 California ISO	Operating Procedure	Procedure No.	5730
		Version No.	2.2
		Effective Date	12/13/2017
Metering Exemptions from Compliance		Distribution Restriction: None	

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Purpose

The CAISO Tariff provides the ISO with the authority to grant exemptions from certain ISO metering standards. This procedure describes the process for applying and receiving an ISO Metering Exemption.

1. Responsibilities

ISO Energy Data Acquisition Specialists (EDAS) Team	<ul style="list-style-type: none"> Process requests for exemptions in a consistent and fair manner relating to Metering requirements per CAISO Tariff and Metering BPM. Publish the results of all exemption requests.
(Exemption) Applicant	<ul style="list-style-type: none"> Submits a request for a Metering Exemption. Supply the ISO with all required information and any requested information.

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ISO Meter Data Acquisition System (MDAS) Team	<ul style="list-style-type: none"> • Process requests for exemptions in a consistent and fair manner relating to temporary communication issues. • Review and renew expiring exemptions.
ISO Model and Contract Implementation (MCI)	<ul style="list-style-type: none"> • Performs the final review and then grants or rejects the Exemption Request Form(s) depending on the request types.

2. Scope/Applicability

2.1. Background

The ISO has the authority to grant exemptions from certain ISO metering standards for an ISO Metered Entity that is subject to ISO metering standards under Section 8 “Exemptions” within the Business Practice Manual for Metering.

2.2. Scope/ Applicability

There are specific exemptions allowed for by the CAISO Tariff and there is a general process to grant exemptions from compliance. Both of these processes will be discussed in this procedure.

3. Procedure Detail


3.1. Exemptions from Certain Requirements Imposed by the CAISO Tariff

3.1.1. Requesting an Exemption

Take the following actions to request an exemption from compliance of Section 10 of the CAISO Tariff:

Description:


The ISO has the authority under the CAISO Tariff, Section 10.4, to grant exemptions from compliance with certain requirements imposed by the CAISO Tariff. All applications for exemption from compliance of Section 10 of the CAISO Tariff must be submitted to the ISO in writing and follow these steps:

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Metered Entity
<ol style="list-style-type: none"> Complete the Exemption Request Form (at: http://www.caiso.com/market/Pages/MeteringTelemetry/Default.aspx) and submit to the ISO EDAS at the following email address: EDAS@caiso.com. For a temporary communication exemption, please submit the request form to MDAS@caiso.com (Include in the email subject line <u>“Exemption Request”</u>). The exemption request must include the following information along with the completed and unsigned Exemption Request Application Form (in MS Word format - only): <ul style="list-style-type: none"> A detailed description of the exemption sought and the facilities to which the exemption applies. A detailed statement of the reason for seeking the exemption and any supporting documentation. An identification of the requested time frame of the exemption. When the exemption period is to start and when it is to be resolved. An identification of the entity which the exemption applies. Details of the location to which the exemption applies.

ISO EDAS Actions, ISO MDAS Actions
<ol style="list-style-type: none"> EDAS and MDAS will determine the responsible party based on the exemption request type. All requests will go through EDAS with the exception of the temporary communication issues, which should be submitted to MDAS (mdas@caiso.com). The ISO will confirm receipt of the exemption application with the applicant within three (3) business days. The ISO may require additional information from the applicant in order to review the exemption request. If the ISO determines that it is not possible to complete the exemption request within ten (10) business days, the applicant will be contacted in order to discuss a future date for the disposition of the request.

Metered Entity
<ol style="list-style-type: none"> If the ISO asks for additional information the applicant must provide such additional information to the ISO within five (5) business days of receiving the request or within such other period as the ISO may detail to the applicant. If the applicant does not provide the information requested in a timely basis, the ISO may refuse the application and the ISO will notify the applicant that the exemption request was rejected.

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3.1.2. ISO Decision

The ISO will take the following actions to consider granting a request for an exemption from compliance of Section 10 of the CAISO Tariff:


Description:

The ISO will consider the following guidelines in reviewing an exemption and determining if the exemption should be granted.

