

September 16, 2013

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

Re: California Independent System Operator Corporation Docket Nos. ER08-1178-___, and EL08-88-___ July 2013 Exceptional Dispatch Report (Chart 1 data)

Dear Secretary Bose:

Pursuant to the Commission's September 2, 2009 and May 4, 2010 orders in the above referenced dockets, the California Independent System Operator Corporation submits the attached report. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 order, as modified by the ISO's September 14 motion for clarification, which the Commission granted in its May 4 order. The attached report provides Chart 1 data for the month of July 2013.

Respectfully submitted,

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Exceptional Dispatch Report

Table 1: July 2013

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Introduction

This report is filed pursuant to FERC's September 2, 2009 and June 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one issued on the 30th of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in July 2013.

The Nature of Exceptional Dispatch

The ISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch¹. A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. For the purposes of this report, a real-time exceptional dispatch above the resource day-ahead award is considered an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is considered a decremental dispatch instruction.

The ISO issues exceptional dispatch instructions primarily for constraints which are not enforced or not completely enforced in the market software. Whenever the ISO issues an exceptional dispatch instruction, such instructions are logged into the scheduling and logging system ("SLIC"), including the associated reason. These reasons are associated with the constraints that are not currently incorporated into the market application. In addition to model constraints, the ISO also issues exceptional dispatch instructions for software failures.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other requirements, such as ramp requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website².

In July 2013, the ISO issued exceptional dispatches for the following generation and transmission operating requirements:

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¹ The ISO can issue exceptional dispatch instructions subject to authority of the ISO Tariff Section 34.9 and in accordance with ISO Operating Procedure 2330 (formerly M-402).

A list of all of the ISO's publicly available Operating Procedures are available at the following link: http://www.caiso.com/thegrid/operations/opsdoc/index.html

- (1) 6110, COI Master Operating procedure,
- (2) 7110, Humboldt Area
- (3) 7230, transmission facilities in Palermo Rio Oso area
- (4) 7320, Bay Area Transmission Management
- (5) 7430, transmission facilities in Fresno area
- (6) 7620, South of Lugo Generation Requirements
- (7) 7830, Procedure for both SONGS Units Off-Line

The following additional reason for exceptional dispatch instructions in July 2013 was not related to specific generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the ISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the ISO issues an exceptional dispatch to commit this resource in 2400 so that it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System ("ADS") failure, or similar issues. There were a few other reasons used to explain exceptional dispatch instructions in July, which are self explanatory.

As mentioned earlier, the data shown in Table 1 is based on a template specified in the September 2009 order³. Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner ("PTO") service area; (3) the Local Reliability Area ("LRA") where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC/NA column specifies if there was an incremental dispatch, a decremental dispatch, or only a unit commitment. If the exceptional dispatch was only a unit commitment, the column shows NA for the classification. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional

³ The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates that there were a total of 180 exceptional dispatches in July 2013, decreasing by 23 as compared to the August 15, 2013 report for June 2013. Exceptional dispatches issued for the following reasons accounted for approximately 54 percent of the total exceptional dispatches during the reporting period: software limitation, unit testing, load forecast uncertainty and 7430 transmission facilities in Fresno area and Transmission Outage PG&E.

Table 1: Exceptional Dispatches in July 2013

California Independent System Operator Corporation Exceptional Dispatch Report September 13, 2013

Chart 1: Table of Exceptional Dispatches for Period 01/July/2013 - 31/ July /2013

