



California ISO

# Release User Group Agenda

July 25, 2023

10:00 a.m.–11:00 a.m. (Pacific Time)

Web Conference Information	Conference Call Information
<p>Web Address: <a href="https://caiso.webex.com/caiso/j.php?MTID=m8f4dee2c7059898b3609c46be3cd2c20">https://caiso.webex.com/caiso/j.php?MTID=m8f4dee2c7059898b3609c46be3cd2c20</a></p> <p>Audio connection instructions will be available after connecting to the web conference. When prompted, select "Call me" and enter the phone number you will use during the call. You will be called by the conference shortly.</p>	<p><i>1-844-621-3956 United States Toll Free</i> <i>+1-650-479-3208 United States Toll</i> <i>Meeting number (access code): 2491 042 7352</i></p>
<p>Calls and webinars are recorded for stakeholder convenience, allowing those who are unable to attend to listen to the recordings after the meetings. The recordings will be publicly available on the ISO web page for a limited time following the meetings. The recordings, and any related transcriptions, should not be reprinted without the ISO's permission.</p>	
<p><a href="https://www.caiso.com/informed/Pages/MeetingsEvents/UserGroupsRecurringMeetings/Default.aspx#RUG">https://www.caiso.com/informed/Pages/MeetingsEvents/UserGroupsRecurringMeetings/Default.aspx#RUG</a></p>	
<p><a href="http://www.caiso.com/informed/Pages/ReleasePlanning/Default.aspx">http://www.caiso.com/informed/Pages/ReleasePlanning/Default.aspx</a></p>	

# ISO Training Schedule

Training Course	Date and time
Resource Performance Expectations of Dispatch and Operating Instructions (webinar)	July 25 1:00pm – 2:00pm PPT <b>(TODAY!!)</b>
Maximum Import Capability (MIC) Enhancements Training	August 7 10:00am – 11:00am PPT
ISO Workshop: Introduction to ISO Markets (webinar)	August 8 and 9 9am – 12pm PPT
ISO Workshop: Market Transactions (webinar)	August 15 and 16 9am – 12pm PPT
ISO Workshop: ISO Settlements (webinar)	August 22 and 23 9am – 12pm PPT

Are you looking for more training?

The ISO Learning Center has computer based training available for lots of topics. Check out the Learning Center: <http://www.caiso.com/participate/Pages/LearningCenter/default.aspx>



# Release Plan Summary

## Summer 2023 Release

- ✓ Energy Storage Enhancements Track 1
- ✓ WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 1
- ✓ Hybrid Resources Phase 2C – Settlements
- ✓ Ancillary Service State of Charge Constraint

## Fall 2023 Release

- Maximum Import Capability Enhancements
- Washington WEIM Greenhouse Gas Enhancements & Interim Solution Effective May 1, 2023
- WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 2
- Energy Storage Enhancements Track 2

## Independent 2023 Releases

- Hybrid Resources Phase 2C – RIMS
- URL & IP Changes – Application Delivery Resiliency

## 2024

- WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 3
- Transmission Service & Market Scheduling Priorities Phase 2
- Day-Ahead Market Enhancements
- Extended Day-Ahead Market
- Congestion Revenue Rights System Upgrade

# Summer 2023 Release

# Summer 2023 Release Overview

Project	BOG	Tariff	BRS	Settlements CG	Settlements Effective Date	Technical Specifications	Market Simulation Scenarios	Market Simulation	Production Activation
Energy Storage Enhancements Track 1	Approved 12/14/22	<ul style="list-style-type: none"> <li>Draft 02/10/23</li> <li>Revised DTL 03/15/23</li> <li>Filed 03/31/23</li> <li>Accepted 06/01/23</li> </ul>	<ul style="list-style-type: none"> <li>02/08/23</li> <li>03/29/23</li> </ul> 1.4	NA (Settlements is in Track 2 Fall 2023)	NA (Settlements is in Track 2 Fall 2023)	NA	NA	May 01, 2023 – Jun 19, 2023	07/01/23
WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 1	Approved 12/14/22	<ul style="list-style-type: none"> <li>Revised 12/12/22</li> <li>Final Revised DTL 03/07/23</li> <li>Revised Final DTL 03/21/23</li> <li>Filed 03/31/23</li> <li>Accepted 05/31/23</li> </ul>	<ul style="list-style-type: none"> <li>02/10/23</li> <li>03/31/23</li> <li>06/27/23</li> </ul> 1.1 1.2	<ul style="list-style-type: none"> <li>02/17/23: Tech Doc</li> <li>03/15/23: 1<sup>st</sup> Draft CG</li> <li>04/05/23: 2<sup>nd</sup> DCG</li> <li>04/27/23: 3<sup>rd</sup> DCG</li> <li>05/23/23: 4<sup>th</sup> DCG</li> <li>06/21/23: Pre-Prod file &amp; Final Artifacts</li> <li>06/28/23: Deploy &amp; Final Settlement Config Output File</li> </ul>	1 <sup>st</sup> of the month; new CCs	03/30/23 OASIS 7.3.0 04/03/23 CMRI 6.3.0	04/07/23	May 01, 2023 – Jun 09, 2023	07/01/23
Hybrid Resources Phase 2C - Settlements			01/31/23		1 <sup>st</sup> of the month	NA	NA	May 01, 2023 – Jun 09, 2023	07/01/23
Ancillary Service State of Charge Constraint		Filed 09/19/22 Accepted 11/18/22	02/09/23		Retroactive to 09/20/22	04/03/23 CMRI 6.3.0	NA	May 01, 2023 – Jun 09, 2023	07/01/23

# Fall 2023 Release

# Fall 2023 Release Overview

Project	BOG	Tariff	BRS	Settlements CG	Settlements Effective Date	Technical Specifications	Market Simulation	Production Activation
<b>MIC Enhancements</b>	Nov 2022 – Approved	Draft 11/23/21	04/11/22	NA	NA	<b>OASIS 7.4.0 7/17/23</b>	Start 8/14/23	10/1/23
<b>Washington WEIM Greenhouse Gas Enhancements</b>	Oct 2022 - Approved	Filed 11/21/22 Accepted 02/10/23 Filed Amendment 03/13/23 Amendment Accepted 04/20/23	02/06/23	NA	NA	<ul style="list-style-type: none"> <li>MFRDT</li> <li><b>OASIS 7.4.0 7/17/23</b></li> </ul>	NA	10/1/23
<b>WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 2</b>	Approved 12/14/22	Yes	<ul style="list-style-type: none"> <li>02/10/23</li> <li>03/31/23 1.1</li> <li><b>06/27/23 1.2</b></li> </ul>	NA	NA	<ul style="list-style-type: none"> <li>MFRDT</li> <li>CMRI</li> </ul>	Yes	Fall 2023
<b>Energy Storage Enhancements Track 2</b>	Approved 12/14/22	<ul style="list-style-type: none"> <li>Draft 02/10/23</li> <li>Revised DTL 03/15/23</li> <li>Filed 03/31/23</li> </ul>	<ul style="list-style-type: none"> <li>05/25/23</li> <li><b>06/29/23 1.1</b></li> </ul>	<ul style="list-style-type: none"> <li>02/17/23: Tech Doc</li> <li><b>07/26/23 1<sup>st</sup> Draft Config Output File</b></li> </ul>	1 <sup>st</sup> of the month; new CCs	<ul style="list-style-type: none"> <li>ADS</li> <li><b>CMRI 6.4.0 7/17/23</b></li> <li><b>OASIS 7.4.0 7/17/23</b></li> </ul>	Yes	Fall 2023

# Fall 2023 Release Overview – System Impacts

Project	ADS	CIRA	CMIRI	ITS	Master File	MF RDT	OASIS	OMS	RIMS	Settlements	Market	SIBR
MIC Enhancements		X					X*					
WA WEIM GHG Enhancements			X		X	X*	X*			X	X	X
WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 2	X		X*	X	X	X*					X	
Energy Storage Enhancements Track 2	X*		X*	X	X		X*	X	X	X	X	X

