

July 23, 2010

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington, D.C. 20426

Re: California Independent System Operator Corporation Docket Nos. ER10-1229-000

Dear Secretary Bose:

Pursuant to Section 205 of the Federal Power Act, 16 U.S.C. § 824d, and Part 35 of the Federal Energy Regulatory Commission's (FERC or the Commission) regulations, 18 C.F.R. Part 35, and consistent with Order No. 714 regarding electronic filing of tariff submittals, the California Independent System Operator Corporation (ISO) hereby submits for filing the attached to be included in its Fifth Replacement FERC Electric Tariff. The proposed tariff sheets were approved by the Commission on July 12, 2010 in the above referenced docket. Due to the implementation of the new electronic filing of tariff submittals the ISO is required to resubmit these tariff sheets to be included into the electronic version of the Fifth Replacement FERC Electric Tariff.

#### I. Discussion

On May 7, 2010, the ISO filed with the Commission in Docket No. ER10-1229 proposed modifications to the ISO Tariff to enable the ISO to release information regarding its transmission constraints enforcement and management. In its Order dated July 12, 2010, the Commission accepted the ISO's proposed tariff modifications, effective on July 13, 2010.<sup>1</sup>

On June 28, 2010, the ISO filed its baseline Fifth Replacement Electric Tariff in the Commission's eTariff system, and is thereby required, under Order No. 714 and FERC's subsequent orders prescribing procedures for eTariff filings, to make all subsequent tariff filings through the eTariff system.

Consistent with the above-referenced orders, the ISO is hereby refiling the accepted tariff modifications from its May 7, 2010 paper filing in this docket as a "compliance filing" (Type of Filing Code 80) through the eTariff system. Since the May 7

<sup>1</sup> California Independent System Operator Corp., 132 FERC ¶ 61,023 (2010) (July 12 Oder)

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filing was not made through the eTariff system, there is no Associated Filing ID applicable to this filing. The ISO is referencing the existing docket for the May 7 filing in this transmittal letter and in this filing's eTariff Filing Name/Description. The ISO understands that a new docket number will be assigned to this filing.

## II. Communications

Communications regarding this filing should be addressed to the following individual, whose name should be placed on the official service list established by the Secretary with regard to this submittal:

Anna A. McKenna Senior Counsel California Independent System Operator Corporation 151 Blue Ravine Road Folsom, CA 95630 Tel: (916) 608- 7007

Fax: (916) 608-7296

E-mail: amckenna@caiso.com

#### III. Service

The ISO has served copies of this transmittal letter, and all attachments, on the California Public Utilities Commission, the California Energy Commission, and all parties to Docket No. ER10-1229. In addition, the ISO is posting this transmittal letter and all attachments on the ISO website.

## IV. Materials Provided in the Instant Compliance Filing

The following documents, in addition to this transmittal letter, support the instant filing:

Attachment A Clean sheets incorporating the red-lined changes contained

in Attachment B

Attachment B Red-lined changes to the Tariff to implement the revisions

contained in this filing

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## V. Conclusion

The ISO respectfully requests that the Commission accept the instant filing as complying with the directives of the July 12 Order and Order No. 714.

Respectfully submitted,

/s/ Anna McKenna

Anna McKenna Senior Counsel California Independent System Operator Corporation 151 Blue Ravine Road Folsom, CA 95630

Tel: (916) 351-4400 Fax: (916) 608-7246

Attorney for the California Independent System Operator Corporation

## **CERTIFICATE OF SERVICE**

I hereby certify that I have served the foregoing document upon all of the parties listed on the official service list for the above-referenced proceeding, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, CA this 23rd day of July, 2010.

/s/Jane Ostapovich

Attachment A – Clean Sheets

California Independent System Operator Corporation

Fifth Replacement FERC Electric Tariff

Transmission Constraints Relaxation Amendment/Compliance

ER10-1229-000

#### 6.5.1.4 Requirements to Obtain the CRR Full Network Model

To permit participants to review and use the Confidential Information disclosed by the CAISO solely in connection with review and analysis of the CAISO Markets, the CAISO shall distribute the CRR Full Network Model only to those Market Participants and non-Market Participants that satisfy the following requirements and the related procedures set forth in the Business Practice Manual.

- (a) A Market Participant that is a member of the WECC and that requests the CRR

  Full Network Model: (i) shall execute the Non-Disclosure Agreement for CRR

  Full Network Model Distribution that is posted on the CAISO Website and (ii)

  shall provide to the CAISO a non-disclosure statement, the form of which is

  attached as an exhibit to the Non-Disclosure Agreement executed by the Market

  Participant, executed by each employee and consultant of the Market Participant

  who will have access to the CRR Full Network Model.
- (b) A Market Participant that is not a member of the WECC and that requests the CRR Full Network Model: (i) shall execute the Non-Disclosure Agreement for CRR Full Network Model Distribution that is posted on the CAISO Website, (ii) shall provide to the CAISO a fully executed WECC Non-Member Confidentiality Agreement for WECC Data, and (iii) shall provide to the CAISO a non-disclosure statement, the form of which is attached as an exhibit to the Non-Disclosure Agreement executed by the Market Participant, executed by each employee and consultant of the Market Participant who will have access to the CRR Full Network Model.
- (c) A non-Market Participant that is a member of the WECC and that requests the CRR Full Network Model: (i) shall reasonably demonstrate a legitimate business or governmental interest in the CAISO Markets, (ii) shall execute the Non-Disclosure Agreement for CRR Full Network Model Distribution that is posted on the CAISO Website, and (iii) shall provide to the CAISO a non-disclosure statement, the form of which is attached as an exhibit to the Non-Disclosure

