

March 15, 2011

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

Re: California Independent System Operator Corporation Docket Nos. ER06-615-\_\_\_ and ER07-1257-\_\_\_ Market Disruption Report

Dear Secretary Bose:

The California Independent System Operator Corporation (ISO) hereby submits its Jan/Feb report covering Market Disruptions reportable events under Section 7.7.15 of its FERC Electric Tariff (ISO Tariff) that occurred from January 16, 2011 to February 15, 2011.<sup>1</sup>

Please contact the undersigned with any questions.

Respectfully submitted,

### By: /s/ Anna McKenna

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The ISO submits the Market Disruption report pursuant to *California Independent System Operator Corp.*, 126 FERC ¶ 61,211 (2009), and Section 7.7.15.4 of the ISO Tariff.



# Market Disruption Report January 16, 2011 to February 15, 2011

March 15, 2011

ISO Department of Market Services

#### I. Background

A Market Disruption is an action or event that causes a failure of a CAISO Market, related to system operation issues or System Emergencies. Pursuant to Section 7.7.15 of the CAISO Tariff, the California Independent System Operator Corporation (ISO or CAISO) can take one or more of a number of specified actions in the event of a Market Disruption, to prevent a Market Disruption, or to minimize the extent of a Market Disruption. The ISO interprets this to mean that a Market Disruption occurs and the ISO is obligated to report its occurrence in any of the following circumstances:

- When any of the ISO market processes fail to publish, including the Integrated Forward Market ("IFM"), Residual Unit Commitment ("RUC"), Hour-Ahead Scheduling Process ("HASP"), Real-Time Unit Commitment ("RTUC"), or Real-Time Dispatch ("RTD") processes;
- When the ISO manually overrides the closing of the Day-Ahead Market; or
- Any time that the ISO removes Bids from a CAISO Market to prevent a Market Disruption or to minimize the extent of a Market Disruption.

The Market Disruption report contains the following information:

- The frequency and types of actions taken by the ISO pursuant to Section 7.7.15;
- The nature of the Market Disruptions that caused the ISO to take action, or the Market Disruptions that were successfully prevented or minimized by the ISO as a result of taking action, and the ISO's rationale for taking such actions pursuant to Section 7.7.15;
- Information about the Bids (including Self-Schedules) removed pursuant to Section 7.7.15 (i.e. megawatt quantity, point of interconnection, specification of the Day-Ahead versus Real-Time Bid, and Energy or Ancillary Services Bid); and
- The ISO's rationale for its removal of Bids (including Self-Schedules) pursuant to Section 7.7.15.<sup>2</sup>

These system operation issues or System Emergencies are referred to in Sections 7.6 and 7.7, respectively, of the CAISO Tariff. CAISO Tariff, Appendix A, definition of Market Disruption. Capitalized terms not otherwise defined herein have the meanings set forth in the CAISO Tariff.

<sup>&</sup>lt;sup>2</sup> *Id.* at P 29 & n.29.

## II. Report on Market Disruptions Occurring from January 16, 2011 through February 15, 2011

The ISO's report on Market Disruptions that occurred during the time period from January 16, 2011 through February 15, 2011, is provided in Table 1 and Attachment A below. Attachment A includes an entry for each reportable Market Disruption event and each entry also indicates:

- (1) The date of the Market Disruption;
- (2) The hour and Dispatch Interval when the Market Disruption ended;
- (3) The type of CAISO Market in which the Market Disruption occurred; and
- (4) A description of the nature of the Market Disruption, the nature of any actions taken by the ISO, the rationale for such actions, and the Market Disruption prevented or minimized as a result of taking such actions.

For each of the CAISO Markets, Table 1 lists the number of Market Disruptions and the number of times that the ISO removed Bids (including Self-Schedules) during the time period covered by this report. As shown in Table 1, there were a total of 76 Market Disruptions for the reporting period, all of which occurred in the Real-Time Market (RTM). The number of Market Disruptions decreased as compared with the February 2011 report. Table 1 also indicates that the ISO did not remove any Bids (including Self-Schedules) in any of its markets during the reporting period.

