

December 15, 2015

The Honorable Kimberly D. Bose  
Secretary  
Federal Energy Regulatory Commission  
888 First Street, NE  
Washington, DC 20426

**Re: California Independent System Operator Corporation  
Docket Nos. ER08-1178-\_\_\_\_, and EL08-88-\_\_\_\_  
October 2015 Exceptional Dispatch Report (Chart 1 data)**

Dear Secretary Bose:

Pursuant to the Commission's September 2, 2009 and May 4, 2010 orders in the above referenced dockets, the California Independent System Operator Corporation submits the attached report. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 order, as modified by the ISO's September 14 motion for clarification, which the Commission granted in its May 4 order. The attached report provides Chart 1 data for the month of October 2015.

Respectfully submitted,

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# **Exceptional Dispatch Report**

## **Table 1: October 2015**

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## Introduction

This report is filed pursuant to FERC's September 2, 2009 and July 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15<sup>th</sup> of each month and one issued on the 30<sup>th</sup> of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in October 2015

## The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch<sup>1</sup>. A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website<sup>2</sup>.

The following reason for exceptional dispatch instructions in October 2015 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the

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<sup>1</sup> The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.9 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

<sup>2</sup> A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <http://www.caiso.com/thegrid/operations/opsdoc/index.html>

following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. There were a few other reasons used to explain exceptional dispatch instructions in October 2015, which are self-explanatory.

The data in Table 1 is based on a template specified in the September 2009 order<sup>3</sup>. Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC/NA column specifies if there was an incremental dispatch, a decremental dispatch, or only a unit commitment. If the exceptional dispatch was only a unit commitment, the column shows NA for the classification. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 195 exceptional dispatches in October 2015, as compared to 197 exceptional dispatches in September 2015. Exceptional dispatches issued for the following reasons accounted for approximately 74 percent of the total exceptional dispatches during the reporting period: planned transmission outages, software limitations, operating procedure numbers 7110, 7230, 7430, 7750, and load forecast uncertainty.

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<sup>3</sup> The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

