

September 15, 2014

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

> **California Independent System Operator Corporation** Re: Docket Nos. ER08-1178- , and EL08-88-July 2014 Exceptional Dispatch Report (Chart 1 data)

Dear Secretary Bose:

Pursuant to the Commission's September 2, 2009, and May 4, 2010, orders in the above referenced dockets, the California Independent System Operator Corporation submits the attached report. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 order, as modified by the ISO's September 14 motion for clarification, which the Commission granted in its May 4 order. The attached report provides Chart 1 data for the month of July 2014.

Respectfully submitted,

By: /s/ Sidney M. Davies_

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Exceptional Dispatch Report

Table 1: July 2014

TABLE OF CONTENTS

Introduction	3
The Nature of Exceptional Dispatch	3
Appendix A: Explanation by Example	
Example 1: Exceptional Dispatch Instructions Prior to DAM	
Example 2: Incremental Exceptional Dispatch Instructions in RTM	
Example 3: Decremental Exceptional Dispatch Instructions in RTM	16
LIST OF TABLES AND FIGURES	
	_
Table 1: Exceptional Dispatches in July 2014	
Table 2: Instructions Prior to Day-Ahead Market	13
Table 3: FERC Summary of Instructions Prior to DAM	14
Table 4: Incremental Exceptional Dispatch Instructions in RTM	14
Table 5: FERC Summary of ED Instructions in RTM	15
Table 6: Decremental Exceptional Dispatch Instructions in RTM	16
Table 7: FERC Summary of Decremental ED Instructions in RTM	16

Introduction

This report is filed pursuant to FERC's September 2, 2009 and June 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one issued on the 30th of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in July 2014. On December 19, 2013, the ISO implemented a new exceptional dispatch tool. This tool improves the ISO's ability to automate the production of the report and provides more granularity and consistency concerning the reasons for the exceptional dispatch.

The Nature of Exceptional Dispatch

The ISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch. A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. For the purposes of this report, a real-time exceptional dispatch above the resource day-ahead award is considered an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is considered a decremental dispatch instruction.

The ISO issues exceptional dispatch instructions primarily for constraints which are not enforced or not completely enforced in the market software. Whenever the ISO issues an exceptional dispatch instruction, such instructions are logged into the scheduling and logging system ("SLIC"), including the associated reason. These reasons are associated with the constraints that are not currently incorporated into the market application. In addition to model constraints, the ISO also issues exceptional dispatch instructions for software failures.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other requirements, such as ramp requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website.²

The ISO can issue exceptional dispatch instructions subject to authority of the ISO Tariff Section 34.9 and in accordance with ISO Operating Procedure 2330 (formerly M-402).

² A list of all of the ISO's publicly available Operating Procedures are available at the following link: http://www.caiso.com/thegrid/operations/opsdoc/index.html

The following reason for exceptional dispatch instructions in July 2014 was not related to specific generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the ISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 until 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the ISO issues an exceptional dispatch to commit this resource in 2400 so that it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System ("ADS") failure, or similar issues. There were a few other reasons used to explain exceptional dispatch instructions in July, which are self explanatory.

As mentioned earlier, the data shown in Table 1 is based on a template specified in the September 2009 order.³ Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner ("PTO") service area; (3) the Local Reliability Area ("LRA") where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC/NA column specifies if there was an incremental dispatch, a decremental dispatch, or only a unit commitment. If the exceptional dispatch was only a unit commitment, the column shows NA for the classification. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates that there were a total of 153 exceptional dispatches in July 2014, as compared to 174 exceptional dispatches in May 2014. Exceptional dispatches issued for the following reasons accounted for approximately 72 percent of the total exceptional dispatches during the reporting period: software

³ The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

limitation, Operating Procedure Number and Constraint, planned transmission outage and Unit Testing.

