

Section 11 – California ISO Settlements and Billing

This is an existing section that includes changes for the EDAM initiative and the ISO EDAM BAA

Initiative. All incremental changes due to the ISO EDAM BAA Initiative are added in redline.

*Incremental revisions to reflect stakeholder comments received on 9/14 are indicated with **yellow***

highlight.

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11.2 Settlement of Day-Ahead Market Transactions

11.2.6 EDAM Transfer System Resources

For Transfer System Resources associated with the CAISO Balancing Authority Area that support EDAM Transfers, the CAISO will settle such resources through a financially binding settlement in accordance with Section 11.35.1 and the marginal price described in Appendix C.

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11.5 Real-Time Market Settlements

11.5.10 EDAM Transfers in EIM

For Transfer System Resources associated with the CAISO Balancing Authority Area that support EIM Transfers, the CAISO will settle such resources through a financially binding settlement in accordance with Section 11.35.1 and the marginal price described in Appendix C.

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11.35 EDAM Related Settlements

Any surcharge or revenue distributed to the CAISO Balancing Authority Area in accordance with Section 33.11 will be allocated to the applicable Scheduling Coordinator pursuant to this Section 11.35, unless allocated directly to a Scheduling Coordinator for a Transmission Ownership Rights holder or Existing Contract rights holder as provided in Section 33.11.1.1.

11.35.1 Allocation of Revenue and Surcharge associated with EDAM Transfer System Resources for the CAISO Balancing Authority Area

11.35.1.1 Day-Ahead Settlement

11.35.1.1.1 Settlement of Transfer System Resources Associated with Existing Contract Rights and Transmission Ownership Rights Holders

For each hour in which the CAISO Balancing Authority Area is distributed

revenue or surcharge associated with the settlement of EDAM Transfer System Resources supporting EDAM Transfers in the day-ahead time horizon as provided in Section 33.11.3, and also associated with Existing Contract rights or Transmission Ownership Rights holders that have been scheduled by such parties in accordance with Section 33.18.2.2.1, the CAISO will directly allocate such revenue or surcharge to the applicable Existing Contract rights or Transmission Ownership Rights holder, subtracting the EDAM Transfer revenue associated with these transactions.

11.35.1.1.2 Settlement of Transfer System Resources associated with the CAISO Balancing Authority Area

For each hour in which the CAISO Balancing Authority Area is distributed revenue or surcharge associated with the settlement of EDAM Transfer System Resources in the CAISO Balancing Authority that support EDAM Transfers in the day-ahead time horizon as provided in Section 33.11.3, and not associated with Existing Contract rights and Transmission Ownership Rights holders that scheduled their rights in accordance with Section 33.18.2.1, the CAISO will net all CAISO EDAM Transfer System Resource settlement on an hourly basis to exclude EDAM Transfer System Resources associated with Existing Contract rights or Transmission Ownership Rights holders subtracting the applicable EDAM Transfer revenue, and will allocate all such revenue, or surcharge, to Scheduling Coordinators with the allocation ratio determined as the individual Scheduling Coordinator's metered Demand for that hour divided by the total CAISO metered Demand for that hour **excluding** balanced ETC/TOR metered demand.

11.35.1.2 Real-Time Settlement

11.35.1.2.1 Settlement of Transfer System Resources Associated with Existing Contract Rights and Transmission Ownership Rights Holders

For each Settlement Interval in which the CAISO Balancing Authority Area is distributed revenue or surcharge associated with the imbalance energy settlement of EIM Transfer System Resources supporting EIM transfers in the real-time time horizon as provided in Section 29.11(r), and also associated with Existing Contract rights or Transmission Ownership Rights holders that have been scheduled by such parties in accordance with Section 33.18.2.1, the CAISO will directly allocate such revenue or surcharge to the applicable Existing Contract rights or Transmission Ownership Rights holder, subtracting the EIM Transfer revenue associated with these transactions.