**Table 1: Exceptional Dispatches in October 2015**

**California Independent System Operator Corporation  
Exceptional Dispatch Report  
December 15, 2015**

**Chart 1: Table of Exceptional Dispatches for Period 01/October/2015 - 31/October/2015**

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
1	RT	Bridging Schedules	SCE	LA Basin	19Oct2015	88	No	INC	2	22:00	23:59
2	RT	Bridging Schedules	SDG&E	San Diego-IV	07Oct2015	20	Yes	INC	4	20:00	23:59
3	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	15Oct2015	45	No	INC	6	14:00	19:59
4	RT	Conditions beyond the control of the CAISO	SDG&E	San Diego-IV	15Oct2015	20- 40	Yes	INC	5	15:40	19:59
5	RT	Contingency Dispatch	PG&E	Bay Area	16Oct2015	360	No	INC	1	20:00	20:22
6	RT	Contingency Dispatch	SCE	LA Basin	16Oct2015	380-1058	No	INC	1	19:50	20:44
7	RT	Contingency Dispatch	SDG&E	San Diego-IV	16Oct2015	30	No	INC	1	20:00	20:22
8	RT	Fast Start Unit Management	SCE	Big Creek-Ventura	05Oct2015	0	No	INC	1	20:05	21:04
9	RT	Fast Start Unit Management	SCE	Big Creek-Ventura	26Oct2015	0	No	INC	1	22:10	23:09
10	RT	Fast Start Unit Management	SCE	LA Basin	08Oct2015	0	No	INC	1	10:50	11:49
11	RT	Fast Start Unit Management	SDG&E	San Diego-IV	23Oct2015	50	No	INC	12	8:00	19:59
12	RT	Fast Start Unit Management	SDG&E	San Diego-IV	30Oct2015	0	No	INC	2	15:15	16:34
13	RT	Incomplete or Inaccurate Transmission	N/A	N/A	09Oct2015	30	No	INC	7	7:05	13:59
14	RT	Incomplete or Inaccurate Transmission	PG&E	Sierra	09Oct2015	21	No	INC	1	18:00	18:59
15	RT	Incomplete or Inaccurate Transmission	SCE	LA Basin	09Oct2015	248	No	INC	7	11:45	18:29
16	RT	Incomplete or Inaccurate Transmission	SDG&E	San Diego-IV	17Oct2015	45	No	INC	9	10:00	18:44
17	RT	Incomplete or Inaccurate Transmission	SDG&E	San Diego-IV	28Oct2015	25	No	INC	4	20:37	23:59
18	RT	Load Forecast Uncertainty	PG&E	Bay Area	09Oct2015	45	No	INC	13	11:00	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
19	RT	Load Forecast Uncertainty	PG&E	Fresno	04Oct2015	0	No	INC	2	14:43	15:44
20	RT	Load Forecast Uncertainty	PG&E	Fresno	05Oct2015	83	No	INC	2	15:25	16:59
21	RT	Load Forecast Uncertainty	PG&E	N/A	05Oct2015	147	No	INC	5	8:10	12:59
22	RT	Load Forecast Uncertainty	PG&E	N/A	06Oct2015	47	No	INC	9	6:45	14:59
23	RT	Load Forecast Uncertainty	PG&E	N/A	07Oct2015	47	No	INC	5	7:25	11:59
24	RT	Load Forecast Uncertainty	PG&E	N/A	13Oct2015	52- 192	No	INC	11	13:30	23:59
25	RT	Load Forecast Uncertainty	PG&E	N/A	25Oct2015	47	No	INC	10	11:45	20:59
26	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	09Oct2015	50	No	INC	16	8:00	23:59
27	RT	Load Forecast Uncertainty	SCE	LA Basin	07Oct2015	25- 85	Yes	INC	17	7:00	23:59
28	RT	Load Forecast Uncertainty	SCE	LA Basin	08Oct2015	75	No	INC	21	3:10	23:59
29	RT	Load Forecast Uncertainty	SCE	LA Basin	09Oct2015	25- 140	Yes	INC	17	7:00	23:59
30	RT	Load Forecast Uncertainty	SCE	LA Basin	11Oct2015	10	No	INC	17	7:00	23:59
31	RT	Load Forecast Uncertainty	SCE	LA Basin	12Oct2015	170- 625	Yes	INC	12	12:40	23:59
32	RT	Load Forecast Uncertainty	SCE	LA Basin	13Oct2015	170	No	INC	11	13:00	23:59
33	RT	Load Forecast Uncertainty	SCE	LA Basin	14Oct2015	25	Yes	INC	11	13:00	23:59
34	RT	Load Forecast Uncertainty	SCE	LA Basin	25Oct2015	10	No	INC	9	12:00	20:59
35	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	05Oct2015	20- 40	No	INC	11	13:00	23:59
36	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	07Oct2015	20- 40	No	INC	13	11:00	23:59
37	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	08Oct2015	40	No	INC	12	12:00	23:59
38	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	09Oct2015	20	Yes	INC	20	4:00	23:59
39	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	10Oct2015	20- 60	No	INC	12	12:47	23:59
40	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	13Oct2015	40	No	INC	1	17:00	17:59
41	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	14Oct2015	20	Yes	INC	14	10:30	23:59
42	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	25Oct2015	20- 40	No	INC	12	9:30	20:59
43	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	28Oct2015	20	No	INC	12	7:30	19:29
44	RT	Load Pull	PG&E	Fresno	05Oct2015	44	No	INC	2	17:00	18:59
45	RT	Load Pull	PG&E	Stockton	06Oct2015	89	No	INC	5	15:30	19:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
46	RT	Load Pull	SCE	LA Basin	01Oct2015	190- 320	No	INC	11	9:30	19:59
47	RT	Load Pull	SCE	LA Basin	02Oct2015	130	No	INC	6	14:00	19:59
48	RT	Load Pull	SCE	LA Basin	05Oct2015	138	No	INC	2	17:00	18:59
49	RT	Load Pull	SCE	LA Basin	07Oct2015	70	No	INC	5	15:05	19:59
50	RT	Load Pull	SCE	LA Basin	12Oct2015	190	No	INC	6	14:00	19:59
51	RT	Load Pull	SDG&E	San Diego-IV	01Oct2015	63- 131	No	INC	9	11:30	19:59
52	RT	Load Pull	SDG&E	San Diego-IV	02Oct2015	68	No	INC	11	10:30	20:59
53	RT	Load Pull	SDG&E	San Diego-IV	05Oct2015	45	No	INC	2	17:00	18:59
54	RT	Operating Procedure Number and Constraint	N/A	N/A	05Oct2015	13- 70	No	INC	15	9:05	23:29
55	RT	Operating Procedure Number and Constraint	N/A	N/A	10Oct2015	15	No	INC	2	20:00	21:19
56	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	02Oct2015	20	No	INC	8	15:00	22:59
57	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	04Oct2015	22- 87	No	INC	11	9:46	19:59
58	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	10Oct2015	612	No	INC	1	12:15	12:34
59	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	01Oct2015	16	No	INC	18	5:10	22:59
60	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	02Oct2015	16	No	INC	16	9:00	0:44
61	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	06Oct2015	16- 32	No	INC	15	9:40	23:59
62	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	07Oct2015	16- 60	No	INC	23	0:00	22:59
63	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	08Oct2015	70	No	INC	9	12:10	20:59
64	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	12Oct2015	12- 16	No	INC	10	4:50	13:59
65	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	13Oct2015	32- 120	No	INC	17	6:30	22:59



Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
66	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	14Oct2015	59- 64	No	INC	7	17:10	23:59
67	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	16Oct2015	65	No	INC	3	19:15	21:59
68	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	17Oct2015	15- 100	No	INC	20	4:35	23:59
69	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	18Oct2015	12	No	INC	6	14:45	19:59
70	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	21Oct2015	12	No	INC	10	8:55	18:44
71	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	22Oct2015	12	No	INC	17	7:40	23:59
72	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	23Oct2015	12	No	INC	18	6:10	23:59
73	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	24Oct2015	12	No	INC	20	4:10	23:59
74	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	25Oct2015	10- 21	No	INC	16	8:15	23:59
75	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	26Oct2015	14- 65	No	INC	13	7:05	19:59
76	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	27Oct2015	14- 42	No	INC	11	7:15	17:44
77	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	28Oct2015	15	No	INC	17	7:35	23:59
78	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	01Oct2015	16	No	INC	3	5:10	7:59
79	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	02Oct2015	13	No	INC	12	11:20	22:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
80	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	06Oct2015	16	No	INC	10	14:15	23:59
81	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	12Oct2015	12- 48	No	INC	19	4:50	23:44
82	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	15Oct2015	14- 33	No	INC	24	0:00	23:59
83	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	16Oct2015	48- 96	No	INC	6	16:40	21:59
84	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	17Oct2015	48	No	INC	3	19:15	21:59
85	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	19Oct2015	15	No	INC	9	7:16	15:59
86	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	20Oct2015	25	No	INC	11	8:20	18:44
87	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	21Oct2015	12	No	INC	10	8:55	18:44
88	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	22Oct2015	12	No	INC	17	7:40	23:59
89	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	23Oct2015	12	No	INC	18	6:10	23:59
90	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	24Oct2015	12	No	INC	20	4:10	23:59
91	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	26Oct2015	13	No	INC	2	21:25	22:59
92	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	28Oct2015	15- 30	No	INC	17	7:20	23:59
93	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	29Oct2015	15	No	INC	1	0:25	0:49

