

CA ISO Certification Practice Statement USER SECURITY CONSIDERATIONS

The California Independent System Operator (CAISO) has provided you a digital certificate issued under the CAISO's Certification Practice Statements (CPS). The CPS is a statement of the practices which a Certification Authority (CA) employs in issuing certificates. It is a comprehensive description of the precise implementation of service offerings and detailed procedures of certificate life-cycle management.

The CPS also delineates CA ISO's obligations and responsibilities to you, the user, as well as provides guidelines in using your digital certificate(s). For your convenience, this document highlights some of the key guidelines you should follow. It does not replace the CPS, however, which contains more detailed information. CA ISO strongly recommends that you read and familiarize yourself with the CPS.

SECURITY CONSIDERATIONS

- The certificates issued by the Certificate Authority shall only be used for your identity authentication and for establishing a session for protecting potentially confidential information.
- You are obligated to protect your digital certificate at all times using acceptable industry standards. Any transactions that occur with the certificate issued to the user are the responsibility of that user. Sharing of certificates and their related passwords is never appropriate.
- You are obligated to promptly notify the CA to revoke your certificate:
 - When any of the information in your certificate changes;
 - When you are re-assigned and no longer require the certificate and related access;
 - When you leave the organization or are otherwise terminated;
 - Upon suspected or known compromise of your private key;
 - If you no longer require the use of your certificate; or
 - If you lose your private key.
- You should read the CPS, understand it, and familiarize yourself with the terms and conditions described within that CPS.
- The revocation of a certificate may only be requested by:
 - You, the Subscriber, in whose name the certificate was issued;
 - An authorized individual within your organization who sponsored you;
 - The individual or organization which made the application for the certificate on behalf of a system, device or software application;
 - The Sponsor;
 - The operational authority of the CA;
 - The RAs of the CA.

For more information regarding the CPS, or digital certificates, please:

- Contact CAISO Information Security Operations at certrequest@caiso.com or
- Visit the CAISO Web Site at <http://www.caiso.com/pubinfo/info-security/cps/index.html>