

California ISO

2016 Compliance Assessment Relating to Control Room Operating Procedures



*Audit Committee
Folsom, CA
December 15, 2016*

Scope

- Assessment of management assertion related to seven procedure elements related to ISO's real-time exceptional dispatch procedures:
 - Procedure elements were **publicly available** and **objectively verifiable**
 - Assessment criteria were identified prior to observation periods
 - Evidence of procedures performed, in addition to real-time observation, was obtained
- Operations assessment periods:
 - August 22 – August 26, 2016
 - September 19 – September 23, 2016

Results

- Unqualified PwC opinion was issued to ISO management on November 28, 2016
- Our examination did not identify any compliance deviations
- Reporting package includes:
 - PwC opinion
 - Management's assertion, including description of operating procedure elements and management narrative to provide additional context to the process (unaudited)
 - Operating Procedure 2330, Real-Time Exceptional Dispatch, dated July 14, 2016

Questions?

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