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
94	RT	Operating Procedure Number and Constraint (7230)	PG&E	Sierra	31Oct2015	20	No	INC	1	23:15	23:59
95	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	10Oct2015	6	No	INC	6	3:15	9:14
96	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	11Oct2015	6	No	INC	5	19:50	0:29
97	RT	Operating Procedure Number and Constraint (7750)	SCE	LA Basin	08Oct2015	71- 117	No	INC	8	13:15	20:59
98	RT	Operating Procedure Number and Constraint (7750)	SCE	N/A	08Oct2015	353	No	INC	3	14:55	17:14
99	RT	Other Reliability Requirement	PG&E	Bay Area	12Oct2015	250	No	INC	4	17:15	20:59
100	RT	Other Reliability Requirement	PG&E	Bay Area	27Oct2015	390	No	INC	5	19:10	23:59
101	RT	Other Reliability Requirement	PG&E	Fresno	11Oct2015	166	No	INC	1	18:05	18:34
102	RT	Other Reliability Requirement	PG&E	Fresno	15Oct2015	20- 56	No	INC	5	17:20	21:59
103	RT	Other Reliability Requirement	PG&E	Humboldt	08Oct2015	12	No	INC	4	4:35	7:59
104	RT	Other Reliability Requirement	PG&E	N/A	18Oct2015	130- 140	No	INC	2	22:05	23:59
105	RT	Other Reliability Requirement	SDG&E	San Diego-IV	26Oct2015	21	No	INC	6	13:30	18:59
106	RT	Other Reliability Requirement	SDG&E	San Diego-IV	27Oct2015	281	No	INC	6	14:20	19:59
107	RT	Other Reliability Requirement	SDG&E	San Diego-IV	28Oct2015	25- 505	No	INC	8	8:45	15:59
108	RT	Planned Transmission Outage and Constraint	N/A	N/A	03Oct2015	24	No	INC	7	18:13	0:44
109	RT	Planned Transmission Outage and Constraint	N/A	N/A	05Oct2015	15	No	INC	1	6:25	6:59
110	RT	Planned Transmission Outage and Constraint	N/A	N/A	10Oct2015	15- 30	No	INC	4	20:45	23:59
111	RT	Planned Transmission Outage and Constraint	N/A	N/A	11Oct2015	21- 130	No	INC	9	8:41	16:59
112	RT	Planned Transmission Outage and Constraint	N/A	N/A	29Oct2015	14- 20	No	INC	13	11:00	23:59
113	RT	Planned Transmission Outage and Constraint	N/A	N/A	30Oct2015	16- 32	No	INC	23	1:40	23:59
114	RT	Planned Transmission Outage and Constraint	N/A	N/A	31Oct2015	15- 45	No	INC	24	0:00	23:59
115	RT	Planned Transmission Outage and Constraint	PG&E	Bay Area	30Oct2015	330	No	INC	4	12:45	15:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
116	RT	Planned Transmission Outage and Constraint	PG&E	Fresno	07Oct2015	-326- 7	No	INC	15	1:25	15:59
117	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	06Oct2015	16- 30	No	INC	12	10:00	21:29
118	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	29Oct2015	20- 44	No	INC	13	11:00	23:59
119	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	30Oct2015	13- 32	No	INC	8	0:00	7:59
120	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	31Oct2015	15	No	INC	17	7:45	23:59
121	RT	Planned Transmission Outage and Constraint	PG&E	N/A	19Oct2015	134	No	INC	8	14:16	21:59
122	RT	Planned Transmission Outage and Constraint	PG&E	N/A	20Oct2015	134	No	INC	15	5:30	19:59
123	RT	Planned Transmission Outage and Constraint	PG&E	N/A	21Oct2015	134	No	INC	6	6:50	11:59
124	RT	Planned Transmission Outage and Constraint	PG&E	N/A	22Oct2015	134	No	INC	1	23:20	23:59
125	RT	Planned Transmission Outage and Constraint	PG&E	N/A	23Oct2015	214- 281	No	INC	18	6:25	23:59
126	RT	Planned Transmission Outage and Constraint	PG&E	N/A	24Oct2015	214	No	INC	20	4:10	23:59
127	RT	Planned Transmission Outage and Constraint	PG&E	N/A	25Oct2015	130- 195	No	INC	6	18:30	23:59
128	RT	Planned Transmission Outage and Constraint	PG&E	N/A	26Oct2015	158- 242	No	INC	18	6:50	23:59
129	RT	Planned Transmission Outage and Constraint	PG&E	N/A	29Oct2015	50	No	INC	16	8:30	23:59
130	RT	Planned Transmission Outage and Constraint	PG&E	N/A	30Oct2015	10- 249	No	INC	18	6:35	23:59
131	RT	Planned Transmission Outage and Constraint	PG&E	N/A	31Oct2015	82	No	INC	18	6:45	23:59
132	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	15Oct2015	80	No	INC	12	7:55	18:59
133	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	20Oct2015	0	No	INC	4	20:50	23:59
134	RT	Planned Transmission Outage and Constraint	SCE	N/A	05Oct2015	352	No	INC	9	7:56	15:59
135	RT	Planned Transmission Outage and Constraint	SCE	N/A	09Oct2015	240	No	INC	3	10:01	12:59
136	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	07Oct2015	21	No	INC	4	13:00	16:59
137	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	12Oct2015	275	No	INC	3	7:31	9:44
138	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	17Oct2015	63- 463	No	INC	13	6:05	18:14
139	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	18Oct2015	20- 716	No	INC	18	2:00	19:59
140	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	19Oct2015	63-1001	No	INC	14	4:55	17:59
141	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	20Oct2015	20- 126	No	INC	15	6:00	20:59
142	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	21Oct2015	350	No	INC	8	8:20	15:29

