

THIS FILING IS

Item 1: ☒ An Initial (Original) Submission OR ☐ Resubmission No. _____

Form 1 Approved
OMB No.1902-0021
(Expires 12/31/2014)
Form 1-F Approved
OMB No.1902-0029
(Expires 12/31/2014)
Form 3-Q Approved
OMB No.1902-0205
(Expires 05/31/2014)



FERC FINANCIAL REPORT

FERC FORM No. 1: Annual Report of Major Electric Utilities, Licensees and Others and Supplemental Form 3-Q: Quarterly Financial Report

These reports are mandatory under the Federal Power Act, Sections 3, 4(a), 304 and 309, and 18 CFR 141.1 and 141.400. Failure to report may result in criminal fines, civil penalties and other sanctions as provided by law. The Federal Energy Regulatory Commission does not consider these reports to be of confidential nature

Exact Legal Name of Respondent (Company)

California Independent System Operator Corporation

Year/Period of Report

End of 2013/Q4



Independent Auditor's Report

To Members of the Board of Governors
California Independent System Operator Corporation

We have audited the accompanying financial statements of the California Independent System Operator Corporation, which comprise the balance sheets as of December 31, 2013 and 2012, and the related statements of income, of retained earnings, of cash flows and of accumulated comprehensive income, comprehensive income and hedging activities for the years then ended, included on pages 110 through 123.23 of the accompanying Federal Energy Regulatory Commission Form 1.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the accounting requirements of the Federal Energy Regulatory Commission as set forth in its applicable Uniform System of Accounts and published accounting releases described in Note 2. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on the financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on our judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, we consider internal control relevant to the Company's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. We



believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the California Independent System Operator Corporation as of December 31, 2013 and 2012, and the results of its operations and its cash flows for the years then ended in accordance with the accounting requirements of the Federal Energy Regulatory Commission as set forth in its applicable Uniform System of Accounts and published accounting releases described in Note 2.

Emphasis of Matter

We draw attention to Note 2 of the financial statements, which describes the basis of accounting. As described in Note 2 to the financial statements, the financial statements are prepared by the California Independent System Operator Corporation on the basis of the accounting requirements of the Federal Energy Regulatory Commission as set forth in its applicable Uniform System of Accounts and published accounting releases, which is a basis of accounting other than accounting principles generally accepted in the United States of America, to meet the requirements of the Federal Energy Regulatory Commission. Our opinion is not modified with respect to this matter.

Other Matter

Our report is intended solely for the information and use of the board of governors and management of the California Independent System Operator Corporation and for filing with the Federal Energy Regulatory Commission and is not intended to be and should not be used by anyone other than these specified parties or for any other purpose.

PricewaterhouseCoopers LLP

Sacramento, CA
April 17, 2014

**FERC FORM NO. 1/3-Q:
REPORT OF MAJOR ELECTRIC UTILITIES, LICENSEES AND OTHER**

IDENTIFICATION

01 Exact Legal Name of Respondent California Independent System Operator Corporation		02 Year/Period of Report End of <u>2013/Q4</u>
03 Previous Name and Date of Change (if name changed during year) / /		
04 Address of Principal Office at End of Period (Street, City, State, Zip Code) 250 Outcropping Way, Folsom, CA 95630		
05 Name of Contact Person Dennis Y. Estrada		06 Title of Contact Person Assistant Controller
07 Address of Contact Person (Street, City, State, Zip Code) 250 Outcropping Way, Folsom, CA 95630		
08 Telephone of Contact Person, including Area Code (916) 351-2235	09 This Report Is (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	10 Date of Report (Mo, Da, Yr) 04/17/2014

ANNUAL CORPORATE OFFICER CERTIFICATION

The undersigned officer certifies that:

I have examined this report and to the best of my knowledge, information, and belief all statements of fact contained in this report are correct statements of the business affairs of the respondent and the financial statements, and other financial information contained in this report, conform in all material respects to the Uniform System of Accounts.

01 Name Ryan Seghesio	03 Signature  Ryan Seghesio	04 Date Signed (Mo, Da, Yr) 04/17/2014
02 Title CFO and Treasurer		

Title 18, U.S.C. 1001 makes it a crime for any person to knowingly and willingly to make to any Agency or Department of the United States any false, fictitious or fraudulent statements as to any matter within its jurisdiction.

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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LIST OF SCHEDULES (Electric Utility)			
Enter in column (c) the terms "none," "not applicable," or "NA," as appropriate, where no information or amounts have been reported for certain pages. Omit pages where the respondents are "none," "not applicable," or "NA".			
Line No.	Title of Schedule (a)	Reference Page No. (b)	Remarks (c)
1	General Information	101	
2	Control Over Respondent	102	
3	Corporations Controlled by Respondent	103	N/A
4	Officers	104	
5	Directors	105	
6	Information on Formula Rates	106(a)(b)	N/A
7	Important Changes During the Year	108-109	
8	Comparative Balance Sheet	110-113	
9	Statement of Income for the Year	114-117	
10	Statement of Retained Earnings for the Year	118-119	
11	Statement of Cash Flows	120-121	
12	Notes to Financial Statements	122-123	
13	Statement of Accum Comp Income, Comp Income, and Hedging Activities	122(a)(b)	
14	Summary of Utility Plant & Accumulated Provisions for Dep, Amort & Dep	200-201	
15	Nuclear Fuel Materials	202-203	N/A
16	Electric Plant in Service	204-207	
17	Electric Plant Leased to Others	213	N/A
18	Electric Plant Held for Future Use	214	N/A
19	Construction Work in Progress-Electric	216	
20	Accumulated Provision for Depreciation of Electric Utility Plant	219	
21	Investment of Subsidiary Companies	224-225	N/A
22	Materials and Supplies	227	N/A
23	Allowances	228(ab)-229(ab)	N/A
24	Extraordinary Property Losses	230	N/A
25	Unrecovered Plant and Regulatory Study Costs	230	N/A
26	Transmission Service and Generation Interconnection Study Costs	231	
27	Other Regulatory Assets	232	N/A
28	Miscellaneous Deferred Debits	233	
29	Accumulated Deferred Income Taxes	234	N/A
30	Capital Stock	250-251	N/A
31	Other Paid-in Capital	253	N/A
32	Capital Stock Expense	254	N/A
33	Long-Term Debt	256-257	
34	Reconciliation of Reported Net Income with Taxable Inc for Fed Inc Tax	261	N/A
35	Taxes Accrued, Prepaid and Charged During the Year	262-263	
36	Accumulated Deferred Investment Tax Credits	266-267	N/A

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LIST OF SCHEDULES (Electric Utility) (continued)			
Enter in column (c) the terms "none," "not applicable," or "NA," as appropriate, where no information or amounts have been reported for certain pages. Omit pages where the respondents are "none," "not applicable," or "NA".			
Line No.	Title of Schedule (a)	Reference Page No. (b)	Remarks (c)
37	Other Deferred Credits	269	
38	Accumulated Deferred Income Taxes-Accelerated Amortization Property	272-273	N/A
39	Accumulated Deferred Income Taxes-Other Property	274-275	N/A
40	Accumulated Deferred Income Taxes-Other	276-277	N/A
41	Other Regulatory Liabilities	278	N/A
42	Electric Operating Revenues	300-301	
43	Regional Transmission Service Revenues (Account 457.1)	302	
44	Sales of Electricity by Rate Schedules	304	N/A
45	Sales for Resale	310-311	N/A
46	Electric Operation and Maintenance Expenses	320-323	
47	Purchased Power	326-327	N/A
48	Transmission of Electricity for Others	328-330	N/A
49	Transmission of Electricity by ISO/RTOs	331	
50	Transmission of Electricity by Others	332	N/A
51	Miscellaneous General Expenses-Electric	335	
52	Depreciation and Amortization of Electric Plant	336-337	
53	Regulatory Commission Expenses	350-351	
54	Research, Development and Demonstration Activities	352-353	N/A
55	Distribution of Salaries and Wages	354-355	
56	Common Utility Plant and Expenses	356	N/A
57	Amounts included in ISO/RTO Settlement Statements	397	N/A
58	Purchase and Sale of Ancillary Services	398	N/A
59	Monthly Transmission System Peak Load	400	N/A
60	Monthly ISO/RTO Transmission System Peak Load	400a	
61	Electric Energy Account	401	N/A
62	Monthly Peaks and Output	401	
63	Steam Electric Generating Plant Statistics	402-403	N/A
64	Hydroelectric Generating Plant Statistics	406-407	N/A
65	Pumped Storage Generating Plant Statistics	408-409	N/A
66	Generating Plant Statistics Pages	410-411	N/A

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LIST OF SCHEDULES (Electric Utility) (continued)

Enter in column (c) the terms "none," "not applicable," or "NA," as appropriate, where no information or amounts have been reported for certain pages. Omit pages where the respondents are "none," "not applicable," or "NA".

Line No.	Title of Schedule (a)	Reference Page No. (b)	Remarks (c)
67	Transmission Line Statistics Pages	422-423	N/A
68	Transmission Lines Added During the Year	424-425	N/A
69	Substations	426-427	N/A
70	Transactions with Associated (Affiliated) Companies	429	N/A
71	Footnote Data	450	
	Stockholders' Reports Check appropriate box: <input type="checkbox"/> Two copies will be submitted <input type="checkbox"/> No annual report to stockholders is prepared		

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GENERAL INFORMATION

1. Provide name and title of officer having custody of the general corporate books of account and address of office where the general corporate books are kept, and address of office where any other corporate books of account are kept, if different from that where the general corporate books are kept.

Ryan Seghesio
Chief Financial Officer/ Treasurer
250 Outcropping Way
Folsom, CA 95630

2. Provide the name of the State under the laws of which respondent is incorporated, and date of incorporation. If incorporated under a special law, give reference to such law. If not incorporated, state that fact and give the type of organization and the date organized.

California- 1997

3. If at any time during the year the property of respondent was held by a receiver or trustee, give (a) name of receiver or trustee, (b) date such receiver or trustee took possession, (c) the authority by which the receivership or trusteeship was created, and (d) date when possession by receiver or trustee ceased.

Not Applicable

4. State the classes or utility and other services furnished by respondent during the year in each State in which the respondent operated.

Within the California ISO's Balancing Authority area, the ISO provides services pursuant to its FERC tariff. These services include open access transmission planning and a market for wholesale energy and related services.

5. Have you engaged as the principal accountant to audit your financial statements an accountant who is not the principal accountant for your previous year's certified financial statements?

- (1) ☐ Yes...Enter the date when such independent accountant was initially engaged:
(2) ☒ No

Name of Respondent	This Report Is:	Date of Report	Year/Period of Report
California Independent System Operator	(1) <input checked="" type="checkbox"/> An Original	(Mo, Da, Yr)	End of
	(2) <input type="checkbox"/> A Resubmission	04/17/2014	2013/Q4

CONTROL OVER RESPONDENT

1. If any corporation, business trust, or similar organization or a combination of such organizations jointly held control over the repondent at the end of the year, state name of controlling corporation or organization, manner in which control was held, and extent of control. If control was in a holding company organization, show the chain of ownership or control to the main parent company or organization. If control was held by a trustee(s), state name of trustee(s), name of beneficiary or beneficiearies for whom trust was maintained, and purpose of the trust.

N/A

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
OFFICERS					
<p>1. Report below the name, title and salary for each executive officer whose salary is \$50,000 or more. An "executive officer" of a respondent includes its president, secretary, treasurer, and vice president in charge of a principal business unit, division or function (such as sales, administration or finance), and any other person who performs similar policy making functions.</p> <p>2. If a change was made during the year in the incumbent of any position, show name and total remuneration of the previous incumbent, and the date the change in incumbency was made.</p>					
Line No.	Title (a)	Name of Officer (b)		Salary for Year (c)	
1	President and Chief Executive Officer	Steve Berberich		427,747	
2	Vice President , General Counsel and Chief Administrativ	Nancy J. Saracino		305,721	
3	Vice President, Market and Infrastructure Development	Keith Casey		272,074	
4	Vice President, Human Resources	Brenda Thomas*		95,135	
5	Vice President, Policy and Client Services	Karen K. Edson		265,082	
6	Vice President, Technology	Petar Ristanovic		253,972	
7	Vice President, Operations	Eric Schmitt		270,076	
8	Vice President, Market Quality and Renewable Integration	Mark Rothleder		250,000	
9	Chief Financial Officer and Treasurer	Ryan Seghesio		205,170	
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14	* Resigned effective May 1, 2013				
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28	Amounts reported in column "Salary for Year (c)"				
29	represent base salary.				
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DIRECTORS					
1. Report below the information called for concerning each director of the respondent who held office at any time during the year. Include in column (a), abbreviated titles of the directors who are officers of the respondent.					
2. Designate members of the Executive Committee by a triple asterisk and the Chairman of the Executive Committee by a double asterisk.					
Line No.	Name (and Title) of Director (a)			Principal Business Address (b)	
1	Ashutosh Bhagwat			PO Box 639014, Folsom, CA, 95763-4400	
2	Angelina Galiteva			PO Box 639014, Folsom, CA, 95763-4400	
3	Richard Maullin			PO Box 639014, Folsom, CA 95763-4400	
4	Robert Foster**			PO Box 639014, Folsom, CA 95763-4400	
5	David Olsen			PO Box 639014, Folsom, CA 95763-4400	
6					
7					
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9					
10	** Chairman of the Board; The Company has no Executive				
11	Committee				
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Name of Respondent California Independent System Operator	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report 04/17/2014	Year/Period of Report End of 2013/Q4
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<p align="center">IMPORTANT CHANGES DURING THE QUARTER/YEAR</p> <p>Give particulars (details) concerning the matters indicated below. Make the statements explicit and precise, and number them in accordance with the inquiries. Each inquiry should be answered. Enter "none," "not applicable," or "NA" where applicable. If information which answers an inquiry is given elsewhere in the report, make a reference to the schedule in which it appears.</p> <p>1. Changes in and important additions to franchise rights: Describe the actual consideration given therefore and state from whom the franchise rights were acquired. If acquired without the payment of consideration, state that fact.</p> <p>2. Acquisition of ownership in other companies by reorganization, merger, or consolidation with other companies: Give names of companies involved, particulars concerning the transactions, name of the Commission authorizing the transaction, and reference to Commission authorization.</p> <p>3. Purchase or sale of an operating unit or system: Give a brief description of the property, and of the transactions relating thereto, and reference to Commission authorization, if any was required. Give date journal entries called for by the Uniform System of Accounts were submitted to the Commission.</p> <p>4. Important leaseholds (other than leaseholds for natural gas lands) that have been acquired or given, assigned or surrendered: Give effective dates, lengths of terms, names of parties, rents, and other condition. State name of Commission authorizing lease and give reference to such authorization.</p> <p>5. Important extension or reduction of transmission or distribution system: State territory added or relinquished and date operations began or ceased and give reference to Commission authorization, if any was required. State also the approximate number of customers added or lost and approximate annual revenues of each class of service. Each natural gas company must also state major new continuing sources of gas made available to it from purchases, development, purchase contract or otherwise, giving location and approximate total gas volumes available, period of contracts, and other parties to any such arrangements, etc.</p> <p>6. Obligations incurred as a result of issuance of securities or assumption of liabilities or guarantees including issuance of short-term debt and commercial paper having a maturity of one year or less. Give reference to FERC or State Commission authorization, as appropriate, and the amount of obligation or guarantee.</p> <p>7. Changes in articles of incorporation or amendments to charter: Explain the nature and purpose of such changes or amendments.</p> <p>8. State the estimated annual effect and nature of any important wage scale changes during the year.</p> <p>9. State briefly the status of any materially important legal proceedings pending at the end of the year, and the results of any such proceedings culminated during the year.</p> <p>10. Describe briefly any materially important transactions of the respondent not disclosed elsewhere in this report in which an officer, director, security holder reported on Page 104 or 105 of the Annual Report Form No. 1, voting trustee, associated company or known associate of any of these persons was a party or in which any such person had a material interest.</p> <p>11. (Reserved.)</p> <p>12. If the important changes during the year relating to the respondent company appearing in the annual report to stockholders are applicable in every respect and furnish the data required by Instructions 1 to 11 above, such notes may be included on this page.</p> <p>13. Describe fully any changes in officers, directors, major security holders and voting powers of the respondent that may have occurred during the reporting period.</p> <p>14. In the event that the respondent participates in a cash management program(s) and its proprietary capital ratio is less than 30 percent please describe the significant events or transactions causing the proprietary capital ratio to be less than 30 percent, and the extent to which the respondent has amounts loaned or money advanced to its parent, subsidiary, or affiliated companies through a cash management program(s). Additionally, please describe plans, if any to regain at least a 30 percent proprietary ratio.</p>			
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<p>PAGE 108 INTENTIONALLY LEFT BLANK SEE PAGE 109 FOR REQUIRED INFORMATION.</p>

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California Independent System Operator Corporation			
IMPORTANT CHANGES DURING THE QUARTER/YEAR (Continued)			

1. N/A
2. N/A
3. N/A
4. N/A
5. N/A
6. In November 2013, the ISO completed the issuance of \$191.8 million aggregate principal amount of Refunding Revenue Bonds (2013 Bonds) that were issued by the California Infrastructure and Economic Development Bank, as described in Note 7 of the FERC Form 1 (7/31/13; 144 FERC 62, 083).
7. None
8. None
9. Please refer to the Note 12 Contingencies of the 2013 Form 1 Notes to the Financial Statements for materially important legal proceedings.
10. N/A
11. N/A
12. N/A
13. In April 2013, Brenda Thomas resigned from the CAISO as Vice President of Human Resources. Rebecca Regan has assumed Ms. Thomas's responsibilities. Her title is Executive Director, Human Resources.
14. N/A

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COMPARATIVE BALANCE SHEET (ASSETS AND OTHER DEBITS)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
1	UTILITY PLANT			
2	Utility Plant (101-106, 114)	200-201	582,979,200	574,794,841
3	Construction Work in Progress (107)	200-201	5,821,767	4,642,605
4	TOTAL Utility Plant (Enter Total of lines 2 and 3)		588,800,967	579,437,446
5	(Less) Accum. Prov. for Depr. Amort. Depl. (108, 110, 111, 115)	200-201	394,948,094	327,031,690
6	Net Utility Plant (Enter Total of line 4 less 5)		193,852,873	252,405,756
7	Nuclear Fuel in Process of Ref., Conv.,Enrich., and Fab. (120.1)	202-203	0	0
8	Nuclear Fuel Materials and Assemblies-Stock Account (120.2)		0	0
9	Nuclear Fuel Assemblies in Reactor (120.3)		0	0
10	Spent Nuclear Fuel (120.4)		0	0
11	Nuclear Fuel Under Capital Leases (120.6)		0	0
12	(Less) Accum. Prov. for Amort. of Nucl. Fuel Assemblies (120.5)	202-203	0	0
13	Net Nuclear Fuel (Enter Total of lines 7-11 less 12)		0	0
14	Net Utility Plant (Enter Total of lines 6 and 13)		193,852,873	252,405,756
15	Utility Plant Adjustments (116)		0	0
16	Gas Stored Underground - Noncurrent (117)		0	0
17	OTHER PROPERTY AND INVESTMENTS			
18	Nonutility Property (121)		0	200,050
19	(Less) Accum. Prov. for Depr. and Amort. (122)		0	0
20	Investments in Associated Companies (123)		0	0
21	Investment in Subsidiary Companies (123.1)	224-225	0	0
22	(For Cost of Account 123.1, See Footnote Page 224, line 42)			
23	Noncurrent Portion of Allowances	228-229	0	0
24	Other Investments (124)		88,906,251	91,921,577
25	Sinking Funds (125)		0	0
26	Depreciation Fund (126)		0	0
27	Amortization Fund - Federal (127)		0	0
28	Other Special Funds (128)		455,071,485	326,154,224
29	Special Funds (Non Major Only) (129)		0	0
30	Long-Term Portion of Derivative Assets (175)		0	0
31	Long-Term Portion of Derivative Assets – Hedges (176)		0	0
32	TOTAL Other Property and Investments (Lines 18-21 and 23-31)		543,977,736	418,275,851
33	CURRENT AND ACCRUED ASSETS			
34	Cash and Working Funds (Non-major Only) (130)		0	0
35	Cash (131)		22,181,937	60,801,250
36	Special Deposits (132-134)		20,324,851	36,928,498
37	Working Fund (135)		5,929	4,360
38	Temporary Cash Investments (136)		0	0
39	Notes Receivable (141)		0	0
40	Customer Accounts Receivable (142)		1,230,749	1,742,763
41	Other Accounts Receivable (143)		8,643,523	525,509
42	(Less) Accum. Prov. for Uncollectible Acct.-Credit (144)		0	0
43	Notes Receivable from Associated Companies (145)		0	0
44	Accounts Receivable from Assoc. Companies (146)		0	0
45	Fuel Stock (151)	227	0	0
46	Fuel Stock Expenses Undistributed (152)	227	0	0
47	Residuals (Elec) and Extracted Products (153)	227	0	0
48	Plant Materials and Operating Supplies (154)	227	0	0
49	Merchandise (155)	227	0	0
50	Other Materials and Supplies (156)	227	0	0
51	Nuclear Materials Held for Sale (157)	202-203/227	0	0
52	Allowances (158.1 and 158.2)	228-229	0	0