ISO EDAS Actions, ISO MDAS Actions
<ol style="list-style-type: none"> 1. The ISO will review the request and additional information (if requested) to determine if: <ul style="list-style-type: none"> • The exemption would compromise the accuracy and integrity of the Meter Data or the Metering Facility. • The exemption would impact the speed or the integrity of the communication system used to acquire Meter Data. • The requirement in question is unnecessary, impractical or uneconomic for the applicant to implement. • The exemption being requested is for a specific duration or permanent. • A similar exemption has been granted or denied for other Metered Entities. • A Class Exemption has already been granted that will apply to the circumstances around the requested exemption. 2. The ISO will notify the applicant of the approval or rejection of the exemption per Section 3.1.1, Steps 5-7 of this procedure. 3. Should the Applicant meet all ISO criteria, ISO will respond through DocuSign (electronic digital signature application) with the original exemption request form, which will include any applicable ISO conditions.

ISO Metered Entity Actions
<ol style="list-style-type: none"> 1. Applicant will receive an email notification from DocuSign requesting for Applicant to review and provide an electronic signature.

ISO Metering and Market Services Compliance Manager, ISO Model and Contract Implementation (MCI) Manager
<ol style="list-style-type: none"> 1. Performs the final review and then grants or rejects the Exemption Request Form(s) depending on the request type.

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3.1.3. ISO Exemption Renewal

The ISO will take the following actions to consider granting a request for exemption from compliance of Section 10 of the CAISO Tariff:

Description

The ISO will consider the following guideline in reviewing and granting existing exemptions scheduled to expire.

ISO MDAS Actions
1. The ISO will review the request and additional information (if requested) to determine if the exemption is going to be expiring soon: <ul style="list-style-type: none"> • ISO MDAS will reach out to the applicant to determine if there is a need to renew the existing approved ISO exemption(s).


ISO Metered Entity Actions
1. If applicant decides to submit a request to renew the existing exemption, the applicant must submit a new Exemption Request Form to reflect the same information with an updated Start and End Date to MDAS@caiso.com .

ISO MDAS Actions
1. Once received, MDAS will repeat the same review process as listed in Sections 3.1.1 and 3.1.2.

3.1.4. Publication of Exemption Requests and Decisions

Once a decision has been made in regards to the exemption request, the following action is taken:

ISO EDAS Actions
1. The ISO will publish, on a monthly basis, a description of each exemption request received and detail whether the application was approved or rejected. This report will be published on the ISO website on the Metering and Telemetry page found at: http://www.caiso.com/market/Pages/MeteringTelemetry/Default.aspx .

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4. Supporting Information

Operationally Affected Parties Shared with Public

References Resources studied in the development of this procedure and that may have an effect upon some steps taken herein include but are not limited to:

CAISO Tariff	Sections 10.2.10 & 10.4
ISO Operating Procedure	
NERC Requirements	
WECC Criterion	
Other References	Business Practice Manual for Metering: Section 8.2 & Exhibit B-2 to Section B


Definitions Unless the context otherwise indicates, any word or expression defined in the Master Definitions Supplement to the CAISO Tariff shall have that meaning when capitalized in this Operating Procedure.

The following additional terms are capitalized in this Operating Procedure when used as defined below:

None.

Version History

Version	Change	Date
1.0	Converted document formerly known as "Exemption From Compliance" effective 6/15/12 to an Operating Procedure	11/19/2013
1.1	Section 3.2.1, Step 5: changed 5 days to 10 days to match the CAISO BPM for Metering.	1/31/2014
1.2	Meter Engineering email address is corrected in Section 3.1.1 and Section 3.2.1	5/16/2014
1.3	Period Review - no content changes. Updated logo in header.	5/23/2016
1.4	Changed Meter Engineering to EDAS and updated email address.	7/28/2016

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2.0	<p>The following sections were modified due to transfer of EDAS group into MCI:</p> <ul style="list-style-type: none"> • Section 1 (Responsibilities) and Section 3.1.2 to include CAISO MDAS, two separate CAISO Managers: (1) Metering Engineering and Analysis Manager, (2) Model & Contract Implementation Manager. • Incorporated DocuSign process. • Created a new Section (3.1.3 CAISO Exemption Renewal) <p>10/03/16 Errata change – Section 3.1.3, Step 2: Spelling correction of “information.”</p>	9/30/2016
2.1	<p>Minor formatting changes. Incorporated procedure participant reference updates.</p>	9/28/2017
2.2	<p>Removed the reference of 5730A, as this will no longer be an OP and will retire this operating procedure because it’s just a process—not really an operating procedure—and instead just rely on the metering/telemetry website for access/usage.</p>	12/13/2017

5. Periodic Review Procedure

Review Criteria & Incorporation of Changes	There are no specific criteria for reviewing or changing this document, follow instructions in Procedures 5510 and 5520.
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Frequency	Every 3 Years
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Appendix

No references at this time.