Table 1: Exceptional Dispatches in July 2014

California Independent System Operator Corporation Exceptional Dispatch Report September 15, 2014

Chart 1: Table of Exceptional Dispatches for Period 01/July/2014 - 31/July/2014

	Mar						Co				
Num	ket Typ		Locatio	Local Reliability			mmi tme	INC	Hou	Begin	End
ber	e	Reason	n	Area	Trade Date	MW	nt	DEC	rs	Time	Time
1	RT	Bridging Schedules	SCE	LA Basin	26-Jul-14	10	Yes	INC	2	22:00	23:59
2	RT	Contingency Dispatch	SCE	Big Creek-Ventura	19-Jul-14	238	Yes	INC	1	21:05	21:59
3	RT	Contingency Dispatch	SCE	LA Basin	19-Jul-14	12	No	INC	1	21:15	21:59
4	RT	Contingency Dispatch	SCE	LA Basin	19-Jul-14	895	No	INC	1	21:00	21:59
5	RT	Contingency Dispatch	SDG&E	San Diego-IV	19-Jul-14	33	No	INC	1	21:15	21:59
6	RT	Fast Start Unit Management	PG&E	Fresno	7-Jul-14	50	No	INC	9	13:40	21:59
7	RT	Fast Start Unit Management	PG&E	Fresno	21-Jul-14	40- 90	No	INC	9	15:20	23:59
8	RT	Fast Start Unit Management	PG&E	Fresno	22-Jul-14	40	No	INC	1	6:15	6:59
9	RT	Fast Start Unit Management	PG&E	Fresno	22-Jul-14	40- 50	No	INC	17	1:15	17:59
10	RT	Fast Start Unit Management	PG&E	Fresno	27-Jul-14	20- 60	No	INC	7	4:40	10:59
11	RT	Fast Start Unit Management	PG&E	Fresno	28-Jul-14	20- 50	No	INC	6	18:25	23:59
12	RT	Fast Start Unit Management	SCE	Big Creek-Ventura	18-Jul-14	0	No	INC	1	17:35	18:14
13	RT	Fast Start Unit Management	SCE	LA Basin	18-Jul-14	48	No	INC	2	17:30	18:39
14	RT	Fast Start Unit Management	SCE	LA Basin	18-Jul-14	48	No	INC	2	17:00	18:39
15	RT	Fast Start Unit Management	SDG&E	San Diego-IV	18-Jul-14	0	No	INC	1	17:35	18:34
16	RT	Fast Start Unit Management	SDG&E	San Diego-IV	23-Jul-14	25	No	INC	7	13:30	19:59
17	RT	Incomplete or Inaccurate Transmission	PG&E	Fresno	10-Jul-14	40- 50	No	INC	12	10:00	21:59
18	RT	Incomplete or Inaccurate Transmission	PG&E	Kern	4-Jul-14	141	No	INC	1	10:00	10:59
19	RT	Incomplete or Inaccurate Transmission	PG&E	N/A	10-Jul-14	190- 750	No	INC	5	14:46	18:59
20	RT	Incomplete or Inaccurate Transmission	PG&E	N/A	29-Jul-14	300	No	INC	6	11:20	16:59

