 <b>California ISO</b>	<b>Operating Procedure</b>	<b>Procedure No.</b>	5330E
		<b>Version No.</b>	7.1
		<b>Effective Date</b>	12/11/23
<b>Request for Testing Imports of Regulation</b>		<b>Distribution Restriction: None</b>	

## 1. Procedure Detail

### 1.1. Request for Testing Imports of Regulation


In accordance with the California Independent System Operator Corporation (“CAISO”) Tariff, Protocols, and Operating Procedures, and the CAISO’s “Standards for Imports of Regulation” document, \_\_\_\_\_, as Scheduling Coordinator, and \_\_\_\_\_, as Host Balancing Authority (as such term is referred to in the CAISO’s “Standards for Imports of Regulation”), collectively referred to as Parties, or individually as Party, hereby request the testing of the Scheduling Coordinator and the System Resource identified in the table below as a provider of, and the Host Balancing Authority as having the ability to facilitate delivery of, regulation service to the CAISO Balancing Authority subject to the CAISO document “Standards for Imports of Regulation.” Further, the Parties acknowledge that the ability of the Scheduling Coordinator to import and of the Host Balancing Authority to facilitate delivery of regulation service will be tested for testing in accordance with CAISO Operating Procedure 5330.

With this request for testing, the Parties recognize that the CAISO Tariff, Protocols, applicable agreements, and the “Standards for Imports of Regulation” document require the Host Balancing Authority to respond to CAISO control signals by ordering variations in the energy from the Scheduling Coordinator's self-provided or bid external imports of regulation from the System Resource at any time during the operating hour.

With this request for testing, the Host Balancing Authority represents and warrants that it has in place the required communications links with the CAISO Balancing Authority in order to facilitate the delivery of regulation service from the System Resource.

With this request for testing, the Scheduling Coordinator represents and warrants that it has made the appropriate arrangements for and has put in place the equipment and services necessary for delivery of regulation service from the System Resource to the point of Interchange (“Scheduling Point”) with the CAISO Balancing Authority in accordance with the CAISO's “Standards for Imports of Regulation” document.

The Scheduling Coordinator further certifies that any and all self-provided or bid imports of regulation will be deliverable over non-interruptible, non-recallable transmission rights, from the source of the external regulation to the Scheduling Point with the CAISO Balancing Authority.

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System Resource	External Host Balancing Authority in which System Resource is Located	Scheduling Point (CAISO Interchange ID)	Maximum Amount of Upward Regulation to be Tested (MW)	Maximum Amount of Downward Regulation to be Tested (MW)

Subsequent to the initial filing of this request for testing with the CAISO, any prospective changes jointly made by the Parties may be filed with the Scheduling Coordinator's CAISO Client Relations representative, who will acknowledge the receipt of such requested changes and indicate the date on which such changes may be tested and become effective if CAISO testing proves successful. Such changes will be made by the CAISO as soon as practicable, with reasonable efforts made to implement them within sixty (60) days of receipt of the requested changes.

This document \_\_\_\_\_ (does) \_\_\_\_\_ (does not) contain requested changes to previously effective testing(s).

Testing Requested By:

\_\_\_\_\_, as the Scheduling Coordinator

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_


\_\_\_\_\_, as the Host Balancing Authority Operator

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

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TESTING REQUEST ACKNOWLEDGED by:

\_\_\_\_\_

California Independent System Operator Corporation

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

## 2. Supporting Information

### Operationally Affected Parties

Shared with Public

### References


Resources studied in the development of this procedure and that may have an effect upon some steps taken herein include but are not limited to:

CAISO Tariff	
CAISO Operating Procedure	
NERC Requirements	
WECC Criterion	
Other References	

### Definitions

Unless the context otherwise indicates, any word or expression defined in the Master Definitions Supplement to the CAISO Tariff shall have that meaning when capitalized in this Operating Procedure.

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The following additional terms are capitalized in this Operating Procedure when used as defined below:

**None.**

### Version History

Version	Change	Date
6.0	Changed all references from CAISO to ISO. Updated formatting and grammar. Periodic Review.	10/18/17
7.0	Periodic Review: Replaced CAISO with ISO or the ISO in most instances. Minor formatting and grammar updates.	7/24/20
7.1	Periodic Review: Updated from ISO to CAISO and minor formatting only.	12/11/23