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COMPARATIVE BALANCE SHEET (ASSETS AND OTHER DEBITS)(Continued)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
53	(Less) Noncurrent Portion of Allowances		0	0
54	Stores Expense Undistributed (163)	227	0	0
55	Gas Stored Underground - Current (164.1)		0	0
56	Liquefied Natural Gas Stored and Held for Processing (164.2-164.3)		0	0
57	Prepayments (165)		5,911,043	6,237,087
58	Advances for Gas (166-167)		0	0
59	Interest and Dividends Receivable (171)		918,634	960,860
60	Rents Receivable (172)		0	0
61	Accrued Utility Revenues (173)		8,002,035	7,542,232
62	Miscellaneous Current and Accrued Assets (174)		0	0
63	Derivative Instrument Assets (175)		0	0
64	(Less) Long-Term Portion of Derivative Instrument Assets (175)		0	0
65	Derivative Instrument Assets - Hedges (176)		0	0
66	(Less) Long-Term Portion of Derivative Instrument Assets - Hedges (176)		0	0
67	Total Current and Accrued Assets (Lines 34 through 66)		67,218,701	114,742,559
68	DEFERRED DEBITS			
69	Unamortized Debt Expenses (181)		1,626,401	1,775,455
70	Extraordinary Property Losses (182.1)	230a	0	0
71	Unrecovered Plant and Regulatory Study Costs (182.2)	230b	0	0
72	Other Regulatory Assets (182.3)	232	0	0
73	Prelim. Survey and Investigation Charges (Electric) (183)		0	0
74	Preliminary Natural Gas Survey and Investigation Charges 183.1)		0	0
75	Other Preliminary Survey and Investigation Charges (183.2)		0	0
76	Clearing Accounts (184)		9,388	12,443
77	Temporary Facilities (185)		0	0
78	Miscellaneous Deferred Debits (186)	233	5,142,259	2,501,221
79	Def. Losses from Disposition of Utility Plt. (187)		0	0
80	Research, Devel. and Demonstration Expend. (188)	352-353	0	0
81	Unamortized Loss on Reaquired Debt (189)		12,731,948	10,856
82	Accumulated Deferred Income Taxes (190)	234	0	0
83	Unrecovered Purchased Gas Costs (191)		0	0
84	Total Deferred Debits (lines 69 through 83)		19,509,996	4,299,975
85	TOTAL ASSETS (lines 14-16, 32, 67, and 84)		824,559,306	789,724,141

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
1	PROPRIETARY CAPITAL			
2	Common Stock Issued (201)	250-251	0	0
3	Preferred Stock Issued (204)	250-251	0	0
4	Capital Stock Subscribed (202, 205)		0	0
5	Stock Liability for Conversion (203, 206)		0	0
6	Premium on Capital Stock (207)		0	0
7	Other Paid-In Capital (208-211)	253	0	0
8	Installments Received on Capital Stock (212)	252	0	0
9	(Less) Discount on Capital Stock (213)	254	0	0
10	(Less) Capital Stock Expense (214)	254b	0	0
11	Retained Earnings (215, 215.1, 216)	118-119	116,784,379	159,710,069
12	Unappropriated Undistributed Subsidiary Earnings (216.1)	118-119	0	0
13	(Less) Reaquired Capital Stock (217)	250-251	0	0
14	Noncorporate Proprietorship (Non-major only) (218)		0	0
15	Accumulated Other Comprehensive Income (219)	122(a)(b)	7,647,849	3,319,834
16	Total Proprietary Capital (lines 2 through 15)		124,432,228	163,029,903
17	LONG-TERM DEBT			
18	Bonds (221)	256-257	215,285,000	256,035,000
19	(Less) Reaquired Bonds (222)	256-257	0	0
20	Advances from Associated Companies (223)	256-257	0	0
21	Other Long-Term Debt (224)	256-257	0	0
22	Unamortized Premium on Long-Term Debt (225)		10,504,760	2,095,357
23	(Less) Unamortized Discount on Long-Term Debt-Debit (226)		0	0
24	Total Long-Term Debt (lines 18 through 23)		225,789,760	258,130,357
25	OTHER NONCURRENT LIABILITIES			
26	Obligations Under Capital Leases - Noncurrent (227)		0	0
27	Accumulated Provision for Property Insurance (228.1)		0	0
28	Accumulated Provision for Injuries and Damages (228.2)		0	0
29	Accumulated Provision for Pensions and Benefits (228.3)		11,816,773	16,085,820
30	Accumulated Miscellaneous Operating Provisions (228.4)		0	0
31	Accumulated Provision for Rate Refunds (229)		0	0
32	Long-Term Portion of Derivative Instrument Liabilities		0	0
33	Long-Term Portion of Derivative Instrument Liabilities - Hedges		0	0
34	Asset Retirement Obligations (230)		0	0
35	Total Other Noncurrent Liabilities (lines 26 through 34)		11,816,773	16,085,820
36	CURRENT AND ACCRUED LIABILITIES			
37	Notes Payable (231)		0	0
38	Accounts Payable (232)		40,257,256	35,145,636
39	Notes Payable to Associated Companies (233)		0	0
40	Accounts Payable to Associated Companies (234)		0	0
41	Customer Deposits (235)		416,195,346	307,209,574
42	Taxes Accrued (236)	262-263	1,408,442	960,244
43	Interest Accrued (237)		1,689,249	5,954,355
44	Dividends Declared (238)		0	0
45	Matured Long-Term Debt (239)		0	0

[illegible]

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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STATEMENT OF INCOME

Quarterly

1. Report in column (c) the current year to date balance. Column (c) equals the total of adding the data in column (g) plus the data in column (i) plus the data in column (k). Report in column (d) similar data for the previous year. This information is reported in the annual filing only.

2. Enter in column (e) the balance for the reporting quarter and in column (f) the balance for the same three month period for the prior year.

3. Report in column (g) the quarter to date amounts for electric utility function; in column (i) the quarter to date amounts for gas utility, and in column (k) the quarter to date amounts for other utility function for the current year quarter.

4. Report in column (h) the quarter to date amounts for electric utility function; in column (j) the quarter to date amounts for gas utility, and in column (l) the quarter to date amounts for other utility function for the prior year quarter.

5. If additional columns are needed, place them in a footnote.

Annual or Quarterly if applicable

5. Do not report fourth quarter data in columns (e) and (f)

6. Report amounts for accounts 412 and 413, Revenues and Expenses from Utility Plant Leased to Others, in another utility column in a similar manner to a utility department. Spread the amount(s) over lines 2 thru 26 as appropriate. Include these amounts in columns (c) and (d) totals.

7. Report amounts in account 414, Other Utility Operating Income, in the same manner as accounts 412 and 413 above.

Line No.	Title of Account (a)	(Ref.) Page No. (b)	Total Current Year to Date Balance for Quarter/Year (c)	Total Prior Year to Date Balance for Quarter/Year (d)	Current 3 Months Ended Quarterly Only No 4th Quarter (e)	Prior 3 Months Ended Quarterly Only No 4th Quarter (f)
1	UTILITY OPERATING INCOME					
2	Operating Revenues (400)	300-301	210,394,962	209,051,768		
3	Operating Expenses					
4	Operation Expenses (401)	320-323	133,292,989	123,721,886		
5	Maintenance Expenses (402)	320-323	32,954,906	35,383,793		
6	Depreciation Expense (403)	336-337	74,614,927	73,562,811		
7	Depreciation Expense for Asset Retirement Costs (403.1)	336-337				
8	Amort. & Depl. of Utility Plant (404-405)	336-337				
9	Amort. of Utility Plant Acq. Adj. (406)	336-337				
10	Amort. Property Losses, Unrecov Plant and Regulatory Study Costs (407)					
11	Amort. of Conversion Expenses (407)					
12	Regulatory Debits (407.3)					
13	(Less) Regulatory Credits (407.4)					
14	Taxes Other Than Income Taxes (408.1)	262-263	35,167	32,399		
15	Income Taxes - Federal (409.1)	262-263				
16	- Other (409.1)	262-263				
17	Provision for Deferred Income Taxes (410.1)	234, 272-277				
18	(Less) Provision for Deferred Income Taxes-Cr. (411.1)	234, 272-277				
19	Investment Tax Credit Adj. - Net (411.4)	266				
20	(Less) Gains from Disp. of Utility Plant (411.6)					
21	Losses from Disp. of Utility Plant (411.7)			-289,031		
22	(Less) Gains from Disposition of Allowances (411.8)					
23	Losses from Disposition of Allowances (411.9)					
24	Accretion Expense (411.10)					
25	TOTAL Utility Operating Expenses (Enter Total of lines 4 thru 24)		240,897,989	232,411,858		
26	Net Util Oper Inc (Enter Tot line 2 less 25) Carry to Pg117,line 27		-30,503,027	-23,360,090		

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
STATEMENT OF INCOME FOR THE YEAR (Continued)							
<p>9. Use page 122 for important notes regarding the statement of income for any account thereof.</p> <p>10. Give concise explanations concerning unsettled rate proceedings where a contingency exists such that refunds of a material amount may need to be made to the utility's customers or which may result in material refund to the utility with respect to power or gas purchases. State for each year effected the gross revenues or costs to which the contingency relates and the tax effects together with an explanation of the major factors which affect the rights of the utility to retain such revenues or recover amounts paid with respect to power or gas purchases.</p> <p>11 Give concise explanations concerning significant amounts of any refunds made or received during the year resulting from settlement of any rate proceeding affecting revenues received or costs incurred for power or gas purchases, and a summary of the adjustments made to balance sheet, income, and expense accounts.</p> <p>12. If any notes appearing in the report to stokholders are applicable to the Statement of Income, such notes may be included at page 122.</p> <p>13. Enter on page 122 a concise explanation of only those changes in accounting methods made during the year which had an effect on net income, including the basis of allocations and apportionments from those used in the preceding year. Also, give the appropriate dollar effect of such changes.</p> <p>14. Explain in a footnote if the previous year's/quarter's figures are different from that reported in prior reports.</p> <p>15. If the columns are insufficient for reporting additional utility departments, supply the appropriate account titles report the information in a footnote to this schedule.</p>							
ELECTRIC UTILITY		GAS UTILITY		OTHER UTILITY			
Current Year to Date (in dollars) (g)	Previous Year to Date (in dollars) (h)	Current Year to Date (in dollars) (i)	Previous Year to Date (in dollars) (j)	Current Year to Date (in dollars) (k)	Previous Year to Date (in dollars) (l)	Line No.	
						1	
210,394,962	209,051,768					2	
						3	
133,292,989	123,721,886					4	
32,954,906	35,383,793					5	
74,614,927	73,562,811					6	
						7	
						8	
						9	
						10	
						11	
						12	
						13	
35,167	32,399					14	
						15	
						16	
						17	
						18	
						19	
						20	
	-289,031					21	
						22	
						23	
						24	
240,897,989	232,411,858					25	
-30,503,027	-23,360,090					26	

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
STATEMENT OF INCOME FOR THE YEAR (continued)							
Line No.	Title of Account (a)	(Ref.) Page No. (b)	TOTAL		Current 3 Months Ended Quarterly Only No 4th Quarter (e)	Prior 3 Months Ended Quarterly Only No 4th Quarter (f)	
			Current Year (c)	Previous Year (d)			
27	Net Utility Operating Income (Carried forward from page 114)		-30,503,027	-23,360,090			
28	Other Income and Deductions						
29	Other Income						
30	Nonutility Operating Income						
31	Revenues From Merchandising, Jobbing and Contract Work (415)						
32	(Less) Costs and Exp. of Merchandising, Job. & Contract Work (416)						
33	Revenues From Nonutility Operations (417)						
34	(Less) Expenses of Nonutility Operations (417.1)						
35	Nonoperating Rental Income (418)						
36	Equity in Earnings of Subsidiary Companies (418.1)	119					
37	Interest and Dividend Income (419)		-138,229	1,579,358			
38	Allowance for Other Funds Used During Construction (419.1)						
39	Miscellaneous Nonoperating Income (421)		1,333,004	1,028,951			
40	Gain on Disposition of Property (421.1)			4,306			
41	TOTAL Other Income (Enter Total of lines 31 thru 40)		1,194,775	2,612,615			
42	Other Income Deductions						
43	Loss on Disposition of Property (421.2)		55,861	87			
44	Miscellaneous Amortization (425)						
45	Donations (426.1)						
46	Life Insurance (426.2)						
47	Penalties (426.3)		2,156,000	200,000			
48	Exp. for Certain Civic, Political & Related Activities (426.4)						
49	Other Deductions (426.5)			-369			
50	TOTAL Other Income Deductions (Total of lines 43 thru 49)		2,211,861	199,718			
51	Taxes Applicable to Other Income and Deductions						
52	Taxes Other Than Income Taxes (408.2)	262-263					
53	Income Taxes-Federal (409.2)	262-263					
54	Income Taxes-Other (409.2)	262-263					
55	Provision for Deferred Inc. Taxes (410.2)	234, 272-277					
56	(Less) Provision for Deferred Income Taxes-Cr. (411.2)	234, 272-277					
57	Investment Tax Credit Adj.-Net (411.5)						
58	(Less) Investment Tax Credits (420)						
59	TOTAL Taxes on Other Income and Deductions (Total of lines 52-58)						
60	Net Other Income and Deductions (Total of lines 41, 50, 59)		-1,017,086	2,412,897			
61	Interest Charges						
62	Interest on Long-Term Debt (427)		12,167,352	14,303,753			
63	Amort. of Debt Disc. and Expense (428)		175,295	274,369			
64	Amortization of Loss on Reacquired Debt (428.1)		113,718	130,287			
65	(Less) Amort. of Premium on Debt-Credit (429)		587,948	1,071,106			
66	(Less) Amortization of Gain on Reacquired Debt-Credit (429.1)						
67	Interest on Debt to Assoc. Companies (430)						
68	Other Interest Expense (431)		-178,567	2,212,799			
69	(Less) Allowance for Borrowed Funds Used During Construction-Cr. (432)		284,273	341,650			
70	Net Interest Charges (Total of lines 62 thru 69)		11,405,577	15,508,452			
71	Income Before Extraordinary Items (Total of lines 27, 60 and 70)		-42,925,690	-36,455,645			
72	Extraordinary Items						
73	Extraordinary Income (434)						
74	(Less) Extraordinary Deductions (435)						
75	Net Extraordinary Items (Total of line 73 less line 74)						
76	Income Taxes-Federal and Other (409.3)	262-263					
77	Extraordinary Items After Taxes (line 75 less line 76)						
78	Net Income (Total of line 71 and 77)		-42,925,690	-36,455,645			

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STATEMENT OF RETAINED EARNINGS

1. Do not report Lines 49-53 on the quarterly version.

2. Report all changes in appropriated retained earnings, unappropriated retained earnings, year to date, and unappropriated undistributed subsidiary earnings for the year.

3. Each credit and debit during the year should be identified as to the retained earnings account in which recorded (Accounts 433, 436 - 439 inclusive). Show the contra primary account affected in column (b)

4. State the purpose and amount of each reservation or appropriation of retained earnings.

5. List first account 439, Adjustments to Retained Earnings, reflecting adjustments to the opening balance of retained earnings. Follow by credit, then debit items in that order.

6. Show dividends for each class and series of capital stock.

7. Show separately the State and Federal income tax effect of items shown in account 439, Adjustments to Retained Earnings.

8. Explain in a footnote the basis for determining the amount reserved or appropriated. If such reservation or appropriation is to be recurrent, state the number and annual amounts to be reserved or appropriated as well as the totals eventually to be accumulated.

9. If any notes appearing in the report to stockholders are applicable to this statement, include them on pages 122-123.

Line No.	Item (a)	Contra Primary Account Affected (b)	Current Quarter/Year Year to Date Balance (c)	Previous Quarter/Year Year to Date Balance (d)
	UNAPPROPRIATED RETAINED EARNINGS (Account 216)			
1	Balance-Beginning of Period		159,710,069	196,165,714
2	Changes			
3	Adjustments to Retained Earnings (Account 439)			
4				
5				
6				
7				
8				
9	TOTAL Credits to Retained Earnings (Acct. 439)			
10				
11				
12				
13				
14				
15	TOTAL Debits to Retained Earnings (Acct. 439)			
16	Balance Transferred from Income (Account 433 less Account 418.1)		-42,925,690	(36,455,645)
17	Appropriations of Retained Earnings (Acct. 436)			
18				
19				
20				
21				
22	TOTAL Appropriations of Retained Earnings (Acct. 436)			
23	Dividends Declared-Preferred Stock (Account 437)			
24				
25				
26				
27				
28				
29	TOTAL Dividends Declared-Preferred Stock (Acct. 437)			
30	Dividends Declared-Common Stock (Account 438)			
31				
32				
33				
34				
35				
36	TOTAL Dividends Declared-Common Stock (Acct. 438)			
37	Transfers from Acct 216.1, Unapprop. Undistrib. Subsidiary Earnings			
38	Balance - End of Period (Total 1,9,15,16,22,29,36,37)		116,784,379	159,710,069
	APPROPRIATED RETAINED EARNINGS (Account 215)			
39				
40				

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STATEMENT OF CASH FLOWS					
<p>(1) Codes to be used:(a) Net Proceeds or Payments;(b)Bonds, debentures and other long-term debt; (c) Include commercial paper; and (d) Identify separately such items as investments, fixed assets, intangibles, etc.</p> <p>(2) Information about noncash investing and financing activities must be provided in the Notes to the Financial statements. Also provide a reconciliation between "Cash and Cash Equivalents at End of Period" with related amounts on the Balance Sheet.</p> <p>(3) Operating Activities - Other: Include gains and losses pertaining to operating activities only. Gains and losses pertaining to investing and financing activities should be reported in those activities. Show in the Notes to the Financials the amounts of interest paid (net of amount capitalized) and income taxes paid.</p> <p>(4) Investing Activities: Include at Other (line 31) net cash outflow to acquire other companies. Provide a reconciliation of assets acquired with liabilities assumed in the Notes to the Financial Statements. Do not include on this statement the dollar amount of leases capitalized per the USofA General Instruction 20; instead provide a reconciliation of the dollar amount of leases capitalized with the plant cost.</p>					
Line No.	Description (See Instruction No. 1 for Explanation of Codes) (a)	Current Year to Date Quarter/Year (b)	Previous Year to Date Quarter/Year (c)		
1	Net Cash Flow from Operating Activities:				
2	Net Income (Line 78(c) on page 117)	-42,925,690	-36,455,645		
3	Noncash Charges (Credits) to Income:				
4	Depreciation and Depletion	74,614,927	73,562,811		
5	Amortization of Debt Expenses	289,013	404,656		
6	Amortization of Bond Premium	-587,948	-1,071,106		
7					
8	Deferred Income Taxes (Net)				
9	Investment Tax Credit Adjustment (Net)				
10	Net (Increase) Decrease in Receivables	-10,294,734	139,199		
11	Net (Increase) Decrease in Inventory				
12	Net (Increase) Decrease in Allowances Inventory				
13	Net Increase (Decrease) in Payables and Accrued Expenses	1,309,858	-51,139		
14	Net (Increase) Decrease in Other Regulatory Assets				
15	Net Increase (Decrease) in Other Regulatory Liabilities				
16	(Less) Allowance for Other Funds Used During Construction				
17	(Less) Undistributed Earnings from Subsidiary Companies				
18	Other (provide details in footnote):				
19					
20	Net Increase (Decrease) in Other Deferred Credits	-238,000	2,396,397		
21	Lease Termination Costs/Loss on Disposal of Asset/Abandoned Software		289,000		
22	Net Cash Provided by (Used in) Operating Activities (Total 2 thru 21)	22,167,426	39,214,173		
23					
24	Cash Flows from Investment Activities:				
25	Construction and Acquisition of Plant (including land):				
26	Gross Additions to Utility Plant (less nuclear fuel)				
27	Gross Additions to Nuclear Fuel				
28	Gross Additions to Common Utility Plant	-16,018,222	-22,249,231		
29	Gross Additions to Nonutility Plant				
30	(Less) Allowance for Other Funds Used During Construction				
31	Other (provide details in footnote):				
32					
33					
34	Cash Outflows for Plant (Total of lines 26 thru 33)	-16,018,222	-22,249,231		
35					
36	Acquisition of Other Noncurrent Assets (d)				
37	Proceeds from Disposal of Noncurrent Assets (d)				
38					
39	Investments in and Advances to Assoc. and Subsidiary Companies				
40	Contributions and Advances from Assoc. and Subsidiary Companies				
41	Disposition of Investments in (and Advances to)				
42	Associated and Subsidiary Companies				
43					
44	Purchase of Investment Securities (a)	-19,032,581	-54,211,110		
45	Proceeds from Sales of Investment Securities (a)	22,047,907	23,008,737		

