

ASRP APPENDICES

APPENDIX B

Certification for Spinning Reserve

- B 1** A Generator wishing to provide Spinning Reserve as an Ancillary Service from a Generating Unit [or System Resource](#) whether pursuant to the ISO's auction or as part of a self-provision arrangement must meet the following requirements in order to be certified by the ISO to provide Spinning Reserve service:
- B 1.1** the rated capacity of the Generating Unit must be greater than 10 MW;
- B 1.2** the minimum governor performance of the Generating Unit [or System Resource](#) shall be as follows:
- B 1.2.1** 5% droop;
- B 1.2.2** governor deadband must be plus or minus 0.036Hz; and
- B 1.2.3** the power output must change within one second for any frequency deviation outside the governor deadband.
- B 1.3** the operator of the Generating Unit [or System Resource](#) must have a means of receiving Dispatch instructions to initiate an increase in real power output (MW) within one minute of the ISO Control Center determination that Energy from Spinning Reserve capacity must be Dispatched;
- B 1.4** the Generating Unit [or System Resource](#) must be able to increase its real power output (MW) by the maximum amount of Spinning Reserve to be offered within ten minutes;
- B 1.5** ISO approved voice communications services must be in place to provide both primary and alternate voice communication between the ISO Control Center and the operator controlling the Generating Unit [or System Resource](#); and
- B 1.6** The communication system and the Generating Unit [or System Resource](#) must pass a qualification test to demonstrate the overall ability to meet the performance requirements of the ASRP for Spinning Reserve.

- B 2** A Generator [or System Unit](#) wishing to be considered for certification for Spinning Reserve service by the ISO must make a written request to the ISO, giving details of the technical capability of the Generating Units [or System Resources](#) concerned and identifying the Scheduling Coordinator through whom the Generator [or System Unit](#) intends to offer Spinning Reserve service. The Generator [or System Unit](#) shall at the same time send a copy of its request to that Scheduling Coordinator. Technical review request forms will be available from the ISO.
- B 3** No later than one week after receipt of the request, the ISO shall provide the Generator [or System Unit](#) with a listing of acceptable communication options and interface equipment options for Spinning Reserve. The ISO shall send a copy of the listing to the Generator's [or System Unit's](#) Scheduling Coordinator.
- B 4** The Generator [or System Unit](#) may elect to implement any of the approved options defined by the ISO, and, if it wishes to proceed with its request for certification, shall give written notice to the ISO of its selected communication option, with a copy to its Scheduling Coordinator.
- B 5** When it receives the Generator's [or System Unit's](#) notice, the ISO shall notify the Generator [or System Unit](#) and the Scheduling Coordinator in writing [no later than two weeks after receipt of the notice](#) confirming receipt of the notice and issuing provisional approval of the selected options. Upon receipt of the ISO acknowledgment, the Generator [or System Unit](#) may proceed as indicated below to secure the necessary facilities and capabilities required.
- B 6** The Generator [or System Unit](#) may also propose alternatives that [it the Generator](#) believes may provide an equivalent level of control for consideration by the ISO. Such proposals shall be in writing and contain sufficient detail for the ISO to make a determination of suitability. The ISO may request additional information, if required, to assist in its evaluation of the proposal.
- B 7** The ISO shall respond by accepting the alternative proposal, rejecting the alternative proposal, or suggesting modifications to the alternative proposal. Such acceptance, rejection, or suggested revision must be provided not later than six weeks after the proposal is received by the ISO. The Generator [or the System Unit](#) and the ISO shall keep the Scheduling Coordinator informed of this process by each sending to the Scheduling Coordinator a copy of any written communication which it sends to the other.
- B 8** Upon agreement as to the method of communication and control to be used by the Generator [or System Resource](#), the ISO shall provisionally approve the Generator's proposal [or the System Resource's proposal](#) in writing providing a copy to the Generator's [or System Resource's](#) Scheduling Coordinator at the same time. The Generator [or System](#)

[Resource](#) may then proceed to procure and install the equipment and make arrangements for the required communication.

