

SABP 4.1.3

Imbalance Energy Report

Each Preliminary Settlement Statement shall be accompanied by a breakdown of the components of the Imbalance Energy Charge (the "Imbalance Energy Report").

SABP 4.2

Final Settlement Statements

The ISO shall provide to each Scheduling Coordinator, Black Start Generator or Participating TO a Final Settlement Statement in accordance with the ISO Tariff and the ISO Payments Calendar. The Final Settlement Statement shall be in a format similar to that of the Preliminary Settlement Statement and shall include all the information provided in the Preliminary Settlement Statement as amended following the validation procedure set forth in SABP 4.3 and 4.4.

SABP 4.3

Review, Validation, Confirmation of Preliminary and Final Settlement Statements

The provisions for confirmation, review and validation of Preliminary and Final Settlement Statements set forth in Sections 11.6.1.2, 11.6.1.3, 11.7.1, 11.7.2, 11.7.3 and 11.7.4 of the ISO Tariff shall apply to all Scheduling Coordinators, Black Start Generators or Participating TOs (save, in the case of Participating TOs, for charges or rebates referred to in Annex 1) who receive a Preliminary or a Final Settlement Statement from the ISO.

SABP 4.4

Resolving Disputes Relating to Preliminary and Final Settlement Statements

SABP 4.4.1

Notice

SABP 4.4.1.1

Notice of an ordinary dispute

If a Scheduling Coordinator, Black Start Generator or Participating TO disputes any item or calculation set forth in its Preliminary or Final Settlement, it shall provide the ISO by electronic means with a notice of dispute within eight (8) Business Days from the date of issue of the Preliminary Settlement Statement or within ten (10) Business Days from the date of issue of the Final Settlement Statement.

SABP 4.4.1.2 Notice of recurring dispute

If a Scheduling Coordinator, Black Start Generator or Participating TO believes a dispute will apply to subsequent Preliminary or Final Settlement Statements, it may request, in a notice provided in accordance with Section SABP 4.4.1.1 above, that the ISO treat the dispute as recurring. A request for recurring treatment may be made for any valid reason provided that subsequent Preliminary and Final Settlement Statements would be affected, including but not limited to, that the disputed calculation will recur, or that a disagreement as to policy will affect calculations in subsequent Preliminary and Final Settlement Statements.

SABP 4.4.2 Contents of Notice

SABP 4.4.2.1 Contents of a notice of dispute

The notice of dispute shall state clearly the Trading Day, the issue date of the Preliminary or Final Settlement Statement, the item disputed, the reasons for the dispute, the amount claimed (if appropriate) and shall be accompanied with all available evidence reasonably required to support the claim.

SABP 4.4.2.2

Contents of a request for treatment as a recurring dispute

If a Scheduling Coordinator, Black Start Generator or Participating TO wishes to request that the ISO treat a dispute as recurring, it shall, in the notice provide in accordance with Section SABP 4.4.2.1 above, clearly indicate that it requests such treatment and set forth in detail the reasons that support such treatment. To the extent possible, the Scheduling Coordinator, Black Start Generator or Participating TO shall state the types of charges and dates to which the dispute will apply, and provide estimates of the amounts that will likely be claimed on each date.

SABP 4.4.3

ISO determination of a recurring dispute

The ISO may deny a request that the ISO treat a dispute as recurring for any valid reason, including because the request is not adequately specific as to the basis for recurring treatment or the subsequent calculations that will be affected.

SABP 4.4.4

Amendment

Regarding a dispute related to a Preliminary Settlement Statement, if the ISO agrees with the amount claimed, it shall incorporate the relevant data into the Final Settlement Statement. Regarding a dispute related to an Incremental Change in a Final Settlement Statement, the ISO shall make a determination on the dispute no later than twenty-five (25) Business Days from the issuance of the Final Settlement Statement, and, if the ISO agrees with the amount claimed, shall incorporate the relevant data into the next available Preliminary Settlement Statement.

SABP 4.4.5

ISO Contact

If the ISO does not agree with the amount claimed or if it requires additional information, it shall make reasonable efforts (taking into account the time it received the notice of dispute and the complexity of the issue involved) to contact the relevant Scheduling Coordinator, Black Start Generator or Participating TO

to resolve the issue before issuing the Final Settlement Statement. If it is not possible to contact the relevant party, the ISO shall issue the Final Settlement Statement without taking into account the dispute notice.

SABP 4.4.6 Payment Pending Dispute

Each Scheduling Coordinator, Black Start Generator or Participating TO which receives an invoice shall pay any net debit and shall be entitled to receive any net credit shown in the invoice on the Payment Date, whether or not there is any dispute regarding the amount of the debit or credit. The provisions of Section 13 (Dispute Resolution) of the ISO Tariff shall apply to the disputed amount.

SABP 4.5 Settlement Statement Re-runs

SABP 4.5.1 Notice

If a Scheduling Coordinator, Black Start Generator or Participating TO, (having made reasonable efforts to resolve with the ISO any dispute relating to a Preliminary Settlement Statement pursuant to SABP 4.4) requires a Settlement Statement re-run, it shall send at any time to the ISO Governing Board a notice in writing.

SABP 4.5.2 ISO Tariff

The provisions of Sections 11.6.3, 11.6.3.1, 11.6.3.2 and 11.6.3.3 of the ISO Tariff relating to Settlement Statement re-