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STATEMENT OF CASH FLOWS					
<p>(1) Codes to be used:(a) Net Proceeds or Payments;(b)Bonds, debentures and other long-term debt; (c) Include commercial paper; and (d) Identify separately such items as investments, fixed assets, intangibles, etc.</p> <p>(2) Information about noncash investing and financing activities must be provided in the Notes to the Financial statements. Also provide a reconciliation between "Cash and Cash Equivalents at End of Period" with related amounts on the Balance Sheet.</p> <p>(3) Operating Activities - Other: Include gains and losses pertaining to operating activities only. Gains and losses pertaining to investing and financing activities should be reported in those activities. Show in the Notes to the Financials the amounts of interest paid (net of amount capitalized) and income taxes paid.</p> <p>(4) Investing Activities: Include at Other (line 31) net cash outflow to acquire other companies. Provide a reconciliation of assets acquired with liabilities assumed in the Notes to the Financial Statements. Do not include on this statement the dollar amount of leases capitalized per the USofA General Instruction 20; instead provide a reconciliation of the dollar amount of leases capitalized with the plant cost.</p>					
Line No.	Description (See Instruction No. 1 for Explanation of Codes) (a)	Current Year to Date Quarter/Year (b)	Previous Year to Date Quarter/Year (c)		
46	Loans Made or Purchased				
47	Collections on Loans				
48					
49	Net (Increase) Decrease in Receivables				
50	Net (Increase) Decrease in Inventory				
51	Net (Increase) Decrease in Allowances Held for Speculation				
52	Net Increase (Decrease) in Payables and Accrued Expenses				
53	Other (provide details in footnote):				
54					
55					
56	Net Cash Provided by (Used in) Investing Activities				
57	Total of lines 34 thru 55)	-13,002,896	-53,451,604		
58					
59	Cash Flows from Financing Activities:				
60	Proceeds from Issuance of:				
61	Long-Term Debt (b)	199,340,950			
62	Preferred Stock				
63	Common Stock				
64	Other (provide details in footnote):				
65					
66	Net Increase in Short-Term Debt (c)				
67	Other (provide details in footnote):				
68	Receipts from Market Participants (See Note 3)	620,947,068	293,139,043		
69	Payments to Market Participants (See Note 3)	-511,961,296	-333,137,087		
70	Cash Provided by Outside Sources (Total 61 thru 69)	308,326,722	-39,998,044		
71					
72	Payments for Retirement of:				
73	Long-term Debt (b)	-243,796,951	-28,585,000		
74	Preferred Stock				
75	Common Stock				
76	Other (provide details in footnote):				
77	Increase in Special Deposits (See Note 4)	16,603,647	2,850,717		
78	Net Decrease in Short-Term Debt (c)				
79	Net (Increase) in Other Special Funds (See Note 4)	-128,917,261	53,697,213		
80	Dividends on Preferred Stock				
81	Dividends on Common Stock				
82	Net Cash Provided by (Used in) Financing Activities				
83	(Total of lines 70 thru 81)	-47,783,843	-12,035,114		
84					
85	Net Increase (Decrease) in Cash and Cash Equivalents				
86	(Total of lines 22,57 and 83)	-38,619,313	-26,272,545		
87					
88	Cash and Cash Equivalents at Beginning of Period	60,801,250	87,073,795		
89					
90	Cash and Cash Equivalents at End of period	22,181,937	60,801,250		

1. Report in columns (b),(c),(d) and (e) the amounts of accumulated other comprehensive income items, on a net-of-tax basis, where appropriate.
2. Report in columns (f) and (g) the amounts of other categories of other cash flow hedges.
3. For each category of hedges that have been accounted for as "fair value hedges", report the accounts affected and the related amounts in a footnote.
4. Report data on a year-to-date basis.

Line No.	Item (a)	Unrealized Gains and Losses on Available-for-Sale Securities (b)	Minimum Pension Liability adjustment (net amount) (c)	Foreign Currency Hedges (d)	Other Adjustments (e)
1	Balance of Account 219 at Beginning of Preceding Year		(1,840,614)		
2	Preceding Qtr/Yr to Date Reclassifications from Acct 219 to Net Income				
3	Preceding Quarter/Year to Date Changes in Fair Value		5,160,448		
4	Total (lines 2 and 3)		5,160,448		
5	Balance of Account 219 at End of Preceding Quarter/Year		3,319,834		
6	Balance of Account 219 at Beginning of Current Year		3,319,834		
7	Current Qtr/Yr to Date Reclassifications from Acct 219 to Net Income				
8	Current Quarter/Year to Date Changes in Fair Value		4,328,015		
9	Total (lines 7 and 8)		4,328,015		
10	Balance of Account 219 at End of Current Quarter/Year		7,647,849		

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Name of Respondent California Independent System Operator	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report 04/17/2014	Year/Period of Report End of <u>2013/Q4</u>
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<p align="center">NOTES TO FINANCIAL STATEMENTS</p> <p>1. Use the space below for important notes regarding the Balance Sheet, Statement of Income for the year, Statement of Retained Earnings for the year, and Statement of Cash Flows, or any account thereof. Classify the notes according to each basic statement, providing a subheading for each statement except where a note is applicable to more than one statement.</p> <p>2. Furnish particulars (details) as to any significant contingent assets or liabilities existing at end of year, including a brief explanation of any action initiated by the Internal Revenue Service involving possible assessment of additional income taxes of material amount, or of a claim for refund of income taxes of a material amount initiated by the utility. Give also a brief explanation of any dividends in arrears on cumulative preferred stock.</p> <p>3. For Account 116, Utility Plant Adjustments, explain the origin of such amount, debits and credits during the year, and plan of disposition contemplated, giving references to Commission orders or other authorizations respecting classification of amounts as plant adjustments and requirements as to disposition thereof.</p> <p>4. Where Accounts 189, Unamortized Loss on Reacquired Debt, and 257, Unamortized Gain on Reacquired Debt, are not used, give an explanation, providing the rate treatment given these items. See General Instruction 17 of the Uniform System of Accounts.</p> <p>5. Give a concise explanation of any retained earnings restrictions and state the amount of retained earnings affected by such restrictions.</p> <p>6. If the notes to financial statements relating to the respondent company appearing in the annual report to the stockholders are applicable and furnish the data required by instructions above and on pages 114-121, such notes may be included herein.</p> <p>7. For the 3Q disclosures, respondent must provide in the notes sufficient disclosures so as to make the interim information not misleading. Disclosures which would substantially duplicate the disclosures contained in the most recent FERC Annual Report may be omitted.</p> <p>8. For the 3Q disclosures, the disclosures shall be provided where events subsequent to the end of the most recent year have occurred which have a material effect on the respondent. Respondent must include in the notes significant changes since the most recently completed year in such items as: accounting principles and practices; estimates inherent in the preparation of the financial statements; status of long-term contracts; capitalization including significant new borrowings or modifications of existing financing agreements; and changes resulting from business combinations or dispositions. However were material contingencies exist, the disclosure of such matters shall be provided even though a significant change since year end may not have occurred.</p> <p>9. Finally, if the notes to the financial statements relating to the respondent appearing in the annual report to the stockholders are applicable and furnish the data required by the above instructions, such notes may be included herein.</p>
<p>PAGE 122 INTENTIONALLY LEFT BLANK SEE PAGE 123 FOR REQUIRED INFORMATION.</p>

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California Independent System Operator Corporation			
NOTES TO FINANCIAL STATEMENTS (Continued)			

1. Organization and Operations

The Company is a nonprofit public benefit corporation incorporated in May 1997, and is responsible for the operation of the long-distance, high-voltage power lines throughout most of California that also deliver power to and from neighboring control areas and states, along with Canada and Mexico. At present, the ISO controls the transmission systems owned by 16 entities that have contracted with the Company to operate them. The Company's purpose is to ensure the efficient and reliable use of this transmission system.

The Company charges a Grid Management Charge ("GMC") to market participants to recover the Company's costs and to provide an operating reserve. The Company operates pursuant to tariffs filed with the Federal Energy Regulatory Commission.

The Company operates a day-ahead market for all twenty-four hours of the next operating day, and a real-time market for each operating hour. This market structure is the vehicle for providing open-access transmission service to users of the transmission grid. The market clears energy bids and offers short-term energy purchases and sales, thus enabling economic dispatch of generating resources to maintain continuous balance of supply and demand and management of congestion on the grid. The market also procures reserve capacity or ancillary services to maintain reliable operation under unexpected changes in grid conditions. In addition, the Company also performs a settlement and clearing function by charging and collecting payments from users of these services and paying providers of such services. Cash held by the Company on behalf of market participants is recorded in a restricted asset account with a corresponding liability due market participants in the balance sheet. Except for the retention of restricted assets noted above, the Company's financial statements reflect a net reporting of market activities wherein the financial statements do not include the revenues and expenses, cash flows, and balance sheet accounts associated with the market transactions it facilitates. GMC revenues have a priority claim against any market-related receipts. Any market defaults are allocated to market participants.

The Board of the Company is appointed by the California Governor and is subject to confirmation by the California State Senate. A full Board is comprised of five members.

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NOTES TO FINANCIAL STATEMENTS (Continued)			

2. Summary of Significant Accounting Policies

Method of accounting

The accompanying financial statements have been prepared in conformity with the requirements of the Federal Energy Regulatory Commission, as set forth in its applicable Uniform System of Accounts and published accounting releases. Accordingly, as required by the Federal Energy Regulatory Commission, certain information has been presented differently or has been excluded from that which would be required by accounting principles generally accepted in the United States of America ("GAAP"). Such differences include expense recognition related to the post-employment medical benefit plan, accounting for certain investments, the classification of long-term debt, balance sheet captions used for certain assets and liabilities, and the presentation of cash flows, as specified by the Federal Energy Regulatory Commission. Additionally, certain disclosures required by GAAP are not required to be presented by the Federal Energy Regulatory Commission.

Net presentation of market activity

Effective September 2012, the Company's tariff was amended to reflect a change in the legal status of its position in facilitating market transactions. The tariff change established the Company as being a central counterparty to the transactions that it financially settles, with certain limited exceptions. The Company adopted this change in response to FERC Order No. 741, which was promulgated, among other reasons, to clarify the Company's standing to pursue collection of defaulted amounts in the event a market participant files for bankruptcy.

While the tariff change modified the Company's legal rights and obligations with respect to market transactions by making the Company a buyer to every seller and a seller to every buyer, the responsibilities of market participants for supplying electricity and other services to their customers have not been modified. The Company's market participants continue to be primary obligors with respect to those obligations. In addition, the allocation of market defaults among market participants has not changed. Market participants continue to bear the credit risk associated with any financial defaults by other market participants. Accordingly, the Company's financial statements continue to reflect a net reporting of market activities and exclude the revenues and expenses, cash flows, and balance sheet accounts associated with the market transactions the Company facilitates.

Use of estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could materially differ from these, and other, estimates.

Utility plant

Fixed assets are recorded at cost. Depreciation is computed using the straight-line method over the estimated useful lives of assets. Most of the Company's investment in fixed assets consists of the headquarters building and related assets which are being depreciated over twenty to thirty years and information systems, which are being depreciated over three to ten years. The cost of improvements to or replacement of fixed assets is capitalized. Interest incurred during development is capitalized. When assets are retired or otherwise disposed of, the cost and related depreciation are removed from the accounts and any resulting gain or loss is reflected in the Company's statement of income for the period. Repairs and maintenance costs are expensed when incurred. The Company capitalizes direct costs of salaries and certain indirect costs incurred to develop or obtain software for internal use. Costs incurred related to software development during the preliminary stage of a project and training and maintenance costs are expensed as incurred. Costs related to abandoned projects are expensed when the decision to abandon is made.

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NOTES TO FINANCIAL STATEMENTS (Continued)			

Cash and cash equivalents

Cash and cash equivalents are included in various funds whose use is either unrestricted or restricted. Cash and cash equivalents are comprised of cash in bank accounts, money market funds, and other highly liquid investments with original maturities of three months or less. Cash and cash equivalents are unrestricted unless specifically restricted by bond indentures or the tariff.

Other property and investments

Other property and investments include other investments and other special funds. Other investments have maturities of more than three months and include government and federal agency securities, corporate bonds, certificates of deposits (CDs) and equity and fixed income mutual funds. Investments are carried at fair value. Income on investments and the gain or loss on the fair value of instruments are recorded as a component of interest income.

Other special funds include cash and cash equivalents restricted by the tariff for market participants, bond indenture agreements for capital expenditures, funds held in trust for employee retirement plans and amounts on deposit for generator interconnection studies.

Current and accrued assets

Current and accrued assets include cash in bank accounts, special deposits, customer accounts receivable, other accounts receivable, prepayments, interest and dividends receivable, and accrued utility revenues.

Special deposits include cash, cash equivalents and investments restricted for debt service that are held by a bond trustee under an indenture agreement for scheduled repayments of bond principal and for a debt service reserve fund. Investments, which include government and federal agency securities, corporate bonds and a guaranteed investment contract are carried at fair value.

Accrued utility revenues and revenue recognition

The GMC is based on rates filed with the Federal Energy Regulatory Commission and is designed to recover the Company's operating costs, capital expenditures, debt service costs, and to provide for an operating reserve. The GMC billings are recognized as revenue. The initial billings are based on estimated meter data submitted by market participants and therefore may be subject to adjustment over time to reflect the difference between actual meter data and initial estimates.

The GMC is comprised of the following three service categories: market services, system operations and congestion revenue rights services.

The operating reserve is calculated separately for each GMC service category and accumulates until the reserve becomes fully funded (at 15 percent of budgeted annual operating costs for each rate service category). At December 31, 2013, the operating reserve for each service category was fully funded. In accordance with the tariff, any surplus operating reserve balance is applied as a reduction in revenue requirements in the following year. The tariff requires GMC rates to be adjusted not more than once per quarter. Rate adjustments are based on the greater of a 2% difference in projected volumes used to set rates or \$1.0 million in estimated annual GMC revenues. During 2013 and 2012, adjustments were made to certain GMC rates pursuant to these provisions.

Generator interconnection studies

The Company is responsible for conducting generator interconnection studies at the request of project sponsors who are developing generating plants to become connected to the transmission grid operated by the Company. The project sponsors are required to make a deposit before any studies are performed. Sponsors may withdraw from the studies at any time.

In accordance with the tariff, the Company charges the project sponsors the actual costs of the studies. Related study costs include both internal costs and external costs and are recorded, when incurred, as operating expenses. As costs are incurred, the Company recognizes revenue for the same amount, which is recorded as a component of

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operating revenues. The Company applies the deposits against the related receivable as costs are incurred. Certain deposits related to projects abandoned by the project sponsors are retained by the Company and distributed to market participants following approval by the Federal Energy Regulatory Commission. These distributions do not result in revenues or expenses recognized by the Company.

Deferred debits

Deferred debits consist primarily of debt issuance costs, which are amortized over the life of the bonds using the bonds outstanding method, which approximates the effective interest method.

Compensated absences

The Company accrues vacation leave when the employee becomes eligible for the benefit. The Company does not record sick leave or other leave as a liability since there are no cash payments for sick leave or other leave made when employees terminate or retire. At December 31, 2013 and 2012, the total accrued liability for vacation was \$7.8 million and \$7.3 million, respectively.

Other deferred credits

Other deferred credits consist primarily of liabilities related to generator noncompliance fines.

From December 8, 2000 through June 30, 2001, the Company assessed noncompliance fines on participating generators that failed to fully comply with dispatch instructions when the Company was seeking to prevent an imminent or threatened system emergency. In accordance with the tariff, these fines are retained by the Company. The Company recorded the net realizable amount of such fines as revenue when the underlying noncompliance event occurred. However, this amount has changed over time in response to developments in the still ongoing litigation over the California electricity crisis. The Company adjusts such amounts in recognition of these developments, which affect the ultimate recognition of the fines charged and payments of the liability.

Income taxes

The Company is exempt from federal income tax under Section 501(c)(3) of the U.S. Internal Revenue Service (IRS) Code and is exempt from California State franchise income taxes.

Concentration of credit risk

Financial instruments that subject the Company to credit risk consist primarily of accounts receivable relating to GMC billings due from market participants and cash and cash equivalents and investments.

Most of the Company's receivables are due from entities in the energy industry, including utilities, generation owners and other electricity market participants. For the years ended December 31, 2013 and 2012, approximately 56 percent, of GMC revenues were from two market participants.

GMC revenues have a priority claim against any market-related receipts, which means that even if an entity defaults on an invoice containing a GMC charge, the Company receives the full GMC so long as sufficient funds were received on other market invoices.

The Company's concentration of credit risk related to its investment portfolio is the risk attributed to the magnitude of investments in a single issuer. At December 31, 2013 and 2012, the Company had 48% and 70% of its investment portfolio concentrated in 6 issuers.

Subsequent events

The Company evaluates events or transactions that occur after December 31, 2013 but before financial statements are issued for potential recognition or disclosure in the financial statements. The Company has evaluated all subsequent events through April 17, 2014, the date the financial statements were issued, and no items were noted that need to be disclosed.

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NOTES TO FINANCIAL STATEMENTS (Continued)			

3. Customer Deposits

Customer deposits, which are cash and cash equivalents restricted for market participants, consists of amounts held by the Company to be remitted to market participants or others on their behalf. The balance of customer deposits at December 31 is as follows (in thousands):

	2013	2012
Security deposits	\$ 207,120	\$ 123,007
Market funds pending settlement	105,215	95,189
Pass-through fees due to others	19,821	12,890
Generator interconnection study deposits	48,212	60,250
Forfeited deposits pending distribution	35,827	15,874
Total amounts restricted for market participants	<u>\$ 416,195</u>	<u>\$ 307,210</u>

Security deposits are amounts received from market participants who are required to post collateral for their transactions in the Company's markets. Market funds pending settlement consist of amounts collected during the settlement and clearing function that will pass through to market participants in subsequent periods. Pass-through fees due to others consist of amounts collected from market participants that will be paid to market participants for summer reliability, startup costs and emission costs. Generator interconnection study deposits are amounts collected for future studies. Forfeited deposits consist of generator interconnection study amounts forfeited by project sponsors that are pending Federal Energy Regulatory Commission approval for distribution.

These amounts are reflected in the balance sheet as Customer Deposits, a liability account, and as a component of Other Special Funds, an asset account.