- B 9** Design, acquisition, and installation of the Generator's equipment [or the System Resource's equipment](#) shall be under the control of the [respective Generator or System Resource](#). The ISO shall bear no cost responsibility or functional responsibility for such equipment. The ISO shall be responsible for the design, acquisition and installation of any necessary modifications to its own equipment at its own cost.
- B 10** The Generator [or System Resource](#) shall perform its own testing of its equipment to ensure that the control system performs to meet the ISO requirements.
- B 11** When it is satisfied that its plant, equipment and communication systems meet the ISO's requirements, the Generator [or System Resource](#) shall request in writing that the ISO conduct a certification test with a suggested primary date and time and at least two alternative dates and times. The ISO shall, within two Business Days of receipt of the [Generator's](#) request, accept a proposed time if possible or suggest at least three alternatives to the Generator [or System Resource](#). If the ISO responds by suggesting alternatives, the Generator [or System Resource](#) shall, within two Business Days of receipt of the ISO's response, respond in turn by accepting a proposed alternative if possible or suggesting at least three alternatives, and this procedure shall continue until agreement is reached on the date and time of the test. The Generator [or System Resource](#) shall inform its Scheduling Coordinator of the agreed date and time of the test.
- B 12** Testing shall be performed under the direction of the ISO. Such tests shall include, but not be limited to, the following:
- B 12.1** confirmation of control communication path performance for Dispatch instruction;
- B 12.2** confirmation of primary and secondary voice circuits for receipt of Dispatch instructions;
- B 12.3** confirmation of the Generating Unit [or System Resource](#) performance to include changing the Generating Unit [or System Resource](#) output over the range of Spinning Reserve proposed from minimum to maximum output, and at different rates of change from the minimum to the maximum permitted by the design of the Generating Unit [or System Resource](#); and
- B 12.4** testing the droop characteristic of the Generating Unit [or System Resource](#) by simulating frequency excursions outside the allowed deadband and measuring the response of the Generating Unit [or System Resource](#).

- B 13** Upon successful completion of the test the ISO shall certify the Generating Unit [or System Resource](#) as being permitted to provide Spinning Reserve as an Ancillary Service and shall provide a copy of the certificate to the Scheduling Coordinator at the same time. The ISO shall change the Generating Unit [or System Resource](#) data base to reflect the ability of the Generating Unit to provide Spinning Reserve.
- B 14** The Scheduling Coordinator may bid Spinning Reserve from the certified Generating Unit [or System Resource](#) into the Markets starting with the Day-Ahead Market for the hour ending 0100 on the Second Trading Day after the ISO issues the certificate.
- B 15** The certification to provide Spinning Reserve shall remain in force until withdrawn by the Scheduling Coordinator or the Generator [or System Resource](#) by written notice to the ISO to take effect at the time notified in the notice, which must be the end of a Trading Day.
- B 16** The certification may be revoked by the ISO only under provisions of the ASRP or the ISO Tariff.

APPENDIX C

Certification for Non-Spinning Reserve

- C 1** An Ancillary Service Provider wishing to provide Non-Spinning Reserve as an Ancillary Service from a Generating Unit [or System Resource](#) whether pursuant to the ISO's auction or as part of a self-provision arrangement must meet the following requirements in order to be certified by the ISO to provide Non-Spinning Reserve service:
- C 1.1** the rated capacity of the Generating Unit [or System Resource](#) must be greater than 10 MW;
- C 1.2** the Generating Unit must be able to increase output as soon as possible to the value indicated in a Dispatch instruction, reaching the indicated value within ten minutes after issue of the instruction and be capable of maintaining output for 2 hours.
- C 2** An Ancillary Service Provider wishing to provide Non-Spinning Reserve as an Ancillary Service from Curtailable Demand whether pursuant to the ISO's auction or as part of a self-provision arrangement must meet the following requirements in order to be certified by the ISO to provide Non-Spinning Reserve service:
- C 2.1** the operator must be able to completely disconnect the required Load pursuant to a Dispatch instruction within ten minutes after issue of the instruction;
- C 2.2** the minimum change in the electrical consumption of the Load must be at least 1 MW; and
- C 2.3** the Load must be capable of being interrupted for at least two hours.
- C 3** An Ancillary Service Provider wishing to provide Non-Spinning Reserve as an Ancillary Service, whether pursuant to the ISO's auction or as part of a self-provision arrangement, must also meet the following requirements in order to be certified by the ISO to provide Non-Spinning Reserve service:
- C 3.1** the operator of the Generating Unit, [System Resource](#) or the Curtailable Demand must have a means of receiving a Dispatch instruction to initiate an increase in real power output or a reduction in Demand (MW) within one minute of the ISO Control Center's determination that Non-Spinning Reserve capacity must be Dispatched; and