**X\* = Technical Specifications**



# Fall 2023 Release Overview – System Interface Changes

System	Project	UI	API	Data	Tech Specs
ADS*	ESET2	Existing	Existing	New ED Reason Codes: “SOC Hold” and “SOC Charge”	Yes
CMRI*	ESET2	<b>New</b> report to display Exceptional Dispatch Hold State of Charge	<b>New:</b> Retrieve Exceptional Dispatch Hold State of Charge v1 <b>New:</b> Retrieve Exceptional Dispatch Hold State of Charge v1 DocAttach		7/17/23
OASIS*	ESET2	Existing: Energy > System > Operator-Initiated Commitment report	Existing: System > Operator-Initiated Commitment report	New ED type Reason Code: “SOC Hold” and “SOC Charge”	7/17/23
MF RDT*	RSEE2T2	Add a new Resource-Specific Capacity Test Failed-to-Start Rule Exemption flag	Add a new Resource-Specific Capacity Test Failed-to-Start Rule Exemption flag		Yes
CMRI*	RSEE2T2				Yes
RIMS	ESET2	Existing: Existing > App & Study > Equipment Configuration tab > Generation as Modeled and Implemented grid	NA	> Pull storage resource MWh from MF > Add new field for calculated MWh > Add new field for storage resource duration in hours	NA
ITS	RSEE2T2	Existing	NA	Exports e-Tagging Submission Requirement > SCs shall be required to e-tag the following as “Firm Provisional Energy (G-FP)”, via utilizing Misc. field: <ul style="list-style-type: none"> <li>o RT economic (RTECON) exports that clear HASP</li> <li>o DA economic (DAECON) exports that clear both RUC and HASP</li> <li>o RTLPT exports that clear HASP</li> <li>o DALPT exports that clear both RUC and HASP</li> </ul> > SCs shall be required to e-tag the following as “Firm Energy (G-F)”: <ul style="list-style-type: none"> <li>o RTPT exports that clear HASP</li> <li>o DAPT exports that clear both RUC and HASP</li> </ul>	NA

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# Fall 2023 Release Overview – System Interface Changes

System	Project	UI	API	Data	Technical Specifications
CMRI	WA WEIM GHGE	Existing	Existing	New Attributes/Records to indicate state/include GHG index price for each state	NA
OASIS*	WA WEIM GHGE	Existing: Prices > Index Prices > Greenhouse Gas Allowance Index Prices	Existing – Prices > Index Prices > Greenhouse Gas Allowance Index Prices	Add WA GHG index prices, display average of daily WA GHG price indices	7/17/23
MF RDT*	WA WEIM GHGE	Add a new BAA level attribute to identify BAAs associated with Washington State	SubmitGeneratorRDT_MFRDv5 SubmitGeneratorRDT_MFRDv5_DocAttach RetrieveGeneratorRDT_MFRDv5 RetrieveGeneratorRDT_MFRDv5_DocAttach	Add a new BAA level attribute to identify BAAs associated with Washington State	Yes
SIBR	WA WEIM GHGE	Existing	Existing	Consume WA GHG adders	NA
CIRA	MIC Enhancements	Yes	NA		NA
OASIS	MIC Enhancements	New: Available Import Capability Data New: Import Capability used in RA Plan Data	New: AVAIL_IMP_CAP_GRP  New: ANNUAL_IMP_CAP_USED_RA_PLAN_GRP MONTHLY_IMP_CAP_USED_RA_PLAN_GRP		7/17/23

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# Fall 2023 – MIC Enhancements

Project Information	Details
<b>High Level Project Scope</b>	<p>The purpose of this initiative is to address potential improvements to either the calculation of Maximum Import Capability or the process used to allocate and track it during Resource Adequacy validation process. This initiative will focus on the following scope items:</p> <ol style="list-style-type: none"><li>1. Additional transparency with making data publicly available</li><li>2. Inclusion of contractual data from non-CPUC jurisdictional LSEs into the main portfolio</li><li>3. Request for MIC expansion</li><li>4. Give “same day priority” to the step 13 unallocated Remaining Import Capability for LSEs with existing RA Contracts</li><li>5. Update Tariff and BPM language to be consistent with current approved practice</li></ol>
<b>BPM Changes</b>	Reliability Requirements, Transmission Planning Process, Market Instruments
<b>Tariff Changes</b>	Yes
<b>Impacted Systems</b>	CIRA, OASIS

# Fall 2023 – MIC Enhancements

Milestone Type	Milestone Name	Dates	Status
Board Approval	Obtain Board Approval	Nov 03, 2021	✓
External BRS	Post External BRS	Apr 11, 2022	✓
Tariff	ER21-1469 Accepted	May 28, 2021	✓
	ER22-844 Accepted	Mar 18, 2021	✓
BPMs	Draft BPM changes – Reliability Requirements	Jul 28, 2023	
	Draft BPM changes – Market Instruments	Jul 28, 2023	
	BPM changes approved – Transmission Planning Process	May 18, 2022	✓
Tech Spec	CIRA	NA	✓
	OASIS	Jul 17, 2023	✓
Training	Training	Aug 7, 2023	
Market Sim	Market Sim Window	Start Aug 14, 2023	
Production Activation	Maximum Import Capability (MIC) Enhancements	Oct 1, 2023	

# Fall 2023 – WA WEIM GHG Enhancements - Overview

Project Information	Details/Date
<b>High Level Business Problem or Need</b>	<p>Pursuant to State of Washington’s recently revised Clean Air Act and beginning in 2023, Washington (WA) will require reporting of emissions associated with Western Energy Imbalance Market (WEIM) transactions. Emissions reporting is a key element of Washington’s new cap-and-invest program that sets a limit on overall carbon emissions in Washington and requires emitters to obtain allowances equal to their covered GHG emissions.</p> <p>This initiative comprises the first phase of enhancements to support reporting WEIM transactions for emissions year 2022. As reporting rules continue to develop, additional enhancements outside of the scope of this initiative will likely be necessary.</p>
<b>High Level Project Scope</b>	<ul style="list-style-type: none"> <li>• Identify resources within WA State boundary</li> <li>• Update WA State associated resources’ greenhouse gas (GHG) reference levels with dynamic pricing using vendor-provided indices               <ul style="list-style-type: none"> <li>• Note: while Tariff stipulates use of static pricing as an interim measure prior to the first Washington allowance auction, due to implementation timing, this is not anticipated to be necessary. This first auction is scheduled for February 28, 2023.</li> </ul> </li> <li>• Calculate and publish monthly projected GHG prices for Washington State</li> <li>• Develop reports to support WEIM Entity annual reporting to Washington State</li> </ul>
<b>BPM Changes</b>	Energy Imbalance Market (EIM), Market Instruments
<b>Tariff Changes</b>	Sections 30.4.4.5, 30.4.5.2, 39.6.1.6.2, 39.7.1.1.1.1, 39.7.1.1.1.2, 39.7.1.1.1.4
<b>Impacted Systems</b>	MF, Internal System, SIBR, OASIS

# Fall 2023 – WA WEIM GHG Enhancements - Overview

System	High Level Changes
<b>MF</b>	<ul style="list-style-type: none"><li>• New rule to verify that relevant resources within WA State must provide resource specified GHG emission rate</li><li>• New attribute at the BAA level to identify BAAs associated with Washington State</li><li>• New attribute to associate resources within WA State with the State of WA to identify resources with GHG obligation<ul style="list-style-type: none"><li>• Exception: BPA—not subject to WA reporting rule</li></ul></li></ul>
<b>Internal System</b>	<ul style="list-style-type: none"><li>• Reference level (commitment costs/DEBs) changes to reflect GHG costs<ul style="list-style-type: none"><li>• DEBs to include GHG components for generators inside WA State</li><li>• Update GHG startup cost curve for resources inside WA State</li><li>• Update Greenhouse Gas Minimum Load Cost Allowance</li></ul></li><li>• Differentiate between CA and WA resources</li><li>• Capture WA GHG price in the resource-specific minimum load and startup GHG adders for the purposes of commitment cost calculations</li></ul>
<b>SIBR</b>	<ul style="list-style-type: none"><li>• Receive startup GHG adders for the purposes of commitment cost calculations for WA State resources</li><li>• Confirm GHG curves generated for WEIM resources within WA State are successfully received and processed</li></ul>
<b>OASIS</b>	<ul style="list-style-type: none"><li>• Add WA GHG index prices to existing GHG Index Price OASIS report<ul style="list-style-type: none"><li>• Only applicable for dynamic GHG pricing</li></ul></li></ul>

# Fall 2023 – WA WEIM GHG Enhancements

Milestone Type	Milestone Name	Dates	Status
Board Approval	Obtain Board of Governors Approval	Oct 26, 2022	✓
	WEIM Governing Board Approval	Oct 26, 2022	✓
External BRS	Publish External BRS	Feb 06, 2023	✓
Settlements Config Guides	Settlements Config Guides	NA	
Tech Spec	MFRDT OASIS	Yes Jul 17, 2023	✓
Tariff	File Tariff ER23-474	Nov 21, 2022	✓
	Tariff Accepted	Feb 10, 2023	✓
	Filed Amendment	Mar 13, 2023	✓
	Amendment Accepted	Apr 20, 2023	✓
BPMs	Publish Draft BPM for Interim Solution – WEIM PRR 1506	Apr 26, 2023	✓
	Publish Draft BPM for Interim Solution – Market Instruments PRR 1507	Apr 26, 2023	✓
Market Sim	Unstructured Testing	Aug 2023	
Production Activation	Interim Solution WA WEIM GHG Enhancements	May 01, 2023 Oct 01, 2023	✓

## 2023 – WA WEIM GHG Enhancements – Interim Solution

- ✓ **Effective May 1, 2023**, the CAISO has implemented an alternative interim solution to activate functionality in the Washington WEIM GHG Enhancements initiative.
- The alternative interim solution would allow SCs for resources in Washington to reflect their GHG costs in the default energy bids and commitment costs.
- This effectively activates the tariff language for this initiative and the alternative interim solution will remain in effect until it is replaced with the full initiative implementation later this year. We don't expect the interim solution to delay the implementation of the full functionality.



