in the filing submitted to FERC and will be added to the conformed tariff once it becomes effective.

- May 31, 2023: Tariff amendment adjusting the shift factor threshold and enhance market parameter chance process (ER23-2020). Proposed effective date is open-ended. See Filing: <http://www.caiso.com/Documents/May31-2023-Tariff-Amendment-Market-Parameters-ER23-2020.pdf>.
- Mar 31, 2023: Tariff amendment regarding the energy storage enhancements initiative (ER23-1533). Proposed effective date is open-ended. See Filing: <http://www.caiso.com/Documents/Mar31-2023-Tariff-Amendment-EnergyStorageEnhancements-ER23-1533.pdf>.
- Aug 15, 2022 and Jan 10, 2023: Compliance filing to update initial filing to comply with FERC Order No. 2222 (ER21-2455). Effective as of June 16, 2022

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and in the year 2024. See Filing: <http://www.caiso.com/Documents/Aug15-2022-ComplianceFiling-FERC-Order-No-2222-ER21-2455.pdf> and <http://www.caiso.com/Documents/Jan10-2023-Amendment-regarding-Compliance-FERC-Order-No-2222-ER21-2455.pdf>.

- Jul 12, 2022: Compliance filing regarding FERC Order No. 881 (ER22-2362). Proposed effective date in the year 2025. See Filing: <http://www.caiso.com/Documents/Jul12-2022-ComplianceFiling-FERCOrderNo881-ER22-2362.pdf>.
- Jan 27, 2022: Tariff amendment to implement transmission service and market scheduling priorities and to maintain wheeling through priorities (ER22-906). Two rounds of effective dates have been approved: June 1, 2022 for the first set and June 1, 2024 for the second. See Filing: <http://www.caiso.com/Documents/Jan27-2022-TariffAmendment-TransmissionService-and-MarketScheduling-WheelingThrough-ER22-906.pdf>.
- Jan 27, 2021 & Mar 25, 2021: Tariff amendment to enhance energy imbalance market (EIM) base schedule and real time settlement (ER21-955-000 & ER21-955-001). Two rounds of effective dates have been approved; October 2023 for the last set of changes (still pending). See Filing: <http://www.caiso.com/Documents/Jan27-2021-TariffAmendment-EIM-Enhancements-Real-Time-Settlements-and-BaseScheduleTimelines-ER21-955.pdf> and <http://www.caiso.com/Documents/Mar25-2021-Amendment-TariffChanges-EIM-Enhancements-Real-TimeSettlements-BaseScheduleEnhancements-ER21-955.pdf>

Amendments that have recently become effective

The following Tariff Amendment filings have been approved by FERC, are currently effective, and **are reflected in the posted version of the conformed tariff.**

- Jun 2, 2023: Tariff amendment to establish interconnection procedures for cluster 15 (ER23-2058). Effective date as of August 2, 2023. See Filing: <http://www.caiso.com/Documents/Jun2-2023-TariffAmendment-InterconnectionProcessEnhancements2023-Track1-ER23-2058.pdf>.
- Mar 31, 2023: Tariff amendment regarding the energy storage enhancements initiative (ER23-1533). A portion of the tariff records took effect on July 1, 2023. See Filing: <http://www.caiso.com/Documents/Mar31-2023-Tariff-Amendment-EnergyStorageEnhancements-ER23-1533.pdf>.
- Mar 31, 2023: Tariff amendment regarding resource sufficiency evaluation enhancements – phase 2 (ER23-1534). Effective as of July 1, 2023. See Filing:

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<http://www.caiso.com/Documents/Mar31-2023-Tariff-Amendment-ResourceSufficiencyEvaluationEnhancements-ER23-1534.pdf>.

Pending Compliance Filings

The following Compliance filings are still pending FERC approval. Pending language is shown in gray highlight in the posted conformed tariff.

- Jul 19, 2021: Tariff amendment to comply with FERC Order No. 2222 (ER21-2455). Effective June 16, 2022 and no later than November 1, 2024. See Filing: <http://www.caiso.com/Documents/Jul19-2021-TariffAmendmenttoComplywithFERCOrderNo2222-ER21-2455.pdf>.

FERC requires that all tariffs, tariff revisions, and rate change applications be filed electronically in compliance with Order 714.