California Independent System Operator Corporation



May 15, 2019

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 Frist Street, NE Washington, DC 20426

### Re: California Independent System Operator Corporation Docket Nos. ER08-1178-\_\_\_ and EL08-88-\_\_\_ March 2019 Exceptional Dispatch Report (Chart 1 data)

Dear Secretary Bose:

Pursuant to the Federal Energy Regulatory Commission's (Commission) September 2, 2009 (September 2 Order), and May 4, 2010 (May 4 Order) orders in the above-referenced dockets, the California Independent System Operator Corporation (CAISO) submits the attached report for filing. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 Order, as modified by the CAISO's September 14, 2009, motion for clarification, which the Commission granted in its May 4 Order. The attached report provides Chart 1 data for the month of March 2019.

Respectfully submitted,

#### By: /s/ Sidney L. Mannheim

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## **Exceptional Dispatch Report**

# Table 1: March 2019

CAISO Market Quality and Renewable Integration May 15, 2019

CAISO 250 Outcropping Way Folsom, California 95630 (916) 351-4400

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## Introduction

This report is filed pursuant to FERC's September 2, 2009, and May 4, 2010, orders in Docket No. ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15<sup>th</sup> of each month and one issued on the 30<sup>th</sup> of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in March 2019.

## The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a preday-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch.<sup>1</sup> A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website.<sup>2</sup>

The following reason for exceptional dispatch instructions in March 2019 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following

<sup>&</sup>lt;sup>1</sup> The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.11 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

<sup>&</sup>lt;sup>2</sup> A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <u>http://www.caiso.com/thegrid/operations/opsdoc/index.html</u>

day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System ("ADS") failure, or similar issues. Interconnection Reliability Operating Limits (IROL) are system operating limits that are established to prevent instability, uncontrolled separation or cascading as described in operating procedure 3100. System Operating Limit (SOL) are the facility ratings, system voltage limits, transient stability limits, and voltage stability limits that are used in the operating horizon – any of which can be the most restrictive limit at any point in time, pre – or post – contingency. Control Point (CP) are imposed to protect the area transmission network against N – 1 contingencies. There were a few other reasons used to explain exceptional dispatch instructions in March 2019, which are self explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.<sup>3</sup> Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner ("PTO") service area; (3) the Local Reliability Area ("LRA") where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC column specifies if there was an incremental dispatch or a decremental dispatch from the IFM schedule. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 149 exceptional dispatches in March 2019, as compared to 155 exceptional dispatches in February 2019. Exceptional dispatches issued for the following reasons accounted for approximately 60 percent of the total exceptional dispatches during the reporting period: planned transmission outages, software limitations, load forecast uncertainty, and operating procedure number 7110 and 6140A.

<sup>&</sup>lt;sup>3</sup> The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

## Table 1: Exceptional Dispatches in March 2019

California Independent System Operator Corporation	
Exceptional Dispatch Report	
May 15, 2019	