	Mar						Co _.				
Mirro	ket		Lacatio	Lead Deliability			mmi	INC	LIA	Bogin	End
Num ber	Typ e	Reason	Locatio	Local Reliability Area	Trade Date	MW	tme nt	DEC_	Hou rs	Begin Time	End Time
21	RT	Incomplete or Inaccurate Transmission	PG&E	Sierra	4-Jul-14	37	No	INC	14	10:00	23:59
22	RT	Intertie Emergency Assistance	Intertie	N/A	21-Jul-14	120	No	INC	1	21:38	21:59
23	RT	Intertie Emergency Assistance	Intertie	N/A	28-Jul-14	200	No	INC	1	16:00	16:59
24	RT	Load Forecast Uncertainty	PG&E	Kern	26-Jul-14	141	No	INC	1	10:00	10:59
25	RT	Load Forecast Uncertainty	PG&E	N/A	28-Jul-14	52	No	INC	10	14:00	23:59
26	RT	Load Forecast Uncertainty	PG&E	N/A	30-Jul-14	52	No	INC	2	22:00	23:59
27	RT	Load Forecast Uncertainty	PG&E	Sierra	26-Jul-14	42	Yes	INC	1	10:00	10:59
28	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	28-Jul-14	50	No	INC	14	10:00	23:59
29	RT	Load Forecast Uncertainty	SCE	LA Basin	7-Jul-14	25- 70	Yes	INC	23	1:00	23:59
30	RT	Load Forecast Uncertainty	SCE	LA Basin	8-Jul-14	10	Yes	INC	15	9:00	23:59
31	RT	Load Forecast Uncertainty	SCE	LA Basin	11-Jul-14	10	No	INC	1	15:00	15:29
32	RT	Load Forecast Uncertainty	SCE	LA Basin	13-Jul-14	25	No	INC	17	7:00	23:59
33	RT	Load Forecast Uncertainty	SCE	LA Basin	15-Jul-14	10	Yes	INC	23	1:00	23:59
34	RT	Load Forecast Uncertainty	SCE	LA Basin	28-Jul-14	50	No	INC	17	7:00	23:59
35	RT	Load Forecast Uncertainty	SCE	LA Basin	30-Jul-14	50	No	INC	1	17:05	17:59
36	RT	Load Forecast Uncertainty	SCE	N/A	7-Jul-14	80	Yes	INC	16	8:00	23:59
37	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	7-Jul-14	40	No	INC	15	9:00	23:59
38	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	27-Jul-14	20	No	INC	22	2:00	23:59
39	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	28-Jul-14	20	No	INC	2	22:00	23:59
40	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	28-Jul-14	20- 136	No	INC	17	7:00	23:59
41	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	29-Jul-14	20	No	INC	3	21:00	23:59
42	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	31-Jul-14	20	Yes	INC	1	23:00	23:59
43	RT	Market Disruption	Intertie	N/A	28-Jul-14	150- 290	No	INC	2	16:00	17:59
44	RT	Market Disruption	PG&E	Bay Area	25-Jul-14	490- 680	No	INC	2	13:23	14:59
45	RT	Market Disruption	PG&E	Fresno	28-Jul-14	95	No	INC	2	15:03	16:14
46	RT	Market Disruption	PG&E	N/A	25-Jul-14	500	No	INC	2	13:25	14:59
47	RT	Market Disruption	PG&E	N/A	28-Jul-14	450	No	INC	1	15:10	15:29
48	RT	Market Disruption	PG&E	Sierra	28-Jul-14	96- 144	No	INC	2	15:18	16:24
49	RT	Market Disruption	SCE	LA Basin	25-Jul-14	500	No	INC	2	13:14	14:59