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
143	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	22Oct2015	250- 600	No	INC	8	6:21	13:59
144	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	24Oct2015	227- 980	No	INC	10	6:02	15:59
145	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	25Oct2015	68	No	INC	14	4:30	17:59
146	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	26Oct2015	20- 400	No	INC	10	4:30	14:29
147	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	27Oct2015	21	No	INC	3	11:35	13:59
148	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	28Oct2015	37	No	INC	5	13:55	18:29
149	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	29Oct2015	21	No	INC	7	11:45	18:29
150	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	30Oct2015	20	No	INC	1	8:00	8:59
151	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	31Oct2015	63	No	INC	6	7:30	13:29
152	RT	Pump Management	PG&E	Fresno	18Oct2015	-680	No	INC	1	13:00	13:59
153	RT	Software Limitation	N/A	N/A	05Oct2015	15	No	INC	1	23:00	23:59
154	RT	Software Limitation	N/A	N/A	06Oct2015	15	No	INC	5	3:10	7:59
155	RT	Software Limitation	N/A	N/A	09Oct2015	39	No	INC	3	21:40	0:14
156	RT	Software Limitation	N/A	N/A	10Oct2015	13- 24	No	INC	3	8:40	10:59
157	RT	Software Limitation	PG&E	N/A	12Oct2015	0	No	INC	10	14:00	23:59
158	RT	Software Limitation	PG&E	N/A	19Oct2015	159	No	INC	23	1:15	23:59
159	RT	Software Limitation	PG&E	N/A	28Oct2015	0	No	INC	8	16:15	23:59
160	RT	Software Limitation	PG&E	Sierra	20Oct2015	40	Yes	INC	3	21:00	23:59
161	RT	Software Limitation	PG&E	Sierra	21Oct2015	20	No	INC	1	0:00	0:14
162	RT	Software Limitation	SCE	Big Creek-Ventura	01Oct2015	0	No	INC	24	1:20	0:49
163	RT	Software Limitation	SCE	Big Creek-Ventura	02Oct2015	0	No	INC	1	0:15	0:49
164	RT	Software Limitation	SCE	Big Creek-Ventura	04Oct2015	0	No	INC	1	15:20	16:19
165	RT	Software Limitation	SCE	Big Creek-Ventura	05Oct2015	0	No	INC	1	22:00	22:59
166	RT	Software Limitation	SCE	Big Creek-Ventura	10Oct2015	0	No	INC	1	2:15	3:14
167	RT	Software Limitation	SCE	Big Creek-Ventura	11Oct2015	0	No	INC	8	1:00	8:14
168	RT	Software Limitation	SCE	Big Creek-Ventura	15Oct2015	0	No	INC	1	20:15	21:14
169	RT	Software Limitation	SCE	Big Creek-Ventura	22Oct2015	0	No	INC	1	11:15	12:14