- C 3.2** the communication system and the Generating Unit, [System Resource](#) or Load must pass a qualification test to demonstrate the overall ability to meet the performance requirements of the ASRP for Non-Spinning Reserve.
- C 4** An Ancillary Service Provider wishing to be considered for certification for Non-Spinning Reserve service must make a written request to the ISO, giving details of the technical capability of the Generating Unit, [System Resource](#) or Load concerned and identifying the Scheduling Coordinator through whom the Ancillary Service Provider intends to offer Non-Spinning Reserve. The Ancillary Service Provider shall at the same time send a copy of the request to that Scheduling Coordinator. Technical Review request forms will be available from the ISO.
- C 5** No later than one week after receipt of the Ancillary Service Provider's request, the ISO shall provide the Ancillary Service Provider with a listing of acceptable communication options and interface equipment options for Non-Spinning Reserve. The ISO shall send a copy of the listing to the Ancillary Service Provider's Scheduling Coordinator.
- C 6** The Ancillary Service Provider may elect to implement any of the approved options defined by the ISO, and, if it wishes to proceed with its request for certification, the Ancillary Service Provider shall give written notice to the ISO of its selected communication option and interface equipment option, with a copy to its Scheduling Coordinator.
- C 7** When it receives the Ancillary Service Provider's notice, the ISO shall notify the Ancillary Service Provider and the Scheduling Coordinator in writing [no later than two weeks after receipt of the notice](#) confirming receipt of the notice and issuing provisional approval of the selected options. Upon receipt of the ISO acknowledgment the Ancillary Service Provider may proceed as indicated below to secure the necessary facilities and capabilities required.
- C 8** The Ancillary Service Provider may also propose alternatives that it believes may provide an equivalent level of control for consideration by the ISO. Such proposals shall be in writing and contain sufficient detail for the ISO to make a determination of suitability. The ISO may request additional information, if required, to assist in its evaluation of the proposal.
- C 9** The ISO shall respond by accepting the alternative proposal, rejecting the alternative proposal, or suggesting modifications to the alternative proposal. Such acceptance, rejection, or suggested revision must be provided not later than six weeks after the proposal is received by the ISO. The Ancillary Service Provider and the ISO shall keep the Scheduling Coordinator informed of this process by each sending to the Scheduling Coordinator a copy of any written communication which it sends to the other.

- C 10** Upon agreement as to the method of communication and control to be used by the Ancillary Service Provider, the ISO shall provisionally approve the proposal in writing providing a copy to the Ancillary Service Provider's Scheduling Coordinator at the same time. The Ancillary Service Provider may then proceed to procure and install the equipment and make arrangements for the required communication.
- C 11** Design, acquisition, and installation of the Ancillary Service Provider's equipment shall be under the control of the Ancillary Service Provider. The ISO shall bear no cost responsibility or functional responsibility for such equipment. The ISO shall be responsible for the design, acquisition and installation of any necessary modifications to the ISO's equipment at its own cost.
- C 12** The Ancillary Service Provider shall perform its own testing of its equipment to ensure that the control system performs to meet the ISO requirements.
- C 13** When it is satisfied that its plant, equipment and communication systems meet the ISO's requirements, the Ancillary Service Provider shall request in writing that the ISO conduct a certification test with a suggested primary date and time and at least two alternative dates and times. The ISO shall, within two Business Days of receipt of the Ancillary Service Provider's request, accept a proposed time if possible or suggest at least three alternatives. If the ISO responds by suggesting alternatives, the Ancillary Service Provider shall, within two Business Days of receipt of the ISO's response, respond in turn by accepting a proposed alternative if possible or suggesting at least three alternatives, and this procedure shall continue until agreement is reached on the date and time of the test. The Ancillary Service Provider shall inform its Scheduling Coordinator of the agreed date and time of the test.
- C 14** Testing shall be performed under the direction of the ISO. Such tests shall include, but not be limited to, the following:
- C 14.1** confirmation of control communication path performance;
- C 14.2** confirmation of primary and secondary voice circuits for receipt of Dispatch instructions;
- C 14.3** confirmation of the Generating Unit, [System Resource](#) or Load control performance; and
- C 14.4** confirmation of the range of Generating Unit [or System Resource](#) control to include changing the ~~Generating Unit~~ output over the range of Non-Spinning Reserve proposed.
- C 15** Upon successful completion of the test, the ISO shall certify the Generating Unit, [System Resource](#) or Load as being permitted to provide Non-Spinning Reserve as an Ancillary Service and shall