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NOTES TO FINANCIAL STATEMENTS (Continued)			

4. Other Investments, Other Special Funds and Special Deposits

Other investments, other special funds and special deposits consist of the following at December 31 (in thousands):

	2013	2012
Money market, certificates of deposit and other funds	\$ 456,318	\$ 317,122
Corporate notes	41,919	75,184
Government securities	66,028	62,661
Stocks	37	37
	<u>\$ 564,302</u>	<u>\$ 455,004</u>
Other investments	\$ 88,906	\$ 91,922
Other special funds	455,071	326,154
Special deposits	20,325	36,928
	<u>\$ 564,302</u>	<u>\$ 455,004</u>

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NOTES TO FINANCIAL STATEMENTS (Continued)			

5. Utility Plant

Utility plant consists of the following at December 31 (in thousands):

	2013	2012
Nondepreciable fixed assets:		
Land	\$ 9,098	\$ 9,098
Work-in-progress	5,822	4,643
	<u>14,920</u>	<u>13,741</u>
Depreciable fixed assets:		
Regional transmission operator software	372,549	363,660
Regional transmission operator hardware	34,270	35,130
Communication equipment	8,593	8,390
ISO facilities (HQ and Alhambra)	143,791	143,743
Furniture, fixtures and other	14,677	14,773
	<u>573,880</u>	<u>565,696</u>
Less: Accumulated depreciation	<u>(394,947)</u>	<u>(327,031)</u>
	<u>178,933</u>	<u>238,665</u>
Total fixed assets, net	<u>\$ 193,853</u>	<u>\$ 252,406</u>

The Company capitalized interest related to the development of fixed assets of \$0.3 million for the years ending December 31, 2013 and 2012.

In 2013, the Company determined that certain fixed assets had been under depreciated after being placed in service during 2011. The Company has recorded an out-of-period adjustment in 2013 to correct this error, resulting in a decrease in net fixed assets in the balance sheet and an increase in depreciation expense of \$3.6 million.

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6. Generator Noncompliance Fines

In 2000 and 2001, the Company billed generator noncompliance fines to market participants totaling \$122.1 million of which the Company collected \$60.7 million. Generally, these fines were assessed at a rate corresponding to twice the highest price paid in the Company's markets for energy. Because the prices for this period are being adjusted as a result of the Federal Energy Regulatory Commission Refund Case, as described in Note 12, the amount of the fines to be retained by the Company is being reduced, with any surplus collections being refunded with interest to market participants. The Company accrues interest in accordance with Federal Energy Regulatory Commission rulings on the portion of fines collected in excess of the estimated realizable amount, which is to be refunded to market participants when the amounts are settled. The ultimate settlement of fines is expected after the conclusion of the proceedings in the Federal Energy Regulatory Commission Refund Case and the financial settlement of the California Power Exchange (Cal PX).

Based on estimates of the mitigated energy prices the Company recorded fine revenues between the years 2000 to 2004 totaling \$29.5 million, resulting in a refund liability of \$31.2 million. On December 31, 2010, in connection with a settlement agreement that was approved by the Federal Energy Regulatory Commission the Company distributed \$43.9 million to the settling parties thereby reducing its refund liability.

In 2012, the Company increased its estimated generator noncompliance liability based on updated information it obtained related to interest and other factors that will serve to reduce the estimated amount of generator fine proceeds the Company will ultimately retain, which consequently increases the generator fine collections that will be returned to market participants. The final settlement of generator noncompliance fines will occur after the conclusion of the Federal Energy Regulatory Commission Refund Case proceedings described in Note 12, which is expected to involve shortfalls in interest and principal in connection with prior settlements between the California Parties and suppliers. Based on current estimates obtained in 2013 from parties involved in these proceedings, the Company updated its estimate of the proportionate allocation of these shortfalls to the Company which resulted in an overall decrease in the estimated liability of \$0.2 million and recognition of interest expense in the same amount in 2013.

The Company estimates the remaining liability (including interest) related to generator noncompliance fines to be \$2.7 million of December 31, 2013.

There are significant uncertainties associated with the final settlement of generator noncompliance fines. While management's estimated liability at December 31, 2013 is based on the best information available, adjustments are likely to occur in the future to the estimated liability associated with interest and other shortfalls that will be incurred by the Cal PX, and allocated to the Company in connection with final disposition of the funds and obligations arising from the events of 2000 and 2001.

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NOTES TO FINANCIAL STATEMENTS (Continued)			

7. Bonds

Bonds consist of the following at December 31 (in thousands):

	2013	2012
CIEDB Revenue Bonds, Series 2013 Fixed interest rates of 1.00% - 5.25% with maturities through 2039	\$ 191,820	\$ -
CIEDB Revenue Bonds, Series 2009 Fixed interest rates of 3.00% - 6.25% with maturities through 2039, refunded in 2013	-	196,545
CIEDB Revenue Bonds, Series 2008 Fixed interest rates of 4.00% - 5.00% with maturities through 2014	23,465	59,490
Unamortized net premium		
Series 2013 bonds	10,474	-
Series 2009 bonds	-	1,648
Series 2008 bonds	31	447
Total long-term debt	<u>\$ 225,790</u>	<u>\$ 258,130</u>

Scheduled future debt service payments as of December 31, 2013, are as follows (in thousands):

	Principal	Interest	Total
2014	\$ 23,465	\$ 7,031	\$ 30,496
2015	4,415	9,062	13,477
2016	4,500	8,957	13,457
2017	4,625	8,825	13,450
2018	4,765	8,655	13,420
2019 - 2039	173,515	106,163	279,678
	<u>\$ 215,285</u>	<u>\$ 148,693</u>	<u>\$ 363,978</u>

Long-term debt and related agreements

In November 2013, the Company issued \$191.8 million of fixed rate refunding revenue bonds through the CIEDB, at a premium of \$10.5 million to reacquire \$197.8 million of outstanding 2009 bonds. The net proceeds of \$201.9 million (after payment of \$1.6 million in underwriting fees and other issuance costs) plus an additional \$1.1 million of 2009 sinking fund monies, together with other funds of the Company, were used to purchase U.S. government securities. Those securities were deposited in an irrevocable trust with an escrow agent to provide for all future debt service payments on the 2009 bonds. As a result, the 2009 bonds are considered to be defeased and the liability for those bonds has been removed from the balance sheet.

The debt defeasance transaction extinguished the outstanding debt related to the 2009 bonds. The recording of this transaction within the financial statements resulted in a net loss of \$12.8 million in 2013 because the acquisition price of the 2013 bonds, together with other funds used in the transaction, was greater than the net carrying value of

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the 2009 debt and the related unamortized debt issuance costs. This loss is recognized as loss on reacquired debt and will be expensed equitably over the term of the new debt.

Although this transaction resulted in the recognition of an accounting loss of \$12.8 million for the year ended December 31, 2013, the Company in effect reduced its aggregate debt service payments by almost \$30.5 million over the next 26 years and obtained an economic gain (difference between the present value of the old and new debt service payments) of \$18.0 million.

Issuing the new bonds allowed the Company to retire a reserve fund established to pay debt service on the 2009 bonds in the amount of \$15.0 million. These funds have been transferred to the 2009 construction fund and will be used to fund future capital expenditures.

The 2013 bonds are supported by a pledge of the Company's revenues and operating reserves. In addition, the bonds are supported by a deed of trust on the Company's headquarters building and land.

Interest expense

Interest expense recorded by the Company related to long-term debt includes interest paid on the bonds (net of interest capitalized to fixed assets), and amortization of the bond issuance costs, loss on refunding and the bond premiums.

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8. Derivative Financial Instrument – CRRs

As described in Note 2, beginning September 1, 2012, the Company is the central counterparty to market participant transactions which includes Congestion Revenue Rights (CRRs). CRRs are financial instruments that enable market participants to reduce their congestion-related price risk when delivering or selling energy on the grid. A CRR provides an economic hedging mechanism against congestion charges that can be transacted by market participants separately from transmission service. These instruments are considered derivative financial instruments for accounting purposes, which would require presentation at fair value if they were recognized as assets and liabilities of the Company.

Consistent with its role in facilitating other market transactions, the Company facilitates the allocation, auctioning and ultimate settlement of CRRs in its market, but does not have economic risks and rewards associated with these financial instruments. Any market defaults are allocated to market participants. As such they are not recognized as assets and liabilities in the Company's balance sheet. However, unlike other market transactions administered by the Company, CRRs can be outstanding for extended periods of time. At December 31, 2013, the average life of the Company's CRRs was 3.2 years and there were a total of 74 CRR holders compared to 3.2 years and 62 CRR holders at December 31, 2012. The estimated net fair value of both the CRR assets and liabilities as of December 31, 2013, was \$850.8 million related to a total of 905,643 megawatts, which vary in length from one month to several years. This is compared to \$789.4 million related to a total of 834,012 megawatts at December 31, 2012. The value of each megawatt of CRR is a function of numerous factors including the length or period the CRR covers.

While these amounts are not presented in the balance sheet, their estimated net fair value is disclosed for informational purposes given their longer term nature. Their fair value was determined based on several factors including actual auction prices transacted in the most recent annual and monthly auction processes, the Company's models which calculate the estimated value of all transmission constraints, net present value discounting and other factors. In addition to the high level of uncertainty associated with these inputs to the valuation calculation model, changes to actual or anticipated flows and constraints on the transmission system managed by the Company or in the value of electricity flowing on the transmission system create volatility that can significantly affect CRR values. Changes in generation, load, weather, and transmission outages are other factors that can have immediate and significant impact on CRR values.

The following is a summary of CRR megawatts, by type, outstanding at December 31, 2013.

Type (in Megawatts)

Monthly (January 2014)	86,013
Annual (February - December 2014)	474,913
Long Term (January 2014 - December 2023)	344,717
	<u>905,643</u>

The following is a summary of CRR megawatts, by type, outstanding at December 31, 2012.

Type (in Megawatts)

Monthly (January 2013)	40,166
Annual (February - December 2013)	447,208
Long Term (January 2014 - December 2022)	346,638
	<u>834,012</u>

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9. Fair Value of Financial Instruments

Accounting guidance establishes a three-tier fair value hierarchy that prioritizes inputs to valuation techniques used for fair value measurements. This guidance is applied under other accounting pronouncements that require or permit fair value measurements and, accordingly, does not require any new fair value measurements.

The levels of fair value input hierarchy are described below:

Level 1: Quoted prices in active markets that are accessible at the measurement date for identical, unrestricted assets or liabilities.

Level 2: Quoted prices for similar assets and liabilities in active markets; quoted prices for identical or similar assets and liabilities in markets that are not active; and model-derived valuations in which all significant inputs and significant value drivers are observable in active markets.

Level 3: Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities.

The Company's assessment of the significance of a particular input to the fair value measurement in its entirety requires judgment, and considers factors specific to the asset or liability. Financial assets and liabilities are classified in their entirety based on the level of input that is considered most significant to the fair value measurement.

The Company's financial assets and liabilities recorded at fair value on a recurring basis are bonds (government, agency and corporate), a guaranteed investment contract (GIC), a forward delivery agreement (FDA), money market funds, mutual funds, CDs and investments held in employee retirement plan trust accounts. The Company utilizes the market approach to measure fair value for all of these assets, except for the GIC, for which the income approach is used. The Level 2 asset inputs are based on prices for the same or similar bonds at or near the measurement date. The Level 3 assets are non-negotiable instruments which require the use of unobservable inputs in determining fair value. The Company applied credit adjusted spreads from market rates for US Treasury instruments with similar terms to discount cash flows to determine fair value for these instruments. There is little uncertainty of the fair value of these instruments to unobservable inputs since the primary inputs to their valuation are prevailing interest rates for high credit quality financial instruments of similar terms which are readily observable.

The Company's assets measured at fair value on a recurring basis at December 31, 2013, were as follows (in thousands):

	Total	Level 1	Level 2	Level 3
Bonds	\$ 88,079	\$ -	\$ 88,079	\$ -
GIC	19,697	-	-	19,697
Employee retirement plan trust accounts	2,177	2,177	-	-
Money market funds, CDs and mutual funds	429,783	429,783	-	-

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The Company's assets measured at fair value on a recurring basis at December 31, 2012, were as follows (in thousands):

	Total	Level 1	Level 2	Level 3
Bonds	\$ 100,954	\$ -	\$ 100,954	\$ -
GIC and FDA	36,720	-	-	36,720
Employee retirement plan trust accounts	1,712	1,712	-	-
Money market funds	310,690	310,690	-	-

The bonds, the guaranteed investment contract, the forward delivery agreement, employee retirement plan trust accounts, money market funds, mutual funds and CDs are components of other investments, other special funds, special deposits, and cash in the balance sheet.

Changes in the value of the fair value measurements that are classified as Level 3 inputs during the year ended December 31, were as follows (in thousands):

	2013	2012
Balance as of January 1	\$ 36,720	\$ 37,250
Unrealized loss	(308)	(530)
Sales	(16,715)	-
Balance as of December 31	<u>\$ 19,697</u>	<u>\$ 36,720</u>

The unrealized gain and loss relate to financial instruments still held by the Company at December 31, 2013 and 2012, respectively, and are recorded as a component of interest and dividend income in the statement of income.

The fair value of the Company's long-term debt as of December 31, 2013 and 2012 was \$225.1 million and \$271.5 million, respectively. The fair value of fixed rate long-term debt, which includes the short-term portion, is based on current market quotes which are classified as a Level 1 on the fair value hierarchy at both December 31, 2013 and 2012.

The carrying values reported in the balance sheet for current assets and liabilities, excluding amounts discussed above, approximate fair value.

Additionally, the Company had \$8.8 million and \$6.6 million at December 31, 2013 and 2012, respectively, in trust related to the post-employment medical benefit plan (see Note 10). At December 31, 2013, these trust assets consist primarily of mutual funds and are classified as Level 1 on the fair value hierarchy. At December 31, 2012, these trust assets consist primarily of corporate bonds and are classified as a Level 2 on the fair value hierarchy.

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As described in Note 8, the Company is counterparty to CRRs at December 31, 2013 and 2012, with an estimated net fair value of both the CRR assets and liabilities of \$850.8 million and \$789.4 million, respectively. These derivative instruments are classified as a Level 3 on the fair value hierarchy at December 31, 2013 and 2012 and the fair market value was determined based on several factors including actual auction prices transacted in the most recent annual and monthly auction processes, the Company's models which calculate the estimated value of all transmission constraints, net present value discounting and other factors.

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10. Employee Benefit Plans

The Company maintains a number of employee benefit plans. The description of the plans and key provisions is included below. The plans are included in accumulated provision for pensions and benefits in the balance sheet and consist of the following at December 31 (in thousands):

	2013	2012
Post-employment medical benefit plan	\$ 9,640	\$ 14,374
Executive pension restoration plan	1,432	1,156
Executive savings plan	745	556
Total accumulated provision for pensions and benefits	<u>\$ 11,817</u>	<u>\$ 16,086</u>

Post-employment medical benefit plan

Plan description

The Company sponsors the California ISO Retirees Medical Plan, a defined benefit plan, to provide post-employment health care benefits to all eligible employees who retire from the Company on or after attaining age 60. The required years of service to qualify for plan benefits is five years for employees hired prior to 2012 and ten years for employees hired after 2012. Depending on years of service, the Company pays between 60% and 70% of the premiums on the coverage elections made by the beneficiaries not to exceed \$8,000 per year for individual retiree coverage and \$16,000 per year for retiree plus spouse and/or dependent. Plan benefits are available to eligible retirees and to their spouses, domestic partners and eligible dependents, as provided for under the terms of the plan. Current plan coverage extends for the lifetime of the participants and their beneficiaries, except for dependents, which generally terminates at age 25. There were 44 active employees and 34 retirees eligible to receive benefits pursuant to the plan as of December 31, 2013.

Funding and investment policy

The Company has established a trust for the purposes of funding the plan. The trust was established as a tax-exempt voluntary employees' beneficiary association. All assets of the trust are to be used for the exclusive benefit of the participants and beneficiaries of the plan. Although the Company has fiscal accountability for these assets and holds them in a fiduciary capacity, the assets are not considered assets of the Company and are therefore not included in the balance sheet of the Company. The trust had the following activity at December 31 (in thousands):

	2013	2012
Fair value of assets, beginning	\$ 6,564	\$ 5,344
Actual return on assets	369	133
Employer contributions	2,101	1,332
Plan participants' contributions	173	150
Benefits paid and other	(434)	(395)
Fair value of assets, ending	<u>\$ 8,773</u>	<u>\$ 6,564</u>

The Company's current funding policy is to annually contribute an amount such that the total amount in the trust approximates the actuarially determined liability attributable to retirees and their spouses and to active participants who are fully eligible to retire. The Company expects to contribute \$0.3 million to the plan in 2014. The Company does not provide funding into the trust related to future obligations associated with employees who have not become eligible to retire, although any excess investment returns remain in the trust. As part of its rate structure, the

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Company collects annual amounts associated with the future obligations and those assets, while segregated, are reported in the Company's balance sheet.

The assets of both the trust and the Company's segregated funds are invested in accordance with the Board approved California ISO Retirees Medical Plan Investment Policy Statement. In general, the assets are invested in a mix of equity and fixed income mutual funds.

This plan had the following activity and related accumulated post retirement benefit obligation (APBO) at December 31 (in thousands):

	2013	2012
APBO, beginning of year	\$ 20,938	\$ 22,898
Service cost	1,725	2,606
Interest cost	827	1,019
Plan participants' contributions	173	158
Actuarial (gain)/loss	(4,779)	3,934
Plan change	-	(9,289)
Benefits paid and other	(471)	(388)
APBO, end of year	18,413	20,938
Less: fair value of plan assets	8,773	6,564
Funded status and balance sheet liability	\$ 9,640	\$ 14,374

APBO at December 31, 2013 decreased by \$2.5 million primarily due to an actuarial gain of \$4.8 million offset by normal service and interest costs of \$2.5 million. Changes in plan assumptions, which are in effect as of January 1, 2014, resulted in an actuarial gain and contributed to a decrease in the APBO. Such changes included an increase in the discount rate, projecting the mortality table to seven years for annuitants and 15 years for non-annuitants, revising the retirement age assumption to reflect the US trend and changing health plan migrations at retirement age. The change in the discount rate contributed to the majority of the actuarial gain.

APBO at December 31, 2012 decreased by \$2.0 million primarily due to the impact of plan changes of \$9.3 million, partially offset by an actuarial loss of \$3.9 million and by normal service and interest costs of \$3.6 million. Plan changes, which were in effect as of January 1, 2013, contributed to a decrease in the APBO. Changes in the plan's eligibility requirements and cost-sharing of the coverage costs, including the employer cap, resulted in the liability decrease. The actuarial loss in 2012 was primarily due to the change in investment return from 4.5% to 4.0%, partially offset by the decrease of current health care cost from 8.5% to 8.0%.

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Actuarial gains or losses and the impact of changes in assumptions are recorded as accumulated other comprehensive income or loss (AOCI) in the proprietary capital section of the balance sheet. In 2013 and 2012, the Company recorded \$4.3 million and \$5.2 million, respectively, in accumulated other comprehensive income. Additionally, the Company expects to amortize \$1.0 million and \$0.2 million of net prior service credits and net loss, respectively, in 2014. The change in the AOCI at December 31 is accounted as follows (in thousands):

	2013	2012
Net prior service credit	\$ (12,308)	\$ (13,357)
Net loss	4,660	10,037
AOCI, ending	<u>\$ (7,648)</u>	<u>\$ (3,320)</u>
AOCI, beginning	\$ (3,320)	\$ 1,841
Less amounts amortized during year		
Net prior service cost	(1,049)	(346)
Net gain	531	254
Amounts occurring during year		
Net prior service cost	-	(9,289)
Net loss/(gain)	(4,846)	4,036
AOCI, ending	<u>\$ (7,648)</u>	<u>\$ (3,320)</u>

The significant assumptions that are considered in the calculation of the APBO include the discount rate applied to the estimated future health care benefits (5.2% and 4.0% at December 31, 2013 and 2012, respectively), the long-term rate of return on assets (8.1 and 4.0% at December 31, 2013 and 2012, respectively) and the estimated costs of the health care premiums to be paid on behalf of the plan beneficiaries. Such estimated costs are based on current premium levels increased by estimated health care cost trend rates over the projected term of the benefits. The health care cost trend rate assumptions used to estimate the actuarial liability as of December 31, 2013, were annual increases of 7.5% for 2013 and 5% on the year of the ultimate health care cost trend rate in 2019. The Company estimated the long-term return on plan assets based on historical and future estimated returns on plan assets.

