

CA ISO Certification Practice Statement USER SECURITY CONSIDERATIONS

The California Independent System Operator (CAISO) has issued you a digital certificate and/or a CUDA-ISO/Spyrus Smart Card the CAISO Certification Practice Statement (CPS). The CPS is a statement of the practices, which a Certification Authority (CA) employs in issuing certificates. It is a comprehensive description of such details as the precise implementation of service offerings and detailed procedures of certificate life-cycle management. It also

delineates CA ISO's obligations and responsibilities to you the user, as well as the your guidelines in using your Smart Card and digital certificate. For your convenience, this document highlights the guidelines you should follow. It does not replace the CPS, which contains more details. CA ISO strongly recommends that you read and familiarize yourself with the CPS.

SECURITY CONSIDERATIONS

- The keys certified by the Certificate Authority shall only be used for your identity authentication and for establishing a session for protecting possibly confidential information.
- You are obligated to protect your smart card and digital certificate at all times using acceptable industry standards. Any transactions that occur with the certificate issued to the user are the responsibility of that user.
- You are obligated to promptly notify the CA to revoke your certificate:
 - When any of the information in your certificate changes;
 - When you are reassigned and no longer require the access;
 - When you leave the organization or are otherwise terminated;
 - Upon suspected or known compromise of the private key;
 - Upon suspected or known compromise of the Smart Card;
 - If you lose your private key.
- You should read the CPS, understand it, and be familiarize yourself with the terms and conditions described within that CPS.
- The revocation of a certificate may only be requested by:
 - You, the Subscriber in whose name the certificate was issued;
 - An authorized individual within your organization who sponsored you;
 - The individual or organization which made the application for the certificate on behalf of a system, device or software application;
 - The Sponsor;
 - The operational authority of the CA;
 - The RAs of the CA.

For more information regarding The CPS, digital certificates or Smart Cards, please:

- Contact CAISO Information Security at (916) 351.4400 or
- Visit the CAISO Web Site at <http://www.caiso.com/pubinfo/notices> under CAISO Information Security Documents.