# Fall 2023 – WEIM Resource Sufficiency Evaluation Enhancements Phase 2

## Track 2

Project Information	Details
<p><b>High Level Project Scope</b></p>	<ul style="list-style-type: none"> <li>• <b>Track-2</b> <ul style="list-style-type: none"> <li>• Item2A – Clarification of Post-HASP Block Hour Low-Priority Export                             <ul style="list-style-type: none"> <li>• Operator-Driven Low-Priority Export Curtailment                                     <ul style="list-style-type: none"> <li>• CAISO operator’s ability to initiate pro-rata curtailment based on identified MW, given the following priority order:   <ul style="list-style-type: none"> <li>• RTECON (RT economic hourly block export schedules that clear HASP).</li> <li>• RTLPT (RT Self-Schedule hourly block export schedules not backed by Generation from non-RA Capacity and cleared HASP).</li> <li>• Non-high-priority DA export [i.e. DAECON (DA economic hourly block export schedules that clear both RUC and HASP), or DALPT (DA hourly block export schedules not backed by Generation from non-RA Capacity that also cleared both RUC and HASP and are protected Self-Schedules)]</li> </ul> </li> <li>• CAISO operator’s ability to identify/filter exports by market priority types as well as “Firm Provisional Energy (G-FP)” eTag identifier.</li> <li>• Publish resource-specific market priority types and their associated MW data to ADS.</li> </ul> </li> </ul> </li> <li>• Item2B – Develop MF resource identification Capacity Test Failed-to-Start Rule Exemption flag to allow SCs of WEIM and CISO short start units that start with non-positive telemetry to identify specific resources that will be exempted from this functionality in RSE Capacity test. (Implemented in Phase 1 – enhancements needed)</li> </ul> </li> </ul>
<p><b>BPM Changes</b></p>	<p>WEIM, Market Instruments, Market Operations</p>
<p><b>Tariff Changes</b></p>	<p>Yes</p>
<p><b>Impacted Systems</b></p>	<p>MF, Market, ITS, ADS</p>

# Fall 2023 – WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 2

System	High Level Changes
<b>MF</b>	<ul style="list-style-type: none"> <li>• Definition and Submission of Resource-Specific Capacity Test Failed-to-Start Rule Exemption Flag via GRDT</li> <li>• Make Resource-Specific Capacity Test Failed-to-Start Rule Exemption flag accessible to downstream systems.</li> </ul>
<b>ITS</b>	<ul style="list-style-type: none"> <li>• Clarification of Post-HASP Block Hour Low-Priority Export</li> <li>• Consume DAM Resource-Specific Market Priority Types and Resource-specific RUC Energy Awards from RUC.</li> <li>• Consume All Resource-Specific Market Priority Types from RTM.</li> <li>• SCs shall be required to submit Misc Info field Prior Type attribute for “Firm Provisional Energy (G-FP)” e-tags to identify RTECON, DAECON, RTLPT, DALPT.</li> <li>• SCs shall be required to submit Misc Info field Prior Type attribute for “Firm Energy (G-F)” e-tags to identify RTPT, DAPT.</li> <li>• Validate submitted export e-Tags against data received from RUC and RTM to approve/deny and adjust (if warranted) the submitted e-Tags.</li> </ul>
<b>Market</b>	<ul style="list-style-type: none"> <li>• Access Resource-Specific Capacity Test Failed-to-Start Rule Exemption flag from MF.</li> <li>• Exempt Specific Resources from Capacity Test Failed-to-Start Rule/Functionality.</li> <li>• Clarification of Post-HASP Block Hour Low-Priority Export</li> <li>• Broadcast All Resource-specific market priority types to ITS (from RTM).</li> </ul>
<b>ADS</b>	<ul style="list-style-type: none"> <li>• Clarification of Post-HASP Block Hour Low-Priority Export               <ul style="list-style-type: none"> <li>• Consume Resource-Specific Market Priority Types and their Associated MW Data from RTM.</li> <li>• Publish Resource-Specific Market Priority Types and their Associated MW Data.</li> <li>• Include Resource-Specific Market Priority Types in ADS Query Functionality.</li> </ul> </li> </ul>

# Fall 2023 – WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 2

Milestone Type	Milestone Name	Dates	Status
Board Approval	Obtain Board of Governors Approval WEIM Governing Board Approval	Dec 14, 2022	✓
External BRS	Post External BRS Post External BRS v1.1 Post External BRS v1.2	Mar 10, 2023 Mar 31, 2023 Jun 27, 2023	✓ ✓ ✓
Settlements Config Guides	NA	NA	
Tech Spec	Create ISO Interface Spec (Tech spec) – MF RDT CMRI	Yes Yes	
Tariff	Tariff	NA	
BPMs	Draft BPM changes – WEIM Draft BPM changes – Market Instruments Draft BPM changes – Market Operations	Yes	
Market Sim Scenarios	Market Sim Scenarios	Yes	
Market Sim	Market Sim Window	Yes	
Production Activation	Resource Sufficiency Evaluation Enhancements Phase 2 Track 2	Fall 2023 Release	

# Fall 2023 – WEIM Resource Sufficiency Evaluation

## Enhancements Phase 2 Track 2 BRS 1.2

Updated for:

- Section 1.3 (Scope), Section 4 (Details of Business Need/Problem), Section 5.1 (Business Practice Manual (BPM)), Section 5.2 (Other)
  - Updated to add CMRI publishing of market priority types MW schedules breakdown for export resources.
- RSEE2-BRQ-02040 (Track-3) – Item3A
  - Updated to only support 5-min granularity submission (removed 15-min and hourly granularity).
- RSEE2-BRQ-02160 (Track-3) – Item3A
  - Updated to only support 5-min granularity data for submitted, included and excluded LF Adjustments (removed 15-min and hourly granularity).
  - Updated to support 5-min granularity adjustment for Percentage of the submitted Non-Participating DR Schedules that are included in ALFS LF.
  - Removed Existing System Functionality.
- RSEE2-BRQ-02170 (Track-3) – Item3A
  - Updated to only account for the 5-min granularity data for the hourly-aggregate calculations (removed 15-min and hourly granularity).
  - Updated to add calculation for the hourly aggregate of Percentage of the submitted Non-Participating DR Schedules that are included in ALFS LF.
  - Updated to add an example for the calculations.
- RSEE2-BRQ-03060 (Track-1) – Item1C
  - Corrected to remove Track-2 from the BRQ ID since the eTag submission requirements are now in Track-1.
- RSEE2-BRQ-03160 (Track-2) – Item2A
  - Updated to add verbiage of not overloading the scheduling limits resulted from eliminating the exports counter-flow on them due to the exports curtailments.
- RSEE2-BRQ-03180 (Track-2) – Item2A
  - Added for the logic of not violating the scheduling limits resulted from eliminating the exports counter-flow on them due to the exports curtailments.
- RSEE2-BRQ-08560, RSEE2-BRQ-08580 (Track-2) – item2A
  - Added for CMRI publishing of market priority types MW schedules breakdown for export resources.
- Appendix-B: Formulas, Calculation Details, and Examples
  - Added Track-2 – Logic of Operator-Driven Export Curtailment without Violating Scheduling Limits.