- Agreement executed by the non-Market Participant, executed by each employee and consultant of the non-Market Participant who will have access to the CRR Full Network Model.
- (d) A non-Market Participant that is not a member of the WECC and that requests the CRR Full Network Model: (i) shall reasonably demonstrate a legitimate business or governmental interest in the CAISO Markets, (ii) shall execute the Non-Disclosure Agreement for CRR Full Network Model Distribution that is posted on the CAISO Website, (iii) shall provide to the CAISO a fully executed WECC Non-Member Confidentiality Agreement for WECC Data, and (iv) shall provide to the CAISO a non-disclosure statement, the form of which is attached as an exhibit to the Non-Disclosure Agreement executed by the non-Market Participant, executed by each employee and consultant of the non-Market Participant who will have access to the CRR Full Network Model.

#### **6.5.3.2.2** The results of the Day-Ahead Market will be published on OASIS by 1:00 p.m. and will include:

- (a) Total Day-Ahead Schedules (MWh) for total Supply and Demand by TAC Area and for the entire CAISO Balancing Authority Area;
- (b) Total Day-Ahead Schedules (MWh) of imports and exports by Transmission Interface;
- (c) Total Day-Ahead AS Awards by AS Region and AS type;
- (d) RUC Prices by PNode and APNodes, RUC Forecast Demand for each RUC Zone, hourly RUC Capacity from Generation, and hourly RUC Capacity from imports for each TAC Area and the entire CAISO Balancing Authority Area;
- (e) Day-Ahead LMP for Energy for each PNode and APNode, including the Energy,MCC and MCL components;
- (f) Day-Ahead ASMP by AS Region and AS type;
- (g) Day-Ahead mitigation indicator;

- (h) CAISO Forecast of CAISO Demand for each TAC Area and the entire CAISO Balancing Authority Area;
- (i) Shadow Prices of binding transmission Constraints and an indication of whether the Constraints were binding because of the base operating conditions or a Contingency, and if caused by a Contingency, the identity of the specific Contingency; and
- (j) Total Day-Ahead system Marginal Losses in MWh and Marginal Cost of Losses for each Trading Hour of the next Trading Day.

### 6.5.3.3 Communications with Market Participants

After the results of the Day-Ahead Market are posted, the CAISO will provide to parties that have signed a Non-Disclosure Agreement in accordance with Section 6.5.3.3.1, the daily post-Day-Ahead Market Transmission Constraints Enforcement List, which consists of the list of Transmission Constraints, including contingencies and nomograms that are enforced and not enforced in that day's Day-Ahead Market. Subsequently and prior to the next Day-Ahead Market, the CAISO will provide to parties that the pre-Day-Ahead Market Transmission Constraints Enforcement List, which consists of the daily list of information for the transmission Constraints, including contingencies and nomograms, the CAISO plans to enforce or not enforce for the next day's Day-Ahead Market. To the extent that the CAISO does not make either of these two reports available on any given Operating Day, the CAISO will instead provide only the list of transmission Constraints, including contingencies and nomograms, that were enforced or not enforced for the applicable Day-Ahead Market within the next thirty (30) days, after which the information will not be provided.

#### 6.5.3.3.1 Requirements to Obtain the Transmission Constraints Enforcement Lists

The CAISO shall provide the Transmission Constraints Enforcement Lists only to those Market Participants and non-Market Participants that satisfy the following requirements.

(a) To obtain access to the Transmission Constraints Enforcement Lists, a Market Participant that is a member of the WECC that requests the Transmission Constraints Enforcement Lists must: (i) execute and submit to the CAISO the

- Non-Disclosure Agreement for Transmission Constraints Enforcement Lists that is posted on the CAISO Website; and (ii) provide to the CAISO a non-disclosure statement, the form of which is attached as an exhibit to the Non-Disclosure Agreement executed by the Market Participant, executed by each employee and consultant of the Market Participant who will have access to the Transmission Constraints Enforcement Lists.
- (b) To obtain access to the Transmission Constraints Enforcement Lists, a Market
  Participant that is not a member of the WECC that requests the Transmission
  Constraints Enforcement Lists must: (i) execute and submit to the CAISO the
  Non-Disclosure Agreement for Transmission Constraints Enforcement Lists that
  is posted on the CAISO Website, (ii) provide to the CAISO a fully executed
  WECC Non-Member Confidentiality Agreement for WECC Data, and (iii) provide
  to the CAISO a non-disclosure statement, the form of which is attached as an
  exhibit to the Non-Disclosure Agreement executed by the non-WECC Market
  Participant, executed by each employee and consultant of the non-WECC Market
  Participant who will have access to the Transmission Constraints Enforcement
  Lists.
- (c) To obtain access to the Transmission Constraints Enforcement Lists a non-Market Participant that is a member of the WECC that requests the Transmission Constraints Enforcement Lists must: (i) reasonably demonstrate a legitimate business or governmental interest in the CAISO Markets, (ii) execute the Non-Disclosure Agreement for Transmission Constraints Enforcement Lists posted on the CAISO Website, and (iii) provide to the CAISO a non-disclosure statement, the form of which is attached as an exhibit to the Non-Disclosure Agreement executed by the non-Market Participant, executed by each employee and consultant of the non-Market Participant who will have access to the Transmission Constraints Enforcement Lists.