provide a copy of the certificate to the Scheduling Coordinator at the same time. The ISO shall change its data base to reflect the permission for the Generating Unit or Load to provide Non-Spinning Reserve service.

- C 16** The Scheduling Coordinator may bid Non-Spinning Reserve service from the certified Generating Unit or Load into the Markets starting with the Day-Ahead Market for the hour ending 0100 on the second Trading Day after the ISO issues the certificate.
- C 17** The certification to provide Non-Spinning Reserve shall remain in force until withdrawn by the Scheduling Coordinator or the Ancillary Service Provider by written notice to the ISO to take effect at the time notified in the notice, which must be the end of a Trading Day.
- C 18** The certification may be revoked by the ISO only under provisions of the ASRP or the ISO Tariff.

APPENDIX D

Certification for Replacement Reserve

- D 1** An Ancillary Service Provider wishing to provide Replacement Reserve as an Ancillary Service from a Generating Unit [or System Resource](#) whether pursuant to the ISO's auction or as part of a self-provision arrangement must meet the following requirements in order to be certified by the ISO to provide Replacement Reserve service:
- D 1.1** the rated capacity of the Generating Unit [or System Resource](#) must be greater than 10 MW;
- D 1.2** the operator of the Generating Unit must be able to increase output as quickly as possible to a value indicated in a Dispatch instruction, reaching the indicated value in sixty minutes or less after issue of the instruction.
- D 2** An Ancillary Service Provider wishing to provide Replacement Reserve as an Ancillary Service from Curtailable Demand whether pursuant to the ISO's auction or as part of a self-provision arrangement must meet the following requirements in order to be certified by the ISO to provide Replacement Reserve service:
- D 2.1** the operator must be able to completely disconnect the required Load pursuant to a Dispatch instruction within sixty minutes after issue of the instruction;
- D 2.2** the minimum change in the electrical consumption of the Load must be at least 1 MW; and
- D 2.3** the Load must be capable of being interrupted for at least two hours.
- D 3** An Ancillary Service Provider wishing to provide Replacement Reserve as an Ancillary Service, whether pursuant to the ISO's auction or as part of a self-provision arrangement, must also meet the following requirements in order to be certified by the ISO to provide Replacement Reserve service:
- D 3.1** the operator of the Generating Unit, [System Resource](#) or the Curtailable Demand must have a means of receiving a Dispatch instruction to initiate an increase in real power output or a reduction in Demand (MW) within one minute of the ISO Control Center's determination that Replacement Reserve capacity must be Dispatched; and

- D 3.2** the communication system and the Generating Unit or Load must pass a qualification test to demonstrate the overall ability to meet the performance requirements of the ASRP for Replacement Reserve.
- D 4** An Ancillary Service Provider wishing to be considered for certification for Replacement Reserve service must make a written request to the ISO, giving details of the technical capability of the Generating Unit, [System Resource](#) or the Load concerned and identifying the Scheduling Coordinator through whom the Ancillary Service Provider intends to offer Replacement Reserve. The Ancillary Service Provider shall at the same time send a copy of its request to that Scheduling Coordinator. Technical Review request forms will be available from the ISO.
- D 5** No later than one week after receipt of the Ancillary Service Provider's request, the ISO shall provide the Ancillary Service Provider with a listing of acceptable communication options and interface equipment options for Replacement Reserve. The ISO shall send a copy of the listing to the Ancillary Service Provider's Scheduling Coordinator.
- D 6** The Ancillary Service Provider may elect to implement any of the options defined by the ISO, and, if it wishes to proceed with its request for certification, the Ancillary Service Provider shall give written notice to the ISO of its selected communication option and interface equipment option, with a copy to its Scheduling Coordinator.
- D 7** When it receives the Ancillary Service Provider's notice, the ISO shall notify the Ancillary Service Provider and the Scheduling Coordinator in writing [no later than two weeks after receipt of the notice](#) confirming receipt of the notice and issuing provisional approval of the selected options. Upon receipt of the ISO acknowledgment the Ancillary Service Provider may proceed as indicated below to secure the necessary facilities and capabilities required.
- D 8** The Ancillary Service Provider may also propose alternatives that it believes may provide an equivalent level of control for consideration by the ISO. Such proposals shall be in writing and contain sufficient detail for the ISO to make a determination of suitability. The ISO may request additional information, if required, to assist in its evaluation of the proposal.
- D 9** The ISO shall respond by accepting the alternative proposal, rejecting the alternative proposal, or suggesting modifications to the alternative proposal. Such acceptance, rejection, or suggested revision shall be provided not later than six weeks after the proposal is received by the ISO. The Ancillary Service Provider and the ISO shall keep the Scheduling Coordinator informed of this process by each sending to the Scheduling Coordinator a copy of any written communication which it sends to the other.