## Chart 1: Table of Exceptional Dispatches for Period 01/March/2019 - 31/March/2019

	Mar						Со				
Num	ket Typ		Locatio	Local Reliability			mm itm	INC_	Hou	Begin	End
ber	е	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
1	RT	Bridging Schedules	PGAE	Bay Area	3/27/2019	142	No	INC	2	1:00	3:00
2	RT	Incomplete or Inaccurate Transmission	PGAE	Fresno	3/3/2019	-332	No	DEC	12	0:10	12:00
3	RT	Load Forecast Uncertainty	PGAE	Bay Area	3/8/2019	175	No	INC	6	15:00	21:00
4	RT	Load Forecast Uncertainty	PGAE	Fresno	3/20/2019	83	No	DEC	3	18:00	21:00
5	RT	Load Forecast Uncertainty	PGAE	Fresno	3/20/2019	22.37 - 83	No	INC	14	8:10	22:00
6	RT	Load Forecast Uncertainty	SCE	LA Basin	3/11/2019	65 - 98	No	INC	8	14:00	22:00
7	RT	Load Forecast Uncertainty	SCE	LA Basin	3/18/2019	65 - 260	No	INC	10	14:00	0:00
8	RT	Load Forecast Uncertainty	SCE	LA Basin	3/19/2019	210	No	DEC	1	14:00	14:45
9	RT	Load Forecast Uncertainty	SCE	LA Basin	3/19/2019	65 - 255	No	INC	11	10:55	21:00
10	RT	Load Forecast Uncertainty	SCE	LA Basin	3/20/2019	65	No	INC	8	13:35	21:00
11	RT	Load Forecast Uncertainty	SCE	LA Basin	3/24/2019	65	No	INC	3	17:00	20:00
12	RT	Load Forecast Uncertainty	SCE	LA Basin	3/25/2019	65	No	INC	4	18:00	22:00
13	RT	Load Forecast Uncertainty	SCE	LA Basin	3/27/2019	65	No	INC	7	15:00	22:00
14	RT	Load Forecast Uncertainty	SCE	LA Basin	3/29/2019	65	No	INC	3	18:00	21:00
15	RT	Load Forecast Uncertainty	SCE	LA Basin	3/31/2019	65	No	INC	4	17:35	21:00
16	RT	Load Forecast Uncertainty	SDGE	San Diego-IV	3/8/2019	155	No	INC	14	8:00	22:00
17	RT	Load Pull	SCE	LA Basin	3/4/2019	65	No	INC	4	15:00	19:00
18	RT	Load Pull	SCE	LA Basin	3/5/2019	66	No	INC	3	17:35	20:00
19	RT	Load Pull	SCE	LA Basin	3/14/2019	19 65		INC	5	15:25	20:00
20	RT	Load Pull	SCE	LA Basin	3/15/2019	65	No	INC	6	16:00	22:00

Num ber	Mar ket Typ e	Reason	Locatio	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou	Begin Time	End Time
Der	e	Operating Procedure Number and Constraint	n	Alea	Trade Date	350 -	ent	DEC	15	Time	Time
21	RT	(6140A)	PGAE	NA	3/1/2019	515 -	No	DEC	23	1:20	0:00
21		Operating Procedure Number and Constraint	10/12		0/1/2010	462 -		DLU	20	1.20	0.00
22	RT	(6140A)	PGAE	NA	3/2/2019	515	No	DEC	1	0:00	1:00
		Operating Procedure Number and Constraint									
23	RT	(6140A)	PGAE	NA	3/2/2019	462	No	INC	1	1:00	2:00
		Operating Procedure Number and Constraint									
24	RT	(7110)	PGAE	Humboldt	3/1/2019	16	No	DEC	3	5:00	7:10
		Operating Procedure Number and Constraint									
25	RT	(7110)	PGAE	Humboldt	3/1/2019	16 - 45	No	INC	13	0:00	13:00
00	БТ	Operating Procedure Number and Constraint		l luma ha a lalt	2/2/2040	10	NIE		4	00.00	0.00
26	RT	(7110) Operating Procedure Number and Constraint	PGAE	Humboldt	3/2/2019	16	No	INC	4	20:20	0:00
27	RT	(7110)	PGAE	Humboldt	3/3/2019	15 - 16	No	INC	23	0:00	23:00
21		Operating Procedure Number and Constraint	FOAL	Turnbolut	5/5/2019	13-10		INC	23	0.00	23.00
28	RT	(7110)	PGAE	Humboldt	3/4/2019	16	No	DEC	2	15:00	17:00
		Operating Procedure Number and Constraint									
29	RT	(7110)	PGAE	Humboldt	3/4/2019	16 - 32	No	INC	10	5:45	15:00
		Operating Procedure Number and Constraint									
30	RT	(7110)	PGAE	Humboldt	3/5/2019	32	No	DEC	5	15:00	20:00
		Operating Procedure Number and Constraint									
31	RT	(7110)	PGAE	Humboldt	3/5/2019	32	No	INC	8	7:40	15:00
20	БТ	Operating Procedure Number and Constraint		l luura la a lalt	2/0/2040	20	NIE		4	45.00	10.00
32	RT	(7110) Operating Procedure Number and Constraint	PGAE	Humboldt	3/6/2019	32	No	DEC	1	15:00	16:00
33	RT	(7110)	PGAE	Humboldt	3/6/2019	32	No	INC	8	7:30	15:00
- 55		Operating Procedure Number and Constraint	FUAL	Παπιροίαι	5/0/2013	52			0	1.50	13.00
34	RT	(7110)	PGAE	Humboldt	3/7/2019	14 - 32	No	INC	18	6:25	0:00
		Operating Procedure Number and Constraint									
35	RT	(7110)	PGAE	Humboldt	3/8/2019	32	No	INC	17	5:40	22:00
		Operating Procedure Number and Constraint									
36	RT	(7110)	PGAE	Humboldt	3/14/2019	32	No	DEC	1	7:55	8:00

