 California ISO	Operating Procedure	Procedure No.	5730
		Version No.	3.1
		Effective Date	1/18/24
Metering Exemptions from Compliance		Distribution Restriction: None	

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Purpose

The **California ISO (CAISO)** Tariff provides the CAISO with the authority to grant exemptions from certain CAISO metering standards. This procedure describes the process for applying and receiving a CAISO Metering Exemption.

1. Responsibilities

CAISO Energy Data Acquisition Specialists (EDAS) Team	<ul style="list-style-type: none"> • Process requests for exemptions in a consistent and fair manner relating to Metering requirements per CAISO Tariff and Metering BPM as well as for temporary communication issues • Publish the results of all exemption requests. • Review and process renewal requests for expiring exemptions.
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(Exemption) Applicant	<ul style="list-style-type: none"> Submits a request for a Metering Exemption. Supply the CAISO with all required information and any requested information.
CAISO Model and Contract Implementation Manager	<ul style="list-style-type: none"> Performs the final review and then grants or rejects the Exemption Request Form(s) depending on the request type and circumstances.


2. Scope/Applicability

2.1. Background

The CAISO has the authority to grant exemptions from certain CAISO metering standards for a CAISO Metered Entity that is subject to CAISO metering standards under Section 8 “Exemptions” within the Business Practice Manual for Metering.

2.2. Scope/ Applicability

There are specific exemptions allowed for by the CAISO Tariff and there is a general process to grant exemptions from compliance. Both of these processes will be discussed in this procedure.

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3. Procedure Detail

3.1. Exemptions from Certain Requirements Imposed by the CAISO Tariff

3.1.1. Requesting an Exemption

Take the following actions to request an exemption from compliance of Section 10 of the CAISO Tariff:


Description

The CAISO has the authority under the CAISO Tariff, Section 10.4, to grant exemptions from compliance with certain requirements imposed by the CAISO Tariff. All applications for exemption from compliance of Section 10 of the CAISO Tariff must be submitted to the CAISO in writing and follow these steps:

Metered Entity
<ol style="list-style-type: none"> 1. Complete the Exemption Request Form (at: http://www.caiso.com/market/Pages/MeteringTelemetry/Default.aspx) and 2. Submit to the CAISO EDAS at the following email address: EDAS@caiso.com. <ul style="list-style-type: none"> • Include in the email subject line “Exemption Request”. 3. The exemption request must include the following information along with the completed and unsigned Exemption Request Application Form (in MS Word format - only): <ul style="list-style-type: none"> • A detailed description of the exemption sought and the facilities to which the exemption applies. • A detailed statement of the reason for seeking the exemption and any supporting documentation. • An identification of the requested time frame of the exemption. When the exemption period is to start and when it is to be resolved. • An identification of the entity that the exemption applies. • Details of the location to which the exemption applies.

CAISO EDAS Actions
<ol style="list-style-type: none"> 1. EDAS will determine the responsible party based on the exemption request type. Note: All requests will go through EDAS (EDAS@caiso.com). 2. The CAISO will confirm receipt of the exemption application with the applicant within three (3) business days.

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CAISO EDAS Actions
<ol style="list-style-type: none"> 3. The CAISO may require additional information from the applicant in order to review the exemption request. 4. If the CAISO determines that it is not possible to complete the exemption request within ten (10) business days, the applicant will be contacted in order to discuss a future date for the disposition of the request.

Metered Entity
<ol style="list-style-type: none"> 1. If the CAISO asks for additional information, the applicant must provide such additional information to the CAISO within five (5) business days of receiving the request or within such other period as the CAISO may detail to the applicant. 2. If the applicant does not provide the information requested in a timely basis, the CAISO may refuse the application and the CAISO will notify the applicant that the exemption request was rejected.

3.1.2. CAISO Decision


The CAISO will take the following actions to consider granting a request for an exemption from compliance of Section 10 of the CAISO Tariff:

Description

The CAISO will consider the following guidelines in reviewing an exemption and determining if the exemption should be granted.

