

January 15, 2013

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

Re: California Independent System Operator Corporation Docket Nos. ER06-615-___ and ER07-1257-___

Market Disruption Report

Dear Secretary Bose:

The California Independent System Operator Corporation (ISO) hereby submits its November/December report covering Market Disruptions reportable events under Section 7.7.15 of its FERC Electric Tariff (ISO Tariff) that occurred from November 16, 2012 to December 15, 2012.¹

Please contact the undersigned with any questions.

Respectfully submitted,

By: /s/ Anna McKenna

Nancy Saracino
General Counsel
Anthony Ivancovich
Deputy General Counsel
Anna McKenna
Assistant General Counsel
California Independent System
Operator Corporation
250 Outcropping Way
Folsom, CA 95630
Tel: (916) 608-7182

Fax: (916) 608-7222 amckenna@caiso.com

The ISO submits the Market Disruption report pursuant to *California Independent System Operator Corp.*, 126 FERC \P 61,211 (2009), and Section 7.7.15.4 of the ISO Tariff.



Market Disruption Report Nov 16, 2012 to Dec 15, 2012

January 15, 2013

ISO Department of Market Analysis and Development

I. Background

A Market Disruption is an action or event that causes a failure of a CAISO Market, related to system operation issues or System Emergencies. Pursuant to Section 7.7.15 of the ISO Tariff, the California Independent System Operator Corporation (ISO or CAISO) can take one or more of a number of specified actions in the event of a Market Disruption, to prevent a Market Disruption, or to minimize the extent of a Market Disruption. The ISO reports Market Disruption occurrence in any of the following circumstances:

- When any of the ISO market processes fail to publish, including the Integrated Forward Market ("IFM"), Residual Unit Commitment ("RUC"), Hour-Ahead Scheduling Process ("HASP"), Real-Time Unit Commitment ("RTUC"), or Real-Time Dispatch ("RTD") processes;
- When the ISO manually overrides the closing of the Day-Ahead Market; or
- Any time that the ISO removes Bids from a CAISO Market to prevent a Market Disruption or to minimize the extent of a Market Disruption.

The Market Disruption report contains the following information:

- The frequency and types of actions taken by the ISO pursuant to Section 7.7.15;
- The nature of the Market Disruptions that caused the ISO to take action, or the Market Disruptions that were successfully prevented or minimized by the ISO as a result of taking action, and the ISO's rationale for taking such actions pursuant to Section 7.7.15;
- Information about the Bids (including Self-Schedules) removed pursuant to Section 7.7.15 (i.e., megawatt quantity, point of interconnection, specification of the Day-Ahead versus Real-Time Bid, and Energy or Ancillary Services Bid); and
- The ISO's rationale for its removal of Bids (including Self-Schedules) pursuant to Section 7.7.15.²

These system operation issues or System Emergencies are referred to in Sections 7.6 and 7.7, respectively, of the ISO Tariff. ISO Tariff, Appendix A, definition of Market Disruption. Capitalized terms not otherwise defined herein have the meanings set forth in the ISO Tariff.

² Id. at P 29 & n.29.

II. Report on Market Disruptions Occurring from November 16, 2012 through December 15, 2012

The ISO's report on Market Disruptions that occurred during the time period from November 16, 2012 through December 15, 2012, is provided in Table 1 and Attachment A below. Attachment A includes an entry for each reportable Market Disruption event and each entry also indicates:

- (1) The date of the Market Disruption;
- (2) The hour and Dispatch Interval when the Market Disruption ended;
- (3) The type of CAISO Market in which the Market Disruption occurred; and
- (4) A description of the nature of the Market Disruption, the nature of any actions taken by the ISO, the rationale for such actions, and the Market Disruption prevented or minimized as a result of taking such actions.

For each of the CAISO Markets, Table 1 lists the number of Market Disruptions and the number of times that the ISO removed Bids (including Self-Schedules) during the time period covered by this report. As shown in Table 1, there were a total of 116 Market Disruptions for the reporting period, all of which occurred in the real-time. Table 1 also indicates that the ISO did not remove any Bids (including Self-Schedules) in any of its markets during the reporting period.

Table 1: Summary of Market Disruption Report

Type of CAISO Market	Market Disruption or Reportable Events	Removal of Bids (including Self- Schedules)
Day-Ahead		
IFM	0	0
RUC	0	0
Real-Time		
Real-Time Unit Commitment Interval 1	5	0
Real-Time Unit Commitment Interval 2	4	0
Real-Time Unit Commitment Interval 3	8	0
Real-Time Unit Commitment Interval 4	6	0
Real-Time Dispatch	93	0

Table 1 and Attachment A indicate that there were 23 instances of RTUC failures, including 4 HASP failures. The RTUC and HASP failures were caused by application not run, broadcast failures, Fall release patching, NA build, and application fall forward.