- D 10** Upon agreement as to the method of communication and control to be used by the Ancillary Service Provider, the ISO shall provisionally approve the proposal in writing providing a copy to the Ancillary Service Provider's Scheduling Coordinator at the same time. The Ancillary Service Provider may then proceed to procure and install the equipment and make arrangements for the required communication.
- D 11** Design, acquisition, and installation of the Ancillary Service Provider's equipment shall be under the control of the Ancillary Service Provider. The ISO shall bear no cost responsibility or functional responsibility for such equipment. The ISO shall be responsible for the design, acquisition and installation of any necessary modifications to the ISO's equipment at its own cost.
- D 12** The Ancillary Service Provider shall perform its own testing of its equipment to ensure that the control system performs to meet the ISO requirements.
- D 13** When it is satisfied that its plant, equipment and communication systems meet the ISO's requirements, the Ancillary Service Provider shall request in writing that the ISO conduct a certification test with a suggested primary date and time and at least two alternative dates and times. The ISO shall, within two Business Days of receipt of the Ancillary Service Provider's request, accept a proposed time if possible or suggest at least three alternatives. If the ISO responds by suggesting alternatives, the Ancillary Service Provider shall, within two Business Days of receipt of the ISO's response, respond in turn by accepting a proposed alternative if possible or suggesting at least three alternatives, and this procedure shall continue until agreement is reached on the date and time of the test. The Ancillary Service Provider shall inform its Scheduling Coordinator of the agreed date and time of the test.
- D 14** Testing shall be performed under the direction of the ISO. Such tests shall include, but not be limited to, the following:
- D 14.1** confirmation of control communication path performance;
- D 14.2** confirmation of primary and secondary voice circuits for receipt of Dispatch instructions;
- D 14.3** confirmation of the Generating Unit, [System Resource](#) or Load control performance; and
- D 14.4** confirmation of the range of Generating Unit [or System Resource](#) control to include changing the Generating Unit output over the range of Replacement Reserve proposed.
- D 15** Upon successful completion of the test the ISO shall certify the Generating Unit, [System Resource](#) or Load as being permitted to provide Replacement Reserve as an Ancillary Service and shall

provide a copy of the certificate to the Scheduling Coordinator at the same time. The ISO shall change its data base to reflect the permission for the Generating Unit or Load to provide Replacement Reserve service.

- D 16** The Scheduling Coordinator may bid Replacement Reserve service from the certified Generating Unit or Load into the Markets starting with the Day-Ahead Market for the hour ending 0100 on the second Trading Day after the ISO issues the certificate.
- D 17** The certification to provide Replacement Reserve shall remain in force until withdrawn by the Scheduling Coordinator or the Ancillary Service Provider by written notice to the ISO to take effect at the time notified in the notice, which must be the end of a Trading Day.
- D 18** The certification may be revoked by the ISO only under provisions of the ASRP or the ISO Tariff.