Assumed health care cost trend rates have a significant effect on the benefit obligation amounts reported for the health care plans. A one-percentage-point change in assumed health care cost trend rates would have the following effects (in thousands):

	1-Percentage Point Increase	1-Percentage Point Decrease
Effect on total service and interest cost	\$ 35	\$ (53)
Effect on APBO	309	(419)

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A summary of the plan's postretirement benefit expense for 2013 and 2012 is as follows (in thousands):

	2013	2012
Service cost	\$ 1,725	\$ 2,606
Interest cost	827	1,019
Expected return on assets	(303)	(236)
Net amortization	(518)	(92)
Net periodic benefit cost	<u>\$ 1,731</u>	<u>\$ 3,297</u>

The following benefit payments, which reflect expected future health care benefit services, as appropriate, are expected to be paid in connection with the plan as of December 31, 2013 (in thousands):

2014	\$ 303
2015	391
2016	481
2017	597
2018	701
2019 - 2023	5,194

Executive pension restoration plan

The Company sponsors the Executive Pension Restoration Plan, a non-qualified defined contribution plan, which allows certain officers of the Company to make contributions and receive Company contributions in excess of the 401(k) contribution limits set forth by IRS regulations as described in the retirement savings benefits plan below.

The contributions and earnings thereon are held in a trust and the balances as of December 31, 2013 and 2012, were \$1.4 million and \$1.2 million, respectively and are included in Other Special Funds with a corresponding liability in Accumulated Provision for Pensions and Benefits. In connection with this plan, the Company recognized expenses for contributions of \$132,000 and \$117,000 in 2013 and 2012, respectively.

Executive savings plan

The Company sponsors the Executive Savings Plan, a non-qualified defined contribution plan under section 457(b) of the IRS Code. The Company contributes a percentage of each officer's annual base compensation to the plan. Officers may elect to make voluntary contributions, subject to statutory limitations. The contributions and earnings thereon are held in a trust and the balance as of December 31, 2013 and 2012 was \$745,000 and \$556,000, respectively, and are included in Other Special Funds, with a corresponding liability in Accumulated Provision for Pensions and Benefits. In connection with this plan, the Company recognized expenses of \$115,000 and \$110,000 in 2013 and 2012, respectively.

Retirement savings benefits plan

The Company sponsors a defined contribution retirement plan, the California ISO Retirement Savings Benefits Plan (the Retirement Plan) that is subject to the provisions of the Employee Retirement Income Security Act of 1974 and covers substantially all employees. The Retirement Plan is administered by the Company with the assistance of a third party. The assets of the plan are held separately from Company assets and are not combined with the assets in the balance sheet.

Employees may elect to contribute up to fifty percent of their eligible compensation to the Retirement Plan, subject to statutory limitations. The Company matches contributions up to six percent of an employees' eligible compensation and an additional contribution equal to five percent of eligible compensation for employees with less than five years of

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service, or seven percent for employees who have at least five years but not more than ten years of service. An additional contribution of one percent of eligible compensation is also made by the Company for each five year increment of service after an employees' ten year anniversary.

Employees' contributions to the Retirement Plan for 2013 and 2012 were \$7.4 million and \$7.5 million, respectively. In connection with this plan, the Company's contributions to the Retirement Plan for 2013 and 2012 were \$8.4 million and \$8.1 million, respectively.

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11. Lease and Contract Commitments

The Company has long-term operating leases and service contracts that expire at various times through 2030 including telecommunication equipment and services, information system equipment and services and systems infrastructure.

The following are the future minimum payments under these agreements as of December 31, 2013 (in thousands):

2014	\$	6,118
2015		5,734
2016		5,210
2017		3,903
2018		186
2019 - 2030		2,583
	\$	<u>23,734</u>

Lease and service contract costs of approximately \$12.5 million were charged to operating expense in both 2013 and 2012, respectively.

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12. Contingencies

The Federal Energy Regulatory Commission Refund Case

In 2000 and 2001, the California energy markets, including those managed by the Company, experienced high prices, shortages of energy and reserves, rolling blackouts and liquidity problems for many market participants. Several of them, including the California Power Exchange (Cal PX), filed for bankruptcy.

Purchasers of energy during this period sought refunds at the Federal Energy Regulatory Commission. In a proceeding that is still ongoing, the Federal Energy Regulatory Commission has issued a series of orders related to mitigating the clearing prices in markets administered by the Company and the Cal PX for the period from October 2, 2000 through June 20, 2001 (the Federal Energy Regulatory Commission Refund Case). Several of the Company's market participants have settled their liability arising from the Federal Energy Regulatory Commission Refund Case and related proceedings. Management believes the ultimate outcome of the Federal Energy Regulatory Commission Refund Case will have no material financial impact on the Company as these refund amounts are funded and will ultimately be resettled among market participants, except for the Generator Noncompliance Fines, as described in Note 6.

Market billing disputes in good faith negotiations

As part of the tariff and applicable contracts, the Company has dispute resolution processes for market participants, transmission owners and RMR owners to register disagreements regarding information reflected in the settlement statements or billing amounts for market and RMR activity

Market disputes are addressed in the normal course of operations, some of which result in adjustments to previously issued settlement statements. When adjustments are made the adjustment amounts are reallocated to market participants based on the allocation methodology related to the charge code being adjusted, with no net cost or credit being realized by the Company. With respect to pending market disputes at December 31, 2013, including those that have escalated to good faith negotiations, management believes that any settlements or market adjustments would be resettled against the market with no liability to the Company.

Indemnifications

The Company's bylaws require its annual financial statements to include disclosures about certain payments made by the Company related to indemnifications to or on behalf of officers and Board members. There were no such payments in 2013 or 2012.

Other matters

The Company, during the ordinary course of its operations, has been involved in various lawsuits and claims, some of which are still pending. In addition, the Company is subject to compliance requirements of mandatory reliability standards promulgated by Federal Energy Regulatory Commission-approved mandatory reliability standards (i.e. NERC Reliability Standards), which if violated could result in penalties assessed to the Company.

On September 8, 2011, an 11-minute system disturbance occurred in the Pacific Southwest, leading to cascading power outages and leaving approximately 2.7 million customers without power in Southern California, Arizona and northern Mexico. Service was restored in Southern California early the next morning.

The FERC and the North American Electric Reliability Corporation ("NERC") conducted a joint inquiry into the outages and, on May 1, 2012, they issued a joint report with their analysis and conclusions as to the causes of the events. On January 22, 2014, the staff of FERC's Office of Enforcement issued a notice alleging that six entities, including the Company, had violated one or more mandatory reliability standards in connection with the event.

While the Company does not believe it has violated any reliability standards in connection with the event, discussions

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with FERC and NERC are ongoing, and the Company has accrued a \$2.0 million liability as of December 31, 2013 based on Management's assessment that a liability of this amount is probable to resolve the matter in any FERC-approved settlement. The expense associated with this liability is included in penalties for the year ended December 31, 2013 in the accompanying financial statements.

There are several other matters currently pending related to alleged violations of these standards. Management is of the opinion that none of these matters will have a material adverse impact on the financial position or results of the operations of the Company.

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13. Supplemental Cash Flow Information

	2013	2012
Supplemental information:		
Cash paid for interest for bonds	\$ 16,060	\$ 14,870
Supplemental disclosure of noncash financing and investing activities		
Amortization of bond premium	\$ 588	\$ 1,071
Amortization of bond issuance costs and loss of refunding	(289)	(404)
Generator fines interest included in interest expense	193	(2,213)
Change in purchases and development of fixed assets included in accounts payable and accrued expenses	(44)	149

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SUMMARY OF UTILITY PLANT AND ACCUMULATED PROVISIONS FOR DEPRECIATION. AMORTIZATION AND DEPLETION					
Report in Column (c) the amount for electric function, in column (d) the amount for gas function, in column (e), (f), and (g) report other (specify) and in column (h) common function.					
Line No.	Classification (a)	Total Company for the Current Year/Quarter Ended (b)		Electric (c)	
1	Utility Plant				
2	In Service				
3	Plant in Service (Classified)	582,979,200		582,979,200	
4	Property Under Capital Leases				
5	Plant Purchased or Sold				
6	Completed Construction not Classified				
7	Experimental Plant Unclassified				
8	Total (3 thru 7)	582,979,200		582,979,200	
9	Leased to Others				
10	Held for Future Use				
11	Construction Work in Progress	5,821,767		5,821,767	
12	Acquisition Adjustments				
13	Total Utility Plant (8 thru 12)	588,800,967		588,800,967	
14	Accum Prov for Depr, Amort, & Depl	394,948,094		394,948,094	
15	Net Utility Plant (13 less 14)	193,852,873		193,852,873	
16	Detail of Accum Prov for Depr, Amort & Depl				
17	In Service:				
18	Depreciation	394,948,094		394,948,094	
19	Amort & Depl of Producing Nat Gas Land/Land Right				
20	Amort of Underground Storage Land/Land Rights				
21	Amort of Other Utility Plant				
22	Total In Service (18 thru 21)	394,948,094		394,948,094	
23	Leased to Others				
24	Depreciation				
25	Amortization and Depletion				
26	Total Leased to Others (24 & 25)				
27	Held for Future Use				
28	Depreciation				
29	Amortization				
30	Total Held for Future Use (28 & 29)				
31	Abandonment of Leases (Natural Gas)				
32	Amort of Plant Acquisition Adj				
33	Total Accum Prov (equals 14) (22,26,30,31,32)	394,948,094		394,948,094	

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SUMMARY OF UTILITY PLANT AND ACCUMULATED PROVISIONS FOR DEPRECIATION, AMORTIZATION AND DEPLETION					
Gas (d)	Other (Specify) (e)	Other (Specify) (f)	Other (Specify) (g)	Common (h)	Line No.
					1
					2
					3
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ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106)

- Report below the original cost of electric plant in service according to the prescribed accounts.
- In addition to Account 101, Electric Plant in Service (Classified), this page and the next include Account 102, Electric Plant Purchased or Sold; Account 103, Experimental Electric Plant Unclassified; and Account 106, Completed Construction Not Classified-Electric.
- Include in column (c) or (d), as appropriate, corrections of additions and retirements for the current or preceding year.
- For revisions to the amount of initial asset retirement costs capitalized, included by primary plant account, increases in column (c) additions and reductions in column (e) adjustments.
- Enclose in parentheses credit adjustments of plant accounts to indicate the negative effect of such accounts.
- Classify Account 106 according to prescribed accounts, on an estimated basis if necessary, and include the entries in column (c). Also to be included in column (c) are entries for reversals of tentative distributions of prior year reported in column (b). Likewise, if the respondent has a significant amount of plant retirements which have not been classified to primary accounts at the end of the year, include in column (d) a tentative distribution of such retirements, on an estimated basis, with appropriate contra entry to the account for accumulated depreciation provision. Include also in column (d)

Line No.	Account (a)	Balance Beginning of Year (b)	Additions (c)
1	1. INTANGIBLE PLANT		
2	(301) Organization		
3	(302) Franchises and Consents		
4	(303) Miscellaneous Intangible Plant		
5	TOTAL Intangible Plant (Enter Total of lines 2, 3, and 4)		
6	2. PRODUCTION PLANT		
7	A. Steam Production Plant		
8	(310) Land and Land Rights		
9	(311) Structures and Improvements		
10	(312) Boiler Plant Equipment		
11	(313) Engines and Engine-Driven Generators		
12	(314) Turbogenerator Units		
13	(315) Accessory Electric Equipment		
14	(316) Misc. Power Plant Equipment		
15	(317) Asset Retirement Costs for Steam Production		
16	TOTAL Steam Production Plant (Enter Total of lines 8 thru 15)		
17	B. Nuclear Production Plant		
18	(320) Land and Land Rights		
19	(321) Structures and Improvements		
20	(322) Reactor Plant Equipment		
21	(323) Turbogenerator Units		
22	(324) Accessory Electric Equipment		
23	(325) Misc. Power Plant Equipment		
24	(326) Asset Retirement Costs for Nuclear Production		
25	TOTAL Nuclear Production Plant (Enter Total of lines 18 thru 24)		
26	C. Hydraulic Production Plant		
27	(330) Land and Land Rights		
28	(331) Structures and Improvements		
29	(332) Reservoirs, Dams, and Waterways		
30	(333) Water Wheels, Turbines, and Generators		
31	(334) Accessory Electric Equipment		
32	(335) Misc. Power PLant Equipment		
33	(336) Roads, Railroads, and Bridges		
34	(337) Asset Retirement Costs for Hydraulic Production		
35	TOTAL Hydraulic Production Plant (Enter Total of lines 27 thru 34)		
36	D. Other Production Plant		
37	(340) Land and Land Rights		
38	(341) Structures and Improvements		
39	(342) Fuel Holders, Products, and Accessories		
40	(343) Prime Movers		
41	(344) Generators		
42	(345) Accessory Electric Equipment		
43	(346) Misc. Power Plant Equipment		
44	(347) Asset Retirement Costs for Other Production		
45	TOTAL Other Prod. Plant (Enter Total of lines 37 thru 44)		
46	TOTAL Prod. Plant (Enter Total of lines 16, 25, 35, and 45)		

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ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106) (Continued)					
Line No.	Account (a)	Balance Beginning of Year (b)	Additions (c)		
47	3. TRANSMISSION PLANT				
48	(350) Land and Land Rights				
49	(352) Structures and Improvements				
50	(353) Station Equipment				
51	(354) Towers and Fixtures				
52	(355) Poles and Fixtures				
53	(356) Overhead Conductors and Devices				
54	(357) Underground Conduit				
55	(358) Underground Conductors and Devices				
56	(359) Roads and Trails				
57	(359.1) Asset Retirement Costs for Transmission Plant				
58	TOTAL Transmission Plant (Enter Total of lines 48 thru 57)				
59	4. DISTRIBUTION PLANT				
60	(360) Land and Land Rights				
61	(361) Structures and Improvements				
62	(362) Station Equipment				
63	(363) Storage Battery Equipment				
64	(364) Poles, Towers, and Fixtures				
65	(365) Overhead Conductors and Devices				
66	(366) Underground Conduit				
67	(367) Underground Conductors and Devices				
68	(368) Line Transformers				
69	(369) Services				
70	(370) Meters				
71	(371) Installations on Customer Premises				
72	(372) Leased Property on Customer Premises				
73	(373) Street Lighting and Signal Systems				
74	(374) Asset Retirement Costs for Distribution Plant				
75	TOTAL Distribution Plant (Enter Total of lines 60 thru 74)				
76	5. REGIONAL TRANSMISSION AND MARKET OPERATION PLANT				
77	(380) Land and Land Rights	9,098,162			
78	(381) Structures and Improvements	143,744,411	48,074		
79	(382) Computer Hardware	35,129,535	1,152,745		
80	(383) Computer Software	363,658,620	12,299,552		
81	(384) Communication Equipment	8,389,610	1,035,654		
82	(385) Miscellaneous Regional Transmission and Market Operation Plant	14,774,503	346,857		
83	(386) Asset Retirement Costs for Regional Transmission and Market Oper				
84	TOTAL Transmission and Market Operation Plant (Total lines 77 thru 83)	574,794,841	14,882,882		
85	6. GENERAL PLANT				
86	(389) Land and Land Rights				
87	(390) Structures and Improvements				
88	(391) Office Furniture and Equipment				
89	(392) Transportation Equipment				
90	(393) Stores Equipment				
91	(394) Tools, Shop and Garage Equipment				
92	(395) Laboratory Equipment				
93	(396) Power Operated Equipment				
94	(397) Communication Equipment				
95	(398) Miscellaneous Equipment				
96	SUBTOTAL (Enter Total of lines 86 thru 95)				
97	(399) Other Tangible Property				
98	(399.1) Asset Retirement Costs for General Plant				
99	TOTAL General Plant (Enter Total of lines 96, 97 and 98)				
100	TOTAL (Accounts 101 and 106)	574,794,841	14,882,882		
101	(102) Electric Plant Purchased (See Instr. 8)				
102	(Less) (102) Electric Plant Sold (See Instr. 8)				
103	(103) Experimental Plant Unclassified				
104	TOTAL Electric Plant in Service (Enter Total of lines 100 thru 103)	574,794,841	14,882,882		

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106) (Continued)

distributions of these tentative classifications in columns (c) and (d), including the reversals of the prior years tentative account distributions of these amounts. Careful observance of the above instructions and the texts of Accounts 101 and 106 will avoid serious omissions of the reported amount of respondent's plant actually in service at end of year.

7. Show in column (f) reclassifications or transfers within utility plant accounts. Include also in column (f) the additions or reductions of primary account classifications arising from distribution of amounts initially recorded in Account 102, include in column (e) the amounts with respect to accumulated provision for depreciation, acquisition adjustments, etc., and show in column (f) only the offset to the debits or credits distributed in column (f) to primary account classifications.

8. For Account 399, state the nature and use of plant included in this account and if substantial in amount submit a supplementary statement showing subaccount classification of such plant conforming to the requirement of these pages.

9. For each amount comprising the reported balance and changes in Account 102, state the property purchased or sold, name of vendor or purchase, and date of transaction. If proposed journal entries have been filed with the Commission as required by the Uniform System of Accounts, give also date

Retirements (d)	Adjustments (e)	Transfers (f)	Balance at End of Year (g)		Line No.
					1
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Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
ELECTRIC PLANT IN SERVICE (Account 101, 102, 103 and 106) (Continued)							
Retirements (d)	Adjustments (e)	Transfers (f)	Balance at End of Year (g)		Line No.		
					47		
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			9,098,162		77		
			143,792,485		78		
2,798,627		785,734	34,269,387		79		
3,387,955		-21,981	372,548,236		80		
68,570		-763,753	8,592,941		81		
443,371			14,677,989		82		
					83		
6,698,523			582,979,200		84		
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6,698,523			582,979,200		100		
					101		
					102		
					103		
6,698,523			582,979,200		104		

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
CONSTRUCTION WORK IN PROGRESS - - ELECTRIC (Account 107)					
1. Report below descriptions and balances at end of year of projects in process of construction (107) 2. Show items relating to "research, development, and demonstration" projects last, under a caption Research, Development, and Demonstrating (see Account 107 of the Uniform System of Accounts) 3. Minor projects (5% of the Balance End of the Year for Account 107 or \$1,000,000, whichever is less) may be grouped.					
Line No.	Description of Project (a)				Construction work in progress - Electric (Account 107) (b)
1	Market Validation Tool				8,400
2	EMMS Phase 2				457,814
3	Full Network Model				493,989
4	Network Application Enh.				665,516
5	VSA Enhancement				326,356
6	Enh. Mgt of Operating Reserves				54,769
7	Outage Coordination Prototype				344,158
8	Pay for Performance FERC 755				3,120
9	FERC 764 15 Min Settlement				1,563,648
10	Circular Scheduling				87,765
11	Contingency Modeling Enhancemen				967,512
12	RIMPR w/BCR & MSG				349,401
13	System Operations Limits				62,745
14	RRSGO Phase 3				2,625
15	Integrated Optimal Outage Coord				56,880
16	Revision to Price Corrections				12,227
17	RIMS 5 Project				70,590
18	Arch: Integration Improvement				31,488
19	EMC Equipment Purchase				81,436
20	PacifiCorp Implementation				75,126
21	Energy Imbalance Market				106,112
22	Market Re-Run Enhancements				90
23					
24					
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43	TOTAL				5,821,767

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
ACCUMULATED PROVISION FOR DEPRECIATION OF ELECTRIC UTILITY PLANT (Account 108)					
<p>1. Explain in a footnote any important adjustments during year.</p> <p>2. Explain in a footnote any difference between the amount for book cost of plant retired, Line 11, column (c), and that reported for electric plant in service, pages 204-207, column 9d), excluding retirements of non-depreciable property.</p> <p>3. The provisions of Account 108 in the Uniform System of accounts require that retirements of depreciable plant be recorded when such plant is removed from service. If the respondent has a significant amount of plant retired at year end which has not been recorded and/or classified to the various reserve functional classifications, make preliminary closing entries to tentatively functionalize the book cost of the plant retired. In addition, include all costs included in retirement work in progress at year end in the appropriate functional classifications.</p> <p>4. Show separately interest credits under a sinking fund or similar method of depreciation accounting.</p>					
Section A. Balances and Changes During Year					
Line No.	Item (a)	Total (c+d+e) (b)	Electric Plant in Service (c)	Electric Plant Held for Future Use (d)	Electric Plant Leased to Others (e)
1	Balance Beginning of Year	327,031,690	327,031,690		
2	Depreciation Provisions for Year, Charged to				
3	(403) Depreciation Expense	74,614,927	74,614,927		
4	(403.1) Depreciation Expense for Asset Retirement Costs				
5	(413) Exp. of Elec. Plt. Leas. to Others				
6	Transportation Expenses-Clearing				
7	Other Clearing Accounts				
8	Other Accounts (Specify, details in footnote):				
9					
10	TOTAL Deprec. Prov for Year (Enter Total of lines 3 thru 9)	74,614,927	74,614,927		
11	Net Charges for Plant Retired:				
12	Book Cost of Plant Retired	6,698,523	6,698,523		
13	Cost of Removal				
14	Salvage (Credit)				
15	TOTAL Net Chrgs. for Plant Ret. (Enter Total of lines 12 thru 14)	6,698,523	6,698,523		
16	Other Debit or Cr. Items (Describe, details in footnote):				
17					
18	Book Cost or Asset Retirement Costs Retired				
19	Balance End of Year (Enter Totals of lines 1, 10, 15, 16, and 18)	394,948,094	394,948,094		
Section B. Balances at End of Year According to Functional Classification					
20	Steam Production				
21	Nuclear Production				
22	Hydraulic Production-Conventional				
23	Hydraulic Production-Pumped Storage				
24	Other Production				
25	Transmission				
26	Distribution				
27	Regional Transmission and Market Operation	394,948,094	394,948,094		
28	General				
29	TOTAL (Enter Total of lines 20 thru 28)	394,948,094	394,948,094		

