

August 15, 2016

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

#### Re: California Independent System Operator Corporation Docket Nos. ER08-1178-\_\_\_, and EL08-88-\_\_\_ June 2016 Exceptional Dispatch Report (Chart 1 data)

Dear Secretary Bose:

Pursuant to the Commission's September 2, 2009 and May 4, 2010 orders in the above referenced dockets, the California Independent System Operator Corporation submits the attached report. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 order, as modified by the ISO's September 14 motion for clarification, which the Commission granted in its May 4 order. The attached report provides Chart 1 data for the month of June 2016.

Respectfully submitted,

#### By: /s/ Sidney L. Mannheim

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## **Exceptional Dispatch Report**

# Table 1: June 2016

CAISO Market Quality and Renewable Integration

August 15, 2016

CAISO 250 Outcropping Way Folsom, California 95630 (916) 351-4400

## TABLE OF CONTENTS

Introduction	3
The Nature of Exceptional Dispatch	3
Appendix A: Explanation by Example	
Example 1: Exceptional Dispatch Instructions Prior to DAM	
Example 2: Incremental Exceptional Dispatch Instructions in RTM	16
Example 3: Decremental Exceptional Dispatch Instructions in RTM	18

## LIST OF TABLES AND FIGURES

Table 1: Exceptional Dispatches in June 2016	5
Table 2: Instructions Prior to Day-Ahead Market	
Table 3: FERC Summary of Instructions Prior to DAM	
Table 4: Incremental Exceptional Dispatch Instructions in RTM	16
Table 5: FERC Summary of ED Instructions in RTM	17
Table 6: Decremental Exceptional Dispatch Instructions in RTM	18
Table 7: FERC Summary of Decremental ED Instructions in RTM	18

## Introduction

This report is filed pursuant to FERC's September 2, 2009 and July 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15<sup>th</sup> of each month and one issued on the 30<sup>th</sup> of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in June 2016

## The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a preday-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch<sup>1</sup>. A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website<sup>2</sup>.

The following reason for exceptional dispatch instructions in June 2016 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following

<sup>&</sup>lt;sup>1</sup> The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.9 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

<sup>&</sup>lt;sup>2</sup> A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <u>http://www.caiso.com/thegrid/operations/opsdoc/index.html</u>

day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System ("ADS") failure, or similar issues. There were a few other reasons used to explain exceptional dispatch instructions in June 2016, which are self-explanatory.

The data in Table 1 is based on a template specified in the September 2009 order<sup>3</sup>. Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner ("PTO") service area; (3) the Local Reliability Area ("LRA") where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC/NA column specifies if there was an incremental dispatch, a decremental dispatch, or only a unit commitment. If the exceptional dispatch was only a unit commitment, the column shows NA for the classification. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 181 exceptional dispatches in June 2016, as compared to 139 exceptional dispatches in May 2016. Exceptional dispatches issued for the following reasons accounted for approximately 71 percent of the total exceptional dispatches during the reporting period: planned transmission outages, software limitations, load forecast uncertainty, and operating procedure number 7110 (along with 6410, 7230, and 7430).

<sup>&</sup>lt;sup>3</sup> The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

#### Table 1: Exceptional Dispatches in June 2016

California Independent System Operator Corporation	
Exceptional Dispatch Report	
August 15, 2016	