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Num	Тур		Locatio	Local Reliability			tme	INC	Hou	Begin	End
ber	e	Reason	n	Area	Trade Date	MW	nt	DEC	rs	Time	Time
50	RT	Market Disruption	SCE	LA Basin	28-Jul-14	600	No	INC	2	15:17	16:29
51	RT	Market Disruption	SDG&E	San Diego-IV	25-Jul-14	630	No	INC	2	13:32	14:59
52	RT	MSG Plant Startup	PG&E	Fresno	25-Jul-14	2	No	INC	1	14:40	15:29
53	RT	Operating Procedure Number and Constraint	PG&E	Fresno	1-Jul-14	92- 250	No	INC	12	10:10	21:59
54	RT	Operating Procedure Number and Constraint	PG&E	Fresno	2-Jul-14	92	No	INC	11	10:33	20:59
55	RT	Operating Procedure Number and Constraint	PG&E	Fresno	3-Jul-14	50- 133	No	INC	8	7:35	14:59
56	RT	Operating Procedure Number and Constraint	PG&E	Fresno	4-Jul-14	40	No	INC	14	10:45	23:59
57	RT	Operating Procedure Number and Constraint	PG&E	Fresno	5-Jul-14	20	No	INC	1	15:30	15:59
58	RT	Operating Procedure Number and Constraint	PG&E	Fresno	6-Jul-14	46- 48	No	INC	7	13:20	19:59
59	RT	Operating Procedure Number and Constraint	PG&E	Fresno	10-Jul-14	46- 92	No	INC	2	2:00	3:29
60	RT	Operating Procedure Number and Constraint	PG&E	Fresno	11-Jul-14	70	No	INC	1	0:05	0:59
61	RT	Operating Procedure Number and Constraint	PG&E	Fresno	12-Jul-14	30- 60	No	INC	15	8:00	22:59
62	RT	Operating Procedure Number and Constraint	PG&E	Fresno	14-Jul-14	60- 80	No	INC	13	9:55	22:29
63	RT	Operating Procedure Number and Constraint	PG&E	Fresno	16-Jul-14	80	No	INC	13	11:30	23:59
64	RT	Operating Procedure Number and Constraint	PG&E	Fresno	17-Jul-14	40	No	INC	10	12:45	21:59
65	RT	Operating Procedure Number and Constraint	PG&E	Fresno	18-Jul-14	45- 245	No	INC	5	19:30	23:59
66	RT	Operating Procedure Number and Constraint	PG&E	Fresno	19-Jul-14	20- 46	No	INC	19	4:25	22:59
67	RT	Operating Procedure Number and Constraint	PG&E	Fresno	20-Jul-14	40- 84	No	INC	10	14:35	23:59
68	RT	Operating Procedure Number and Constraint	PG&E	Fresno	22-Jul-14	40	No	INC	20	4:45	23:59
69	RT	Operating Procedure Number and Constraint	PG&E	Fresno	24-Jul-14	20	No	INC	6	1:40	6:59
70	RT	Operating Procedure Number and Constraint	PG&E	Fresno	25-Jul-14	20	No	INC	5	5:35	9:59
71	RT	Operating Procedure Number and Constraint	PG&E	Fresno	26-Jul-14	52- 70	No	INC	8	15:40	22:44
72	RT	Operating Procedure Number and Constraint	PG&E	Fresno	28-Jul-14	20- 50	No	INC	8	6:15	13:59
73	RT	Operating Procedure Number and Constraint	PG&E	Fresno	31-Jul-14	60- 80	No	INC	15	9:00	23:59
74	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	1-Jul-14	15	No	INC	1	23:30	23:59
75	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	1-Jul-14	30- 60	No	INC	24	0:00	23:59
76	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	2-Jul-14	30	No	INC	1	0:00	0:59
77	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	3-Jul-14	15- 45	No	INC	16	5:20	20:24
78	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	30-Jul-14	30	No	INC	1	9:40	10:14

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Num ber	Typ e	Reason	Locatio	Local Reliability Area	Trade Date	MW	tme nt	INC_ DEC	Hou rs	Begin Time	End Time
79	RT	Operating Procedure Number and Constraint	PG&E	Sierra	1-Jul-14	40	Yes	INC	1	20:20	20:59
80	RT	Operating Procedure Number and Constraint	PG&E	Stockton	3-Jul-14	20- 50	No	INC	2	18:30	20:14
81	RT	Operating Procedure Number and Constraint	PG&E	Stockton	6-Jul-14	40- 50	No	INC	2	19:45	21:29
82	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	1-Jul-14	240- 350	No	INC	12	10:35	21:59
83	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	2-Jul-14	295- 296	No	INC	9	12:20	20:59
84	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	3-Jul-14	296	No	INC	11	12:00	22:14
85	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	4-Jul-14	300	No	INC	12	12:05	23:59
86	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	5-Jul-14	175- 300	No	INC	22	2:05	23:59
87	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	6-Jul-14	150- 300	No	INC	19	4:25	23:14
88	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	7-Jul-14	175- 250	No	INC	21	3:15	23:59
89	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	8-Jul-14	285- 580	No	INC	2	18:25	20:14
90	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	11-Jul-14	200- 317	No	INC	7	12:45	18:59
91	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	13-Jul-14	316	No	INC	4	17:00	20:59
92	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	14-Jul-14	250	No	INC	2	12:40	13:44
93	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	16-Jul-14	200- 317	No	INC	10	10:05	19:59
94	RT	Operating Procedure Number and Constraint	SCE	Big Creek-Ventura	17-Jul-14	200- 550	No	INC	4	19:30	22:59
95	RT	Operating Procedure Number and Constraint	SCE	LA Basin	16-Jul-14	130	No	INC	8	12:15	19:59
96	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	23-Jul-14	68	No	INC	2	12:05	13:59
97	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	24-Jul-14	68	No	INC	1	11:00	11:59
98	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	31-Jul-14	15	No	INC	6	10:30	15:59
99	RT	Other Reliability Requirement	Intertie	N/A	18-Jul-14	0	No	INC	1	16:15	16:19
100	RT	Other Reliability Requirement	PG&E	Fresno	11-Jul-14	30- 84	No	INC	7	15:20	21:59
101	RT	Other Reliability Requirement	PG&E	Fresno	18-Jul-14	35	No	INC	2	1:25	2:34
102	RT	Other Reliability Requirement	PG&E	Fresno	18-Jul-14	15- 20	No	INC	10	2:35	11:59
103	RT	Other Reliability Requirement	PG&E	Fresno	21-Jul-14	48	No	INC	7	16:30	22:59
104	RT	Other Reliability Requirement	PG&E	Humboldt	1-Jul-14	30	No	INC	4	1:00	4:44
105	RT	Other Reliability Requirement	PG&E	Humboldt	25-Jul-14	30	No	INC	9	14:50	22:59
106	RT	Other Reliability Requirement	PG&E	N/A	19-Jul-14	141	No	INC	17	7:00	23:59
107	RT	Other Reliability Requirement	PG&E	N/A	31-Jul-14	141- 900	No	INC	14	8:00	21:59