# Fall 2023 – Energy Storage Enhancements Track 2

Project Information	Details
<b>High Level Business Problem or Need</b>	<p>This initiative evolves processes and systems to help storage resource scheduling coordinators better manage resource state of charge and continue to ensure efficient market outcomes. The purpose of this initiative is to enhance reliability tools and the co-located model with regards to storage resources. The reliability enhancements include updates to bidding rules, exceptional dispatch of storage resources, storage resource opportunity costs, and local area minimum online constraints. The co-located model enhancements include preventing co-located resources from charging when beyond generation levels for on-site resources and allowing pseudo-tied resources to use the co-located model. The scope of Track 1 covered the enhancements for Summer 2023 Release. The remaining scope in the Energy Storage Enhancements Policy is covered in Track 2 for Fall 2023 Release.</p>
<b>High Level Project Scope</b>	<p><b>Reliability Enhancements</b></p> <ul style="list-style-type: none"> <li>• Include lost opportunity from not generating in storage compensation due to hold Exceptional Dispatch (ED) to hold SOC (i.e. 0 MW ED)             <ul style="list-style-type: none"> <li>• Calculate counterfactual energy revenues with and without the SOC hold ED</li> <li>• If prices are below bids counterfactuals will not include discharges</li> <li>• Use actual LMPs (the ISO will not generate counterfactual LMPs)</li> <li>• Include SOC hold ED period through the end of the day in time horizon</li> </ul> </li> <li>• Allow for Exceptional Dispatches (EDs) to be issued for storage resources to hold SOC             <ul style="list-style-type: none"> <li>• Develop functionality within ED User Interface; automate existing excel tool functionality</li> <li>• Storage may receive a traditional ED or an SOC ED, but not both</li> </ul> </li> </ul> <p><b>Co-Located Model Enhancements</b></p> <ul style="list-style-type: none"> <li>• Develop an electable co-located model available to all storage resources             <ul style="list-style-type: none"> <li>• Storage schedules to never exceed renewable schedules (i.e. no grid charging)</li> <li>• Storage may deviate down to match solar, when solar is producing less than schedules in real-time</li> <li>• Deviations to be subject to imbalance energy charges</li> <li>• Require storage resources to submit outages when depleted and unable to charge</li> <li>• Require all resources to respond to operator and ED instructions within physical bounds of operation</li> </ul> </li> <li>• Allow for co-located pseudo-tie resources to apply Aggregate Capability Constraint (ACC)             <ul style="list-style-type: none"> <li>• Resources under an ACC must be pseudo-tied from the same BAA</li> </ul> </li> </ul>
<b>BPM Changes</b>	Market Operations, Settlements & Billing
<b>Impacted Systems</b>	Settlements, Market, CMRI, RIMS, MF, OASIS, ADS, OMS, ITS

# Fall 2023 – Energy Storage Enhancements Track 2

System	High Level Changes
<b>Market</b>	<ul style="list-style-type: none"> <li>Allow operator to enter exceptional dispatch (ED) in the market to allow for EDs of storage resources by SOC in addition to capacity</li> <li>Update the definition of EDs to allow the operator to enter EDs for storage resources identified with two new Reason Codes under Instruction Type: System Emergency (“SYSEMR”)</li> </ul>
<b>ADS</b>	ADS consumes new ED Reason Codes (“SOC Hold” and “SOC Charge”) applicable to storage resources
<b>Settlements</b>	<ul style="list-style-type: none"> <li>New rules to align with Tariff settlement language for SOC formula</li> <li>New inputs for the storage resource ED opportunity cost values</li> <li>Design and configure new charge code for the allocation of ED SOC uplift</li> <li>Modify real-time bid cost recovery (BCR) charge code to account for revenue from storage resources ED SOC uplift</li> <li>Configure No Pay rules and assessment for ED SOC</li> </ul>
<b>CMRI</b>	Receive and shall publish the ED SOC information using new UI and API on a new report entitled “Exceptional Dispatch Hold State of Charge”
<b>OASIS</b>	Receive and publish new ED reason Codes (“SOC Hold” and “SOC Charge”) under System Emergency Instruction Type as “Reason” on the Operator Initiated Commitment OASIS Report
<b>RIMS</b>	<ul style="list-style-type: none"> <li>Add field for storage resource duration (hours)</li> <li>Add field for calculated MWh</li> <li>Calculate energy (MWh) using duration*MW</li> <li>Allow pseudo tied resources to be modeled as co-located</li> </ul>
<b>MF</b>	<ul style="list-style-type: none"> <li>Apply co-located flag to pseudo tied resources</li> <li>Set minimum ACC constraint to zero for co-located resources that cannot or choose not to not grid charge</li> <li>New MF attribute to denote resources that select to not grid charge</li> </ul>
<b>OMS</b>	Storage resources must submit outage cards if: <ul style="list-style-type: none"> <li>The co-located VER cannot provide the charging energy as forecasted per Tariff requirements</li> <li>System shall require storage resources to submit outage cards if the resource has depleted its SOC and there is no ability to charge the resource per Tariff requirements</li> </ul>
<b>ITS</b>	System shall model pseudo-tied and dynamic co-located storage resources following the standard NRI pseudo-tie and dynamic rules and practices Note: pseudo-tied and dynamic storage resources must be registered as TNGR resources

# Fall 2023 – Energy Storage Enhancements Track 2

Milestone Type	Milestone Name	Dates	Status
<b>Board Approval</b>	Obtain Board of Governors Approval WEIM Governing Board Approval	Dec 14, 2022	✓
<b>External BRS</b>	Post External BRS <b>V1.1</b>	May 26, 2023 <b>Jun 29, 2023</b>	✓ ✓
<b>Settlements Config Guides</b>	First Draft Technical Documents 1 <sup>st</sup> Draft Config Output File	Feb 17, 2023 Jul 26, 2023	✓ ✓
<b>Tech Spec</b>	Publish Interface Specification – ADS Publish Interface Specification – CMRI Publish Interface Specification – OASIS	Yes Jul 17, 2023 Jul 17, 2023	✓ ✓
<b>Tariff</b>	File Tariff FERC Response File Extension of MSOC FERC Approval of Extension of MSOC File Tariff	Mar 31, 2023 Jun 01, 2023 Mar 28, 2023 May, 25, 2023 Aug 01, 2023	✓ ✓ ✓ ✓
<b>BPMs</b>	Draft BPM changes – Market Operations Draft BPM changes – Market Settlements & Billing	Yes Yes	
<b>Market Sim</b>	Market Sim Window	Yes	
<b>Production Activation</b>	Energy Storage Enhancements Track 2	Fall 2023 Release	



# Energy Storage Enhancements Stakeholder Workshop

The California ISO scheduled a public hybrid stakeholder workshop for Energy Storage Enhancements on August 3, to discuss functionality for modeling regulation awards for storage resources. This meeting on August 3 will be offered virtually and in-person at the California ISO in Folsom, California.

During this meeting, the ISO and stakeholders will discuss the planned implementation for state of charge equation in the spring 2023 release, unintended consequences from that implementation and possible alternative modeling implementations. Stakeholders are encouraged to attend and participate in the workshop. Stakeholders are also invited to provide presentations. The ISO is planning to discuss the proposed changes to include regulation awards in the state of charge equation had the observed outcomes from the testing environment when this change was introduced there. The ISO also plans to discuss potential alternative methods that could be used to model storage resources that receive awards for ancillary services.

<https://caiso.regfox.com/energy-storage-enhancements-hybrid-stakeholder-workshop>



# Independent 2023 Releases

# 2023 – Hybrid Resources 2C RIMS

Project Information	Details/Date
<p><b>High Level Business Problem or Need</b></p>	<p>The ISO launched this stakeholder initiative to identify new or enhanced market rules and business processes needed to accommodate hybrid resources, resources that consist of two sets of market rule changes that will facilitate mixed-fuel type (hybrid and co-located resources) project participation in the ISO markets.</p> <p>Prior to this initiative, Phase 1 identified a first set of modifications generally concerned with setting up and operating co-located resources.</p> <p>Building on phase 1, Phase 2 focuses on modifications that will explore how hybrid generation resources can be registered and configured to operate within the ISO market. The initiative will further develop solutions allowing developers to maximize the benefits of their resource’s configuration. Additionally, hybrid resource configurations also raise new operational and forecasting challenges that the ISO plans to address during this initiative.</p>
<p><b>High Level Project Scope</b></p>	<p>With this initiative, there’s an opportunity to increase storage and the number of hybrid resources that can connect to the ISO grid. Currently the interconnection queue includes more than 24,000 MW of mixed fuel projects and nearly 20,000 MW of storage which represents roughly half of all generation in the current interconnection queue.</p>
<p><b>BPM Changes</b></p>	<p>Settlements &amp; Billing</p>
<p><b>Impacted Systems</b></p>	<p>Summer 2023: Settlements</p> <p><b>Independent 2023: RIMS</b></p> <p>Completed: Today’s Outlook, ISO Today Mobile Application, Reports</p>
<p><b>Requirements</b></p>	<p><a href="http://www.caiso.com/Documents/BusinessRequirementsSpecifications-HybridResourcesPhase2.pdf">http://www.caiso.com/Documents/BusinessRequirementsSpecifications-HybridResourcesPhase2.pdf</a></p>

# 2023 – Hybrid Resources 2C RIMS

Milestone Type	Milestone Name	Dates	Status
External BRS	Publish External BRS	Jan 31, 2023	✓
Settlements Config Guides	NA for RIMS	NA	
Tech Spec	Create and Publish ISO Interface Spec (Tech Specs)	NA	
Market Sim	Market Sim Window – RIMS	NA	
Production Activation	Hybrid Resources 2C – RIMS	Nov 2023	