Num	Mar ket Typ		Locatio	Local Reliability			Co mm itm	INC_	Hou	Begin	End
ber	е	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
37	RT	Operating Procedure Number and Constraint (7110)	PGAE	Humboldt	3/14/2019	32	No	INC	4	8:05	12:00
38	RT	Operating Procedure Number and Constraint (7110)	PGAE	Humboldt	3/15/2019	14	No	INC	3	20:35	23:00
39	RT	Operating Procedure Number and Constraint (7110)	PGAE	Humboldt	3/16/2019	14 - 28	No	INC	15	8:50	23:00
40	RT	Operating Procedure Number and Constraint (7110)	PGAE	Humboldt	3/19/2019	32	No	INC	6	6:30	12:00
41	RT	Operating Procedure Number and Constraint (7110)	PGAE	Humboldt	3/25/2019	32	No	INC	8	6:50	14:00
42	RT	Operating Procedure Number and Constraint (7110)	PGAE	Humboldt	3/26/2019	32	No	INC	16	6:50	22:00
43	RT	Other Reliability Requirement	PGAE	Fresno	3/4/2019	3	No	DEC	1	12:45	13:45
44	RT	Planned Transmission Outage	PGAE	Fresno	3/11/2019	32	No	DEC	1	7:50	8:00
45	RT	Planned Transmission Outage	PGAE	Fresno	3/11/2019	32	No	INC	1	8:00	9:00
46	RT	Planned Transmission Outage	PGAE	Humboldt	3/2/2019	15 - 32	No	INC	20	0:00	20:00
47	RT	Planned Transmission Outage	PGAE	Humboldt	3/7/2019	30	No	INC	8	9:30	17:15
48	RT	Planned Transmission Outage	PGAE	Humboldt	3/11/2019	14	No	DEC	12	8:00	20:00
49	RT	Planned Transmission Outage	PGAE	Humboldt	3/11/2019	14 - 32	No	INC	15	9:00	0:00
50	RT	Planned Transmission Outage	PGAE	Humboldt	3/12/2019	14	No	DEC	1	17:00	17:15
51	RT	Planned Transmission Outage	PGAE	Humboldt	3/12/2019	14 - 42	No	INC	24	0:00	0:00
52	RT	Planned Transmission Outage	PGAE	Humboldt	3/13/2019	28	No	DEC	4	18:00	22:00
53	RT	Planned Transmission Outage	PGAE	Humboldt	3/13/2019	28 - 42	No	INC	24	0:00	0:00
54	RT	Planned Transmission Outage	PGAE	Humboldt	3/14/2019	42	No	DEC	1	18:00	19:00
55	RT	Planned Transmission Outage	PGAE	Humboldt	3/14/2019	28 - 45	No	INC	24	0:00	0:00
56	RT	Planned Transmission Outage	PGAE	Humboldt	3/15/2019	14 - 45	No	INC	20	0:00	20:00
57	RT	Planned Transmission Outage	PGAE	Humboldt	3/18/2019	32	No	INC	5	7:10	12:00
58	RT	Planned Transmission Outage	PGAE	Humboldt	3/20/2019	32	No	INC	14	8:05	21:30
59	RT	Planned Transmission Outage	PGAE	Humboldt	3/22/2019	32	No	INC	17	7:15	0:00
60	RT	Planned Transmission Outage	PGAE	Humboldt	3/23/2019	32	No	INC	1	0:00	1:00