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Mirro	ket		Lagatia	Lead Paliability			mmi	INC	Ha	Bogin	End
Num ber	Typ e	Reason	Locatio	Local Reliability Area	Trade Date	MW	tme nt	DEC_	Hou rs	Begin Time	End Time
108	RT	Other Reliability Requirement	PG&E	NCNB	22-Jul-14	111	No	INC	7	5:50	12:39
109	RT	Other Reliability Requirement	PG&E	NCNB	23-Jul-14	187	No	INC	10	3:00	12:59
110	RT	Other Reliability Requirement	PG&E	Stockton	14-Jul-14	140- 200	No	INC	8	14:42	21:59
111	RT	Other Reliability Requirement	PG&E	Stockton	25-Jul-14	22	No	INC	5	18:32	23:11
112	RT	Other Reliability Requirement	PG&E	Stockton	28-Jul-14	22	No	INC	6	16:43	21:59
113	RT	Other Reliability Requirement	SCE	Big Creek-Ventura	15-Jul-14	520- 780	No	INC	3	11:16	13:59
114	RT	Other Reliability Requirement	SCE	LA Basin	19-Jul-14	20	No	INC	12	12:00	23:59
115	RT	Other Reliability Requirement	SDG&E	San Diego-IV	30-Jul-14	68	No	INC	7	12:30	18:59
116	RT	Other Reliability Requirement	SDG&E	San Diego-IV	31-Jul-14	155	No	INC	8	8:00	15:59
117	RT	Over Generation	SCE	LA Basin	29-Jul-14	550	No	INC	1	15:16	15:29
118	RT	Planned Transmission Outage and Constraint	PG&E	Bay Area	22-Jul-14	30	No	INC	7	9:00	15:19
119	RT	Planned Transmission Outage and Constraint	PG&E	Fresno	3-Jul-14	50	No	INC	1	7:10	7:59
120	RT	Planned Transmission Outage and Constraint	PG&E	Fresno	6-Jul-14	20- 48	No	INC	3	0:25	2:29
121	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	1-Jul-14	15	No	INC	3	21:10	23:29
122	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	1-Jul-14	15- 60	No	INC	19	5:05	23:59
123	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	2-Jul-14	30- 174	No	INC	23	1:00	23:59
124	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	3-Jul-14	15- 120	No	INC	19	0:10	19:09
125	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	7-Jul-14	15	No	INC	1	8:20	8:59
126	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	13-Jul-14	30	No	INC	4	17:55	20:59
127	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	14-Jul-14	60- 72	No	INC	4	16:36	19:59
128	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	15-Jul-14	30- 280	No	INC	10	12:39	21:59
129	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	26-Jul-14	38	No	INC	5	16:48	20:59
130	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	27-Jul-14	40	No	INC	4	17:15	20:59
131	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	28-Jul-14	15- 45	No	INC	3	12:25	14:39
132	RT	Planned Transmission Outage and Constraint	PG&E	N/A	14-Jul-14	300	No	INC	1	16:25	16:59
133	RT	Planned Transmission Outage and Constraint	PG&E	N/A	30-Jul-14	175- 611	No	INC	11	13:15	23:59
134	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	15-Jul-14	75- 351	No	INC	8	5:25	12:59
135	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	16-Jul-14	165	No	INC	5	9:15	13:59
136	RT	Planned Transmission Outage and Constraint	PG&E	Stockton	26-Jul-14	45	No	INC	1	19:25	19:59