11.35.1.2.1 Settlement of CAISO Transfer System Resources

For each Settlement Interval in which the CAISO Balancing Authority Area is distributed revenue or surcharge associated with imbalance energy settlement of EIM Transfer System Resources supporting EIM transfers in the real-time time horizon as provided in Section 29.11(r), and not associated with Existing Contract rights or Transmission Ownership Rights holders that scheduled their rights in accordance with Section 33.18.2.1, the CAISO will net all CAISO EIM Transfer System Resources on an hourly basis, subtracting the applicable EIM Transfer revenue, and then allocate all such revenue, or surcharge, to Scheduling Coordinators with the allocation ratio determined as the individual Scheduling Coordinator's metered Demand for that hour divided by the total CAISO metered Demand for that hour excluding balanced ETC/TOR metered demand.

11.35.2 Allocation of Revenue and Surcharge associated with EDAM Transfer Revenue and EDAM Congestion Revenue for the CAISO Balancing Authority Area

11.35.2.1 EDAM Transfer Revenue Settlement

For each interval in which the CAISO Balancing Authority Area is distributed EDAM Transfer revenue or surcharge for Energy in accordance with Section 33.11.1.1.1, Imbalance Reserves in accordance with Section 33.11.1.1.2, or Reliability Capacity in accordance with Section 33.11.1.1.3, the CAISO will sum all such revenue, or surcharge, in each hour and allocate such revenue, or surcharge, as follows:

11.35.2.1.1 Existing Rights Released in Support of EDAM Transfers

To Scheduling Coordinators for Existing Contract rights and Transmission Ownership Rights holders in direct proportion to their released rights where transmission associated with such rights was released in advance as provided for in Section 33.18.2.2.2.

11.35.2.1.2 Remaining Rights in Support of EDAM Transfers

To Scheduling Coordinators for Existing Contract rights and Transmission Ownership Rights holders that exercised their rights based on the individual proportion of scheduled transmission rights supporting the EDAM Transfer, with the remainder, if any, allocated pro rata to Scheduling Coordinators based on Measured Demand excluding Demand associated with balanced ETC/TOR Self-Schedules.

11.35.2.2 EIM Transfer Revenue Settlement

For each interval in which the CAISO Balancing Authority Area is allocated EIM Transfer revenue or surcharge in accordance with **Section** 11.5.4.1.5, the CAISO will allocate such revenue, or surcharge, as follows:

11.35.2.2.1 Existing Rights Released in Support of EIM Transfers

To Scheduling Coordinators for Existing Contract rights and Transmission Ownership Rights holders in direct proportion to their released rights where transmission associated with such rights was released in advance as provided for in Section 33.18.2.2.2.

11.35.2.2.2 Remainder Rights in Support of EIM Transfers

To Scheduling Coordinators for Existing Contract rights and Transmission Ownership Rights holders that exercised their rights based on the individual proportion of scheduled transmission rights supporting the EIM transfer, with the remainder, if any, allocated pro rata to Scheduling Coordinators based on Measured Demand excluding Demand associated with balanced ETC/TOR Self-Schedules.

11.35.2.2 EDAM Congestion Revenue

For each interval in which the CAISO Balancing Authority Area is distributed EDAM Congestion revenue or surcharge in accordance with Section 33.11.1.2, the CAISO will allocate such revenue, or surcharge as provided in Section 11.2.4.

11.35.3 Allocation of EDAM RSE Failure Revenue and Surcharge for the CAISO Balancing Authority Area

For each hour in which the CAISO Balancing Authority Area is distributed revenue or surcharge arising from the EDAM RSE On-Peak Upward Failure Insufficiency Surcharge, the EDAM RSE Off-Peak Upward Failure Insufficiency Surcharge, or the EDAM RSE Downward Failure Insufficiency Surcharge pursuant to Section 33.11.2.2, the CAISO will sum all such revenue, or

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surcharge, in each hour and allocate such revenue, or surcharge, to each Scheduling Coordinator with the allocation ratio determined as the individual Scheduling Coordinator's metered Demand for that hour divided by the total CAISO metered Demand for that hour.