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
170	RT	Software Limitation	SCE	Big Creek-Ventura	23Oct2015	0	No	INC	1	10:25	11:24
171	RT	Software Limitation	SCE	Big Creek-Ventura	31Oct2015	0	No	INC	1	23:15	0:14
172	RT	Software Limitation	SCE	LA Basin	08Oct2015	50	No	INC	10	6:00	15:44
173	RT	Software Limitation	SCE	LA Basin	12Oct2015	0	No	INC	11	2:05	12:59
174	RT	Software Limitation	SCE	LA Basin	17Oct2015	0	No	INC	1	0:00	0:29
175	RT	Software Limitation	SCE	LA Basin	20Oct2015	0	No	INC	13	11:00	23:59
176	RT	Software Limitation	SCE	LA Basin	24Oct2015	10	No	INC	23	1:55	23:59
177	RT	Software Limitation	SCE	LA Basin	25Oct2015	10	No	INC	12	0:00	11:59
178	RT	Software Limitation	SCE	LA Basin	29Oct2015	0	No	INC	1	6:20	7:14
179	RT	Software Limitation	SCE	LA Basin	30Oct2015	130	No	INC	1	13:15	13:59
180	RT	Software Limitation	SDG&E	San Diego-IV	10Oct2015	0	No	INC	1	21:35	22:14
181	RT	Software Limitation	SDG&E	San Diego-IV	19Oct2015	270	No	INC	1	15:20	16:14
182	RT	Start-Up Instructions	N/A	N/A	17Oct2015	30- 100	No	INC	4	18:00	21:59
183	RT	Start-Up Instructions	PG&E	Humboldt	14Oct2015	33	No	INC	1	23:50	23:59
184	RT	Start-Up Instructions	PG&E	Humboldt	17Oct2015	48	No	INC	3	19:30	21:59
185	RT	Unit Testing	PG&E	Bay Area	01Oct2015	98	No	INC	5	9:05	13:59
186	RT	Unit Testing	PG&E	Bay Area	21Oct2015	74- 290	No	INC	14	5:00	18:59
187	RT	Unit Testing	PG&E	Bay Area	29Oct2015	135- 312	No	INC	4	16:00	19:59
188	RT	Voltage Support	PG&E	Fresno	18Oct2015	-340	No	INC	2	7:45	8:59
189	RT	Voltage Support	PG&E	Fresno	20Oct2015	-676-- 338	No	INC	4	4:15	7:59
190	RT	Voltage Support	PG&E	Fresno	24Oct2015	-670-- 335	No	INC	22	2:30	23:59
191	RT	Voltage Support	PG&E	Fresno	25Oct2015	-670-- 335	No	INC	13	3:45	16:29
192	RT	Voltage Support	PG&E	Fresno	26Oct2015	-335	No	INC	6	1:00	6:59
193	RT	Voltage Support	PG&E	Fresno	31Oct2015	-332	No	INC	4	3:15	6:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
194	RT	Voltage Support	PG&E	Sierra	19Oct2015	45	Yes	INC	11	6:30	17:29
195	RT	Voltage Support	PG&E	Stockton	18Oct2015	89	No	INC	16	6:00	21:14

## Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

### Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

**Table 2: Instructions Prior to Day-Ahead Market**

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.



**Table 3: FERC Summary of Instructions Prior to DAM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

**Example 2: Incremental Exceptional Dispatch Instructions in RTM**

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

**Table 4: Incremental Exceptional Dispatch Instructions in RTM**

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

**Table 5: FERC Summary of ED Instructions in RTM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

### Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

**Table 6: Decremental Exceptional Dispatch Instructions in RTM**

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

**Table 7: FERC Summary of Decremental ED Instructions in RTM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

## CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service lists in the above-referenced proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 15<sup>th</sup> day of December 2015.

*Is/ Anna Pascuzzo*

Anna Pascuzzo