Num	Market			Local Reliability				INC_		Begin	End
ber	Type	Reason	Location	Area	Trade Date	MW	Commitment	DEC	Hours	Time	Time
1	RT	6110	PG&E	Fresno	4-Jul-13	40- 300	Yes	INC	10	9:37	18:59
2	RT	6110	PG&E	Sierra	4-Jul-13	5- 20	Yes	DEC	11	12:45	22:59
3	RT	6110	PG&E	Sierra	4-Jul-13	5- 325	Yes	INC	12	11:15	22:59
4	RT	7110	PG&E	Humboldt	29-Jul-13	15	No	INC	2	5:50	6:59
5	RT	7230	PG&E	Sierra	1-Jul-13	54- 107	No	DEC	4	18:34	21:59
6	RT	7230	PG&E	Sierra	3-Jul-13	7	No	DEC	9	14:35	22:59
7	RT	7230	PG&E	Sierra	3-Jul-13	0	No	INC	1	14:00	14:34
8	RT	7230	PG&E	Sierra	4-Jul-13	16- 123	Yes	DEC	13	11:20	23:59
9	RT	7230	PG&E	Sierra	4-Jul-13	109	Yes	INC	18	6:05	23:59
10	RT	7230	PG&E	Sierra	9-Jul-13	9- 68	Yes	DEC	7	15:11	21:59
11	RT	7230	PG&E	Sierra	9-Jul-13	0- 88	Yes	INC	7	15:11	21:59
12	RT	7230	PG&E	Sierra	10-Jul-13	20	Yes	INC	6	13:50	18:59
13	RT	7230	PG&E	Sierra	22-Jul-13	40	Yes	INC	6	18:16	23:59
14	RT	7230	PG&E	Sierra	23-Jul-13	20- 40	Yes	INC	6	18:10	23:54
15	RT	7230	SCE	LA Basin	24-Jul-13	20	No	INC	1	0:00	0:39
16	RT	7320	PG&E	Bay Area	10-Jul-13	95	Yes	INC	2	20:00	21:59
17	RT	7320	PG&E	Bay Area	13-Jul-13	95	Yes	INC	3	19:49	21:16
18	RT	7320	PG&E	Bay Area	16-Jul-13	28	No	INC	2	17:28	18:46
19	RT	7430	PG&E	Fresno	1-Jul-13	40- 100	Yes	INC	24	0:00	23:58
20	RT	7430	PG&E	Fresno	2-Jul-13	40	No	INC	1	0:00	0:21
21	RT	7430	PG&E	Fresno	3-Jul-13	97	Yes	DEC	2	14:35	15:24

Num ber	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_ DEC	Hours	Begin Time	End Time
22	RT	7430	PG&E	Fresno	3-Jul-13	220	Yes	INC	13	11:10	23:58
23	RT	7430	PG&E	Fresno	4-Jul-13	40- 624	Yes	INC	19	0:00	18:59
24	RT	7430	PG&E	Fresno	7-Jul-13	25- 76	No	INC	2	2:19	3:29
25	RT	7430	PG&E	Fresno	8-Jul-13	40	No	INC	2	22:45	23:44
26	RT	7430	PG&E	Fresno	13-Jul-13	89	No	INC	4	14:51	17:59
27	RT	7430	PG&E	Fresno	15-Jul-13	44	No	INC	2	20:05	21:14
28	RT	7430	PG&E	Fresno	17-Jul-13	43	No	INC	3	8:33	10:50
29	RT	7430	PG&E	Fresno	20-Jul-13	96	No	INC	2	10:49	11:59
30	RT	7430	PG&E	Fresno	23-Jul-13	116	Yes	DEC	1	21:10	21:59
31	RT	7430	PG&E	Fresno	23-Jul-13	70	Yes	INC	3	19:20	21:59
32	RT	7430	PG&E	Fresno	29-Jul-13	83	Yes	INC	6	13:55	18:59
33	RT	7430	PG&E	Humboldt	23-Jul-13	16	No	INC	1	0:00	0:59
34	RT	7620	PG&E	Fresno	16-Jul-13	147	Yes	DEC	1	16:34	16:56
35	RT	7620	SCE	Big Creek- Ventura Big Creek-	24-Jul-13	42- 142	No	DEC	1	23:10	23:59
36	RT	7620	SCE	Ventura	24-Jul-13	58- 158	No	INC	5	19:45	23:59
37	RT	7620	SCE	Big Creek- Ventura	25-Jul-13	42	No	DEC	1	23:10	23:59
38	RT	7620	SCE	Big Creek- Ventura	25-Jul-13	108- 233	No	INC	23	1:45	23:59
39	RT	7620	SCE	N/A	16-Jul-13	456	No	DEC	1	16:27	16:55
40	RT	7830	SCE	LA Basin	13-Jul-13	20	Yes	INC	10	14:20	23:59
41	RT	Bridging Schedules	PG&E	Bay Area	1-Jul-13	45- 130	Yes	INC	3	21:00	23:59
42	RT	Bridging Schedules	PG&E	Bay Area	2-Jul-13	90	Yes	INC	2	22:00	23:59
43	RT	Bridging Schedules	PG&E	N/A	4-Jul-13	52	No	INC	3	21:00	23:59
44	RT	Bridging Schedules	SCE	Big Creek- Ventura	24-Jul-13	50	Yes	INC	2	22:00	23:59
45	RT	Bridging Schedules	SCE	LA Basin	1-Jul-13	20- 150	Yes	INC	4	20:00	23:59
46	RT	Bridging Schedules	SCE	LA Basin	2-Jul-13	10- 50	Yes	INC	5	19:00	23:59