**Table 1: Summary of Market Disruption Report** 

Type of CAISO Market	Market Disruption or Reportable Events	Removal of Bids (including Self- Schedules)
Day-Ahead		
IFM	0	0
RUC	0	0
Real-Time		
Real-Time Unit Commitment Interval 1	7	0
Real-Time Unit Commitment Interval 2	12	0
Real-Time Unit Commitment Interval 3	11	0
Real-Time Unit Commitment Interval 4	7	Ó
Real-Time Dispatch	39	0

Table 1 and Attachment A indicate that there were 37 instances of RTUC failures, including 12 HASP failures. The count of RTUC failures and HASP failures increased by 13 compared with the February 2011 Report. Most of the RTUC failures (including the HASP failures) were caused by software application failure, software application not running, database issue, Multi Stage Generation (MSG) or Convergence Bidding (CB) software deployment. The frequency of RTD failures decreased significantly to 39 from 57 such instances reported in the February 2011 Report. Most of the RTD failures were due to software application failure, software application timing out, database issue, broadcast results failure, MSG or CB software deployment. RTD failures accounted for approximately 51 percent of all of the Market Disruptions during this reporting period.

On January 19, two RTUC failures occurred due to broadcast results failure. Another three RTUC failures occurred on January 20, January 26, and February 2 due to broadcast results failure. Also one RTD failure occurred on January 30, with the same issue.

On January 24, eight RTD failures occurred with advisory results being sent out later. On the same day another two RTUC failures and one HASP failure occurred due to CB software deployment. Another one RTD failure occurred with advisory results being sent out later.

On January 25, five HASP failures occurred with results being filled either automatically or interactively.

On January 28, 20 RTD failures, eight RTUC failures, and three HASP failures occurred due to CB software deployment.

On January 29, one HASP failure and four RTUC failures occurred due to database issues. On the same day one HASP failure and one RTUC failure occurred due to MSG software deployment. Also on January 29, two RTD failures occurred with results being filled from last good interval.

On January 28, 2011 at approximately 3:30 PM pacific time, the ISO exercised its authority under Section 7.9 and 7.7.15 of the ISO tariff and temporarily suspended convergence bidding due to the failure of the Scheduling Infrastructure Business Rules and the real-time market software caused by the implementation of convergence bidding for the February 1, 2011, Trading Day. Convergence bidding was restored as of January 30, 2011 at 3:00 AM pacific time.

On February 8, 2011, the ISO suspended the following intertie locations from the list of convergence bidding eligible locations due to real-time physical schedule cuts:

INTERM1G\_7\_N501 GONDER\_2\_N501 MONA\_3\_N501 MARKETPL\_5\_N501 MEADN\_2\_N501 MEAD\_5\_N501 WESTWING\_5\_N501 FOURCORN\_3\_N501 MCCULLGX\_5\_N501

The ISO exercised its authority under Section 7.9, 7.7.15 and 31.8 of the ISO tariff. The purpose of section 31.8 of the ISO tariff is to enforce constraints at inter-ties is to ensure that net interchange schedules do not violate scheduling limits. The rules implemented to enforce tariff section 31.8 do not work at the identified suspended locations. The suspension continues until further notice.