(d) To obtain access to the Transmission Constraints Enforcement Lists, a non-Market Participant that is not a member of the WECC that requests the Transmission Constraints Enforcement Lists must: (i) reasonably demonstrate a legitimate business or governmental interest in the CAISO Markets, (ii) execute the Non-Disclosure Agreement for Transmission Constraints Enforcement Lists that is posted on the CAISO Website, (iii) provide to the CAISO a fully executed WECC Non-Member Confidentiality Agreement for WECC Data, and (iv) provide to the CAISO a non-disclosure statement, the form of which is attached as an exhibit to the Non-Disclosure Agreement executed by the non-Market Participant, executed by each employee and consultant of the non-Market Participant who will have access to the Transmission Constraints Enforcement Lists.

#### 6.5.3.3.2 Obligation to Report Violations of Section 6.5.3.3

Each Market Participant, non-Market Participant, employee of a Market Participant, employee of a non-Market Participant, consultant, and employee of a consultant to whom the CAISO distributes the Transmission Constraints Enforcement Lists shall be obligated to immediately report to the CAISO any violation of the requirements of Section 6.5.3.3.

**6.5.4.2.2** At thirty (30) minutes before the Trading Hour, on an hourly basis, the CAISO will publish on OASIS the following:

- (a) Total HASP Intertie Schedules for imports and exports by TAC Area and for the entire CAISO Balancing Authority Area;
- (b) HASP Intertie LMPs by PNodes and APNodes;
- (c) HASP advisory LMPs by PNode and APNode;
- (d) HASP Shadow Prices of binding Transmission Constraints and an indication of whether the constraints were binding because of the base operating conditions or contingencies and if caused by a contingency, the identity of the specific contingency; and
- (e) Total HASP system Marginal Losses in MWh for the next Operating Hour.

- **6.5.5.2.4** Every five (5) minutes the CAISO shall post via OASIS information regarding the status of the RTM. This information shall include but is not limited to the following:
  - (a) CAISO Forecast of CAISO Demand;
  - (b) Total Real-Time dispatched Energy and Demand on a 24-hour delayed basis;
  - (c) Real-Time Dispatch Interval LMP;
  - (d) Real-Time system losses;
  - (e) Actual Operating Reserve; and
  - (f) The Real-Time shadow price of binding Transmission Constraints and an indication of whether the constraints were binding because of the base operating conditions or contingencies and if caused by a contingency, the identity of the specific contingency.

\* \* \*

## 6.5.7 Monthly Report on Conforming Transmission Constraints

The ISO will post on its website a monthly report or incorporate into a monthly report on the degree of adjustments to transmission Constraints made pursuant to Section 27.5.6. To the extent that in any given month the ISO does not post on its website such reports, the ISO will provide the report in the subsequent month. If it is not reasonably feasible to provide such the monthly report two months after the applicable month of the report, the information for the missed month will not be provided.

#### **Transmission Constraints Enforcement Lists**

Consist of the post-Day-Ahead Market transmission Constraints list and the pre-Day-Ahead Market transmission Constraints list made available by the CAISO pursuant to Section 6.5.3.3. The post-Day-Ahead Market transmission Constraints list consists of the transmission Constraints enforced or not enforced in the Day-Ahead Market conducted on any given day. The pre-Day-Ahead Market transmission Constraints the CAISO plans to enforce or not enforce in the next day's Day-Ahead Market. These lists will identify and include definitions for all Constraints, including contingencies and nomograms. The definition of the Constraint includes the individual elements that constitute the transmission Constraint. Both lists will each contain the same data elements and will provide: the flowgate Constraints; transmission corridor Constraints; the Nomogram Constraints; and the list of transmission Contingencies.

\* \* \*

Attachment B - Blacklines

California Independent System Operator Corporation

Fifth Replacement FERC Electric Tariff

Transmission Constraints Relaxation Amendment/Compliance

ER10-1229-000

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  Participant, executed by each employee and consultant of the Market Participant
  who will have access to the CRR Full Network Model.
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### 6.5.3.3.2 Obligation to Report Violations of Section 6.5.3.3

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\* \* \*

# Appendix A Master Definition Supplement

#### **Transmission Constraints Enforcement Lists**

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