#### Chart 1: Table of Exceptional Dispatches for Period 01/June/2016 - 30/June/2016

	Mar						Со				
Num ber	ket Typ e	Reason	Locatio n	Local Reliability Area	Trade Date	MW	mm itm ent	INC_ DEC	Hou rs	Begin Time	End Time
1	RT	Bridging Schedules	PG&E	Bay Area	6/26/2016	45	No	INC	21	3:00	23:59
2	RT	Bridging Schedules	PG&E	Bay Area	6/26/2016	45	No	INC	11	13:10	23:59
3	RT	Bridging Schedules	SCE	LA Basin	6/24/2016	20	Yes	INC	16	8:00	23:59
4	RT	Bridging Schedules	SCE	LA Basin	6/26/2016	10	Yes	INC	2	22:00	23:59
5	RT	Conditions beyond the control of the CAISO	SDG&E	San Diego-IV	6/19/2016	290- 390	No	INC	5	20:10	0:59
6	RT	Conditions beyond the control of the CAISO	SDG&E	San Diego-IV	6/20/2016	358	No	INC	2	0:00	1:44
7	RT	Contingency Dispatch	PG&E	Bay Area	6/20/2016	480	No	INC	1	17:45	18:29
8	RT	Contingency Dispatch	PG&E	Fresno	6/20/2016	166- 570	No	INC	2	17:05	18:59
9	RT	Delta Dispatch	PG&E	Bay Area	6/27/2016	400	No	INC	5	17:06	21:59
10	RT	Delta Dispatch	PG&E	Bay Area	6/28/2016	400	No	INC	8	16:50	23:59
11	RT	Fast Start Unit Management	PG&E	Bay Area	6/10/2016	100	No	INC	4	17:15	20:59
12	RT	Fast Start Unit Management	PG&E	Bay Area	6/21/2016	0	No	INC	2	21:00	22:04
13	RT	Fast Start Unit Management	PG&E	Fresno	6/20/2016	0	No	INC	1	19:05	20:04
14	RT	Fast Start Unit Management	SCE	LA Basin	6/20/2016	0	No	INC	1	19:15	20:14
15	RT	Fast Start Unit Management	SCE	LA Basin	6/21/2016	0	No	INC	1	2:15	3:14
16	RT	Fast Start Unit Management	SDG&E	San Diego-IV	6/20/2016	0	No	INC	1	18:45	19:44
17	RT	Incomplete or Inaccurate Transmission	PG&E	Fresno	6/28/2016	250- 333	No	INC	4	21:39	0:59
18	RT	Incomplete or Inaccurate Transmission	PG&E	Fresno	6/29/2016	83- 249	No	INC	4	0:20	3:59

	Mar ket						Co mm				
Num ber	Тур е	Reason	Locatio n	Local Reliability Area	Trade Date	MW	itm ent	INC_ DEC	Hou rs	Begin Time	End Time
19	RT	Incomplete or Inaccurate Transmission	PG&E	Humboldt	6/6/2016	30	No	INC	7	12:20	18:59
20	RT	Incomplete or Inaccurate Transmission	PG&E	Humboldt	6/14/2016	15	No	INC	1	0:10	0:59
21	RT	Load Forecast Uncertainty	PG&E	Bay Area	6/28/2016	45	No	INC	23	1:00	23:59
22	RT	Load Forecast Uncertainty	PG&E	Bay Area	6/29/2016	85- 200	No	INC	24	0:00	23:59
23	RT	Load Forecast Uncertainty	SCE	Big Creek- Ventura	6/20/2016	100	No	INC	2	22:00	23:59
24	RT	Load Forecast Uncertainty	SCE	Big Creek- Ventura	6/27/2016	200	No	INC	15	9:00	23:59
25	RT	Load Forecast Uncertainty	SCE	Big Creek- Ventura	6/28/2016	100	No	INC	16	8:00	23:59
26	RT	Load Forecast Uncertainty	SCE	LA Basin	6/18/2016	20	Yes	INC	12	12:00	23:59
						170-					
27	RT	Load Forecast Uncertainty	SCE	LA Basin	6/27/2016	320	No	INC	18	6:00	23:59
28	RT	Load Forecast Uncertainty	SCE	LA Basin	6/28/2016	70	No	INC	8	16:00	23:59
29	RT	Load Forecast Uncertainty	SCE	LA Basin	6/29/2016	50	No	INC	16	8:00	23:59
30	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	6/19/2016	20	No	INC	17	7:00	23:59
31	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	6/21/2016	20	No	INC	12	12:00	23:59
32	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	6/27/2016	20- 60	Yes	INC	19	5:00	23:59
33	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	6/29/2016	40	No	INC	22	2:00	23:59
34	RT	Load Pull	SCE	Big Creek- Ventura	6/19/2016	50	No	INC	7	15:15	21:59
0.5	D.T.		0.05	Big Creek-		400			_	40.00	
35	RT	Load Pull	SCE	Ventura	6/21/2016	400	No	INC	7	13:00	19:44
36	RT	Load Pull	SCE	LA Basin	6/4/2016	65	No	INC	2	18:00	19:59
37	RT	Load Pull	SCE	LA Basin	6/19/2016	324- 804	No	INC	9	13:35	21:59
38	RT	Load Pull	SCE	LA Basin	6/21/2016	864- 929	No	INC	12	10:00	21:59
39	RT	Load Pull	SDG&E	San Diego-IV	6/19/2016	68	No	INC	9	13:40	21:59
40	RT	Market Disruption	PG&E	Bay Area	6/20/2016	480	No	INC	1	16:50	17:39