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Transmission Service and Generation Interconnection Study Costs					
1. Report the particulars (details) called for concerning the costs incurred and the reimbursements received for performing transmission service and generator interconnection studies. 2. List each study separately. 3. In column (a) provide the name of the study. 4. In column (b) report the cost incurred to perform the study at the end of period. 5. In column (c) report the account charged with the cost of the study. 6. In column (d) report the amounts received for reimbursement of the study costs at end of period. 7. In column (e) report the account credited with the reimbursement received for performing the study.					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
2	40011	2,783	186	2,783	186
3	50034	168,411	186	168,411	186
4	50036	155,160	186	155,160	186
5	50050	906	186	906	186
6	50059	363	186	363	186
7	50063	73,780	186	73,780	186
8	50144	11,597	186	11,597	186
9	50188	45,922	186	45,922	186
10	50190	46,322	186	46,322	186
11	50443	755	186	755	186
12	50503	42,375	186	42,375	186
13	50505	5	186	5	186
14	50506	37,527	186	37,527	186
15	50508	39,161	186	39,161	186
16	50514	39,020	186	39,020	186
17	50515	60,522	186	60,522	186
18	50517	5	186	5	186
19	50518	5	186	5	186
20	50525	48,209	186	48,209	186
21	Generation Studies				
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50526	5	186	5	186		
3	50529	37,256	186	37,256	186		
4	50530	38,022	186	38,022	186		
5	50550	42,935	186	42,935	186		
6	50555	5	186	5	186		
7	50556	5	186	5	186		
8	50557	5	186	5	186		
9	50558	5	186	5	186		
10	50559	5	186	5	186		
11	50561	5	186	5	186		
12	50562	126	186	126	186		
13	50566	368	186	368	186		
14	50567	5	186	5	186		
15	50569	36,825	186	36,825	186		
16	50571	5	186	5	186		
17	50575	5	186	5	186		
18	50577	5	186	5	186		
19	50578	1,174	186	1,174	186		
20	50579	37,062	186	37,062	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50580	37,364	186	37,364	186		
3	50589	46,431	186	46,431	186		
4	50593	5	186	5	186		
5	50594	5	186	5	186		
6	50596	609	186	609	186		
7	50598	5	186	5	186		
8	50602	35,971	186	35,971	186		
9	50603	34,436	186	34,436	186		
10	50604	5	186	5	186		
11	50605	5	186	5	186		
12	50630	422	186	422	186		
13	50632	144,169	186	144,169	186		
14	50633	3,004	186	3,004	186		
15	50634	82,037	186	82,037	186		
16	50638	77,228	186	77,228	186		
17	50642	26,381	186	26,381	186		
18	50644	351	186	351	186		
19	50645	430	186	430	186		
20	50646	424	186	424	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50647	496	186	496	186		
3	50649	82,935	186	82,935	186		
4	50650	20,206	186	20,206	186		
5	50651	76,478	186	76,478	186		
6	50652	76,551	186	76,551	186		
7	50657	378	186	378	186		
8	50658	82,129	186	82,129	186		
9	50659	25,041	186	25,041	186		
10	50660	79,665	186	79,665	186		
11	50663	84,270	186	84,270	186		
12	50664	81,641	186	81,641	186		
13	50665	145,718	186	145,718	186		
14	50666	145,545	186	145,545	186		
15	50670	81,535	186	81,535	186		
16	50671	79,688	186	79,688	186		
17	50673	79,970	186	79,970	186		
18	50675	348	186	348	186		
19	50676	144,997	186	144,997	186		
20	50677	144,961	186	144,961	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50678	499	186	499	186		
3	50679	146,166	186	146,166	186		
4	50701	25,764	186	25,764	186		
5	50702	78,968	186	78,968	186		
6	50705	1,361	186	1,361	186		
7	50708	18,142	186	18,142	186		
8	50709	17,159	186	17,159	186		
9	50710	1,350	186	1,350	186		
10	50711	382	186	382	186		
11	50712	684	186	684	186		
12	50713	2,938	186	2,938	186		
13	50715	19,871	186	19,871	186		
14	50716	4,788	186	4,788	186		
15	50717	16,314	186	16,314	186		
16	50721	22,613	186	22,613	186		
17	50724	339	186	339	186		
18	50725	16,730	186	16,730	186		
19	50726	18,321	186	18,321	186		
20	50727	379	186	379	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50728	18,391	186	18,391	186		
3	50730	17,385	186	17,385	186		
4	50732	382	186	382	186		
5	50733	16,665	186	16,665	186		
6	50734	17,432	186	17,432	186		
7	50736	25,903	186	25,903	186		
8	50737	16,946	186	16,946	186		
9	50738	25,871	186	25,871	186		
10	50742	85,044	186	85,044	186		
11	50743	76,151	186	76,151	186		
12	50744	16,734	186	16,734	186		
13	50746	80,129	186	80,129	186		
14	50748	15,873	186	15,873	186		
15	50749	17,137	186	17,137	186		
16	50750	73,068	186	73,068	186		
17	50751	382	186	382	186		
18	50752	442	186	442	186		
19	50753	382	186	382	186		
20	50754	76,108	186	76,108	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50755	282	186	282	186		
3	50756	75,387	186	75,387	186		
4	50757	544	186	544	186		
5	50758	382	186	382	186		
6	50759	382	186	382	186		
7	50760	382	186	382	186		
8	50761	382	186	382	186		
9	50763	481	186	481	186		
10	50764	382	186	382	186		
11	50765	73,837	186	73,837	186		
12	50766	24,665	186	24,665	186		
13	50767	74,143	186	74,143	186		
14	50768	26,895	186	26,895	186		
15	50769	282	186	282	186		
16	50770	74,295	186	74,295	186		
17	50773	282	186	282	186		
18	50788	2,716	186	2,716	186		
19	50793	14,801	186	14,801	186		
20	50794	16,586	186	16,586	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50795	75,327	186	75,327	186		
3	50796	19,291	186	19,291	186		
4	50797	15,245	186	15,245	186		
5	50800	76,230	186	76,230	186		
6	50804	16,786	186	16,786	186		
7	50809	18,374	186	18,374	186		
8	50810	16,957	186	16,957	186		
9	50814	16,830	186	16,830	186		
10	50817	453	186	453	186		
11	50826	1,819	186	1,819	186		
12	50827	18,431	186	18,431	186		
13	50828	1,458	186	1,458	186		
14	50834	19,083	186	19,083	186		
15	50835	1,706	186	1,706	186		
16	50836	18,082	186	18,082	186		
17	50844	86,448	186	86,448	186		
18	50845	18,579	186	18,579	186		
19	50849	16,575	186	16,575	186		
20	50852	26,209	186	26,209	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50855	18,147	186	18,147	186		
3	50856	1,005	186	1,005	186		
4	50857	956	186	956	186		
5	50858	19,644	186	19,644	186		
6	50859	17,533	186	17,533	186		
7	50860	6,875	186	6,875	186		
8	50864	18,193	186	18,193	186		
9	50865	2,147	186	2,147	186		
10	50866	19,206	186	19,206	186		
11	50867	17,570	186	17,570	186		
12	50868	18,956	186	18,956	186		
13	50869	18,460	186	18,460	186		
14	50870	75,617	186	75,617	186		
15	50871	76,107	186	76,107	186		
16	50875	556	186	556	186		
17	50877	382	186	382	186		
18	50879	76,032	186	76,032	186		
19	50880	1,538	186	1,538	186		
20	50882	24,185	186	24,185	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50884	1,126	186	1,126	186		
3	50885	1,548	186	1,548	186		
4	50887	2,835	186	2,835	186		
5	50888	16,764	186	16,764	186		
6	50890	14,918	186	14,918	186		
7	50892	26,873	186	26,873	186		
8	50893	76,931	186	76,931	186		
9	50895	18,592	186	18,592	186		
10	50897	2,736	186	2,736	186		
11	50898	103,663	186	103,663	186		
12	50899	19,818	186	19,818	186		
13	50900	18,492	186	18,492	186		
14	50901	19,970	186	19,970	186		
15	50903	16,840	186	16,840	186		
16	50950	213	186	213	186		
17	50951	213	186	213	186		
18	50952	213	186	213	186		
19	50953	213	186	213	186		
20	50960	251	186	251	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50961	553	186	553	186		
3	50966	213	186	213	186		
4	50967	515	186	515	186		
5	50971	251	186	251	186		
6	50976	251	186	251	186		
7	51203	45,695	186	45,695	186		
8	51206	43,874	186	43,874	186		
9	51208	47,719	186	47,719	186		
10	51209	81,515	186	81,515	186		
11	51210	1,361	186	1,361	186		
12	51211	82,617	186	82,617	186		
13	51212	43,588	186	43,588	186		
14	51213	47,553	186	47,553	186		
15	51214	647	186	647	186		
16	51215	2,177	186	2,177	186		
17	51218	667	186	667	186		
18	51219	82,958	186	82,958	186		
19	51221	50,287	186	50,287	186		
20	51222	39,885	186	39,885	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51223	3,392	186	3,392	186		
3	51224	39,795	186	39,795	186		
4	51225	53,108	186	53,108	186		
5	51226	943	186	943	186		
6	51227	49,940	186	49,940	186		
7	51228	42,326	186	42,326	186		
8	51229	34,762	186	34,762	186		
9	51230	99,743	186	99,743	186		
10	51231	69,953	186	69,953	186		
11	51232	70,806	186	70,806	186		
12	51233	46,905	186	46,905	186		
13	51234	48,347	186	48,347	186		
14	51235	82,530	186	82,530	186		
15	51236	81,804	186	81,804	186		
16	51237	47,192	186	47,192	186		
17	51238	47,162	186	47,162	186		
18	51239	41,998	186	41,998	186		
19	51241	2,366	186	2,366	186		
20	51243	79,666	186	79,666	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51244	70,447	186	70,447	186		
3	51245	45,193	186	45,193	186		
4	51246	70,668	186	70,668	186		
5	51247	1,185	186	1,185	186		
6	51248	45,236	186	45,236	186		
7	51249	40,361	186	40,361	186		
8	51250	51,341	186	51,341	186		
9	51251	647	186	647	186		
10	51254	47,720	186	47,720	186		
11	51255	47,418	186	47,418	186		
12	51257	70,784	186	70,784	186		
13	51258	2,155	186	2,155	186		
14	51259	48,523	186	48,523	186		
15	51260	69,638	186	69,638	186		
16	51261	42,245	186	42,245	186		
17	51263	42,487	186	42,487	186		
18	51264	70,357	186	70,357	186		
19	51265	69,763	186	69,763	186		
20	51266	81,674	186	81,674	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51267	52,872	186	52,872	186		
3	51268	48,066	186	48,066	186		
4	51269	48,881	186	48,881	186		
5	51270	48,506	186	48,506	186		
6	51271	47,749	186	47,749	186		
7	51272	47,145	186	47,145	186		
8	51276	47,145	186	47,145	186		
9	51277	1,792	186	1,792	186		
10	51278	3,549	186	3,549	186		
11	51280	4,543	186	4,543	186		
12	51281	92,341	186	92,341	186		
13	51400	12,336	186	12,336	186		
14	51401	11,293	186	11,293	186		
15	51402	10,067	186	10,067	186		
16	51403	10,956	186	10,956	186		
17	51404	11,401	186	11,401	186		
18	51405	11,829	186	11,829	186		
19	51407	9,903	186	9,903	186		
20	51408	6,613	186	6,613	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51409	9,913	186	9,913	186		
3	51410	10,425	186	10,425	186		
4	51411	11,108	186	11,108	186		
5	51412	10,067	186	10,067	186		
6	51413	10,550	186	10,550	186		
7	51414	9,827	186	9,827	186		
8	51415	7,223	186	7,223	186		
9	51416	9,895	186	9,895	186		
10	51417	10,153	186	10,153	186		
11	51418	10,626	186	10,626	186		
12	51419	11,057	186	11,057	186		
13	51420	13,709	186	13,709	186		
14	51421	8,911	186	8,911	186		
15	51422	8,775	186	8,775	186		
16	51423	15,547	186	15,547	186		
17	51424	9,849	186	9,849	186		
18	51425	10,175	186	10,175	186		
19	51426	8,775	186	8,775	186		
20	51427	7,074	186	7,074	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)					
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
2	51428	9,976	186	9,976	186
3	51429	10,109	186	10,109	186
4	51430	8,548	186	8,548	186
5	51431	10,943	186	10,943	186
6	51432	12,024	186	12,024	186
7	51433	9,305	186	9,305	186
8	51435	11,024	186	11,024	186
9	51436	11,044	186	11,044	186
10	51437	5,452	186	5,452	186
11	51438	6,874	186	6,874	186
12	51439	10,215	186	10,215	186
13	51440	5,974	186	5,974	186
14	51441	5,655	186	5,655	186
15	51442	6,118	186	6,118	186
16	51443	69,117	186	69,117	186
17	51444	5,939	186	5,939	186
18	51445	6,185	186	6,185	186
19	51446	6,144	186	6,144	186
20	51447	10,127	186	10,127	186
21	Generation Studies				
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51448	10,610	186	10,610	186		
3	51449	9,997	186	9,997	186		
4	51450	7,080	186	7,080	186		
5	51451	9,478	186	9,478	186		
6	51453	11,185	186	11,185	186		
7	51454	12,663	186	12,663	186		
8	51455	10,088	186	10,088	186		
9	51456	8,790	186	8,790	186		
10	51457	13,326	186	13,326	186		
11	51458	12,737	186	12,737	186		
12	51459	9,577	186	9,577	186		
13	51460	8,911	186	8,911	186		
14	51461	9,422	186	9,422	186		
15	51462	11,524	186	11,524	186		
16	51463	11,565	186	11,565	186		
17	60009	19,373	186	19,373	186		
18	60023	25,398	186	25,398	186		
19	60067	28,162	186	28,162	186		
20	60071	33,968	186	33,968	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	60077	302	186	302	186		
3	60081	36,167	186	36,167	186		
4	60082	30,194	186	30,194	186		
5	60084	725	186	725	186		
6	60092	313	186	313	186		
7	60107	302	186	302	186		
8	60121	40,539	186	40,539	186		
9	60123	2,144	186	2,144	186		
10	60134	302	186	302	186		
11	40003-FT	2,225	186	2,225	186		
12	40004-FC	442	186	442	186		
13	40005-FT	2,022	186	2,022	186		
14	40012-FT	121	186	121	186		
15	50102A-C	285	186	285	186		
16	50109-C	302	186	302	186		
17	50110-C	4,252	186	4,252	186		
18	50127-C	7,262	186	7,262	186		
19	50127-DS	27,398	186	27,398	186		
20	50136-C	282	186	282	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50167-QM	6,493	186	6,493	186		
3	50171-C	242	186	242	186		
4	50235-DS	29,415	186	29,415	186		
5	50307-C	302	186	302	186		
6	50345-DS	27,412	186	27,412	186		
7	50346-DS	27,284	186	27,284	186		
8	50355-C	282	186	282	186		
9	50361-C	1,118	186	1,118	186		
10	50508-DS	30,506	186	30,506	186		
11	50558-DS	56,092	186	56,092	186		
12	50569-DS	29,636	186	29,636	186		
13	50594-DS	57,181	186	57,181	186		
14	50598-DS	19,720	186	19,720	186		
15	50642-DS	20,007	186	20,007	186		
16	50657-DS	55,608	186	55,608	186		
17	50743-DS	26,596	186	26,596	186		
18	50754-DS	32,680	186	32,680	186		
19	50898-DS	1,233	186	1,233	186		
20	50981-WD	339	186	339	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	50989-WD	339	186	339	186		
3	51000-WD	339	186	339	186		
4	51015-WD	339	186	339	186		
5	51023-WD	382	186	382	186		
6	51025-WD	382	186	382	186		
7	51031-WD	382	186	382	186		
8	51033-WD	382	186	382	186		
9	51036-WD	382	186	382	186		
10	51043-WD	382	186	382	186		
11	51051-WD	382	186	382	186		
12	51052-WD	382	186	382	186		
13	51056-WD	382	186	382	186		
14	51057-WD	382	186	382	186		
15	51058-WD	382	186	382	186		
16	51060-WD	382	186	382	186		
17	51062-WD	382	186	382	186		
18	51063-WD	382	186	382	186		
19	51068-WD	382	186	382	186		
20	51074-WD	382	186	382	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51084-WD	282	186	282	186		
3	51085-WD	382	186	382	186		
4	51091-WD	382	186	382	186		
5	51092-WD	382	186	382	186		
6	51131-QM	2,114	186	2,114	186		
7	51132-QM	6,924	186	6,924	186		
8	51282-WD	30,874	186	30,874	186		
9	51283-WD	4,033	186	4,033	186		
10	51284-WD	1,869	186	1,869	186		
11	51285-WD	31,101	186	31,101	186		
12	51287-WD	29,635	186	29,635	186		
13	51289-WD	1,869	186	1,869	186		
14	51291-WD	2,020	186	2,020	186		
15	51293-WD	4,033	186	4,033	186		
16	51295-WD	2,033	186	2,033	186		
17	51296-WD	4,184	186	4,184	186		
18	51297-WD	2,033	186	2,033	186		
19	51298-WD	30,874	186	30,874	186		
20	51299-WD	2,259	186	2,259	186		
21	Generation Studies						
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Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51300-WD	30,950	186	30,950	186		
3	51301-WD	30,874	186	30,874	186		
4	51304-WD	4,033	186	4,033	186		
5	51305-WD	4,184	186	4,184	186		
6	51307-WD	30,723	186	30,723	186		
7	51308-WD	30,723	186	30,723	186		
8	51309-WD	30,950	186	30,950	186		
9	51310-WD	31,063	186	31,063	186		
10	51311-WD	31,516	186	31,516	186		
11	51312-WD	29,470	186	29,470	186		
12	51313-WD	2,222	186	2,222	186		
13	51316-WD	2,337	186	2,337	186		
14	51317-WD	1,409	186	1,409	186		
15	51318-WD	2,337	186	2,337	186		
16	51319-WD	1,409	186	1,409	186		
17	51464-WD	5,850	186	5,850	186		
18	51465-WD	5,850	186	5,850	186		
19	51466-WD	5,632	186	5,632	186		
20	51467-WD	4,958	186	4,958	186		
21	Generation Studies						
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Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	51468-WD	5,850	186	5,850	186		
3	51469-WD	5,850	186	5,850	186		
4	51470-WD	5,850	186	5,850	186		
5	51471-WD	6,742	186	6,742	186		
6	51507-WD	5,850	186	5,850	186		
7	51508-WD	5,850	186	5,850	186		
8	51509-WD	5,850	186	5,850	186		
9	51510-WD	5,850	186	5,850	186		
10	51511-WD	5,850	186	5,850	186		
11	51512-WD	5,850	186	5,850	186		
12	51513-WD	5,850	186	5,850	186		
13	51514-WD	5,850	186	5,850	186		
14	51515-WD	5,668	186	5,668	186		
15	51516-WD	5,850	186	5,850	186		
16	51517-WD	5,850	186	5,850	186		
17	51518-WD	4,801	186	4,801	186		
18	60001-FC	282	186	282	186		
19	60002-FC	433	186	433	186		
20	60004-FC	433	186	433	186		
21	Generation Studies						
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23							
24							
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Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	60005-FC	403	186	403	186		
3	60006-FC	403	186	403	186		
4	60007-FC	348	186	348	186		
5	60012-FC	442	186	442	186		
6	60013-FC	348	186	348	186		
7	60018-C	29,633	186	29,633	186		
8	60020-FC	382	186	382	186		
9	60025-FC	382	186	382	186		
10	60029-C	36,152	186	36,152	186		
11	60034-FC	382	186	382	186		
12	60038-C	36,719	186	36,719	186		
13	60039-FC	2,665	186	2,665	186		
14	60040-FC	1,696	186	1,696	186		
15	60042-C	36,916	186	36,916	186		
16	60043-C	37,265	186	37,265	186		
17	60070-FC	180	186	180	186		
18	60071-FC	3,076	186	3,076	186		
19	60072-C	5	186	5	186		
20	60081-FC	1,580	186	1,580	186		
21	Generation Studies						
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23							
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Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	60082-FC	1,682	186	1,682	186		
3	60084-FC	1,584	186	1,584	186		
4	60088-C	33,861	186	33,861	186		
5	60089-FC	343	186	343	186		
6	60092-C	39,006	186	39,006	186		
7	60093-C	5	186	5	186		
8	60097-FC	2,390	186	2,390	186		
9	60108-FC	382	186	382	186		
10	60109-FC	904	186	904	186		
11	60110-FC	919	186	919	186		
12	60113-FC	282	186	282	186		
13	60114-FC	343	186	343	186		
14	60117-C	40,983	186	40,983	186		
15	60121-FC	282	186	282	186		
16	60122-FC	282	186	282	186		
17	60123-C	36,251	186	36,251	186		
18	60124-C	34,707	186	34,707	186		
19	60125-C	37,304	186	37,304	186		
20	60126-C	453	186	453	186		
21	Generation Studies						
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Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	60127-C	447	186	447	186		
3	60131-FC	338	186	338	186		
4	60133-FC	382	186	382	186		
5	60134-FC	339	186	339	186		
6	60135-FC	282	186	282	186		
7	60141-C	5	186	5	186		
8	60142-C	11,586	186	11,586	186		
9	60147-C	598	186	598	186		
10	60148-C	447	186	447	186		
11	60149-C	37,015	186	37,015	186		
12	60150-C	36,011	186	36,011	186		
13	60153-C	517	186	517	186		
14	60154-C	36,920	186	36,920	186		
15	60155-C	37,708	186	37,708	186		
16	60156-C	343	186	343	186		
17	60164-C	36,521	186	36,521	186		
18	60168-C	447	186	447	186		
19	60172-C	11,660	186	11,660	186		
20	60173-C	36,211	186	36,211	186		
21	Generation Studies						
22							
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Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
Transmission Service and Generation Interconnection Study Costs (continued)							
Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)		
1	Transmission Studies						
2	60175-C	307	186	307	186		
3	60179-C	348	186	348	186		
4	60191-C	34,367	186	34,367	186		
5	60192-C	447	186	447	186		
6	60193-C	34,788	186	34,788	186		
7	60194-C	34,349	186	34,349	186		
8	60195-C	36,428	186	36,428	186		
9	60197-C	447	186	447	186		
10	60200-C	35,053	186	35,053	186		
11	60201-C	34,796	186	34,796	186		
12	60205-C	35,357	186	35,357	186		
13	60206-C	33,247	186	33,247	186		
14	60207-C	36,425	186	36,425	186		
15	60208-C	35,367	186	35,367	186		
16	60209-C	34,490	186	34,490	186		
17	60210-C	35,511	186	35,511	186		
18	60211-C	36,001	186	36,001	186		
19							
20							
21	Generation Studies						
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MISCELLANEOUS DEFFERED DEBITS (Account 186)