	Mar ket						Co mm				
Num ber	Тур е	Reason	Locatio n	Local Reliability Area	Trade Date	MW	itm ent	INC_ DEC	Hou rs	Begin Time	End Time
61	RT	Planned Transmission Outage	PGAE	Humboldt	3/26/2019	14	No	INC	16	8:50	0:00
62	RT	Planned Transmission Outage	PGAE	Humboldt	3/27/2019	14 - 32	No	INC	8	6:10	13:15
63	RT	Planned Transmission Outage	PGAE	Humboldt	3/28/2019	14 - 28	No	INC	19	5:45	0:00
64	RT	Planned Transmission Outage	PGAE	Humboldt	3/29/2019	14 - 32	No	INC	24	0:00	0:00
65	RT	Planned Transmission Outage	PGAE	Humboldt	3/30/2019	15 - 30	No	INC	23	0:00	23:00
66	RT	Planned Transmission Outage	PGAE	Humboldt	3/31/2019	14	No	INC	8	7:00	14:15
67	RT	Planned Transmission Outage	PGAE	NA	3/28/2019	50	No	INC	3	2:50	5:30
68	RT	Planned Transmission Outage	PGAE	NCNB	3/14/2019	62 - 70	No	DEC	5	7:45	12:00
69	RT	Planned Transmission Outage	PGAE	NCNB	3/15/2019	62	No	DEC	1	8:00	8:05
70	RT	Planned Transmission Outage	PGAE	Sierra	3/13/2019	12	No	DEC	2	5:55	7:00
71	RT	Planned Transmission Outage	PGAE	Sierra	3/15/2019	12	No	DEC	3	6:00	9:00
72	RT	Planned Transmission Outage	PGAE	Sierra	3/18/2019	50 - 137	No	DEC	9	8:00	16:30
73	RT	Planned Transmission Outage	PGAE	Sierra	3/18/2019	0 - 137	No	INC	10	7:15	16:30
74	RT	Planned Transmission Outage	PGAE	Sierra	3/25/2019	30	No	INC	5	19:00	0:00
75	RT	Planned Transmission Outage	PGAE	Sierra	3/26/2019	30	No	INC	8	0:00	8:00
76	RT	Planned Transmission Outage	PGAE	Sierra	3/28/2019	30	No	INC	1	6:00	7:00
77	RT	Planned Transmission Outage	PGAE	Stockton	3/21/2019	90	No	INC	2	10:00	12:00
		¥		Big Creek-							
78	RT	Planned Transmission Outage	SCE	Ventura	3/9/2019	441	No	DEC	8	10:05	17:15
				Big Creek-							
79	RT	Planned Transmission Outage	SCE	Ventura	3/9/2019	441	No	INC	1	12:00	13:00
80	RT	Planned Transmission Outage	SCE	Big Creek- Ventura	3/11/2019	465	No	DEC	1	8:00	9:00
81	RT	Planned Transmission Outage	SCE	LA Basin	3/6/2019	65 - 200	No	INC	6	9:05	9.00
82	RT	Planned Transmission Outage	SCE	LA Basin	3/11/2019	65	No	INC	6	9.05	19:00
83	RT	Planned Transmission Outage	SCE	LA Basin	3/23/2019	120	No	INC	0	21:45	22:45
84	RT	Planned Transmission Outage	SCE	NA			No	INC	7	17:00	0:00
85	RT	Planned Transmission Outage	SCE	NA	3/23/2019 200 3/24/2019 200		No	DEC	1	0:00	1:00
86	RT	Planned Transmission Outage	SDGE	San Diego-IV	3/19/2019	30 - 44	No	INC	8	7:20	15:00
00	Γ	Fianned Transmission Oulage	SDGE	San Diego-IV	3/19/2019	30 - 44	INO		Ø	1.20	15.00