CAISO EDAS Actions
<ol style="list-style-type: none"> 1. The CAISO will review the request and additional information (if requested) to determine if: <ul style="list-style-type: none"> • The exemption would compromise the accuracy and integrity of the Meter Data or the Metering Facility. • The exemption would impact the speed or the integrity of the communication system used to acquire Meter Data. • The requirement in question is unnecessary, impractical or uneconomic for the applicant to implement. • The exemption being requested is for a specific duration or permanent. • A similar exemption has been granted or denied for other Metered Entities. • A Class Exemption has already been granted that will apply to the circumstances around the requested exemption. 2. The CAISO will notify the applicant of the approval or rejection of the exemption per Section 3.1.1, Steps 5-7 of this procedure.

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CAISO EDAS Actions
3. Should the Applicant meet all CAISO criteria, <ul style="list-style-type: none"> CAISO will accept the request and A signed document will be submitted to the listed resource owner via DocuSign (electronic digital signature application) with the original exemption request form and the addition of any applicable CAISO conditions.

CAISO Model and Contract Implementation (MCI) Manager
1. Performs the final review and then grants or rejects the Exemption Request Form(s) depending on the request type.

3.1.3. CAISO Exemption Renewal

The CAISO will take the following actions to consider granting a request for exemption from compliance of Section 10 of the CAISO Tariff:

Description


The CAISO will consider the following guideline in reviewing and granting existing exemptions scheduled to expire.

CAISO EDAS Actions
1. The CAISO will review the request and additional information (if requested) to determine if the exemption is going to be expiring soon: <ul style="list-style-type: none"> CAISO EDAS will reach out to the applicant to determine if there is a need to renew the existing approved CAISO exemption(s).

CAISO Metered Entity Actions
1. If applicant decides to submit a request to renew the existing exemption, the applicant must submit a new Exemption Request Form to reflect the same information with an updated Start and End Date to EDAS@caiso.com .

CAISO EDAS Actions
1. Once received, EDAS will repeat the same review process as listed in Sections 3.1.1 and 3.1.2.

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3.1.4. Publication of Exemption Requests and Decisions

Once a decision has been made in regards to the exemption request, the following action is taken:

CAISO EDAS Actions
1. The CAISO will publish , on a monthly basis, a description of each exemption request received and detail whether the application was approved or rejected. <ul style="list-style-type: none"> This report will be published on the CAISO website on the Metering and Telemetry page found at: http://www.caiso.com/market/Pages/MeteringTelemetry/Default.aspx.

4. Supporting Information

Operationally Affected Parties

Shared with the Public.

References


Resources studied in the development of this procedure and that may have an effect upon some steps taken herein include but are not limited to:

CAISO Tariff	Sections 10.2.10 & 10.4
CAISO Operating Procedure	
NERC Requirements	
WECC Criterion	
Other References	Business Practice Manual for Metering: Section 8.2 & Exhibit B-2 to Section B

Definitions

Unless the context otherwise indicates, any word or expression defined in the Master Definitions Supplement to the CAISO Tariff shall have that meaning when capitalized in this Operating Procedure.

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The following additional terms are capitalized in this Operating Procedure when used as defined below:

None.

Version History

Version	Change	Date
2.3	Updated Responsibilities on page 2 to include the ISO Metering and Market Service Compliance Manager as one who can perform the final review and approval/rejection of the exemption request.	2/16/18
2.4	Removed references to MDAS throughout document and removed table indicating that requestor would receive docuSign signature request.	12/02/19
3.0	Periodic Review: Updated roles/responsibilities. Minor format and grammar updates.	8/28/20
3.1	Periodic Review: Updated from ISO to CAISO, minor formatting and removed history prior to five years.	1/18/24

5. Periodic Review Procedure

Review Criteria & Incorporation of Changes

There are no specific criteria for reviewing or changing this document, follow instructions in CAISO Operating Procedure 5510.

Frequency

Every three (3) Years

Appendix

No references at this time.

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