Num ber	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_ DEC	Hours	Begin Time	End Time
47	RT	Bridging Schedules	SCE	LA Basin	4-Jul-13	70	Yes	INC	2	22:00	23:59
48	RT	Bridging Schedules	SCE	LA Basin	8-Jul-13	25	Yes	INC	2	22:00	23:59
49	RT	Bridging Schedules	SDG&E	San Diego-IV	1-Jul-13	20- 40	No	INC	3	21:00	23:59
50	RT	Bridging Schedules	SDG&E	San Diego-IV	2-Jul-13	40- 60	No	INC	4	20:00	23:59
51	RT	Contingency	PG&E	Fresno	10-Jul-13	42- 570	Yes	INC	11	9:56	19:59
52	RT	Contingency	PG&E	Fresno	24-Jul-13	14- 26	Yes	INC	4	20:15	23:59
53	RT		PG&E	N/A	16-Jul-13	280	Yes	DEC	1	16:35	16:57
- 53	KI	Contingency	PG&E	IN/A	16-Jul-13	432-	res	DEC	I	10.33	10.57
54	RT	Contingency	SCE	LA Basin	10-Jul-13	480	Yes	INC	3	9:54	11:59
55	RT	Contingency	SDG&E	San Diego-IV	12-Jul-13	15	Yes	INC	4	10:43	13:24
56	RT	Fire	SCE	LA Basin	23-Jul-13	142	Yes	INC	11	11:25	21:59
				Big Creek-						0	
57	RT	Generation Outage	SCE	Ventura	10-Jul-13	20	Yes	INC	5	13:46	17:59
58	RT	Generation Outage	SCE	LA Basin	10-Jul-13	142	Yes	INC	9	12:32	20:59
59	RT	Intertie Emergency Assistance	Intertie	N/A	20-Jul-13	70- 100	No	INC	2	14:45	15:59
60	RT	Intertie Emergency Assistance	Intertie	N/A	21-Jul-13	88	No	DEC	1	13:47	13:59
61	RT	Load Forecast Uncertainty	Intertie	N/A	15-Jul-13	575	Yes	INC	7	0:00	6:59
62	RT	Load Forecast Uncertainty	PG&E	Bay Area	2-Jul-13	85	Yes	INC	24	0:00	23:59
63	RT	Load Forecast Uncertainty	PG&E	Bay Area	3-Jul-13	45- 175	Yes	INC	24	0:00	23:59
64	RT	Load Forecast Uncertainty	PG&E	N/A	4-Jul-13	52	No	INC	15	9:00	23:59
65	RT	Load Forecast Uncertainty	PG&E	N/A	5-Jul-13	52	No	INC	24	0:00	23:59
66	RT	Load Forecast Uncertainty	PG&E	N/A	10-Jul-13	52	No	INC	3	21:00	23:59
67	RT	Load Forecast Uncertainty	PG&E	N/A	14-Jul-13	180	Yes	INC	14	10:00	23:59
68	RT	Load Forecast Uncertainty	SCE	Big Creek- Ventura	3-Jul-13	50- 150	Yes	INC	24	0:00	23:59
69	RT	Load Forecast Uncertainty	SCE	Big Creek- Ventura	25-Jul-13	50	Yes	INC	24	0:00	23:59
70	RT	Load Forecast Uncertainty	SCE	LA Basin	1-Jul-13	10- 30	Yes	INC	15	0:00	14:59