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Num	Тур	Reason	Locatio	Local Reliability	Trade Date	MW	itm	INC_ DEC	Hou	Begin Time	End Time
ber	е	Reason	n	Area Big Creek-	Trade Date	IVIVV	ent	DEC	rs	Time	Time
41	RT	Market Disruption	SCE	Ventura	6/20/2016	63	No	INC	1	16:50	17:39
42	RT	Market Disruption	SCE	LA Basin	6/20/2016	188	No	INC	1	16:50	17:39
43	RT	Market Disruption	SDG&E	San Diego-IV	6/20/2016	25- 40	No	INC	1	16:50	17:39
44	RT	Operating Procedure Number and Constraint	N/A	N/A	6/7/2016	16-32	No	INC	2	22:30	23:59
45	RT	Operating Procedure Number and Constraint	PG&E	Fresno	6/16/2016	62-65	No	INC	24	0:19	23:59
46	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	6/7/2016	12- 64	No	INC	22	2:20	23:59
47	RT	Operating Procedure Number and Constraint	PG&E	Kern	6/29/2016	32	No	INC	8	14:47	22:14
48	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	6/20/2016	94	No	INC	2	18:10	19:59
49	RT	Operating Procedure Number and Constraint (6410)	SCE	LA Basin	6/19/2016	750- 1500	No	INC	4	19:03	22:09
50	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	6/6/2016	15	No	INC	1	0:05	0:59
51	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	6/19/2016	15	No	INC	3	17:10	19:59
52	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	6/23/2016	16- 30	No	INC	3	21:40	23:59
53	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	6/24/2016	15- 20	No	INC	23	0:00	22:59
54	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	6/28/2016	10	No	INC	3	5:20	7:59
55	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/3/2016	20	No	INC	13	7:30	19:59
56	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/20/2016	10	No	INC	2	22:10	23:59
57	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/24/2016	15	No	INC	2	21:25	22:59
58	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/28/2016	10	No	INC	5	5:20	9:59
59	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/29/2016	10- 14	No	INC	15	2:15	16:44

Num ber	Mar ket Typ e	Reason	Locatio n	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou rs	Begin Time	End Time
		Operating Procedure Number and Constraint									
60	RT	(7110)	PG&E	Humboldt	6/30/2016	10	No	INC	1	20:45	21:44
61	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	6/2/2016	21	No	INC	5	18:55	22:59
62	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	6/4/2016	20- 42	No	INC	7	17:35	23:44
63	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	6/5/2016	20	No	INC	10	14:48	23:59
64	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	6/26/2016	20	No	INC	4	14:05	17:44
65	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	6/28/2016	20	No	INC	5	19:36	23:59
66	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	6/29/2016	20	No	INC	12	11:00	22:14
67	RT	Operating Procedure Number and Constraint (7320)	PG&E	Bay Area	6/27/2016	19- 50	No	INC	8	14:00	21:59
68	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/1/2016	69	No	INC	5	19:45	23:54
69	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/3/2016	70	No	INC	10	15:25	0:59
70	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/4/2016	65-70	No	INC	23	1:00	23:59
71	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/5/2016	65-70	No	INC	19	6:10	0:44
72	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/6/2016	65- 67	No	INC	19	0:25	19:14
73	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/7/2016	65	No	INC	18	6:25	23:59
74	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/8/2016	65	No	INC	18	6:55	23:59
75	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/9/2016	70	No	INC	9	15:30	23:59