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Num	Тур		Locatio	Local Reliability			mmi tme	INC	Hou	Begin	End
ber	e	Reason	n	Area	Trade Date	MW	nt	DEC	rs	Time	Time
137	RT	Planned Transmission Outage and Constraint	SCE	Big Creek-Ventura	2-Jul-14	290- 295	No	INC	1	11:55	12:44
138	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	16-Jul-14	30	No	INC	4	14:18	17:59
139	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	17-Jul-14	30	No	INC	12	8:50	19:59
140	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	25-Jul-14	20	No	INC	10	7:00	16:59
141	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	29-Jul-14	63- 68	No	INC	8	7:35	14:59
142	RT	Pump Management	PG&E	Fresno	18-Jul-14	-316	No	INC	2	6:15	7:19
143	RT	Pump Management	PG&E	Fresno	27-Jul-14	-320	No	INC	2	8:05	9:29
144	RT	Shutdown	PG&E	Fresno	19-Jul-14	0	No	INC	1	1:10	1:39
145	RT	Shutdown	SCE	Big Creek-Ventura	19-Jul-14	0	No	INC	1	23:45	23:59
146	RT	Shutdown	SCE	LA Basin	17-Jul-14	0	No	INC	1	19:50	20:44
147	RT	Software Limitation	PG&E	Bay Area	30-Jul-14	0	No	INC	2	17:45	18:49
148	RT	Software Limitation	PG&E	Fresno	27-Jul-14	-320	No	INC	1	5:35	5:59
149	RT	Software Limitation	PG&E	Humboldt	1-Jul-14	15	No	INC	1	5:15	5:19
150	RT	Software Limitation	PG&E	N/A	4-Jul-14	0	No	INC	1	7:00	7:59
151	RT	Software Limitation	SCE	LA Basin	12-Jul-14	10	Yes	INC	20	4:00	23:59
152	RT	Start-Up Instructions	PG&E	Bay Area	23-Jul-14	0	No	INC	1	1:15	1:44
153	RT	Start-Up Instructions	PG&E	Bay Area	30-Jul-14	0	No	INC	2	17:45	18:49
154	RT	Start-Up Instructions	PG&E	Fresno	18-Jul-14	20- 35	No	INC	5	1:45	6:44
155	RT	Start-Up Instructions	PG&E	Fresno	19-Jul-14	0	No	INC	5	11:40	16:29
156	RT	Start-Up Instructions	PG&E	Fresno	25-Jul-14	0	No	INC	3	15:00	17:54
157	RT	Start-Up Instructions	PG&E	Fresno	26-Jul-14	83- 150	No	INC	3	12:30	14:59
158	RT	Start-Up Instructions	PG&E	Fresno	30-Jul-14	20	No	INC	19	5:10	23:59
159	RT	Start-Up Instructions	PG&E	Fresno	31-Jul-14	20	No	INC	1	0:00	0:04
160	RT	Start-Up Instructions	PG&E	Humboldt	30-Jul-14	15	No	INC	11	9:55	20:39
161	RT	Start-Up Instructions	PG&E	Kern	19-Jul-14	0	No	INC	13	10:00	22:59
162	RT	Start-Up Instructions	PG&E	Sierra	15-Jul-14	0	No	INC	3	21:30	0:29
163	RT	Start-Up Instructions	PG&E	Sierra	16-Jul-14	20	No	INC	3	22:00	0:14
164	RT	Start-Up Instructions	SCE	Big Creek-Ventura	18-Jul-14	0	No	INC	6	18:05	23:59
165	RT	Start-Up Instructions	SCE	Big Creek-Ventura	20-Jul-14	0	No	INC	1	0:00	0:14