## **ATTACHMENT A**

# California Independent System Operator Corporation Market Disruption Report March 15, 2011

## Table 1: Market Disruptions, Nature of Actions Taken by the California ISO, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
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1	1/19/2011	24	3	RTUC	Broadcast of RTUC results failed due to DB 51 Model promotion. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
2	1/19/2011	24	4	RTUC	Broadcast of RTUC results failed due to DB 51 Model promotion. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
3	1/19/2011	24	11	RTD	RTD did not run. Loss clearing payload and LMP filled from last good interval.
4	1/19/2011	24	12	RTD	RTD did not run. Loss clearing payload and LMP filled from last good interval.
5	1/20/2011	1	1	RTUC	Broadcast of RTUC results failed due to DB 51 Model promotion. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
6	1/24/2011	7	12	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
7	1/24/2011	8	1	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
8	1/24/2011	8	2	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
9	1/24/2011	8	3	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
10	1/24/2011	8	4	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
11	1/24/2011	8	5	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
12	1/24/2011	8	6	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
13	1/24/2011	15	2	HASP	HASP failed due to Convergence Bidding deployment issues. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
14	1/24/2011	15	3	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
15	1/24/2011	15	4	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
16	1/24/2011	21	10	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
17	1/25/2011	13	2	HASP	HASP failed. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
					resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
					HASP failed. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO
18	1/25/2011	14	2	-	is treated as an Operational Adjustment (Tier 2) for Settlement purposes.  HASP failed. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
20	1/25/2011	16	2	HASP	HASP failed. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
21	1/25/2011	17	2	HASP	HASP failed. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
22	1/26/2011	17	1	RTUC	Broadcast of RTUC results failed. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
23	1/26/2011	23	2	RTD	RTD failed due to connection issues. Loss clearing payload and LMP filled from last good interval.
24	1/27/2011	6	4	RTD	RTD failed due to infeasibility. Loss clearing payload and LMP filled from advisory results.
25	1/28/2011	15	2	HASP	HASP failed due to Convergence Bidding deployment issues. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
26	1/28/2011	15	3	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
27	1/28/2011	15	4	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
28	1/28/2011	15	5	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
29	1/28/2011	15	6	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
30	1/28/2011	15	7	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
31	1/28/2011	15	8	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
32	1/28/2011	15	9	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
33	1/28/2011	17	2	HASP	HASP failed due to Convergence Bidding deployment issues. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
34	1/28/2011	17	3	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
35	1/28/2011	17	4	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
36	1/28/2011	18	1	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
37	1/28/2011	18	1	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
38	1/28/2011	18	2	HASP	HASP failed due to Convergence Bidding deployment issues. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
39	1/28/2011	18	2	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
40	1/28/2011	18	3	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
41	1/28/2011	18	3	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
42	1/28/2011	18	4	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
43	1/28/2011	18	4	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
44	1/28/2011	18	5	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
45	1/28/2011	18	6	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
46	1/28/2011	18	7	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
47	1/28/2011	18	8	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
48	1/28/2011	18	9	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
49	1/28/2011	18	10	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
50	1/28/2011	18	11	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
51	1/28/2011	18	12	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
52	1/28/2011	19	1	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
53	1/28/2011	19	1	RTUC	RTUC failed due to Convergence Bidding deployment issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
54	1/28/2011	19	2	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
55	1/28/2011	19	3	RTD	RTD failed due to Convergence Bidding deployment issues. Loss clearing payload and LMP filled from last good interval.
56	1/28/2011	23	7	RTD	RTD failed. Loss clearing payload and LMP filled from advisory results.
57	1/29/2011	2	1	RTUC	RTUC failed due to Master File load issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
58	1/29/2011	2	2	HASP	HASP failed due to Master File load issues. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
59	1/29/2011	2	3	RTUC	RTUC failed due to Master File load issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
60	1/29/2011	2	4	RTUC	RTUC failed due to Master File load issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
61	1/29/2011	3	1	RTUC	RTUC failed due to Master File load issues. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
62	1/29/2011	3	3	RTUC	RTUC timed out. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
63	1/29/2011	3	4	RTUC	RTUC timed out. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
64	1/29/2011	3	11	RTD	RTD did not run. Loss clearing payload and LMP filled from last good interval.
65	1/29/2011	3	12	RTD	RTD did not run. Loss clearing payload and LMP filled from last good interval.  RTUC failed due to Master File refresh issues. This interval was filled either
66	1/29/2011	4	1	RTUC	automatically or interactively. MQS published PNode clearing and resource awards for this interval.
67	1/29/2011	20	3	RTUC	RTUC failed due to an issue with updating Multi-Stage Generation tables with new Master File information. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
					HASP failed due to an issue with updating Multi-Stage Generation tables with new Master File information. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing
68	1/29/2011	21	2	HASP	and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.
69	1/30/2011	12	3	RTUC	RTUC failed due to predispatch process crashed. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
70	1/30/2011	14	2	HASP	HASP failed. ISO issued a notice through the Market Notification System instructing resources to follow Day-Ahead Schedules and Awards for interties. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval. Any incremental or decremental Real-Time Energy at the interties beyond the Day-Ahead Schedules that was not dispatched by the ISO is treated as an Operational Adjustment (Tier 2) for Settlement purposes.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions
71	1/30/2011	14	11	RTD	Broadcast of RTD results failed. Loss clearing payload and LMP filled from last good interval.
72	1/31/2011	23	5	RTD	RTD failed. Loss clearing payload and LMP filled from last good interval.
73	1/31/2011	23	6	RTD	RTD failed. Loss clearing payload and LMP filled from last good interval.
74	2/2/2011	5	3	RTUC	RTUC failed due to issues with broadcast timing out. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.
75	2/6/2011	23	2	RTD	RTD did not run. Loss clearing payload and LMP filled from last good interval.
76 Notes	2/7/2011	18	3	RTUC	RTUC failed due to bids not coming in. This interval was filled either automatically or interactively. MQS published PNode clearing and resource awards for this interval.