Num ber	Mar ket Typ e	Reason	Locatio n	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou	Begin Time	End Time
	-	Operating Procedure Number and Constraint									
76	RT	(7430)	PG&E	Fresno	6/10/2016	65	No	INC	9	15:05	23:59
77	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/11/2016	65	No	INC	4	16:55	19:59
78	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/12/2016	65- 148	No	INC	17	7:35	23:59
79	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/13/2016	61- 65	No	INC	23	1:05	23:59
80	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/14/2016	68	No	INC	18	6:45	23:59
81	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/18/2016	58	No	INC	18	6:15	23:44
82	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/19/2016	58- 68	No	INC	20	4:30	23:59
83	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/20/2016	64- 66	No	INC	24	0:00	23:59
84	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/21/2016	65	No	INC	20	4:50	23:59
85	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/22/2016	75- 184	No	INC	10	14:39	23:59
86	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/24/2016	83- 148	No	INC	3	21:40	23:59
87	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/25/2016	83	No	INC	2	23:45	0:59
88	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/26/2016	65-83	Yes	INC	24	0:00	23:59
89	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/28/2016	65-80	No	INC	24	1:00	0:14
90	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/29/2016	65-75	No	INC	18	6:00	23:29
91	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/30/2016	65- 70	No	INC	23	1:25	23:59

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Num	Тур	_	Locatio	Local Reliability			itm	INC_	Hou	Begin	End
ber	е	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
00	БТ	Operating Procedure Number and Constraint		<b>F</b>	0/47/0040	50 00	NIa		00	4.40	00.50
92	RT	(7730)	PG&E	Fresno	6/17/2016	58-62	No	INC	23	1:40	23:59
93	RT	Other Reliability Requirement	N/A	N/A	6/17/2016	24	No	INC	11	9:00	19:59
94	RT	Other Reliability Requirement	PG&E	Bay Area	6/26/2016	45	No	INC	1	9:00	9:29
95	RT	Other Reliability Requirement	PG&E	Fresno	6/7/2016	-325	No	INC	2	11:30	12:59
96	RT	Other Reliability Requirement	PG&E	Humboldt	6/15/2016	12	No	INC	13	6:55	19:44
97	RT	Other Reliability Requirement	PG&E	N/A	6/21/2016	200	No	INC	5	1:30	5:59
98	RT	Other Reliability Requirement	PG&E	Stockton	6/29/2016	22	No	INC	8	15:43	23:41
99	RT	Other Reliability Requirement	PG&E	Stockton	6/30/2016	22	No	INC	8	16:05	23:49
				Big Creek-							
100	RT	Other Reliability Requirement	SCE	Ventura	6/23/2016	16- 32	No	INC	5	15:21	19:59
101	RT	Other Reliability Requirement	SCE	LA Basin	6/16/2016	10- 20	No	INC	6	18:00	23:59
102	RT	Other Reliability Requirement	SCE	LA Basin	6/17/2016	20- 30	Yes	INC	12	12:00	23:59
103	RT	Other Reliability Requirement	SDG&E	San Diego-IV	6/20/2016	21- 131	No	INC	11	10:00	20:59
		Planned Transmission Outage and									
104	RT	Constraint	N/A	N/A	6/1/2016	30	No	INC	19	1:10	19:59
		Planned Transmission Outage and									
105	RT	Constraint	N/A	N/A	6/2/2016	72	No	INC	4	17:25	20:59
		Planned Transmission Outage and									
106	RT	Constraint	N/A	N/A	6/4/2016	10- 33	No	INC	16	8:05	23:59
		Planned Transmission Outage and		N1/A							40.50
107	RT	Constraint	N/A	N/A	6/5/2016	15	No	INC	14	6:20	19:59
100	БТ	Planned Transmission Outage and	N1/A	N1/A	0/0/004.0	05 40	NIa		7	0.40	10.00
108	RT	Constraint	N/A	N/A	6/6/2016	25-40	No	INC	7	6:10	12:29
109	RT	Planned Transmission Outage and Constraint	N/A	N/A	6/9/2016	15 25	No	INC	24	0:00	23:09
109	R I	Planned Transmission Outage and	IN/A	IN/A	0/9/2010	15- 25	No		∠4	0.00	23.09
110	RT	Constraint	N/A	N/A	6/11/2016	15- 21	No	INC	10	9:45	19:29
110		Planned Transmission Outage and		IN/A	0/11/2010	15-21			10	5.45	13.23
111	RT	Constraint	N/A	N/A	6/14/2016	15- 25	No	INC	18	6:35	23:59
	1.1	Constraint		1 1/7	0/14/2010	10- 20	110		10	0.00	20.00

