*(This Affidavit should contain the following information printed on company letterhead and notarized in jurat form.)*

**Affidavit for Cluster 4 and Earlier Queued Projects**

ISO Queue Position:

Project Name:

PTO: \_\_\_\_\_\_\_

This affidavit is being submitted in satisfaction of the requirements in Section 8.9.1 of Appendix DD to the Tariff of the California Independent System Operator Corporation (“CAISO”). Unless otherwise specified, all terminology used herein, including capitalized terms, has the meaning set forth in Appendix DD to the CAISO Tariff.

1. I, [name], the undersigned, as a representative of [company name and address], am authorized to execute this affidavit on behalf of [company name]
2. [Company name] is, per the definition in the CAISO Tariff, the Interconnection Customer of record with respect to [project name] [address] [Queue number] (“Project”) seeking to interconnect to the CAISO Controlled Grid.

***Permitting Status:***

1. The Project’s status regarding environmental or land use permit is as described below:
2. Has the Project applied for governmental permits or authorization for construction?

Yes \_\_\_\_\_ No \_\_\_\_\_ (go to section 4)

1. Have the documents required for governmental permits or authorizations been deemed data adequate for the appropriate authority to being its review?

Yes \_\_\_\_\_ No \_\_\_\_\_ (go to section 4)

1. Has the Project received a draft environmental report (or equivalent environmental permitting document) indicating likely approval of the requested permit and/or that the permitting authority has not found an environmental impact that would likely prevent approval.

Yes \_\_\_\_\_ No \_\_\_\_\_ (go to section 4)

1. Has the Project received its final governmental permit or authorization allowing the Generating Facility to commence construction?

Yes \_\_\_\_\_ No \_\_\_\_\_ (go to section 4)

***Financing Status:***

1. The Project’s status regarding financing is as described below :
2. Has the Project executed an active power purchase agreement (PPA) with a CAISO-affiliated Load Serving Entity (LSE)?

Yes \_\_\_\_\_ No \_\_\_\_\_ (go to section 4 (b))

* 1. If yes, what is the name of the LSE associated with the PPA, the capacity listed, and the date the PPA was fully executed? (Provide details in table below)
  2. If yes, has the PPA been approved by the appropriate regulatory authority or does not require any regulatory approval? (Provide details in table below)

1. If no, is the Generating Facility going to be balance-sheet financed or has otherwise received a commitment of Project financing?

Yes \_\_\_\_\_ No \_\_\_\_\_

1. Is the Interconnection Customer included on an active short list or other commercially recognized method of preferential ranking of power providers by a prospective purchaser Load Serving Entity?

Yes \_\_\_\_\_ No \_\_\_\_\_

1. Does the Interconnection Customer represent to the CAISO that the Interconnection Customer is preceding to commercial operation without a power purchase agreement?

Yes \_\_\_\_\_ No \_\_\_\_\_

**PPA Details (if applicable)**

LSE Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

PPA Project Name (as listed on the CPUC [Renewables Portfolio Standard Monthly Project Status Table](https://www.cpuc.ca.gov/RPS_Reports_Data/), if applicable): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

PPA Capacity: \_\_\_\_\_\_\_\_\_\_\_ MW

Execution Date: \_\_\_\_\_\_\_\_\_\_\_

Regulatory Authority Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Approval Date: \_\_\_\_\_\_\_\_\_\_\_\_

Advice Letter or RAM RFO Number: \_\_\_\_\_\_\_\_\_\_\_

***Land Acquisition Status:***

1. Can the Interconnection Customer demonstrate a present legal right to begin construction of the Generation Facility on one hundred percent (100%) of the real property footprint necessary for the entire Generating facility?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, please provide documentation with affidavit.

***Project Status:***

1. Has the Interconnection Customer provided a notification to proceed to the Participating TO for the interconnection facilities or network upgrades?

Yes \_\_\_\_\_ No \_\_\_\_\_

1. Has the Interconnection Customer posted, if required, with the Participating TO for the interconnection facilities or network upgrades?

Yes \_\_\_\_\_ No \_\_\_\_\_

1. Has the Interconnection Customer started construction of the generation facilities?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, what is the percent complete of the project? \_\_\_\_\_\_%

1. Has the Interconnection Customer started construction of the interconnection facilities?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, what is the percent complete of the project? \_\_\_\_\_\_%

I, [name] declare under penalty of perjury that the foregoing statements are true to the best of my knowledge.

Signed \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Print Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[Company Name]

[Address]