## 2023 – Application Delivery Resiliency

- Areas
  - Access Policy Manager - Application Authentication
  - Local Traffic Manager - Load Balancing
  - Application Security Manager - Web Application Firewall
- User Impacts & Actions
  - New IP ranges requiring firewall changes
    - Please open the entire 45.42.16.0/21 network on ports 80 & 443 for our new IP space
  - New URLs for UIs and APIs requiring cutover
  - No application functionality changes expected
  - No provisioning changes expected

# 2023 – Application Delivery Resiliency

Interface	In Scope	In Progress	Finished
UI	All remaining	<ul style="list-style-type: none"> <li>• SIBR</li> <li>• BSAP</li> <li>• RCBSAP</li> <li>• BAAOP</li> </ul>	<ul style="list-style-type: none"> <li>• Developer</li> <li>• RCPortal</li> <li>• GMS</li> <li>• CIDI</li> <li>• PIVision</li> <li>• DRRS - Fall 2020 Release</li> <li>• ADS - Fall 2020 Release</li> <li>• AIM</li> <li>• CIRA</li> <li>• MRI-S</li> <li>• Public Website – May 26, 2021                             <ul style="list-style-type: none"> <li>• www.caiso.com</li> <li>• ISO Today Mobile Application</li> <li>• Stakeholder Comments Tool</li> <li>• content.caiso.com – July 29, 2022</li> </ul> </li> <li>• BPM - July 14, 2021</li> <li>• WesternEIM - July 14, 2021</li> <li>• Dev Site - July 2021</li> <li>• RIMSPUB – September 14, 2021</li> <li>• RIMS – September 14, 2021</li> <li>• OASIS – Dec 2021 (No URL change)</li> <li>• OMS – June 14, 2022</li> </ul>
API	All		<ul style="list-style-type: none"> <li>• ADS - Fall 2020 Release</li> <li>• OASIS – Dec 2021 (No URL change)</li> </ul>

# 2023 – Application Delivery Resiliency

## Remaining API Cutovers

- BAAOP
- BSAP
- CIRA
- CMRI
- DRRS
- EIDE
- ALFS
- MF
- PISOA
- RCBSAP
- RCSERVICES (RCEIDE)
- SIBR
- STLMT
- OMS

## Plan

- MAP-Stage and Production will have soft cutovers to have current and new API URLs available in parallel for 60 days in each environment
- Current API URLs remain available while new API URLs are introduced as they become verified and ready for customer use
- MAP-Stage new API URLs available as of May 18, 2023
- Please open the entire 45.42.16.0/21 network on ports 80 & 443 for our new IP space to access new API URLs

# URL Changes (Application Delivery Resiliency)

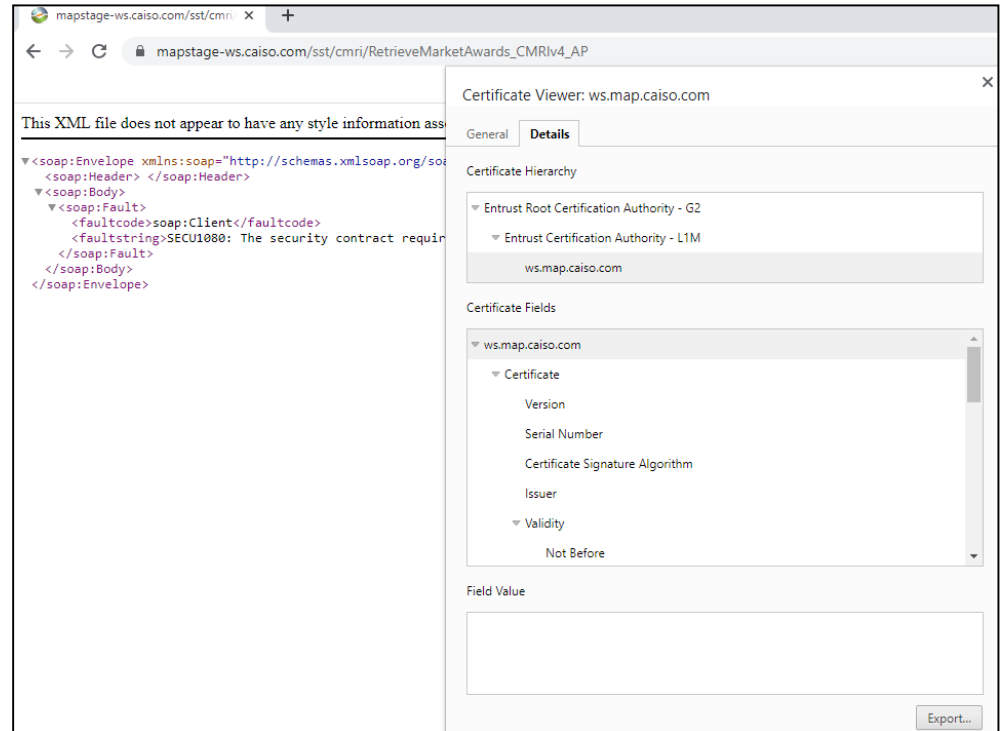
## Plan

- MAP-Stage new API URLs available as of May 18, 2023 for 60 days to transition
- Please open the entire 45.42.16.0/21 network on ports 80 & 443 for our new IP space to access new API URLs

<b>API</b>	<b>Existing MAPSTAGE</b>	<b>New MAPSTAGE</b>
<b>BAAOP</b>	<a href="https://wsmap.caiso.com/sst/baaop">https://wsmap.caiso.com/sst/baaop</a>	<a href="https://mapstage-ws.caiso.com/sst/baaop">https://mapstage-ws.caiso.com/sst/baaop</a>
<b>BSAP</b>	<a href="https://wsmap.caiso.com/sst/bsap">https://wsmap.caiso.com/sst/bsap</a>	<a href="https://mapstage-ws.caiso.com/sst/bsap">https://mapstage-ws.caiso.com/sst/bsap</a>
<b>CIRA</b>	<a href="https://wsmap.caiso.com/sst/cira">https://wsmap.caiso.com/sst/cira</a>	<a href="https://mapstage-ws.caiso.com/sst/cira">https://mapstage-ws.caiso.com/sst/cira</a>
<b>CMRI</b>	<a href="https://wsmap.caiso.com/sst/cmri">https://wsmap.caiso.com/sst/cmri</a>	<a href="https://mapstage-ws.caiso.com/sst/cmri">https://mapstage-ws.caiso.com/sst/cmri</a>
<b>DRRS</b>	<a href="https://wsmap.caiso.com/sst/drrs">https://wsmap.caiso.com/sst/drrs</a>	<a href="https://mapstage-ws.caiso.com/sst/drrs">https://mapstage-ws.caiso.com/sst/drrs</a>
<b>EIDE</b>	<a href="https://wsmap.caiso.com/sst/eide">https://wsmap.caiso.com/sst/eide</a>	<a href="https://mapstage-ws.caiso.com/sst/eide">https://mapstage-ws.caiso.com/sst/eide</a>
<b>ALFS (&amp; FDR)</b>	<a href="https://wsmap.caiso.com/sst/runtime.asvc">https://wsmap.caiso.com/sst/runtime.asvc</a>	<a href="https://mapstage-ws.caiso.com/sst/runtime.asvc">https://mapstage-ws.caiso.com/sst/runtime.asvc</a>
<b>MF</b>	<a href="https://wsmap.caiso.com/sst/runtime.asvc">https://wsmap.caiso.com/sst/runtime.asvc</a>	<a href="https://mapstage-ws.caiso.com/sst/runtime.asvc">https://mapstage-ws.caiso.com/sst/runtime.asvc</a>
<b>PISOA</b>	<a href="https://wsmap.caiso.com/sst/runtime.asvc">https://wsmap.caiso.com/sst/runtime.asvc</a>	<a href="https://mapstage-ws.caiso.com/sst/runtime.asvc">https://mapstage-ws.caiso.com/sst/runtime.asvc</a>
<b>RCBSAP</b>	<a href="https://wsmap.caiso.com/sst/rcbsap">https://wsmap.caiso.com/sst/rcbsap</a>	<a href="https://mapstage-ws.caiso.com/sst/rcbsap">https://mapstage-ws.caiso.com/sst/rcbsap</a>
<b>RCSERVICES (RCEIDE)</b>	<a href="https://wsmap.caiso.com/sst/rcservices">https://wsmap.caiso.com/sst/rcservices</a>	<a href="https://mapstage-ws.caiso.com/sst/rcservices">https://mapstage-ws.caiso.com/sst/rcservices</a>
<b>SIBR</b>	<a href="https://wsmap.caiso.com/sst/sibr">https://wsmap.caiso.com/sst/sibr</a>	<a href="https://mapstage-ws.caiso.com/sst/sibr">https://mapstage-ws.caiso.com/sst/sibr</a>
<b>STLMT</b>	<a href="https://wsmap.caiso.com/sst/stlmt">https://wsmap.caiso.com/sst/stlmt</a>	<a href="https://mapstage-ws.caiso.com/sst/stlmt">https://mapstage-ws.caiso.com/sst/stlmt</a>
<b>OMS</b>	<a href="https://wsmap.caiso.com/sst/weboms">https://wsmap.caiso.com/sst/weboms</a>	<a href="https://mapstage-ws.caiso.com/sst/weboms">https://mapstage-ws.caiso.com/sst/weboms</a>
<b>ECIC</b>	<a href="https://wsmap.caiso.com/sst/ecic">https://wsmap.caiso.com/sst/ecic</a>	<a href="https://mapstage-ws.caiso.com/sst/ecic">https://mapstage-ws.caiso.com/sst/ecic</a>