APPENDIX E

Certification for Voltage Support

- E 1** A Generator wishing to provide Voltage Support as an Ancillary Service from a Generating Unit must meet the following requirements in order to be certified by the ISO to provide Voltage Support service:
- E 1.1** the rated capacity of the Generating Unit must be greater than 10MW;
 - E 1.2** the Generating Unit must be able to produce VARs at lagging power factors less than 0.90 and absorb VARs at leading power factors more than 0.95 within the safe operating parameters for the Generating Unit;
 - E 1.3** the Generating Unit must be able to produce or absorb VARs outside the 0.90 lag to 0.95 lead bandwidth over a range of real power outputs which the Generator expects to produce when offering Voltage Support;
 - E 1.4** the Generating Unit must be able to produce or absorb VARs at the boundary of the Generating Unit's capability curve by reducing real power output to either absorb or produce additional VARs within the safe operating parameters for the Generating Unit; and
 - E 1.5** metering and SCADA equipment must be in place to provide both real and reactive power data from the Generating Unit providing Voltage Support to the ISO Control Center.
- E 2** A Generator wishing to be considered for certification for Voltage Support service by the ISO must make a written request to the ISO, giving details of the technical capability of the Generating Unit concerned and identifying the Scheduling Coordinator through whom the Generator intends to offer Voltage Support service. The Generator shall at the same time send a copy of its request to that Scheduling Coordinator. The details of the Generating Unit's technical capability must include the Generating Unit name plate data, performance limits, and capability curve. The Generator must also define the operating limitations in both real and reactive power (lead and lag) to be observed when Voltage Support is being provided to the ISO for both normal and reduced real power output conditions. Technical Review request forms will be available from the ISO.
- E 3** No later than one week after receipt of the Generator's request, the ISO shall provide the Generator with a listing of acceptable communication options and interface equipment options for Voltage Support. The ISO shall send a copy of the listing to the Generator's Scheduling Coordinator.

- E 4** The Generator may elect to implement any of the approved options defined by the ISO, and, if it wishes to proceed with its request for certification, the Generator shall give written notice to the ISO of its selected communication option and interface equipment option, with a copy to its Scheduling Coordinator.
- E 5** When it receives the Generator's notice the ISO shall notify the Generator and the Scheduling Coordinator in writing [no later than two weeks after receipt of the notice](#) confirming receipt of the notice and issuing provisional approval of the selected options. Upon receipt of the ISO acknowledgment the Generator may proceed as indicated below to secure the necessary facilities and capabilities required.

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APPENDIX F

Certification for Black Start

- F 1** A Generator wishing to provide Black Start capacity from a Generating Unit as an Ancillary Service must meet the requirements stated in Appendix D of the ISO Tariff in order to be certified by the ISO to provide Black Start capacity. In addition, the Generating Unit must have a rated capacity greater than 10 MW.
- F 2** A Generator wishing to be considered for certification for Black Start service by the ISO must make a written request to the ISO. Such request must clearly identify the facilities related to the Generating Unit from which the Generator wishes to provide Black Start and shall identify the Scheduling Coordinator through whom the Generator wishes to offer Black Start service. The Generator shall send a copy of its request to its Scheduling Coordinator at the same time as it sends it to the ISO. The Generator's written request must include at least the following:
- F 2.1** identification of the Generating Unit including Location Code;
 - F 2.2** a single-line electrical diagram of the Generating Unit connections including auxiliary power busses and the connection to the station switchyard;
 - F 2.3** a description of the fuel supply used for Black Start including on-site storage and resupply requirements;
 - F 2.4** a single-line electrical diagram showing the transmission connection from the Generating Unit station switchyard to a connection point on the ISO Controlled Grid;
 - F 2.5** a description of the Generating Unit capability to provide both real and reactive power, any start-up and shut-down requirements, any staffing limitations; and
 - F 2.6** a description of the primary, alternate and emergency back-up communications systems currently available to the Generator for communications to the ISO Control Center.
- F 3** Upon receipt of the Generator's written request the ISO shall review the information provided and respond in writing within two weeks of receipt of the request, providing a copy of its response to the Generator's Scheduling Coordinator. The ISO response may be any of the following:

- F 3.1 acceptance of the proposal as presented;
- F 3.2 rejection of the proposal as presented with a rationale for such rejection; or
- F 3.3 a request for additional information needed by the ISO to properly evaluate the request.
- F 4 A Generator receiving a rejection may submit a written request for reconsideration by the ISO within 60 days of the date of the rejection notice. A request for reconsideration must address the rationale provided by the ISO. The ISO shall respond to a request for reconsideration within 42060 days of the date of ~~the rejection notice~~that request.

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