Num ber	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_ DEC	Hours	Begin Time	End Time
DCI	Турс	Trouson .	Location	Alca	Trade Date	10100	Commitment	DLO	Hours	111110	111110
71	RT	Load Forecast Uncertainty	SCE	LA Basin	2-Jul-13	130	Yes	INC	24	0:00	23:59
72	RT	Load Forecast Uncertainty	SCE	LA Basin	3-Jul-13	150	Yes	INC	24	0:00	23:59
73	RT	Load Forecast Uncertainty	SCE	LA Basin	9-Jul-13	25	Yes	INC	24	0:00	23:59
74	RT	Load Forecast Uncertainty	SCE	LA Basin	15-Jul-13	10- 190	Yes	INC	17	7:00	23:59
75	RT	Load Forecast Uncertainty	SCE	LA Basin	24-Jul-13	25	Yes	INC	16	8:20	23:59
76	RT	Load Forecast Uncertainty	SCE	LA Basin	25-Jul-13	10	Yes	INC	24	0:00	23:59
77	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	3-Jul-13	20	No	INC	22	2:00	23:59
78	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	4-Jul-13	20- 40	No	INC	24	0:00	23:59
79	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	9-Jul-13	20- 40	Yes	INC	24	0:00	23:59
80	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	25-Jul-13	20	Yes	INC	21	3:00	23:59
81	RT	Market Disruption	PG&E	Bay Area	18-Jul-13	614	No	INC	2	0:18	1:30
82	RT	Market Disruption	PG&E	Fresno	4-Jul-13	300	Yes	INC	1	5:10	5:44
83	RT	Market Disruption	PG&E	N/A	18-Jul-13	110- 111	Yes	DEC	2	0:16	1:36
84	RT	Market Disruption	PG&E	N/A	18-Jul-13	140- 149	Yes	INC	2	0:16	1:14
85	RT	Market Disruption	SCE	LA Basin	18-Jul-13	600	No	INC	2	0:13	1:59
86	RT	Market Disruption	SCE	N/A	18-Jul-13	352	No	INC	2	0:13	1:13
87	RT	Market Disruption	SDG&E	San Diego-IV	18-Jul-13	400- 1280	No	INC	4	0:13	3:59
88	RT	Over Generation	PG&E	N/A	1-Jul-13	52- 104	No	INC	3	2:42	4:14
89	RT	Over Generation	PG&E	N/A	2-Jul-13	660	No	INC	1	15:00	15:06
90	RT	Path 26	SCE	Big Creek- Ventura	8-Jul-13	100	Yes	INC	6	15:10	20:59
91	RT	Path 26	SCE	LA Basin	8-Jul-13	332	Yes	INC	7	14:45	20:59
92	RT	SCE SOB 204	SCE	Big Creek- Ventura	1-Jul-13	52- 180	No	DEC	3	20:30	22:59
93	RT	SCE SOB 204	SCE	Big Creek- Ventura	1-Jul-13	23	No	INC	2	21:25	22:59