1. Report below the particulars (details) called for concerning miscellaneous deferred debits.

2. For any deferred debit being amortized, show period of amortization in column (a)

3. Minor item (1% of the Balance at End of Year for Account 186 or amounts less than \$100,000, whichever is less) may be grouped by classes.

Line No.	Description of Miscellaneous Deferred Debits (a)	Balance at Beginning of Year (b)	Debits (c)	CREDITS		Balance at End of Year (f)
				Account Charged (d)	Amount (e)	
1	Unbilled GIP Receivables	2,501,221	32,367,354		29,726,316	5,142,259
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45						
46						
47	Misc. Work in Progress					
48	Deferred Regulatory Comm. Expenses (See pages 350 - 351)					
49	TOTAL	2,501,221				5,142,259

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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LONG-TERM DEBT (Account 221, 222, 223 and 224)

1. Report by balance sheet account the particulars (details) concerning long-term debt included in Accounts 221, Bonds, 222, Reacquired Bonds, 223, Advances from Associated Companies, and 224, Other long-Term Debt.
2. In column (a), for new issues, give Commission authorization numbers and dates.
3. For bonds assumed by the respondent, include in column (a) the name of the issuing company as well as a description of the bonds.
4. For advances from Associated Companies, report separately advances on notes and advances on open accounts. Designate demand notes as such. Include in column (a) names of associated companies from which advances were received.
5. For receivers, certificates, show in column (a) the name of the court -and date of court order under which such certificates were issued.
6. In column (b) show the principal amount of bonds or other long-term debt originally issued.
7. In column (c) show the expense, premium or discount with respect to the amount of bonds or other long-term debt originally issued.
8. For column (c) the total expenses should be listed first for each issuance, then the amount of premium (in parentheses) or discount. Indicate the premium or discount with a notation, such as (P) or (D). The expenses, premium or discount should not be netted.
9. Furnish in a footnote particulars (details) regarding the treatment of unamortized debt expense, premium or discount associated with issues redeemed during the year. Also, give in a footnote the date of the Commission's authorization of treatment other than as specified by the Uniform System of Accounts.

Line No.	Class and Series of Obligation, Coupon Rate (For new issue, give commission Authorization numbers and dates) (a)	Principal Amount Of Debt issued (b)	Total expense, Premium or Discount (c)
1	Account 221 - Bonds		
2			
3	CIEDB Fixed Rate Revenue Bonds, 2008 Series A	196,970,000	-9,188,489 P
4			
5	CIEDB Fixed Rate Revenue Bonds, 2009 Series A (Defeased in 2013)	200,000,000	-1,998,064 P
6			
7	CIEDB Fixed Rate Revenue Bonds, 2013 Series (7/31/13; 144 FERC 62, 083)	191,820,000	-10,558,588 P
8			
9			
10			
11			
12			
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32			
33	TOTAL	588,790,000	-21,745,141

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR

1. Give particulars (details) of the combined prepaid and accrued tax accounts and show the total taxes charged to operations and other accounts during the year. Do not include gasoline and other sales taxes which have been charged to the accounts to which the taxed material was charged. If the actual, or estimated amounts of such taxes are know, show the amounts in a footnote and designate whether estimated or actual amounts.
2. Include on this page, taxes paid during the year and charged direct to final accounts, (not charged to prepaid or accrued taxes.) Enter the amounts in both columns (d) and (e). The balancing of this page is not affected by the inclusion of these taxes.
3. Include in column (d) taxes charged during the year, taxes charged to operations and other accounts through (a) accruals credited to taxes accrued, (b) amounts credited to proportions of prepaid taxes chargeable to current year, and (c) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.
4. List the aggregate of each kind of tax in such manner that the total tax for each State and subdivision can readily be ascertained.

Line No.	Kind of Tax (See instruction 5) (a)	BALANCE AT BEGINNING OF YEAR		Taxes Charged During Year (d)	Taxes Paid During Year (e)	Adjustments (f)
		Taxes Accrued (Account 236) (b)	Prepaid Taxes (Include in Account 165) (c)			
1	Property Taxes			21,827	21,827	
2						
3	FICA	705,238		4,196,666	3,893,997	
4						
5	Medicare	164,935		1,289,165	1,219,154	
6						
7	California Unemployment Tax	90,071		344,969	269,451	
8						
9	Use Tax			1,362	1,362	
10						
11	Environmental & Other			11,669	11,669	
12						
13						
14						
15						
16						
17						
18						
19						
20						
21						
22						
23						
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39						
40						
41	TOTAL	960,244		5,865,658	5,417,460	

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4	
TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR (Continued)						
<p>5. If any tax (exclude Federal and State income taxes)- covers more then one year, show the required information separately for each tax year, identifying the year in column (a).</p> <p>6. Enter all adjustments of the accrued and prepaid tax accounts in column (f) and explain each adjustment in a foot- note. Designate debit adjustments by parentheses.</p> <p>7. Do not include on this page entries with respect to deferred income taxes or taxes collected through payroll deductions or otherwise pending transmittal of such taxes to the taxing authority.</p> <p>8. Report in columns (i) through (l) how the taxes were distributed. Report in column (l) only the amounts charged to Accounts 408.1 and 409.1 pertaining to electric operations. Report in column (l) the amounts charged to Accounts 408.1 and 109.1 pertaining to other utility departments and amounts charged to Accounts 408.2 and 409.2. Also shown in column (l) the taxes charged to utility plant or other balance sheet accounts.</p> <p>9. For any tax apportioned to more than one utility department or account, state in a footnote the basis (necessity) of apportioning such tax.</p>						
BALANCE AT END OF YEAR		DISTRIBUTION OF TAXES CHARGED				Line No.
(Taxes accrued Account 236) (g)	Prepaid Taxes (Incl. in Account 165) (h)	Electric (Account 408.1, 409.1) (i)	Extraordinary Items (Account 409.3) (j)	Adjustments to Ret. Earnings (Account 439) (k)	Other (l)	
						1
						2
1,007,907						3
						4
234,946						5
						6
165,589						7
						8
						9
						10
						11
						12
						13
						14
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						40
1,408,442						41

OTHER DEFERRED CREDITS (Account 253)

1. Report below the particulars (details) called for concerning other deferred credits.
2. For any deferred credit being amortized, show the period of amortization.
3. Minor items (5% of the Balance End of Year for Account 253 or amounts less than \$100,000, whichever is greater) may be grouped by classes.

Line No.	Description and Other Deferred Credits (a)	Balance at Beginning of Year (b)	DEBITS		Credits (e)	Balance at End of Year (f)
			Contra Account (c)	Amount (d)		
1						
2						
3	Fines Subject to Refund	2,850,875	431.0	263,305	70,635	2,658,205
4						
5						
6	Unearned Revenue			39,846,578	39,843,411	-3,167
7						
8	Advances for Leasehold Improvemens	357,377		42,163		315,214
9						
10						
11						
12						
13						
14						
15						
16						
17						
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19						
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45						
46						
47	TOTAL	3,208,252		40,152,046	39,914,046	2,970,252

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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ELECTRIC OPERATING REVENUES (Account 400)

- The following instructions generally apply to the annual version of these pages. Do not report quarterly data in columns (c), (e), (f), and (g). Unbilled revenues and MWH related to unbilled revenues need not be reported separately as required in the annual version of these pages.
- Report below operating revenues for each prescribed account, and manufactured gas revenues in total.
- Report number of customers, columns (f) and (g), on the basis of meters, in addition to the number of flat rate accounts; except that where separate meter readings are added for billing purposes, one customer should be counted for each group of meters added. The -average number of customers means the average of twelve figures at the close of each month.
- If increases or decreases from previous period (columns (c),(e), and (g)), are not derived from previously reported figures, explain any inconsistencies in a footnote.
- Disclose amounts of \$250,000 or greater in a footnote for accounts 451, 456, and 457.2.

Line No.	Title of Account (a)	Operating Revenues Year to Date Quarterly/Annual (b)	Operating Revenues Previous year (no Quarterly) (c)
1	Sales of Electricity		
2	(440) Residential Sales		
3	(442) Commercial and Industrial Sales		
4	Small (or Comm.) (See Instr. 4)		
5	Large (or Ind.) (See Instr. 4)		
6	(444) Public Street and Highway Lighting		
7	(445) Other Sales to Public Authorities		
8	(446) Sales to Railroads and Railways		
9	(448) Interdepartmental Sales		
10	TOTAL Sales to Ultimate Consumers		
11	(447) Sales for Resale		
12	TOTAL Sales of Electricity		
13	(Less) (449.1) Provision for Rate Refunds		
14	TOTAL Revenues Net of Prov. for Refunds		
15	Other Operating Revenues		
16	(450) Forfeited Discounts		
17	(451) Miscellaneous Service Revenues		
18	(453) Sales of Water and Water Power		
19	(454) Rent from Electric Property		
20	(455) Interdepartmental Rents		
21	(456) Other Electric Revenues		
22	(456.1) Revenues from Transmission of Electricity of Others		
23	(457.1) Regional Control Service Revenues	195,309,108	195,149,783
24	(457.2) Miscellaneous Revenues	15,085,854	13,901,985
25			
26	TOTAL Other Operating Revenues	210,394,962	209,051,768
27	TOTAL Electric Operating Revenues	210,394,962	209,051,768

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
ELECTRIC OPERATING REVENUES (Account 400)					
6. Commercial and industrial Sales, Account 442, may be classified according to the basis of classification (Small or Commercial, and Large or Industrial) regularly used by the respondent if such basis of classification is not generally greater than 1000 Kw of demand. (See Account 442 of the Uniform System of Accounts. Explain basis of classification in a footnote.)					
7. See pages 108-109, Important Changes During Period, for important new territory added and important rate increase or decreases.					
8. For Lines 2,4,5,and 6, see Page 304 for amounts relating to unbilled revenue by accounts.					
9. Include unmetered sales. Provide details of such Sales in a footnote.					
MEGAWATT HOURS SOLD		AVG.NO. CUSTOMERS PER MONTH		Line	
Year to Date Quarterly/Annual (d)	Amount Previous year (no Quarterly) (e)	Current Year (no Quarterly) (f)	Previous Year (no Quarterly) (g)	No.	
				1	
				2	
				3	
				4	
				5	
				6	
				7	
				8	
				9	
				10	
				11	
				12	
				13	
				14	
Line 12, column (b) includes \$ 0 of unbilled revenues.					
Line 12, column (d) includes 0 MWH relating to unbilled revenues					

REGIONAL TRANSMISSION SERVICE REVENUES (Account 457.1)
--

1. The respondent shall report below the revenue collected for each service (i.e., control area administration, market administration, etc.) performed pursuant to a Commission approved tariff. All amounts separately billed must be detailed below.

Line No.	Description of Service (a)	Balance at End of Quarter 1 (b)	Balance at End of Quarter 2 (c)	Balance at End of Quarter 3 (d)	Balance at End of Year (e)
1	GMC Core Reliability Services Non-Coinck	(33)	(3,673)	(3,673)	(3,673)
2	GMC Core Reliability Services Export Eny	(78)	(405)	(606)	(1,068)
3	GMC Energy Transmission Services Net Eny	(105)	(176)	(214)	(303)
4	GMC Energy Transmission Services Deviat	(95)	(3)	(3)	(3)
5	GMC CRS/ETS-TORS Energy Export	23	66	91	149
6	GMC Forward Scheduling inter-SC Trades e	633,363	1,292,543	2,077,165	2,732,513
7	GMC Bid Transaction Fee	51,423	100,665	164,270	227,593
8	GMC CRR Transaction Fee	51,229	110,095	652,870	1,498,219
9	GMC Market Usage Ancillary Services	44	42	47	47
10	GMC Market Usage Instructed Energy	13	(3)	(3)	(3)
11	GMC Market Usage Uninstructed Energy	(29)	(15)	(15)	(15)
12	GMC - Market Usage Forward Energy	(4,839)	(4,839)	(4,839)	(4,839)
13	GMC Market Services Charge	11,462,890	24,398,589	38,361,179	49,821,450
14	GMC System Operations Charge	29,973,396	63,561,202	100,487,371	130,209,153
15	GMC CRR Services Charge	2,020,492	4,084,359	5,891,672	7,638,414
16	GMC Transmission Ownership Rights Charge	183,983	485,727	705,096	835,474
17	GMC Scheduling Coordinator ID Charge	568,000	1,161,000	1,763,000	2,356,000
18					
19					
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21					
22					
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43					
44					
45					
46	TOTAL	44,939,677	95,185,174	150,093,408	195,309,108

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
ELECTRIC OPERATION AND MAINTENANCE EXPENSES					
If the amount for previous year is not derived from previously reported figures, explain in footnote.					
Line No.	Account (a)	Amount for Current Year (b)		Amount for Previous Year (c)	
1	1. POWER PRODUCTION EXPENSES				
2	A. Steam Power Generation				
3	Operation				
4	(500) Operation Supervision and Engineering				
5	(501) Fuel				
6	(502) Steam Expenses				
7	(503) Steam from Other Sources				
8	(Less) (504) Steam Transferred-Cr.				
9	(505) Electric Expenses				
10	(506) Miscellaneous Steam Power Expenses				
11	(507) Rents				
12	(509) Allowances				
13	TOTAL Operation (Enter Total of Lines 4 thru 12)				
14	Maintenance				
15	(510) Maintenance Supervision and Engineering				
16	(511) Maintenance of Structures				
17	(512) Maintenance of Boiler Plant				
18	(513) Maintenance of Electric Plant				
19	(514) Maintenance of Miscellaneous Steam Plant				
20	TOTAL Maintenance (Enter Total of Lines 15 thru 19)				
21	TOTAL Power Production Expenses-Steam Power (Entr Tot lines 13 & 20)				
22	B. Nuclear Power Generation				
23	Operation				
24	(517) Operation Supervision and Engineering				
25	(518) Fuel				
26	(519) Coolants and Water				
27	(520) Steam Expenses				
28	(521) Steam from Other Sources				
29	(Less) (522) Steam Transferred-Cr.				
30	(523) Electric Expenses				
31	(524) Miscellaneous Nuclear Power Expenses				
32	(525) Rents				
33	TOTAL Operation (Enter Total of lines 24 thru 32)				
34	Maintenance				
35	(528) Maintenance Supervision and Engineering				
36	(529) Maintenance of Structures				
37	(530) Maintenance of Reactor Plant Equipment				
38	(531) Maintenance of Electric Plant				
39	(532) Maintenance of Miscellaneous Nuclear Plant				
40	TOTAL Maintenance (Enter Total of lines 35 thru 39)				
41	TOTAL Power Production Expenses-Nuc. Power (Entr tot lines 33 & 40)				
42	C. Hydraulic Power Generation				
43	Operation				
44	(535) Operation Supervision and Engineering				
45	(536) Water for Power				
46	(537) Hydraulic Expenses				
47	(538) Electric Expenses				
48	(539) Miscellaneous Hydraulic Power Generation Expenses				
49	(540) Rents				
50	TOTAL Operation (Enter Total of Lines 44 thru 49)				
51	C. Hydraulic Power Generation (Continued)				
52	Maintenance				
53	(541) Maintenance Supervision and Engineering				
54	(542) Maintenance of Structures				
55	(543) Maintenance of Reservoirs, Dams, and Waterways				
56	(544) Maintenance of Electric Plant				
57	(545) Maintenance of Miscellaneous Hydraulic Plant				
58	TOTAL Maintenance (Enter Total of lines 53 thru 57)				
59	TOTAL Power Production Expenses-Hydraulic Power (tot of lines 50 & 58)				