	Mar						Co				
Num ber	ket Typ e	Reason	Locatio n	Local Reliability Area	Trade Date	MW	mmi tme nt	INC_ DEC	Hou rs	Begin Time	End Time
166	RT	Start-Up Instructions	SCE	Big Creek-Ventura	30-Jul-14	50	No	INC	2	22:17	23:59
167	RT	Start-Up Instructions	SCE	LA Basin	8-Jul-14	0	No	INC	1	17:30	18:24
168	RT	Start-Up Instructions	SCE	LA Basin	19-Jul-14	0	No	INC	1	23:35	0:04
169	RT	Start-Up Instructions	SCE	LA Basin	20-Jul-14	0	No	INC	1	0:00	0:54
170	RT	Start-Up Instructions	SCE	LA Basin	20-Jul-14	0	No	INC	1	0:00	0:54
171	RT	Start-Up Instructions	SDG&E	San Diego-IV	18-Jul-14	0	No	INC	2	22:30	23:59
172	RT	Start-Up Instructions	SDG&E	San Diego-IV	28-Jul-14	14- 414	No	INC	11	9:15	19:59
173	RT	Unit Testing	PG&E	Bay Area	10-Jul-14	200- 299	No	INC	13	9:25	21:59
174	RT	Unit Testing	PG&E	Bay Area	15-Jul-14	92	No	INC	2	13:05	14:59
175	RT	Unit Testing	PG&E	Bay Area	17-Jul-14	175	No	INC	1	23:10	23:59
176	RT	Unit Testing	PG&E	Bay Area	18-Jul-14	175	No	INC	17	7:00	23:59
177	RT	Unit Testing	PG&E	Bay Area	30-Jul-14	382	No	INC	2	15:25	16:59
178	RT	Unit Testing	SCE	Big Creek-Ventura	11-Jul-14	150- 215	No	INC	4	12:25	15:59
179	RT	Unit Testing	SCE	LA Basin	7-Jul-14	175	No	INC	2	12:25	13:59
180	RT	Unit Testing	SCE	N/A	30-Jul-14	475- 490	No	INC	12	11:50	23:14
181	RT	Voltage Support	PG&E	N/A	17-Jul-14	141	No	INC	4	6:00	9:59

Appendix A: Explanation by Example

Market

DA

DA

DA

Date

01-Jul-09

01-Jul-09

01-Jul-09

All examples listed below are based on fictitious data.

Example 1: Exceptional Dispatch Instructions Prior to DAM

С

SCE

In this fictitious example, the ISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the ISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the dayahead market are commitments to minimum load. In this case the dispatch levels are all at minimum load.

Dispatch Resource Location Local Reliability **End Time** Reason Begin Area (LRA) Time Level (MW) SCE Α LA BASIN 05:00 10:00 50 7630 SCE 7630 В LA BASIN 08:00 20:00 30

09:00

23:00

20

Table 2: Instructions Prior to Day-Ahead Market

LA BASIN

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 2, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be some hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

7630

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20- 100	Yes	N/A	19	05:00	23:00

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the ISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource did not have a day-ahead award in those hours. The ISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	Α	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	В	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	С	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	С	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be some hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the ISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The ISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/ DEC	ED (MW)	Reason
01-Jul-09	RT	Α	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	В	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	С	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C, which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. Thus, the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service lists in the above-referenced proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 15th day of September, 2014.

<u>(s/ Jennifer Roty</u> Jennifer Rotz