				Local							
Num	Market	Passan	l acetica	Reliability	Trada Data	MW	Commitment	INC_ DEC	Harma	Begin	End
ber	Туре	Reason	Location	Area Big Creek-	Trade Date	IVIVV	Commitment	DEC	Hours	Time	Time
94	RT	SCE SOB 204	SCE	Ventura	2-Jul-13	36	No	DEC	2	18:10	19:59
<u> </u>	111	002 002 20 .	002	Big Creek-	2 04. 10		110	220		10.10	10.00
95	RT	SCE SOB 204	SCE	Ventura	2-Jul-13	14- 192	No	INC	5	18:10	22:59
				Big Creek-							
96	RT	SCE SOB 204	SCE	Ventura	3-Jul-13	61- 286	No	DEC	5	16:10	20:59
	5.	205 205 224	005	Big Creek-	4 1 1 40			550		40.00	
97	RT	SCE SOB 204	SCE	Ventura	4-Jul-13	111	No	DEC	8	13:20	20:59
98	RT	SCE SOB 204	SCE	Big Creek- Ventura	4-Jul-13	7- 139	No	INC	8	13:20	20:59
90	IXI	30E 30B 204	JOL	Big Creek-	4-301-13	1- 139	INU	IIIC	0	13.20	20.33
99	RT	SCE SOB 204	SCE	Ventura	20-Jul-13	14	No	DEC	1	14:04	14:13
100	RT	SP26 Capacity	SCE	LA Basin	9-Jul-13	10	Yes	INC	19	5:00	23:59
101	RT	SP26 Capacity	SDG&E	San Diego-IV	9-Jul-13	20	No	INC	15	9:00	23:59
102	RT	Software Limitation	PG&E	Bay Area	16-Jul-13	186	No	DEC	1	20:03	20:55
103	RT	Software Limitation	PG&E	Fresno	5-Jul-13	6	Yes	INC	3	8:45	10:59
104	RT	Software Limitation	PG&E	Fresno	11-Jul-13	40	Yes	INC	12	2:35	13:31
105	RT	Software Limitation	PG&E	Fresno	25-Jul-13	0	Yes	INC	3	0:51	2:09
106	RT	Software Limitation	PG&E	Humboldt	18-Jul-13	15	No	INC	1	13:22	13:59
107	RT	Software Limitation	PG&E	N/A	14-Jul-13	17	No	DEC	3	15:10	17:59
108	RT	Software Limitation	PG&E	N/A	21-Jul-13	0	Yes	INC	1	0:00	0:59
109	RT	Software Limitation	PG&E	Stockton	1-Jul-13	181	No	INC	5	1:30	5:59
110	RT	Software Limitation	SCE	LA Basin	16-Jul-13	600	No	INC	2	19:55	20:14
111	RT	Software Limitation	SCE	LA Basin	23-Jul-13	20	No	INC	1	23:55	23:59
112	RT	Software Limitation	SDG&E	San Diego-IV	10-Jul-13	310	No	INC	3	6:37	8:59
113	RT	Software Limitation	SDG&E	San Diego-IV	11-Jul-13	44	Yes	DEC	2	11:45	12:44
114	RT	Software Limitation	SDG&E	San Diego-IV	15-Jul-13	39	Yes	DEC	2	12:00	13:24
115	RT	Software Limitation	SDG&E	San Diego-IV	25-Jul-13	48	No	DEC	7	12:45	18:24
116	RT	System Energy	Intertie	N/A	10-Jul-13	100- 911	Yes	INC	3	11:00	13:59