	Mar ket						Co mm				
Num	Тур	Passan	Locatio	Local Reliability	Trada Data	B.#\\A/	itm	INC_ DEC	Hou	Begin	End
ber	е	Reason	n	Area	Trade Date	<b>MW</b> 156 -	ent	DEC	rs	Time	Time
87	RT	Ramping Capacity	PGAE	Fresno	3/23/2019	183	No	INC	1	17:55	18:00
88	RT	Ramping Capacity	PGAE	Fresno	3/31/2019			DEC	1	19:00	20:00
89	RT	Ramping Capacity	PGAE	Fresno	3/31/2019	83	No	INC	5	17:40	22:00
90	RT	Ramping Capacity	SCE	LA Basin	3/23/2019	65	No	INC	3	18:10	21:00
91	RT	Software Limitation	PGAE	Bay Area	3/12/2019	287.03	No	DEC	1	14:30	15:00
92	RT	Software Limitation	PGAE	Fresno	3/28/2019	-318	No	INC	3	15:00	18:00
93	RT	Software Limitation	PGAE	Fresno	3/29/2019	-319	No	INC	7	11:00	18:00
94	RT	Software Limitation	PGAE	Fresno	3/30/2019	-318.5	No	INC	8	9:00	17:00
95	RT	Software Limitation	PGAE	Humboldt	3/30/2019	0	No	INC	1	23:20	0:00
96	RT	Software Limitation	PGAE	Humboldt	3/31/2019	0	No	INC	1	0:00	0:20
97	RT	Software Limitation	PGAE	NA	3/12/2019	350	No	DEC	1	14:30	15:00
98	RT	Software Limitation	PGAE	NCNB	3/13/2019	75 - 80	No	DEC	7	9:20	15:30
99	RT	Software Limitation	SCE	LA Basin	3/6/2019	65	No	INC	2	15:05	16:30
100	RT	Software Limitation	SCE	NA	3/15/2019	0	No	INC	8	9:35	17:35
101	RT	Software Limitation	SDGE	San Diego-IV	3/12/2019	0	No	INC	2	14:30	15:35
102	RT	SOL	PGAE	Humboldt	3/22/2019	32	No	INC	4	7:45	11:00
103	RT	SOL	PGAE	Humboldt	3/25/2019	30	No	INC	2	22:15	23:45
104	RT	Unit Testing	PGAE	Bay Area	3/24/2019	141.02	No	INC	2	22:30	0:00
						141.02 -					
105	RT	Unit Testing	PGAE	Bay Area	3/25/2019	250	No	INC	24	0:00	0:00
106	RT	Unit Testing	PGAE	Fresno	3/5/2019	7	No	DEC	1	18:20	19:15
107	RT	Unit Testing	PGAE	NA	3/5/2019	25	No	INC	1	18:20	19:15
108	RT	Unit Testing	PGAE	Sierra	3/28/2019	160	No	INC	1	9:05	9:40
109	RT	Unit Testing	SCE	LA Basin	3/5/2019	110	No	INC	1	8:20	9:00
110	RT	Unit Testing	SCE	LA Basin	3/19/2019	49 - 96	No	INC	2	6:00	7:05
111	RT	Unit Testing	SCE	LA Basin	3/20/2019	96	No	INC	2	6:05	7:15
112	RT	Unit Testing	SCE	LA Basin	3/22/2019	96	No	INC	1	19:45	20:45
113	RT	Unit Testing	SDGE	San Diego-IV	3/13/2019	38	No	INC	1	19:05	19:50

Num	Mar ket Typ		Locatio	Local Reliability			Co mm itm	INC	Hou	Begin	End
ber	e	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
						142 -					
114	RT	Unplanned Outage	PGAE	Bay Area	3/26/2019	330	No	INC	10	14:35	0:00
115	RT	Unplanned Outage	PGAE	Bay Area	3/27/2019	142	No	INC	1	0:00	1:00
116	RT	Voltage Support	PGAE	Fresno	3/1/2019	-334	No	DEC	4	0:00	4:00
117	RT	Voltage Support	PGAE	Fresno	3/1/2019	83	No	INC	1	4:30	5:30
118	RT	Voltage Support	PGAE	Fresno	3/2/2019	-333	No	DEC	24	0:30	0:00
119	RT	Voltage Support	PGAE	Fresno	3/3/2019	-333	No	DEC	24	0:00	0:00
						-333					
120	RT	Voltage Support	PGAE	Fresno	3/4/2019	327	No	DEC	24	0:00	0:00
121	RT	Voltage Support	PGAE	Fresno	3/5/2019	-327	No	DEC	24	0:00	0:00
100	БТ		DOAE	<b>F</b>	0/0/0040	-327	NL.		0.4	0.00	0.00
122	RT	Voltage Support	PGAE	Fresno	3/6/2019	324	No	DEC	24	0:00	0:00
123	RT	Voltage Support	PGAE	Fresno -	3/7/2019	-324	No	DEC	5	0:00	5:00
124	RT	Voltage Support	PGAE	Fresno	3/8/2019	-324	No	DEC	1	23:00	0:00
125	RT	Voltage Support	PGAE	Fresno	3/9/2019	-324 323	No	DEC	16	0:00	16:00
125			FOAL	1165110	5/9/2019	-332	INU	DLC	10	0.00	10.00
126	RT	Voltage Support	PGAE	Fresno	3/10/2019	322	No	DEC	23	0:00	0:00
127	RT	Voltage Support	PGAE	Fresno	3/10/2019	83	No	INC	14	4:15	18:00
128	RT	Voltage Support	PGAE	Fresno	3/11/2019	-322	No	DEC	24	0:00	0:00
129	RT	Voltage Support	PGAE	Fresno	3/11/2019	83	No	INC	2	16:30	18:00
130	RT	Voltage Support	PGAE	Fresno	3/12/2019	-322	No	DEC	24	0:00	0:00
131	RT	Voltage Support	PGAE	Fresno	3/13/2019	-322	No	DEC	5	0:00	4:45
132	RT	Voltage Support	PGAE	Fresno	3/16/2019	-320.5	No	DEC	8	1:00	9:00
					3,	-330			-		
133	RT	Voltage Support		Fresno	3/17/2019	318	No	DEC	15	1:00	16:00
134	RT	Voltage Support		Fresno	3/17/2019	83	No	INC	1	16:15	17:15
135	RT	Voltage Support	PGAE	Fresno	3/18/2019	-315	No	DEC	4	0:40	4:00
136	RT	Voltage Support	PGAE	Fresno	3/18/2019 83		No	INC	2	4:30	6:00
137	RT	Voltage Support	PGAE	Fresno	3/23/2019	-317 - 83	No	DEC	20	3:10	23:00