The frequency of RTD failures in this report was 93. The RTD failures were due to application not run, RTD solutions blocked with previous solutions used, and other reasons such as broadcast results failures, application fall forward, Fall release patching and NA build. Blocked RTD results with previous solutions used accounted for about one-third of all RTD disruptions in the reporting period.

ATTACHMENT A

California Independent System Operator Corporation Market Disruption Report January 15, 2013

Table 1: Market Disruptions, Nature of Actions Taken by the California ISO, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
Count	Date	Hour	iiitci vai	Warket	of Such Actions
1	11/17/2012	1	1	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
2	11/18/2012	13	8	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
3	11/18/2012	14	4	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
4	11/18/2012	14	5	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
5	11/18/2012	14	6	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
6	11/19/2012	1	11	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
					RTD failed. Results blocked and previous solution used. Loss
7	11/19/2012	1	12	RTD	clearing payload and LMP filled from previous good interval.
					RTUC failed due to broadcast failure. This interval was filled either
					automatically or interactively. MQS published Pnode clearing and
8	11/19/2012	10	4	RTUC	resource awards for this interval.
	44/40/0040	40	_	DTD	RTD failed due to broadcast failure. Loss clearing payload and LMP
9	11/19/2012	10	7	RTD	filled from previous good interval.
40	44/40/0040	40	0	DTD	RTD failed due to broadcast failure. Loss clearing payload and LMP
10	11/19/2012	10	8	RTD	filled from previous good interval.
11	11/19/2012	10	9	RTD	RTD failed due to broadcast failure. Loss clearing payload and LMP
11	11/19/2012	10	9	KID	filled from previous good interval. RTD failed due to broadcast failure. Loss clearing payload and LMP
12	11/19/2012	10	11	RTD	filled from previous good interval.
12	11/19/2012	10	11	KID	RTD failed due to broadcast failure. Loss clearing payload and LMP
13	11/19/2012	10	12	RTD	filled from previous good interval.
10	11/10/2012	10	12	KIB	RTD failed due to broadcast failure. Loss clearing payload and LMP
14	11/19/2012	11	1	RTD	filled from previous good interval.
	,		· · ·		RTUC failed due to broadcast failure. This interval was filled either
					automatically or interactively. MQS published Pnode clearing and
15	11/19/2012	11	1	RTUC	resource awards for this interval.
					RTUC failed due to Fall Forward. This interval was filled either
					automatically or interactively. MQS published Pnode clearing and
16	11/19/2012	14	3	RTUC	resource awards for this interval.
					RTD failed due to Fall forward. Loss clearing payload and LMP
17	11/19/2012	14	5	RTD	filled from previous good interval.
					RTD failed due to Fall forward. Loss clearing payload and LMP
18	11/19/2012	14	6	RTD	filled from previous good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
					RTD failed due to Fall forward. Loss clearing payload and LMP
19	11/19/2012	14	7	RTD	filled from previous good interval.
					RTD failed due to Fall forward. Loss clearing payload and LMP
20	11/19/2012	14	8	RTD	filled from previous good interval.
			_		RTD failed due to Fall forward. Loss clearing payload and LMP
21	11/19/2012	14	9	RTD	filled from previous good interval.
					DTD (-1)-d Decode blocked and any four solution would be
00	44/00/0040	1	4	DTD	RTD failed. Results blocked and previous solution used. Loss
22	11/26/2012	1	1	RTD	clearing payload and LMP filled from previous good interval.
					RTD failed. Results blocked and previous solution used. Loss
23	11/26/2012	1	2	RTD	clearing payload and LMP filled from previous good interval.
25	11/20/2012	<u>'</u>		KID	RTD failed. Loss clearing payload and LMP filled from previous
24	11/26/2012	1	3	RTD	good interval.
27	11/20/2012		<u> </u>	IXID	RTD failed. Loss clearing payload and LMP filled from previous
25	11/26/2012	1	4	RTD	good interval.
	,	-	-		RTD failed. Loss clearing payload and LMP filled from previous
26	11/26/2012	1	5	RTD	good interval.
					RTD failed. Results blocked and previous solution used. Loss
27	11/28/2012	1	1	RTD	clearing payload and LMP filled from previous good interval.
					RTD failed. Results blocked and previous solution used. Loss
28	11/29/2012	7	1	RTD	clearing payload and LMP filled from previous good interval.
					HASP failed due to application not running. This interval was filled
					either automatically or interactively. MQS published Pnode clearing
29	12/3/2012	12	2	HASP	and resource awards for this interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
					RTUC failed due to application not running. This interval was filled
30	12/3/2012	12	3	RTUC	either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
					RTUC failed due to application not running. This interval was filled
31	12/3/2012	12	4	RTUC	either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
32	12/3/2012	14	3	RTUC	RTUC failed due to application not running caused by Fall release patching. This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
33	12/3/2012	14	4	RTUC	RTUC failed due to application not running caused by Fall release patching. This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
34	12/3/2012	14	5	RTD	RTD failed due to application not running caused by Fall release patching. Loss clearing payload and LMP filled from previous good interval.
35	12/3/2012	14	6	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
36	12/3/2012	14	7	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
37	12/3/2012	14	8	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
38	12/3/2012	14	9	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
39	12/3/2012	14	10	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
40	40/0/0040	4.4	4.4	DTD	RTD failed due to application not running. Loss clearing payload
40	12/3/2012	14	11	RTD	and LMP filled from previous good interval.
41	12/3/2012	14	12	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
10	40/0/0040			5.75	RTD failed due to application not running. Loss clearing payload
42	12/3/2012	15	1	RTD	and LMP filled from previous good interval.
					RTUC failed due to application not running. This interval was filled
40	40/0/0040	4.5	4	DTUO	either automatically or interactively. MQS published Pnode clearing
43	12/3/2012	15	1	RTUC	and resource awards for this interval.
44	40/2/2042	15	0	DTD	RTD failed due to application not running. Loss clearing payload
44	12/3/2012	15	2	RTD	and LMP filled from previous good interval.
					HASP failed due to application not running caused by Fall release
					patching. This interval was filled either automatically or interactively.
45	12/3/2012	15	2	HASP	MQS published Pnode clearing and resource awards for this interval.
	12, 3, 2012				RTD failed due to application not running. Loss clearing payload
46	12/3/2012	15	3	RTD	and LMP filled from previous good interval.
					RTD failed due to application not running. Loss clearing payload
47	12/3/2012	15	4	RTD	and LMP filled from previous good interval.
					RTD failed due to application not running. Loss clearing payload
48	12/3/2012	15	5	RTD	and LMP filled from previous good interval.
					RTD failed due to application not running. Loss clearing payload
49	12/3/2012	15	6	RTD	and LMP filled from previous good interval.
					RTD failed. Loss clearing payload and LMP filled from previous
50	12/3/2012	15	11	RTD	good interval.
			_		RTD failed due to application not running. Loss clearing payload
51	12/3/2012	18	5	RTD	and LMP filled from previous good interval.

					Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result
Count	Date	Hour	Interval	Market	of such Actions
52	12/3/2012	18	6	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
	10/0/0040	40		D.T.D.	RTD failed. Results blocked and previous solution used. Loss
53	12/3/2012	18	9	RTD	clearing payload and LMP filled from previous good interval.
54	12/3/2012	18	10	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
55	12/3/2012	23	1	RTUC	RTUC failed due to application not running. This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
56	12/4/2012	15	3	RTUC	RTUC failed due to application not running caused by NA build. This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
57	12/4/2012	15	4	RTUC	RTUC failed due to application not running caused by NA build. This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
58	12/4/2012	15	5	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
59	12/4/2012	15	6	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
60	12/4/2012	15	7	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
61	12/4/2012	15	8	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
62	12/4/2012	15	9	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
63	12/4/2012	15	10	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
64	12/4/2012	15	11	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
65	12/4/2012	15	12	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
66	12/4/2012	16	1	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
67	12/4/2012	16	1	RTUC	RTUC failed due to application not running caused by NA build. This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
68	12/4/2012	16	2	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
69	12/4/2012	16	2	HASP	HASP failed due to application not running caused by NA build. This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
70	12/4/2012	16	3	RTD	RTD failed due to application not running caused by NA build. Loss clearing payload and LMP filled from previous good interval.
71	12/4/2012	16	7	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
72	12/4/2012	16	8	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
73	12/4/2012	16	9	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
74	12/4/2012	22	5	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
75	12/4/2012	22	6	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
76	12/5/2012	5	3	RTD	RTD failed due to application not running. Loss clearing payload and LMP filled from previous good interval.
77	12/6/2012	14	11	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
78	12/6/2012	14	12	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
79	12/6/2012	15	1	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
80	12/6/2012	15	2	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
- 55	12/0/2012	10		ICID	cloaning payread and Elvin miled nom provided good interval.
0.4	40/0/0040	4.5	0	DTD	RTD failed. Results blocked and previous solution used. Loss
81	12/6/2012	15	3	RTD	clearing payload and LMP filled from previous good interval.
					RTD failed. Results blocked and previous solution used. Loss
82	12/6/2012	16	1	RTD	clearing payload and LMP filled from previous good interval.
					RTD failed. Results blocked and previous solution used. Loss
83	12/6/2012	16	4	RTD	clearing payload and LMP filled from previous good interval.
84	12/6/2012	16	10	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
0.	12, 6, 26 12			1(15	cloaring payroad and zim mod nom provided good intervall
0.5	40/0/0040	40	4.4	DTD	RTD failed. Results blocked and previous solution used. Loss
85	12/6/2012	16	11	RTD	clearing payload and LMP filled from previous good interval.
					RTD failed. Results blocked and previous solution used. Loss
86	12/6/2012	16	12	RTD	clearing payload and LMP filled from previous good interval.
					RTD failed. Results blocked and previous solution used. Loss
87	12/6/2012	17	2	RTD	clearing payload and LMP filled from previous good interval.

					Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result
Count	Date	Hour	Interval	Market	of such Actions
		_	_		RTD failed. Loss clearing payload and LMP filled from previous
88	12/7/2012	2	6	RTD	good interval.
					RTUC failed due to application not running. This interval was filled
00	40/7/0040	0		DTUO	either automatically or interactively. MQS published Pnode clearing
89	12/7/2012	3	3	RTUC	and resource awards for this interval.
					RTUC failed due to application not running. This interval was filled
00	10/7/0010			57110	either automatically or interactively. MQS published Pnode clearing
90	12/7/2012	14	3	RTUC	and resource awards for this interval.
0.4	10/7/0010			DED	RTD failed due to application not running. Loss clearing payload
91	12/7/2012	14	4	RTD	and LMP filled from previous good interval.
					RTUC failed due to application not running. This interval was filled
	10/7/0010			57110	either automatically or interactively. MQS published Pnode clearing
92	12/7/2012	14	4	RTUC	and resource awards for this interval.
00	40/7/0040	4.4	_	DTD	RTD failed due to application not running. Loss clearing payload
93	12/7/2012	14	5	RTD	and LMP filled from previous good interval.
	10/7/0010			DED	RTD failed due to application not running. Loss clearing payload
94	12/7/2012	14	6	RTD	and LMP filled from previous good interval.
0-	10/7/0010		_	DED	RTD failed due to application not running. Loss clearing payload
95	12/7/2012	14	7	RTD	and LMP filled from previous good interval.
	10/7/0010			DED	RTD failed due to application not running. Loss clearing payload
96	12/7/2012	14	8	RTD	and LMP filled from previous good interval.
	40/7/00/10		_	DED	RTD failed due to application not running. Loss clearing payload
97	12/7/2012	14	9	RTD	and LMP filled from previous good interval.
	10/-/00:5				RTD failed due to application not running. Loss clearing payload
98	12/7/2012	14	10	RTD	and LMP filled from previous good interval.
					RTUC failed due to application not running. This interval was filled
		. –	_		either automatically or interactively. MQS published Pnode clearing
99	12/7/2012	15	11	RTUC	and resource awards for this interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
100	12/9/2012	24	1	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
101	12/10/2012	24	4	RTD	RTD failed. Results blocked and previous solution used. Loss clearing payload and LMP filled from previous good interval.
101	12/10/2012	24		KID	RTD failed due to application not running. Loss clearing payload
102	12/11/2012	16	3	RTD	and LMP filled from previous good interval.
					RTUC failed due to application not running. This interval was filled
400	40/44/0040	40	0	DTUC	either automatically or interactively. MQS published Pnode clearing
103	12/11/2012	16	3	RTUC	and resource awards for this interval. RTD failed due to application not running. Loss clearing payload
104	12/11/2012	16	4	RTD	and LMP filled from previous good interval.
					RTUC failed due to application not running caused by Fall forward.
105	12/12/2012	14	3	RTUC	This interval was filled either automatically or interactively. MQS published Pnode clearing and resource awards for this interval.
100	12/12/2012			11100	RTD failed due to application not running. Loss clearing payload
106	12/12/2012	14	4	RTD	and LMP filled from previous good interval.
					RTUC failed due to application not running caused by Fall forward. This interval was filled either automatically or interactively. MQS
107	12/12/2012	14	4	RTUC	published Pnode clearing and resource awards for this interval.
-					
400	40/40/05:5		_	5.75	RTD failed due to application not running caused by Fall forward.
108	12/12/2012	14	5	RTD	Loss clearing payload and LMP filled from previous good interval.

					Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result
Count	Date	Hour	Interval	Market	of such Actions
					DTD failed due to application and manipus coursed by Fall forward
109	12/12/2012	14	6	RTD	RTD failed due to application not running caused by Fall forward. Loss clearing payload and LMP filled from previous good interval.
100					
	10/10/0010		_		RTD failed due to application not running caused by Fall forward.
110	12/12/2012	14	7	RTD	Loss clearing payload and LMP filled from previous good interval.
					RTD failed due to application not running caused by Fall forward.
111	12/12/2012	14	8	RTD	Loss clearing payload and LMP filled from previous good interval.
440	40/40/0040	4.4	40	DTD	RTD failed due to application not running caused by Fall forward.
112	12/12/2012	14	12	RTD	Loss clearing payload and LMP filled from previous good interval. RTD failed due to application not running. Loss clearing payload
113	12/12/2012	15	1	RTD	and LMP filled from previous good interval.
					RTD failed due to application not running. Loss clearing payload
114	12/12/2012	15	2	RTD	and LMP filled from previous good interval.
					HASP failed due to application not running. This interval was filled
445	40/40/0040	4-	•	LIACD	either automatically or interactively. MQS published Pnode clearing
115	12/12/2012	15	2	HASP	and resource awards for this interval.
					RTD failed. Results blocked and previous solution used. Loss
116	12/13/2012	9	7	RTD	clearing payload and LMP filled from previous good interval.

Notes:

Integrated Forward Market (IFM): The Day-Ahead Market run in which the ISO conducts the market for purchases and sales of Energy for all hours of the next Trading Day based on submitted supply and demand bids, and performs the procurement of Ancillary Services.

Residual Unit Commitment (RUC): The Day-Ahead Market run in which the ISO conducts unit commitment of additional resources based on submitted availability bids and the forecast of demand for every hour of the next Trading Day.

Real-Time Unit commitment (RTUC) Interval 1: The first of a series of four market runs conducted every Trading Hour in advance of the

Real-Time Unit commitment (RTUC) Interval 1: The first of a series of four market runs conducted every Trading Hour in advance of the Operating Hour. In this run the ISO conducts the Market Power Mitigation and Reliability Requirement Determination for submitted Bids, which

applies to all of the Real-Time Market processes for the given Trading Hour. In this interval the ISO also conducts the procurement of incremental Ancillary Services from internal resources and dynamic external resources.

Real-Time Unit commitment (RTUC) Interval 2: The second of a series of four market runs conducted every Trading Hour in advance of the Operating Hour during which the ISO conducts the HASP. In the HASP, the ISO conducts the procurement and sale of Energy and Ancillary services from non-dynamic System Resources based on submitted Bids and the CAISO Forecast of CAISO Demand. In this interval the ISO also conducts the advisory procurement of incremental Ancillary Services from internal resources and dynamic external resources from T to T+60 minutes and procurement for the given Trading Hour.

Real-Time Unit commitment (RTUC) Interval 3: The third of a series of four market runs conducted every Trading Hour. During this interval the ISO conducts the commitment of internal Short-Start and Fast Start Units for the Time Horizon of T-30 minutes to T+240 minutes. In this interval the ISO also conducts the procurement of incremental Ancillary Services from internal resources and dynamic external resources for the given Trading Hour.

Real-Time Unit commitment (RTUC) Interval 4: The fourth of a series of four market runs conducted every Trading Hour. This interval is for the Real-time Unit Commitment for the T-105 minutes to T+60 minutes time horizon. In this interval the ISO also conducts 15-minute Ancillary Service Awards for non-Hourly System Resources, internal resources and dynamic external resources for the given Trading Hour.

Real-Time Dispatch (RTD): The five minute interval of any given Operating Hour during which the ISO conducts the market for Energy based on submitted bids and the CAISO Forecast of CAISO Demand.

CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service list in the captioned proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 15th day of January 2013.

Isl Susan L. Montana

Susan L. Montana