# UI & API URL & IP Changes (Application Delivery Resiliency) - New Certificate Authority

- There is a new certificate authority for the new URLs: it was Verizon and is now Entrust
- Please add the two certificates to access the new URLs
- The new MAPSTAGE WS have a different Root and Intermediate certificate:
  - Entrust Root Certification Authority – G2
  - Entrust Certification Authority – L1M





# UI & API URL & IP Changes (Application Delivery Resiliency) - New Certificate Authority

## References

- Entrust L1M Certificate Authority: [http://web.entrust.com/subca-certificates/L1M-G2-Xcert\\_20141215.cer?\\_gl=1\\*1pabf82\\*\\_ga\\*MTk2NDQzNTE0LjE2ODc0NjQ1NjA.\\*\\_ga\\_6QRW66BW5T\\*MTY4NzQ2NDU1OS4xLjEuMTY4NzQ2NDU4MS4zOC4wLjA.&\\_ga=2.121940939.1908197984.1687464560-196443514.1687464560](http://web.entrust.com/subca-certificates/L1M-G2-Xcert_20141215.cer?_gl=1*1pabf82*_ga*MTk2NDQzNTE0LjE2ODc0NjQ1NjA.*_ga_6QRW66BW5T*MTY4NzQ2NDU1OS4xLjEuMTY4NzQ2NDU4MS4zOC4wLjA.&_ga=2.121940939.1908197984.1687464560-196443514.1687464560)
- Entrust Root Certificate Authority: [https://web.entrust.com/root-certificates/entrust\\_g2\\_ca.cer?\\_gl=1\\*1t3efhy\\*\\_ga\\*MTk2NDQzNTE0LjE2ODc0NjQ1NjA.\\*\\_ga\\_6QRW66BW5T\\*MTY4NzQ2NDU1OS4xLjEuMTY4NzQ2NDU4MS4zOC4wLjA.&\\_ga=2.127397581.1908197984.1687464560-196443514.1687464560](https://web.entrust.com/root-certificates/entrust_g2_ca.cer?_gl=1*1t3efhy*_ga*MTk2NDQzNTE0LjE2ODc0NjQ1NjA.*_ga_6QRW66BW5T*MTY4NzQ2NDU1OS4xLjEuMTY4NzQ2NDU4MS4zOC4wLjA.&_ga=2.127397581.1908197984.1687464560-196443514.1687464560)
- Parent pages these came from:
  - <https://www.entrust.com/knowledgebase/ssl/entrust-certificate-services-subordinate-cas>
  - <https://www.entrust.com/resources/certificate-solutions/tools/root-certificate-downloads>

## UI & API URL & IP Changes (Application Delivery Resiliency) - Market Simulation Forum

- Wednesday 1400 PT thru mid-August
- Focus is to support customers to transition all API use to the new API URLs in preparation of shutting down the existing API URLs
- [www.caiso.com](http://www.caiso.com) > Calendar > View all events
- Next occurrence is tomorrow, Wednesday, July 26 1400 PT
  - Participation information
    - By phone: call 1-844-621-3956 or 1-650-479-3208 (toll), access code: 962 067 710
    - By web: join web conference at <https://caiso.webex.com/meet/MarketSim>, meeting number: 962 067 710

# Future Releases

# Future Releases Overview

Project	BOG	Tariff	Production Activation
WEIM Resource Sufficiency Evaluation Enhancements Phase 2 Track 3	Dec 14, 2022 – Approved	<ul style="list-style-type: none"> <li>• NA</li> </ul>	Summer 2024
Transmission Service & Market Scheduling Priorities Phase 2	Feb 2023 – Approved	<ul style="list-style-type: none"> <li>• 03/27/23: Draft Tariff Language</li> <li>• 06/14/23: Revised DTL</li> <li>• 07/14/23: Track 2 DTL</li> <li>• File Track 1 July 2023</li> <li>• File Track 2 Jan 2024</li> </ul>	Summer 2024
Day-Ahead Market Enhancements	Feb 2023 – Briefing May 17, 2023 – Approved	<ul style="list-style-type: none"> <li>• 06/02/23: Draft Tariff Language, Draft Tariff Matrix</li> <li>• 06/16/23: Comments due on DTL</li> <li>• 06/23/23: Meeting</li> <li>• 07/11/23: Revised DTL</li> <li>• File Aug 2023</li> </ul>	Fall 2024
Extended Day-Ahead Market On-Boarding	Feb 2023 – Approved	<ul style="list-style-type: none"> <li>• 03/30/23: Draft Tariff Language</li> <li>• 05/24/23: Working DTL</li> <li>• 06/08/23: Revised DTL</li> <li>• 07/06/23: Comments due on DTL</li> <li>• 07/25/23: Revised DTL</li> <li>• 07/26/23: Meeting</li> <li>• File Aug 2023</li> </ul>	Fall 2024  Spring 2025

# 2024 – WEIM Resource Sufficiency Evaluation Enhancements Phase 2

## Track 3

Project Information	Details
High Level Project Scope	<b>Track-3</b> <ul style="list-style-type: none"><li>Item3A – DR Inclusion with RSE via ALFS (RSEE-1060) (Implemented in Phase 1 – enhancements needed)<ul style="list-style-type: none"><li>Furnish ALFS-SOA API integration to DR SCs to automatically submit their DR LF Adjustment (that reflect Non-Participating DR Schedules) to account for DRs that are not explicitly modeled in real-time markets.</li></ul></li></ul>
BPM Changes	Demand Response, WEIM
Tariff Changes	NA
Impacted Systems	MF, ALFS, Market, BAAOP

# 2024 – WEIM Resource Sufficiency Evaluation Enhancements

## Phase 2 Track 3

System	High Level Changes
<b>MF</b>	<ul style="list-style-type: none"> <li>RSEE-1060 – Business Process: Ensure DR Inclusion Flag Submission by WEIM Entity Only via CIDI.</li> <li>RSEE-1060 – Business Process: STF Notification of Changes to DR Inclusion Flag on WEIM Entity / WEIM Sub-Entity Level.</li> </ul>
<b>ALFS</b>	<ul style="list-style-type: none"> <li>Access DR Inclusion Flag on WEIM Entity/Sub-Entity Levels from MF.</li> <li>Translate the WEIM Entity/Sub-Entity DR Inclusion Flag to their associated LF zones (During MF transfer, the sub-area LF zone will adopt the WEIM Entity attestation flag).</li> <li>Receive Non-Participating DR Schedules from WEIM Entity or WEIM Sub-Entity</li> <li>Broadcast Non-Participating DR Schedules for WEIM Entity BAA or WEIM Sub-Entity.</li> <li>Broadcast DR LF Adjustment Data (that have been adjusted in ALFS) to downstream systems.</li> </ul>
<b>Market</b>	<ul style="list-style-type: none"> <li>Consume the following from ALFS:               <ul style="list-style-type: none"> <li>ALFS-DF-Submitted DR LF Adjustment (hourly aggregate)</li> <li>STF-DF-Excluded DR LF Adjustment (hourly aggregate)</li> </ul> </li> <li>Accounting for DR LF Adjustments in RSE.</li> </ul>
<b>BAAOP</b>	<p>Display DR LF Adjustments received from ALFS in BAAOP.</p> <p>Disable manual entry via BAAOP UI on a sunset date, after all participants transition to ALFS API submission.</p>

# 2024 – WEIM Resource Sufficiency Evaluation Enhancements

## Phase 2 Track 3

Milestone Type	Milestone Name	Dates	Status
Board Approval	Obtain Board of Governors Approval WEIM Governing Board Approval	Dec 14, 2022	✓
External BRS	Post External BRS	Mar 10, 2023	✓
	Post External BRS v1.1	Mar 31, 2023	✓
	Post External BRS v1.2	Jun 27, 2023	✓
Settlements Config Guides	NA	NA	
Tech Spec	Create ISO Interface Spec (Tech spec) – ALFS	Yes	
Tariff	Tariff	NA	
BPMs	Draft BPM changes – Demand Response Draft BPM changes – WEIM	Yes	
Market Sim Scenarios	Market Sim Scenarios	Yes	
Market Sim	Market Sim Window	Yes	
Production Activation	Resource Sufficiency Evaluation Enhancements Phase 2 Track 3	Summer 2024	