	Mar						Со				
Num ber	ket Typ e	Reason	Locatio Local Reliability n Area		Trade Date	MW	mm itm ent	INC_ DEC	Hou rs	Begin Time	End Time
138	RT	Voltage Support	PGAE	Fresno	3/23/2019	83	No	INC	1	23:00	0:00
139	RT	Voltage Support	PGAE	Fresno	3/24/2019	83	No	INC	8	0:00	7:25
140	RT	Voltage Support	PGAE	Fresno	3/25/2019	-317	No	DEC	4	0:45	4:45
141	RT	Voltage Support	PGAE	Fresno	3/27/2019	-317	No	DEC	4	2:15	5:45
142	RT	Voltage Support	PGAE	Fresno	3/29/2019	83	No	INC	1	23:00	0:00
143	RT	Voltage Support	PGAE	Fresno	3/30/2019	83	No	DEC	1	0:00	1:00
144	RT	Voltage Support	PGAE	Fresno	3/30/2019	83	No	INC	4	1:00	5:00
145	RT	Voltage Support	PGAE	Fresno	3/31/2019	-318	No	DEC	2	5:00	7:00
146	RT	Voltage Support	PGAE	Fresno	3/31/2019	-318	No	INC	1	4:00	5:00
147	RT	Voltage Support	PGAE	NA	3/2/2019	49	No	INC	1	23:35	0:00
						48.95 -					
148	RT	Voltage Support	PGAE	NA	3/3/2019	49	No	INC	24	0:00	0:00
149	RT	Voltage Support	PGAE	NA	3/4/2019	48.95	No	INC	6	0:00	6:00

## Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

## Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	А	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	В	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	С	SCE	LA BASIN	09:00	23:00	20	7630

### **Table 2: Instructions Prior to Day-Ahead Market**

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

						-			-		-
Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20- 100	Yes	N/A	19	05:00	23:0

## Table 3: FERC Summary of Instructions Prior to DAM

### Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	А	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	В	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	С	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	С	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

## Table 4: Incremental Exceptional Dispatch Instructions in RTM

23:00

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

#### Table 5: FERC Summary of ED Instructions in RTM

## **Example 3: Decremental Exceptional Dispatch Instructions in RTM**

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/ DEC	ED (MW)	Reason
01-Jul-09	RT	А	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	В	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	С	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

## Table 6: Decremental Exceptional Dispatch Instructions in RTM

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

### **CERTIFICATE OF SERVICE**

I certify that I have served the foregoing document upon the parties listed on the official service list in the captioned proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California, this 15<sup>th</sup> day of May, 2019.

<u>Isl Anna Pascuzzo</u>

Anna Pascuzzo