Line No.	Account (a)	Amount for Current Year (b)	Amount for Previous Year (c)
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Line No.	Account (a)	Amount for Current Year (b)	Amount for Previous Year (c)
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Line No.	Account (a)	Amount for Current Year (b)	Amount for Previous Year (c)
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Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
TRANSMISSION OF ELECTRICITY BY ISO/RTOs					
<p>1. Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RTO.</p> <p>2. Use a separate line of data for each distinct type of transmission service involving the entities listed in Column (a).</p> <p>3. In Column (b) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO – Firm Network Service for Others, FNS – Firm Network Transmission Service for Self, LFP – Long-Term Firm Point-to-Point Transmission Service, OLF – Other Long-Term Firm Transmission Service, SFP – Short-Term Firm Point-to-Point Transmission Reservation, NF – Non-Firm Transmission Service, OS – Other Transmission Service and AD- Out-of-Period Adjustments. Use this code for any accounting adjustments or “true-ups” for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.</p> <p>4. In column (c) identify the FERC Rate Schedule or tariff Number, on separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (b) was provided.</p> <p>5. In column (d) report the revenue amounts as shown on bills or vouchers.</p> <p>6. Report in column (e) the total revenues distributed to the entity listed in column (a).</p>					
Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	San Diego Gas & Electric Co.	FNO	374 HV Access	(193,781,004)	
2	San Diego Gas & Electric Co.	FNO	384 HV Wheeling	(213,584,555)	
3					(407,365,559)
4	Southern California Edison Co.	FNO	374 HV Access	(395,578,810)	
5	Southern California Edison Co.	FNO	384 HV Wheeling	(26,918,020)	
6	Southern California Edison Co.	FNO	385 LV Wheeling	(406,327,254)	
7					(828,824,084)
8	Pacific Gas & Electric Com	FNO	374 HV Access	(244,064,808)	
9	Pacific Gas & Electric Com	FNO	384 HV Wheeling	(269,935,901)	
10	Pacific Gas & Electric Com	FNO	385 LV Wheeling	(29,832,468)	
11					(543,833,177)
12	City of Azusa - PTO	FNO	374 HV Access	(1,938,458)	
13	City of Azusa - PTO	FNO	384 HV Wheeling	(602,735)	
14					(2,541,193)
15	City of Banning - PTO	FNO	374 HV Access	(836,724)	
16	City of Banning - PTO	FNO	384 HV Wheeling	(400,542)	
17					(1,237,266)
18	City of Anaheim - PTO	FNO	374 HV Access	(14,475,890)	
19	City of Anaheim - PTO	FNO	384 HV Wheeling	(15,449,041)	
20					(29,924,931)
21	City of Vernon - PTO	FNO	374 HV Access	(2,398,886)	
22	City of Vernon - PTO	FNO	384 HV Wheeling	(2,420)	
23					(2,401,306)
24	City of Riverside - PTO	FNO	374 HV Access	(16,329,100)	
25	City of Riverside - PTO	FNO	384 HV Wheeling	(18,126,022)	
26					(34,455,122)
27	City of Pasadena - PTO	FNO	374 HV Access	(13,860,467)	
28	City of Pasadena - PTO	FNO	384 HV Wheeling	(320,966)	
29					(14,181,433)
30	DATC Path 15, LLC	FNO	374 HV Access	(28,747,965)	
31					(28,747,965)
32	STARTRANS IO, LLC	FNO	374 HV Access	(3,307,652)	
33	STARTRANS IO, LLC	FNO	384 HV Wheeling	(904,312)	
34					(4,211,964)
35	Trans Bay Cable, LLC	FNO	374 HV Access	(121,674,986)	
36					(121,674,986)
37	Citizens Sunrise Transmission LLC	FNO	374 HV Access	(9,573,793)	
38					(9,573,793)
39	Valley Electric Association, Inc. - PTO	FNO	374 HV Access	(2,485,294)	
40	TOTAL			(2,038,821,526)	(2,038,821,526)

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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TRANSMISSION OF ELECTRICITY BY ISO/RTOs					
1. Report in Column (a) the Transmission Owner receiving revenue for the transmission of electricity by the ISO/RTO. 2. Use a separate line of data for each distinct type of transmission service involving the entities listed in Column (a). 3. In Column (b) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO – Firm Network Service for Others, FNS – Firm Network Transmission Service for Self, LFP – Long-Term Firm Point-to-Point Transmission Service, OLF – Other Long-Term Firm Transmission Service, SFP – Short-Term Firm Point-to-Point Transmission Reservation, NF – Non-Firm Transmission Service, OS – Other Transmission Service and AD- Out-of-Period Adjustments. Use this code for any accounting adjustments or “true-ups” for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes. 4. In column (c) identify the FERC Rate Schedule or tariff Number, on separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (b) was provided. 5. In column (d) report the revenue amounts as shown on bills or vouchers. 6. Report in column (e) the total revenues distributed to the entity listed in column (a).					
Line No.	Payment Received by (Transmission Owner Name) (a)	Statistical Classification (b)	FERC Rate Schedule or Tariff Number (c)	Total Revenue by Rate Schedule or Tariff (d)	Total Revenue (e)
1	Valley Electric Association, Inc. - PTO	FNO	384 HV Wheeling	(4,943,851)	
2					(7,429,145)
3	City of Colton - PTO	FNO	374 HV Access	(793,755)	
4	City of Colton - PTO	FNO	384 HV Wheeling	(1,625,847)	
5					(2,419,602)
6					
7					
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39					
40	TOTAL			(2,038,821,526)	(2,038,821,526)

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
MISCELLANEOUS GENERAL EXPENSES (Account 930.2) (ELECTRIC)					
Line No.	Description (a)				Amount (b)
1	Industry Association Dues				
2	Nuclear Power Research Expenses				
3	Other Experimental and General Research Expenses				
4	Pub & Dist Info to Stkhldrs...expn servicing outstanding Securities				
5	Oth Expn >=5,000 show purpose, recipient, amount. Group if < \$5,000				
6	Bank Service Fee				161,474
7	Board of Directors Fees and Expense				316,915
8					
9					
10					
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46	TOTAL				478,389

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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DEPRECIATION AND AMORTIZATION OF ELECTRIC PLANT (Account 403, 404, 405)
(Except amortization of aquisition adjustments)

1. Report in section A for the year the amounts for : (b) Depreciation Expense (Account 403; (c) Depreciation Expense for Asset Retirement Costs (Account 403.1; (d) Amortization of Limited-Term Electric Plant (Account 404); and (e) Amortization of Other Electric Plant (Account 405).

2. Report in Section 8 the rates used to compute amortization charges for electric plant (Accounts 404 and 405). State the basis used to compute charges and whether any changes have been made in the basis or rates used from the preceding report year.

3. Report all available information called for in Section C every fifth year beginning with report year 1971, reporting annually only changes to columns (c) through (g) from the complete report of the preceding year.

Unless composite depreciation accounting for total depreciable plant is followed, list numerically in column (a) each plant subaccount, account or functional classification, as appropriate, to which a rate is applied. Identify at the bottom of Section C the type of plant included in any sub-account used.

In column (b) report all depreciable plant balances to which rates are applied showing subtotals by functional Classifications and showing composite total. Indicate at the bottom of section C the manner in which column balances are obtained. If average balances, state the method of averaging used.

For columns (c), (d), and (e) report available information for each plant subaccount, account or functional classification Listed in column (a). If plant mortality studies are prepared to assist in estimating average service Lives, show in column (f) the type mortality curve selected as most appropriate for the account and in column (g), if available, the weighted average remaining life of surviving plant. If composite depreciation accounting is used, report available information called for in columns (b) through (g) on this basis.

4. If provisions for depreciation were made during the year in addition to depreciation provided by application of reported rates, state at the bottom of section C the amounts and nature of the provisions and the plant items to which related.

A. Summary of Depreciation and Amortization Charges						
Line No.	Functional Classification (a)	Depreciation Expense (Account 403) (b)	Depreciation Expense for Asset Retirement Costs (Account 403.1) (c)	Amortization of Limited Term Electric Plant (Account 404) (d)	Amortization of Other Electric Plant (Acc 405) (e)	Total (f)
1	Intangible Plant					
2	Steam Production Plant					
3	Nuclear Production Plant					
4	Hydraulic Production Plant-Conventional					
5	Hydraulic Production Plant-Pumped Storage					
6	Other Production Plant					
7	Transmission Plant					
8	Distribution Plant					
9	Regional Transmission and Market Operation	74,614,927				74,614,927
10	General Plant					
11	Common Plant-Electric					
12	TOTAL	74,614,927				74,614,927

B. Basis for Amortization Charges

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4	
DEPRECIATION AND AMORTIZATION OF ELECTRIC PLANT (Continued)							
C. Factors Used in Estimating Depreciation Charges							
Line No.	Account No. (a)	Depreciable Plant Base (In Thousands) (b)	Estimated Avg. Service Life (c)	Net Salvage (Percent) (d)	Applied Depr. rates (Percent) (e)	Mortality Curve Type (f)	Average Remaining Life (g)
12	Intangible Plant:						
13	#303						
14							
15							
16	General Plant:						
17	#390						
18	#391						
19	#397						
20	#399						
21							
22	Regional Transmission:						
23	#380						
24	#381	124,642,022	23.29		4.29		18.18
25	#382	3,454,573	3.16		31.16		0.90
26	#383	66,585,694	7.54		13.27		1.89
27	#384	3,548,217	5.67		17.64		4.45
28	#385	6,850,403	7.50		13.33		4.01
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Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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REGULATORY COMMISSION EXPENSES

1. Report particulars (details) of regulatory commission expenses incurred during the current year (or incurred in previous years, if being amortized) relating to format cases before a regulatory body, or cases in which such a body was a party.

2. Report in columns (b) and (c), only the current year's expenses that are not deferred and the current year's amortization of amounts deferred in previous years.

Line No.	Description (Furnish name of regulatory commission or body the docket or case number and a description of the case) (a)	Assessed by Regulatory Commission (b)	Expenses of Utility (c)	Total Expense for Current Year (b) + (c) (d)	Deferred in Account 182.3 at Beginning of Year (e)
1	FERC Hearings		3,236,598	3,236,598	
2					
3					
4					
5					
6					
7					
8					
9					
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46	TOTAL		3,236,598	3,236,598	

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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REGULATORY COMMISSION EXPENSES (Continued)							
3. Show in column (k) any expenses incurred in prior years which are being amortized. List in column (a) the period of amortization.							
4. List in column (f), (g), and (h) expenses incurred during year which were charged currently to income, plant, or other accounts.							
5. Minor items (less than \$25,000) may be grouped.							

EXPENSES INCURRED DURING YEAR				AMORTIZED DURING YEAR			
CURRENTLY CHARGED TO			Deferred to Account 182.3 (i)	Contra Account (j)	Amount (k)	Deferred in Account 182.3 End of Year (l)	Line No.
Department (f)	Account No. (g)	Amount (h)					
GenCounl	928.0	3,236,598					1
							2
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		3,236,598					46

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
DISTRIBUTION OF SALARIES AND WAGES					
Report below the distribution of total salaries and wages for the year. Segregate amounts originally charged to clearing accounts to Utility Departments, Construction, Plant Removals, and Other Accounts, and enter such amounts in the appropriate lines and columns provided. In determining this segregation of salaries and wages originally charged to clearing accounts, a method of approximation giving substantially correct results may be used.					
Line No.	Classification (a)	Direct Payroll Distribution (b)	Allocation of Payroll charged for Clearing Accounts (c)	Total (d)	
1	Electric				
2	Operation				
3	Production				
4	Transmission	30,251,553			
5	Regional Market	21,000,148			
6	Distribution				
7	Customer Accounts	4,956,212			
8	Customer Service and Informational	5,527,354			
9	Sales				
10	Administrative and General	43,476,385			
11	TOTAL Operation (Enter Total of lines 3 thru 10)	105,211,652			
12	Maintenance				
13	Production				
14	Transmission	1,874,168			
15	Regional Market	1,569,821			
16	Distribution				
17	Administrative and General				
18	TOTAL Maintenance (Total of lines 13 thru 17)	3,443,989			
19	Total Operation and Maintenance				
20	Production (Enter Total of lines 3 and 13)				
21	Transmission (Enter Total of lines 4 and 14)	32,125,721			
22	Regional Market (Enter Total of Lines 5 and 15)	22,569,969			
23	Distribution (Enter Total of lines 6 and 16)				
24	Customer Accounts (Transcribe from line 7)	4,956,212			
25	Customer Service and Informational (Transcribe from line 8)	5,527,354			
26	Sales (Transcribe from line 9)				
27	Administrative and General (Enter Total of lines 10 and 17)	43,476,385			
28	TOTAL Oper. and Maint. (Total of lines 20 thru 27)	108,655,641		108,655,641	
29	Gas				
30	Operation				
31	Production-Manufactured Gas				
32	Production-Nat. Gas (Including Expl. and Dev.)				
33	Other Gas Supply				
34	Storage, LNG Terminaling and Processing				
35	Transmission				
36	Distribution				
37	Customer Accounts				
38	Customer Service and Informational				
39	Sales				
40	Administrative and General				
41	TOTAL Operation (Enter Total of lines 31 thru 40)				
42	Maintenance				
43	Production-Manufactured Gas				
44	Production-Natural Gas (Including Exploration and Development)				
45	Other Gas Supply				
46	Storage, LNG Terminaling and Processing				
47	Transmission				

Name of Respondent California Independent System Operator Corporation		This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
DISTRIBUTION OF SALARIES AND WAGES (Continued)					
Line No.	Classification (a)	Direct Payroll Distribution (b)	Allocation of Payroll charged for Clearing Accounts (c)	Total (d)	
48	Distribution				
49	Administrative and General				
50	TOTAL Maint. (Enter Total of lines 43 thru 49)				
51	Total Operation and Maintenance				
52	Production-Manufactured Gas (Enter Total of lines 31 and 43)				
53	Production-Natural Gas (Including Expl. and Dev.) (Total lines 32,				
54	Other Gas Supply (Enter Total of lines 33 and 45)				
55	Storage, LNG Terminaling and Processing (Total of lines 31 thru 47)				
56	Transmission (Lines 35 and 47)				
57	Distribution (Lines 36 and 48)				
58	Customer Accounts (Line 37)				
59	Customer Service and Informational (Line 38)				
60	Sales (Line 39)				
61	Administrative and General (Lines 40 and 49)				
62	TOTAL Operation and Maint. (Total of lines 52 thru 61)				
63	Other Utility Departments				
64	Operation and Maintenance				
65	TOTAL All Utility Dept. (Total of lines 28, 62, and 64)	108,655,641		108,655,641	
66	Utility Plant				
67	Construction (By Utility Departments)				
68	Electric Plant				
69	Gas Plant				
70	Other (provide details in footnote):				
71	TOTAL Construction (Total of lines 68 thru 70)				
72	Plant Removal (By Utility Departments)				
73	Electric Plant				
74	Gas Plant				
75	Other (provide details in footnote):				
76	TOTAL Plant Removal (Total of lines 73 thru 75)				
77	Other Accounts (Specify, provide details in footnote):				
78					
79					
80					
81					
82					
83					
84					
85					
86					
87					
88					
89					
90					
91					
92					
93					
94					
95	TOTAL Other Accounts				
96	TOTAL SALARIES AND WAGES	108,655,641		108,655,641	

Name of Respondent California Independent System Operator Corporation				This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission		Date of Report (Mo, Da, Yr) 04/17/2014		Year/Period of Report End of 2013/Q4		
MONTHLY ISO/RTO TRANSMISSION SYSTEM PEAK LOAD										
<p>(1) Report the monthly peak load on the respondent's transmission system. If the Respondent has two or more power systems which are not physically integrated, furnish the required information for each non-integrated system.</p> <p>(2) Report on Column (b) by month the transmission system's peak load.</p> <p>(3) Report on Column (c) and (d) the specified information for each monthly transmission - system peak load reported on Column (b).</p> <p>(4) Report on Columns (e) through (i) by month the system's transmission usage by classification. Amounts reported as Through and Out Service in Column (g) are to be excluded from those amounts reported in Columns (e) and (f).</p> <p>(5) Amounts reported in Column (j) for Total Usage is the sum of Columns (h) and (i).</p>										
NAME OF SYSTEM: EDRP										
Line No.	Month (a)	Monthly Peak MW - Total (b)	Day of Monthly Peak (c)	Hour of Monthly Peak (d)	Imports into ISO/RTO (e)	Exports from ISO/RTO (f)	Through and Out Service (g)	Network Service Usage (h)	Point-to-Point Service Usage (i)	Total Usage (j)
1	January	33,103	14	1900	-6,136,656	134,728	715,000			-5,286,928
2	February	30,652	19	1900	-5,295,995	101,227	720,415			-4,474,353
3	March	30,081	6	1900	-6,234,043	167,784	684,311			-5,381,948
4	Total for Quarter 1	93,836			-17,666,694	403,739	2,119,726			-15,143,229
5	April	32,307	29	1600	-7,029,509	146,258	791,429			-6,091,822
6	May	38,901	13	1700	-7,700,237	222,226	774,573			-6,703,438
7	June	45,048	28	1700	-7,361,998	182,999	623,347			-6,555,652
8	Total for Quarter 2	116,256			-22,091,744	551,483	2,189,349			-19,350,912
9	July	45,044	1	1700	-7,607,524	222,100	762,080			-6,623,344
10	August	44,817	30	1600	-6,799,871	143,751	692,912			-5,963,208
11	September	43,736	4	1600	-6,109,560	131,891	608,717			-5,368,952
12	Total for Quarter 3	133,597			-20,516,955	497,742	2,063,709			-17,955,504
13	October	30,785	1	1600	-5,614,059	157,752	629,846			-4,826,461
14	November	30,503	13	1800	-5,261,600	521,105	580,945			-4,159,550
15	December	32,457	9	1900	-5,167,494	525,645	646,987			-3,994,862
16	Total for Quarter 4	93,745			-16,043,153	1,204,502	1,857,778			-12,980,873
17	Total Year to Date/Year	437,434			-76,318,546	2,657,466	8,230,562			-65,430,518

Name of Respondent California Independent System Operator Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report End of 2013/Q4
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MONTHLY PEAKS AND OUTPUT

1. Report the monthly peak load and energy output. If the respondent has two or more power which are not physically integrated, furnish the required information for each non- integrated system.
2. Report in column (b) by month the system's output in Megawatt hours for each month.
3. Report in column (c) by month the non-requirements sales for resale. Include in the monthly amounts any energy losses associated with the sales.
4. Report in column (d) by month the system's monthly maximum megawatt load (60 minute integration) associated with the system.
5. Report in column (e) and (f) the specified information for each monthly peak load reported in column (d).

NAME OF SYSTEM: Databases: EDRP (for Imports, Exports, Wheels), ALFS_MRTU (f

Line No.	Month (a)	Total Monthly Energy (b)	Monthly Non-Requirements Sales for Resale & Associated Losses (c)	MONTHLY PEAK		
				Megawatts (See Instr. 4) (d)	Day of Month (e)	Hour (f)
29	January	24,184,406		33,103	14	1900
30	February	20,865,675		30,652	19	1900
31	March	23,859,708		30,081	6	1900
32	April	18,428,285		32,307	29	1600
33	May	20,020,750		38,901	13	1700
34	June	21,138,297		45,048	28	1700
35	July	23,150,408		45,044	1	1700
36	August	22,650,502		44,817	30	1600
37	September	21,160,043		43,736	4	1600
38	October	18,522,253		30,785	1	1600
39	November	17,505,551		30,503	13	1800
40	December	18,615,897		32,457	9	1900
41	TOTAL	250,101,775				

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report 2013/Q4
California Independent System Operator Corporation			
FOOTNOTE DATA			

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	<u>2013</u>	<u>2012</u>
1310011 Concentration Account	\$ 2,665	\$ 3,128
1310013 Disbursements Account	(194,781)	(462,458)
1310014 Payroll Account	(121,082)	(96,306)
1310025 Concentration-Wells Fargo	22,182,967	61,273,170
1310134 API Controlled Disbursement	-	-
1310023 LGIP Transmission Studies Depos	217,308	41,914
Cash Equivalents - Investments	94,860	41,802
	<u>\$ 22,181,937</u>	<u>\$ 60,801,250</u>

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report 2013/Q4
California Independent System Operator Corporation			
FOOTNOTE DATA			

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	<u>2013</u>	<u>2012</u>
Fines Subject to Refund	\$ 2,658,205	\$ 2,850,875
Advances for Leasehold Improvements	315,214	357,377
Unearned Revenue	(3,167)	-
	<u>\$ 2,970,252</u>	<u>\$ 3,208,252</u>

Cash Flow Statement Presentation of Net Change:

Net Change	<u>\$ (238,000)</u>	<u>2,396,397</u>
Payment of Generator Noncompliance Fines Refund Obligation	-	-
Net Increase (Decrease) in Other Deferred Credits	\$ (238,000)	2,396,397

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 04/17/2014	Year/Period of Report 2013/Q4
California Independent System Operator Corporation			
FOOTNOTE DATA			

Schedule Page: 231 Line No.: 2 Column: a

The CAISO is completing this schedule to report costs and reimbursements of on-going generator interconnection studies for the indicated period. Because these studies are in progress, and due to timing differences between incurring costs and the receipt of reimbursements, the full amount of costs incurred or reimbursements received on any particular study may not be fully disclosed on this or subsequent schedules.