Num ber	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_ DEC	Hours	Begin Time	End Time
117	RT	System Energy	Intertie	N/A	15-Jul-13	261	No	DEC	1	6:00	6:59
118	RT	System Energy	Intertie	N/A	15-Jul-13	225	No	INC	1	6:00	6:59
119	RT	System Energy	Intertie	N/A	20-Jul-13	50	No	DEC	1	14:00	14:59
120	RT	System Energy	Intertie	N/A	20-Jul-13	400	Yes	INC	1	14:00	14:59
121	RT	Thermal Margin	SCE	Big Creek- Ventura	8-Jul-13	40	Yes	INC	10	14:00	23:59
122	RT	Transmission Mitigation	PG&E	Kern	20-Jul-13	6	Yes	DEC	12	10:00	21:59
123	RT	Transmission Mitigation	PG&E	Kern	20-Jul-13	0	Yes	INC	12	10:00	21:59
124	RT	Transmission Mitigation	PG&E	Kern	21-Jul-13	141	Yes	INC	12	10:00	21:59
125	RT	Transmission Mitigation	PG&E	Sierra	20-Jul-13	15	No	DEC	12	10:00	21:59
126	RT	Transmission Mitigation	PG&E	Stockton	2-Jul-13	200- 230	No	INC	10	14:48	23:59
127	RT	Transmission Mitigation	PG&E	Stockton	3-Jul-13	6	No	DEC	3	20:25	22:59
128	RT	Transmission Mitigation	PG&E	Stockton	3-Jul-13	2	No	INC	3	20:25	22:59
129	RT	Transmission Outage PG&E	PG&E	Fresno	25-Jul-13	12- 85	Yes	INC	11	9:10	19:59
130	RT	Transmission Outage PG&E	PG&E	Humboldt	1-Jul-13	48	No	INC	10	14:02	23:59
131	RT	Transmission Outage PG&E	PG&E	Humboldt	9-Jul-13	32- 64	No	INC	17	7:01	23:33
132	RT	Transmission Outage PG&E	PG&E	Humboldt	10-Jul-13	29- 58	No	INC	18	6:44	23:58
133	RT	Transmission Outage PG&E	PG&E	Humboldt	11-Jul-13	29- 60	No	INC	18	6:45	23:29
134	RT	Transmission Outage PG&E	PG&E	Humboldt	12-Jul-13	32	No	INC	13	6:44	18:48
135	RT	Transmission Outage PG&E	PG&E	Humboldt	15-Jul-13	15- 44	No	INC	18	6:53	23:29
136	RT	Transmission Outage PG&E	PG&E	Humboldt	16-Jul-13	15- 32	No	INC	18	6:32	23:29
137	RT	Transmission Outage PG&E	PG&E	Humboldt	17-Jul-13	15- 32	No	INC	17	6:25	22:59
138	RT	Transmission Outage PG&E	PG&E	Humboldt	18-Jul-13	15- 58	No	INC	18	6:10	23:59
139	RT	Transmission Outage PG&E	PG&E	Humboldt	19-Jul-13	30- 32	No	INC	11	7:36	17:59
140	RT	Transmission Outage PG&E	PG&E	Humboldt	22-Jul-13	15- 29	No	INC	17	7:15	23:59
141	RT	Transmission Outage PG&E	PG&E	Humboldt	23-Jul-13	15- 30	No	INC	18	6:20	23:59
142	RT	Transmission Outage PG&E	PG&E	Humboldt	24-Jul-13	15- 29	No	INC	24	0:00	23:58
143	RT	Transmission Outage PG&E	PG&E	Humboldt	25-Jul-13	15- 90	No	INC	17	7:00	23:59

				Local							
Num	Market			Reliability				INC_		Begin	End
ber	Type	Reason	Location	Area	Trade Date	MW	Commitment	DEC	Hours	Time	Time
144	RT	Transmission Outage PG&E	PG&E	Humboldt	26-Jul-13	30- 60	No	INC	24	0:50	23:59
145	RT	Transmission Outage PG&E	PG&E	Humboldt	27-Jul-13	30	No	INC	22	0:00	21:59
146	RT	Transmission Outage PG&E	PG&E	Humboldt	28-Jul-13	30	No	INC	5	18:20	22:59
147	RT	Transmission Outage PG&E	PG&E	Humboldt	30-Jul-13	29	No	INC	17	7:12	23:59
148	RT	Transmission Outage PG&E	PG&E	N/A	11-Jul-13	52	No	INC	24	0:00	23:59
149	RT	Transmission Outage PG&E	PG&E	Sierra	15-Jul-13	20	Yes	DEC	2	10:05	11:24
150	RT	Transmission Outage PG&E	PG&E	Sierra	15-Jul-13	0	Yes	INC	2	10:05	11:24
151	RT	Transmission Outage PG&E	PG&E	Sierra	23-Jul-13	40	Yes	INC	11	0:00	10:29
152	RT	Transmission Outage PG&E	PG&E	Sierra	24-Jul-13	40	Yes	INC	1	23:17	23:58
153	RT	Transmission Outage PG&E	PG&E	Sierra	25-Jul-13	20- 46	Yes	INC	24	0:00	23:59
154	RT	Transmission Outage PG&E	PG&E	Sierra	26-Jul-13	20- 25	Yes	INC	24	0:00	23:59
155	RT	Transmission Outage PG&E	PG&E	Sierra	27-Jul-13	20	Yes	INC	1	0:00	0:59
156	RT	Transmission Outage PG&E	PG&E	Sierra	28-Jul-13	20	Yes	INC	1	23:15	23:58
157	RT	Transmission Outage PG&E	SDG&E	N/A	25-Jul-13	0	No	INC	1	1:00	1:29
				Big Creek-							
158	RT	Transmission Outage SCE	SCE	Ventura	15-Jul-13	4- 82	No	DEC	3	18:30	20:59
4.50	5.7		00=	Big Creek-				550	_	40.40	40.00
159	RT	Transmission Outage SCE	SCE	Ventura	27-Jul-13	67- 255	No	DEC	5	12:16	16:08
160	RT	Transmission Outage SDG&E	SDG&E	San Diego-IV	10-Jul-13	38	Yes	INC	8	9:31	16:57
100	ΝI	SDG&E	SDG&E	San Diego-iv	10-341-13	180-	162	INC	0	9.31	10.57
161	RT	Unit Testing	PG&E	Bay Area	23-Jul-13	200	Yes	INC	15	9:00	23:59
162	RT	Unit Testing	PG&E	Bay Area	24-Jul-13	180	Yes	INC	17	0:00	16:59
						180-					
163	RT	Unit Testing	PG&E	Bay Area	25-Jul-13	190	Yes	INC	17	7:00	23:59
						180-					
164	RT	Unit Testing	PG&E	Bay Area	26-Jul-13	350	Yes	INC	24	0:00	23:59
405	БТ	I I all Tables	DONE	D - A	07 1 1 40	180-		1110	0.4	0.00	00.50
165	RT	Unit Testing	PG&E	Bay Area	27-Jul-13	400	Yes	INC	24	0:00	23:59
166	RT	Unit Testing	PG&E	Bay Area	28-Jul-13	180	Yes	INC	8	0:15	7:59