Num ber	Mar ket Typ e	Reason	Locatio	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou	Begin Time	End Time
	-	Planned Transmission Outage and						_	_	-	
112	RT	Constraint	N/A	N/A	6/15/2016	15- 24	No	INC	22	2:20	23:59
		Planned Transmission Outage and									
113	RT	Constraint	N/A	N/A	6/16/2016	15- 21	No	INC	13	6:45	18:59
		Planned Transmission Outage and									
114	RT	Constraint	N/A	N/A	6/18/2016	15- 35	No	INC	18	6:15	23:29
		Planned Transmission Outage and									
115	RT	Constraint	N/A	N/A	6/19/2016	15	No	INC	13	4:30	17:29
		Planned Transmission Outage and									
116	RT	Constraint	N/A	N/A	6/26/2016	15-72	No	INC	18	6:45	23:59
		Planned Transmission Outage and									
117	RT	Constraint	N/A	N/A	6/27/2016	24-48	No	INC	19	0:00	18:59
		Planned Transmission Outage and									
118	RT	Constraint	PG&E	Bay Area	6/2/2016	40	No	INC	2	19:40	20:44
		Planned Transmission Outage and									
119	RT	Constraint	PG&E	Bay Area	6/23/2016	20	No	INC	2	19:50	21:49
		Planned Transmission Outage and									
120	RT	Constraint	PG&E	Fresno	6/1/2016	43- 60	No	INC	4	5:30	8:59
		Planned Transmission Outage and									
121	RT	Constraint	PG&E	Fresno	6/13/2016	100	No	INC	2	21:55	22:59
		Planned Transmission Outage and									
122	RT	Constraint	PG&E	Fresno	6/23/2016	65	No	INC	6	17:45	22:59
	_	Planned Transmission Outage and							_		
123	RT	Constraint	PG&E	Humboldt	6/2/2016	15	No	INC	2	7:10	8:59
		Planned Transmission Outage and			- / . / / -						
124	RT	Constraint	PG&E	Humboldt	6/4/2016	10- 15	No	INC	11	9:40	19:59
405	DT	Planned Transmission Outage and	500-		0/0/004.0	45 00			10	0.45	
125	RT	Constraint	PG&E	Humboldt	6/9/2016	15- 30	No	INC	18	6:15	0:14
100	DT	Planned Transmission Outage and	500-		0/40/0040	40.00				0.05	00.50
126	RT	Constraint	PG&E	Humboldt	6/10/2016	12-30	No	INC	15	9:35	23:59
407	БТ	Planned Transmission Outage and		l luveske statt	0/40/0040	45 40	NIa		10	<b>F</b> . 4 <b>F</b>	00.50
127	RT	Constraint	PG&E	Humboldt	6/13/2016	15- 48	No	INC	19	5:45	23:59