# Fall 2024 – Day Ahead Market Enhancements

Project Information	Details/Date
<b>High Level Business Problem or Need</b>	<p>In recent years, Variable Energy Resource (VER) have gained significant traction in the energy grid, playing a crucial role in achieving renewable energy targets and reducing greenhouse gas emissions. However, their increasing presence has introduced a new challenge energy imbalances between the Day Ahead and Real Time markets.</p> <p>Another reason for the energy imbalance is the day-ahead market operates on hourly time increments, whereas real-time market schedules energy in 15 and 5-minute intervals. This discrepancy in granularity results imbalances since the rea-l-time market schedules fluctuate within the hour while day-ahead market schedules remain fixed for the entire hour.</p> <p>These imbalances necessitates out-of-market interventions by operators, such as forecast biasing and dispatches, to uphold grid reliability. However, this situation presents an opportunity to improve our market software, enabling us to achieve a more efficient and economical solution while addressing the variability and reliability concerns within the market.</p>
<b>High Level Project Scope</b>	<p>Enhance the California ISO's (CAISO's) day-ahead market by:</p> <ul style="list-style-type: none"> <li>• Introducing an imbalance reserve (IRU/IRD) product to provide flexible capacity to account for real-time ramping needs</li> <li>• Enhancing the residual unit commitment process to also ensure there is sufficient downward dispatch capability (RCU/RCD)</li> <li>• Enhancing the day-ahead market to maximize benefits of greater West-wide diversity in the day-ahead optimization for Western Energy Imbalance Market participants</li> </ul>
<b>BPM Changes</b>	Settlements and Billing, Market Instruments & Market Operations
<b>Tariff Changes</b>	Sections 27, 31, 34, 39
<b>Impacted Systems</b>	MF, SIBR, DAM, OASIS, CMRI, Settlements & Internal Systems



# Fall 2024 – Day Ahead Market Enhancements

System	High Level Changes
<b>MF</b>	<ul style="list-style-type: none"> <li>Define IRU, IRD, RCU, RCD eligibility for the resource ID in MF.</li> </ul>
<b>SIBR</b>	<ul style="list-style-type: none"> <li>IRU,IRD,RCU,RCD bid rules</li> </ul>
<b>DAM</b>	<ul style="list-style-type: none"> <li>Calculate IRU/IRD requirements</li> <li>MPM: Market Power Mitigation for IRU/IRD</li> <li>IFM: procure IRU/IRD</li> <li>IRU/IRD deployment scenarios</li> <li>IRU/IRD requirement distribution</li> <li>IRU/IRD in NA-AC power flow</li> <li>Include IRU/IRD in constraints</li> <li>RCU/RCD procurement</li> <li>RUC-MPM pass</li> <li>Impact on RUC performance with additional MPM pass</li> <li>LMP for EN, IRU/IRD, RCU/RCD</li> </ul>
<b>OASIS</b>	<ul style="list-style-type: none"> <li>IRU, IRC, RCU,RCD related public reports</li> </ul>
<b>CMRI</b>	<ul style="list-style-type: none"> <li>IRU, IRC, RCU,RCD related private reports</li> </ul>
<b>Settlements</b>	<ul style="list-style-type: none"> <li>IRU, IRC, RCU,RCD Settlements</li> </ul>

# Fall 2024 – Day Ahead Market Enhancements

Milestone Type	Milestone Name	Dates	Status
Board Approval	Board briefing/approval	May 17, 2023	✓
External BRS	DAME External BRS Published	Jul 31, 2023	
Tariff	First Draft Tariff Posting	Jun 02, 2023	✓
	Second Draft Tariff Posting	Jul 11, 2023	✓
	FERC Filing	Aug 15, 2023	
Tech Spec	Publish Technical Specifications	Nov 22, 2023	
Config Guides	Post Draft Config Guides - First set of charge codes	Jan 16, 2024	
	Post Draft Config Guides - Second set of charge codes	Feb 26, 2024	
	Post Draft Config Guides - Third set of charge codes	Apr 08, 2024	
BPMs	Post Draft BPM changes	Apr 04, 2024	
External Training	External User Training	Aug 12, 2024	
Market Sim	Market Sim Window	Jun 03, 2024 - Aug 23, 2024	
Production Deployment - Inactive	Day Ahead Market Enhancements	Oct 01, 2024	

# Fall 2024 - Extended Day Ahead Market (EDAM) Implementation

Project Information	Details/Date
<b>High Level Business Problem or Need</b>	<p>The purpose of this initiative is to create a comprehensive extended day-ahead market that extends over multiple balancing authority areas (BAAs) participating in the WEIM. EDAM is a voluntary day-ahead electricity market with the potential to deliver significant economic, environmental, and reliability benefits for participants across the West. EDAM will more efficiently and effectively integrate renewable resources and address the significant operational challenges presented by a rapidly changing resource mix, emerging technologies, and the impacts of climate change. EDAM will enable procurement of robust supply and flexible capacity that will position EDAM participants to effectively address changes in conditions from day-ahead to real-time, improving their response to potential reliability challenges. EDAM builds upon the proven ability of the Western Energy Imbalance Market (WEIM) to increase regional coordination, support state policy goals, and cost effectively meet demand..</p>
<b>High Level Project Scope</b>	<p>The EDAM design leverages existing features of the ISO day-ahead market that are common in other day-ahead markets across the country. The design also considers enhancements proposed in contemporaneous stakeholder initiatives, that will harness flexibility across the larger footprint by incorporating an imbalance reserve product, and that will enhance price formation. EDAM introduce new products, imbalance reserve and reliability capacity, as well as new penalties, RSE surcharge.</p>
<b>BPM Changes</b>	<ul style="list-style-type: none"> <li>Definitions and Acronyms</li> <li>Energy Imbalance Market (EIM)</li> <li>Market Instruments</li> <li>Market Operations</li> <li>Settlements and Billing</li> <li>EDAM</li> </ul>
<b>Impacted Systems</b>	<p>MF, ALFS, ALFS-SOA, SIBR, RTSI, RTBS, BSAP, DAM (IFM and RUC), DA-RSE (new), RTM (RTPD and RTD), STUC, MPM, SMDM, ITS, BARC, GHG Pass (new), Settlements, CMRI, OASIS, ADS, WebOMS</p>
<b>Requirements</b>	<p>Target publish external BRS by Jul 31, 2023</p>

# Fall 2024 - Extended Day Ahead Market (EDAM) Implementation

Milestone Type	Milestone Name	Dates	Status
<b>External BRS</b>	Publish External BRS	Jul 31, 2023	
<b>Settlements Config Guides</b>	Draft Technical Documents – Tier 1 Draft Technical Documents – Tier 2 Draft Technical Documents – Tier 3	Jan 16, 2024 Feb 26, 2024 Apr 08, 2024	
<b>Tech Spec</b>	Create and Publish ISO Interface Spec (Tech Specs)	Oct 2023	
<b>BPMs</b>	Definitions and Acronyms Energy Imbalance Market (EIM) Market Instruments Market Operations Settlements and Billing EDAM	TBD	
<b>Training</b>	Training	TBD	
<b>Market Sim</b>	Market Sim for DAME Market Sim Window for onboarding EDAM entities	Jun 3, 2024 – Aug 25, 2024 Dec 2, 2024 – Feb 28, 2025	
<b>Production</b>	<b>EDAM Implementation</b> <b>EDAM Onboarding</b>	<b>Oct 1, 2024</b> <b>May 1, 2025</b>	

# Congestion Revenue Rights (CRR) Upgrade

Project Information	Details/Date
<b>High Level Project Scope</b>	<p>The Congestion Revenue Rights (CRR) system was implemented by CAISO in 2008 as part of the Market Redesign and Technology Upgrade (MRTU) implementation. The current CRR system is at its end of life, does not have the flexibility to accommodate future policy changes and requires the ISO to calculate data and run processes manually outside the current system to produce a successful CRR Auction.</p> <p>The CAISO has decided on a significant upgrade of the existing CRR system and adopt the latest technology stack aligned with CAISO's technology standards, consolidate all CRR related functions, minimize human errors, reduce processing time, eliminate manual workarounds, and positions the system to accommodate policy changes down the road.</p> <p><b>Congestion Revenue Rights (CRR) system replacement project scope is the roll-out of a:</b></p> <ul style="list-style-type: none"> <li>• Brand new user-interface (UI) system with an updated new look-and-feel, to replace the existing legacy system implemented during the MRTU 2008 go-live and brought up to current ISO technology standards</li> <li>• Set of application-programming interfaces (APIs) to enable integration between ISO and market participant systems</li> </ul> <p><b>Overall, to support the following in one consolidated CRR external-facing system:</b></p> <ul style="list-style-type: none"> <li>• Annual/Monthly Auction and Allocation market participant bid submission and results retrieval</li> <li>• Load data submission by CRR LSEs, CEC</li> <li>• Load migration data submission by CRR UDCs</li> <li>• Secured "Congestion Revenue Rights Full Network Model" information access</li> <li>• Private and public access of CRR market input and output information</li> </ul>
<b>BPM Changes</b>	<p>Congestion Revenue Rights</p> <ul style="list-style-type: none"> <li>• Enhancements made to the new CRR product.</li> <li>• Automatic publishing of CRR market results.</li> <li>• Automatic CRR notification.</li> <li>• New CRR schedule calendar.</li> <li>• New CRR FNM access.</li> <li>• New CRR data submission and download interface UI/API.</li> <li>• New CRR market results interface.</li> <li>• Load Migration</li> </ul>
<b>Tariff Change</b>	<p>No</p>
<b>Impacted Systems</b>	<p>CRR, AIM, CMRI, OASIS, CTS, Market Clearing, EMMS, IFM/RTN, MQS, Master File, MPP, Settlements, WebOMS, ETCC.</p>