Num	Market	P		Local Reliability	T. I. D.	2007	2	INC_		Begin	End
ber	Туре	Reason	Location	Area	Trade Date	MW	Commitment	DEC	Hours	Time	Time
167	RT	Unit Testing	PG&E	Bay Area	29-Jul-13	54- 294	No	INC	18	0:35	17:59
168	RT	Unit Testing	PG&E	Bay Area	30-Jul-13	180	Yes	INC	8	16:45	23:59
169	RT	Unit Testing	PG&E	Bay Area	31-Jul-13	180	Yes	INC	19	0:00	18:59
170	RT	Unit Testing	SCE	LA Basin	3-Jul-13	190	No	INC	7	8:00	14:59
171	RT	Unit Testing	SCE	LA Basin	4-Jul-13	150- 400	No	INC	9	8:00	16:59
						190-					
172	RT	Unit Testing	SCE	LA Basin	5-Jul-13	345	No	INC	11	7:15	17:59
173	RT	Unit Testing	SCE	LA Basin	9-Jul-13	195	No	INC	5	10:45	14:59
174	RT	Unit Testing	SCE	LA Basin	10-Jul-13	155	No	INC	7	15:25	21:59
175	RT	Unit Testing	SCE	LA Basin	11-Jul-13	150- 260	No	INC	3	14:18	16:25
176	RT	Unit Testing	SCE	LA Basin	15-Jul-13	150- 260	No	INC	4	14:35	17:04
177	RT	Unit Testing	SCE	LA Basin	16-Jul-13	150- 250	No	INC	9	5:30	13:59
178	RT	Unit Testing	SCE	LA Basin	29-Jul-13	150	No	INC	2	14:45	15:59
179	RT	Unit Testing	SCE	LA Basin	30-Jul-13	150- 243	No	INC	4	14:03	17:12
180	RT	Unit Testing	SCE	LA Basin	31-Jul-13	150	No	INC	2	12:34	13:39

Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the ISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the ISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. In this case the dispatch levels are all at minimum load.

Table 2: Instructions Prior to Day-Ahead Market

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	Α	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	В	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	С	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be some hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20- 100	Yes	N/A	19	05:00	23:00

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the ISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource did not have a day-ahead award in those hours. The ISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	Α	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	В	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	С	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	С	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be some hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the ISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The ISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/ DEC	ED (MW)	Reason
01-Jul-09	RT	Α	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	В	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	С	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. Thus the MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Nu	mber	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
	1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
	1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service lists in the above-referenced proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 16th day of September 2013.

<u>(s/ Anna Pascuzzo</u> Anna Pascuzzo