Num	Mar ket Typ		Locatio	Local Reliability	/		Co mm itm	INC_	Hou	Begin	End
ber	е	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
100		Planned Transmission Outage and	5005		0/1 = /00/10	10					~ ~ ~
128	RT	Constraint	PG&E	Humboldt	6/15/2016	12	No	INC	17	6:55	22:59
100		Planned Transmission Outage and	5005								~ ~ ~
129	RT	Constraint	PG&E	Humboldt	6/26/2016	16- 64	No	INC	9	15:50	23:59
		Planned Transmission Outage and									
130	RT	Constraint	PG&E	Humboldt	6/27/2016	12-52	No	INC	18	0:00	17:59
	_	Planned Transmission Outage and							_		
131	RT	Constraint	PG&E	Kern	6/30/2016	32	No	INC	8	14:50	21:59
	_	Planned Transmission Outage and				179-			_		
132	RT	Constraint	PG&E	NCNB	6/15/2016	191	No	INC	9	8:27	16:59
	_	Planned Transmission Outage and			_ / /				_		
133	RT	Constraint	PG&E	NCNB	6/16/2016	53	No	INC	6	14:05	19:14
		Planned Transmission Outage and									
134	RT	Constraint	PG&E	NCNB	6/22/2016	75	No	INC	11	9:30	19:44
		Planned Transmission Outage and									
135	RT	Constraint	PG&E	Sierra	6/13/2016	3-13	No	INC	2	19:55	20:59
		Planned Transmission Outage and									
136	RT	Constraint	SCE	LA Basin	6/6/2016	20	No	INC	13	11:00	23:59
		Planned Transmission Outage and									
137	RT	Constraint	SCE	LA Basin	6/11/2016	20	No	INC	15	6:00	20:59
		Planned Transmission Outage and									
138	RT	Constraint	SCE	LA Basin	6/15/2016	115	No	INC	1	21:10	21:44
		Planned Transmission Outage and									
139	RT	Constraint	SDG&E	San Diego-IV	6/1/2016	68-398	No	INC	13	8:00	20:44
		Planned Transmission Outage and									
140	RT	Constraint	SDG&E	San Diego-IV	6/2/2016	63	No	INC	12	7:45	18:59
		Planned Transmission Outage and									
141	RT	Constraint	SDG&E	San Diego-IV	6/3/2016	400	No	INC	11	10:10	20:59
		Planned Transmission Outage and									
142	RT	Constraint	SDG&E	San Diego-IV	6/7/2016	37-39	No	INC	15	7:40	21:44
		Planned Transmission Outage and									
143	RT	Constraint	SDG&E	San Diego-IV	6/16/2016	278	No	INC	8	6:00	13:59

Num ber	Mar ket Typ e	Reason	Locatio	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou	Begin Time	End Time
bei	e	Planned Transmission Outage and	n	Alea	Trade Date	350-	ent	DEC	15	Time	Time
144	RT	Constraint	SDG&E	San Diego-IV	6/25/2016	850	No	INC	4	17:50	21:29
111		Planned Transmission Outage and	ODOGE	Gan Diego IV	0/20/2010	000			-	17.00	21.20
145	RT	Constraint	SDG&E	San Diego-IV	6/29/2016	15	No	INC	8	10:45	17:59
		Planned Transmission Outage and		Ŭ							
146	RT	Constraint	SDG&E	San Diego-IV	6/30/2016	30	Yes	INC	10	9:15	18:59
147	RT	Pump Management	PG&E	Fresno	6/7/2016	-325	No	INC	3	2:50	5:44
148	RT	Pump Management	PG&E	Fresno	6/12/2016	-323	No	INC	1	1:15	1:59
149	RT	Pump Management	PG&E	Fresno	6/14/2016	-315- 0	No	INC	2	8:45	10:14
150	RT	Software Limitation	PG&E	Bay Area	6/25/2016	45	No	INC	15	9:00	23:59
151	RT	Software Limitation	PG&E	Bay Area	6/26/2016	0	No	INC	24	6:25	6:24
152	RT	Software Limitation	PG&E	Fresno	6/1/2016	0	No	INC	2	22:20	23:59
153	RT	Software Limitation	PG&E	Fresno	6/4/2016	0	No	INC	1	23:55	0:54
154	RT	Software Limitation	PG&E	Fresno	6/5/2016	0	No	INC	1	0:00	0:59
155	RT	Software Limitation	PG&E	Fresno	6/11/2016	83	No	INC	1	20:20	20:44
156	RT	Software Limitation	PG&E	Humboldt	6/14/2016	0	No	INC	1	1:00	1:34
157	RT	Software Limitation	PG&E	N/A	6/5/2016	140	No	INC	15	0:00	14:44
158	RT	Software Limitation	PG&E	N/A	6/7/2016	0	No	INC	15	0:05	14:59
159	RT	Software Limitation	PG&E	Sierra	6/7/2016	0	No	INC	8	5:15	12:59
				Big Creek-							
160	RT	Software Limitation	SCE	Ventura	6/11/2016	0	No	INC	1	1:45	2:14
161	RT	Software Limitation	SCE	CAISO Import	6/26/2016	312	No	INC	1	1:10	1:59
162	RT	Software Limitation	SCE	LA Basin	6/17/2016	0	No	INC	1	1:00	1:39
163	RT	Software Limitation	SCE	LA Basin	6/20/2016	61	No	INC	2	16:50	17:53
164	RT	Software Limitation	SCE	LA Basin	6/20/2016	0	No	INC	3	21:20	23:59
165	RT	Software Limitation	SCE	LA Basin	6/21/2016	0	No	INC	4	20:05	23:29
166	RT	Software Limitation	SCE	LA Basin	6/22/2016	0	No	INC	13	10:45	22:59
167	RT	Software Limitation	SCE	LA Basin	6/25/2016	10	No	INC	17	7:00	23:59
168	RT	Software Limitation	SCE	LA Basin	6/30/2016	0	No	INC	16	1:15	16:54