#### Notes:

Integrated Forward Market (IFM): The Day-Ahead Market run in which the ISO conducts the market for purchases and sales of Energy for all hours of the next Trading Day based on submitted supply and demand bids, and performs the procurement of Ancillary Services.

Residual Unit Commitment (RUC): The Day-Ahead Market run in which the ISO conducts unit commitment of additional resources based on submitted availability bids and the forecast of demand for every hour of the next Trading Day.

Real-Time Unit commitment (RTUC) Interval 1: The first of a series of four market runs conducted every Trading Hour in advance of the Operating Hour. In this run the ISO conducts the Market Power Mitigation and Reliability Requirement Determination for submitted Bids, which applies to all of the Real-Time Market processes for the given Trading Hour. In this interval the ISO also conducts the procurement of incremental Ancillary Services from internal resources and dynamic external resources.

Real-Time Unit commitment (RTUC) Interval 2: The second of a series of four market runs conducted every Trading Hour in advance of the Operating Hour during which the ISO conducts the HASP. In the HASP, the ISO conducts the procurement and sale of Energy and Ancillary services from non-dynamic System Resources based on submitted Bids and the CAISO Forecast of CAISO Demand. In this interval the ISO also conducts the advisory procurement of incremental Ancillary Services from internal resources and dynamic external resources from T to T+60 minutes and procurement for the given Trading Hour.

Real-Time Unit commitment (RTUC) Interval 3: The third of a series of four market runs conducted every Trading Hour. During this interval the ISO conducts the commitment of internal Short-Start and Fast Start Units for the Time Horizon of T-30 minutes to T+240 minutes. In this interval the ISO also conducts the procurement of incremental Ancillary Services from internal resources and dynamic external resources for the given Trading Hour.

Count	Date	Hour	Interval	Market	Nature of Actions, Nature of Market Disruption, Rationale and/or Market Disruption Prevented or Minimized as a Result of such Actions			
Real-Time Unit commitment (RTUC) Interval 4: The fourth of a series of four market runs conducted every Trading Hour. This interval is for the Real-time Unit								
Commitment for the T-105 minutes to T+60 minutes time horizon. In this interval the ISO also conducts 15-minute Ancillary Service Awards for non-Hourly								
System Resources, internal resources and dynamic external resources for the given Trading Hour.								

Real-Time Dispatch (RTD): The five minute interval of any given Operating Hour during which the ISO conducts the market for Energy based on submitted bids and the CAISO Forecast of CAISO Demand.

### **CERTIFICATE OF SERVICE**

I hereby certify that I have served the foregoing document upon the parties listed on the official service list in the captioned proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 15<sup>th</sup> day of March, 2011.

<u>Isl Anna Pascuzzo</u> Anna Pascuzzo