	Mar						Со				
Num	ket Typ		Locatio	Local Reliability			mm itm	INC_	Hou	Begin	End
ber	е	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
169	RT	Software Limitation	SDG&E	San Diego-IV	6/3/2016	20	No	INC	22	2:50	23:59
170	RT	Software Limitation	SDG&E	San Diego-IV	6/20/2016	30- 73	No	INC	2	16:50	17:53
171	RT	Software Limitation	SDG&E	San Diego-IV	6/20/2016	0	No	INC	2	22:55	0:54
172	RT	Software Limitation	SDG&E	San Diego-IV	6/26/2016	0	No	INC	2	2:25	4:24
173	RT	Start-Up Instructions	N/A	N/A	6/8/2016	12- 40	No	INC	23	1:15	23:59
174	RT	Start-Up Instructions	PG&E	Humboldt	6/8/2016	12	No	INC	19	1:15	19:59
175	RT	Start-Up Instructions	SDG&E	San Diego-IV	6/6/2016	20	No	INC	15	9:00	23:59
176	RT	Unit Testing	SCE	LA Basin	6/2/2016	90	No	INC	1	19:40	20:09
177	RT	Unit Testing	SDG&E	San Diego-IV	6/7/2016	305	No	INC	1	7:48	7:59
178	RT	Unplanned Outage	PG&E	Stockton	6/14/2016	30	No	INC	2	16:45	18:29
179	RT	Unplanned Outage	PG&E	Stockton	6/14/2016	35	No	INC	1	18:30	19:29
180	RT	Unplanned Outage	PG&E	Stockton	6/14/2016	50	No	INC	4	16:49	19:59
181	RT	Voltage Support	PG&E	Fresno	6/15/2016	83	No	INC	3	16:20	18:59

## **Appendix A: Explanation by Example**

All examples listed below are based on fictitious data.

#### Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	В	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	С	SCE	LA BASIN	09:00	23:00	20	7630

#### Table 2: Instructions Prior to Day-Ahead Market

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

	Tap	Die 3: FERC Summary	of instruc	ctions	Prior to Daw			
Reason	Location	Local Reliability Area	Trade	MW	Commitment	INC/DEC	Hour	
		(LRA)	Date					

1-Jul-09

20-

100

Yes

N/A

Example 2: Incremental Exce	ptional Dispatch Instructions in RTM

LA Basin

SCE

Number

Market

7630

Type

DA

1

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	А	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	В	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	С	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	С	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

#### Table 4: Incremental Exceptional Dispatch Instructions in RTM

Begin

05:00

Time

19

End

Time

23:00

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

#### Table 5: FERC Summary of ED Instructions in RTM

#### **Example 3: Decremental Exceptional Dispatch Instructions in RTM**

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/ DEC	ED (MW)	Reason
01-Jul-09	RT	А	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	В	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	С	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

#### Table 6: Decremental Exceptional Dispatch Instructions in RTM

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

#### CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service lists in the above-referenced proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 15<sup>th</sup> day of August 2016.

<u>/s/ Grace Clark</u>

Grace Clark