# Congestion Revenue Rights (CRR) Upgrade

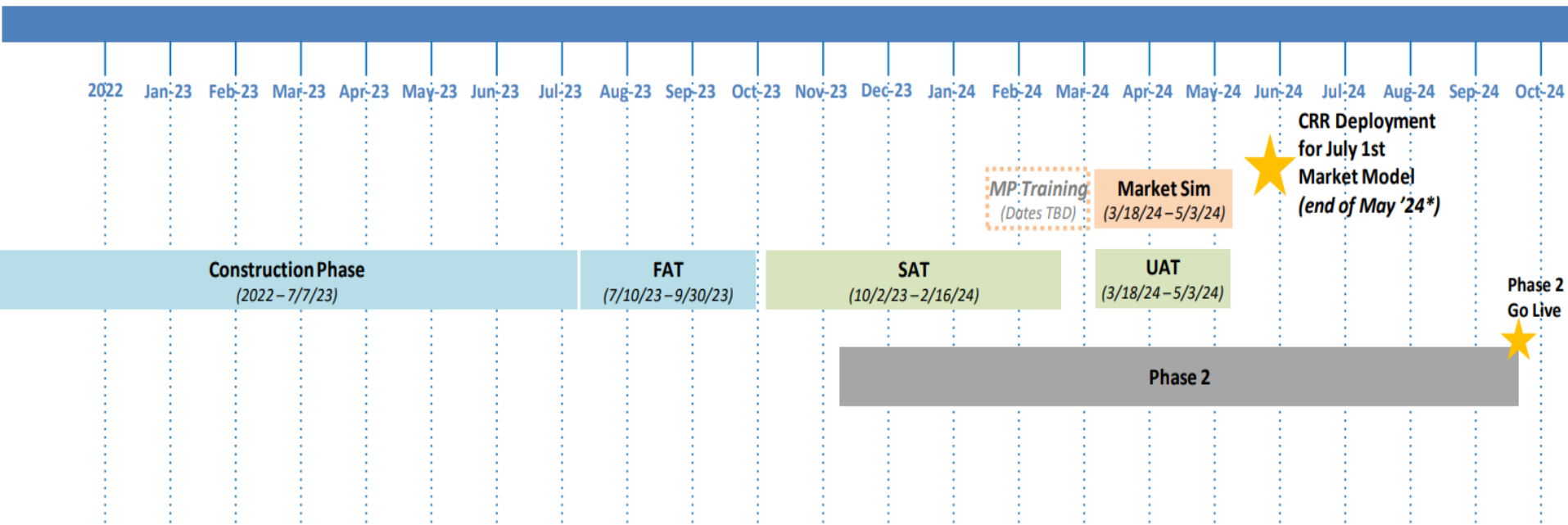
System	High Level Changes
<b>CRR</b>	<p>Significant system upgrade including:</p> <ul style="list-style-type: none"> <li>• Enhancements made to the new CRR application.</li> <li>• Automatic publishing of CRR market results.</li> <li>• Automatic CRR notification.</li> <li>• New CRR schedule calendar.</li> <li>• New CRR FNM access.</li> <li>• New CRR data submission and download interface UI/API.</li> <li>• Other TBDs identified through BRS development.</li> </ul>
<b>AIM</b>	<ul style="list-style-type: none"> <li>• New users and roles to support new CRR functionality</li> </ul>
<b>CMRI</b>	<ul style="list-style-type: none"> <li>• Full and incremental Payload publishing</li> <li>• Publish CRR Awards payload on event-driven, ad-hoc or scheduled basis</li> <li>• Publish CRR Awards payload on event-driven, ad-hoc or scheduled basis</li> </ul>
<b>OASIS</b>	<ul style="list-style-type: none"> <li>• Publish CRR Calendar, and all available CRR market names, and credit margin information, 3 year historical expected value..</li> <li>• Allow authorized users to publish CRR inventory payloads</li> <li>• Broadcast the following: set aside values, the results of all CRR markets, retired pnode/anode mapping, binding constraints, initial and updated CRR source and sink list for each CRR market,</li> </ul>
<b>CTS</b>	<ul style="list-style-type: none"> <li>• Broadcast</li> </ul>
<b>EMMS</b>	<ul style="list-style-type: none"> <li>• CRR will consume data from EMMS</li> </ul>
<b>IFM/RTN</b>	<ul style="list-style-type: none"> <li>• CRR will consume data from IFM/RTN</li> </ul>
<b>MQS</b>	<ul style="list-style-type: none"> <li>• MQS will consume and process SCID in a new format</li> <li>• MQS will consume ownership payload in bulk</li> </ul>
<b>Master File</b>	<ul style="list-style-type: none"> <li>• Master File will be modified as needed to support the new CRR functionality</li> </ul>
<b>MPP</b>	<ul style="list-style-type: none"> <li>• CRR will provide pre-configured external reports</li> </ul>
<b>Settlement</b>	<ul style="list-style-type: none"> <li>• Settlements will be modified as needed to support the new CRR functionality</li> </ul>
<b>WebOMS</b>	<ul style="list-style-type: none"> <li>• CRR will consume data from WebOMS</li> </ul>
<b>ETCC</b>	<ul style="list-style-type: none"> <li>• CRR will consume data from ETCC</li> </ul>

# Congestion Revenue Rights (CRR) Upgrade

Milestone Type	Milestone Name	Dates	Status
Board Approval	Obtain Board of Governors Approval	NA	
External BRS	Publish External BRS BRS Revision v1.3	Nov 16, 2022 Mar 29, 2023	✓ ✓
Config Guides	Post Draft Config Guides	Yes	
Tech Spec	Publish Technical Specification	Feb 24, 2023	✓
Deployment Plan	Draft Deployment Plan	Yes	
Training	Training	Yes	
Market Sim	Market Sim	Mar 18, 2024 – May 03, 2024	
<b>Customer Partnership Group</b>	<b>Next CPG</b>	<b>Aug 23, 2023</b>	

# Congestion Revenue Rights (CRR) Upgrade

Updated May 25, 2023



\*Exact cutover date TBD



# CRR System Upgrade – Get Connected

- CRR meetings:
  - **Bi-weekly Technical User Group (TUG)** Tue 10 AM, alternates with RUG.
    - Meetings available on the CAISO calendar on [www.caiso.com](http://www.caiso.com)
    - Meeting details and presentation materials are available on the CAISO Developer site at [www.developer.caiso.com](http://www.developer.caiso.com) , which requires an account to be setup for access
  - **CRR Customer Partnership Group**
    - **Next CPG meeting is Wed, 8/23 @ 10 AM**
    - Monthly
    - Meetings available on the CAISO calendar on [www.caiso.com](http://www.caiso.com)
    - Meeting details and presentation materials are available on [www.caiso.com](http://www.caiso.com) > Stay Informed > Meetings & Events > Customer Partnership Groups

# Stay Informed

# Ways to participate in releases

- Visit the Release Planning page
  - <http://www.caiso.com/informed/Pages/ReleasePlanning/Default.aspx>
- Attend meetings
  - Release Users Group (RUG) bi-weekly meetings
    - Initiative status updates
    - System change updates on independent releases
  - Market Simulation calls
    - Visit the ISO calendar at [www.caiso.com](http://www.caiso.com) for meeting dates and times and add events to your calendar
    - Typically held on Mondays and Thursdays
  - Market Performance and Planning Forum
    - Bi-monthly review of market performance issues
    - High level discussion of release planning, implementation and new market enhancements

# What to look for on the calendar...

## Calendar of Meetings, Training and Events

Month: February Year: 2018 Calendar View List View Print View

Sun	Mon	Tue	Wed	Thu	Fri	Sat
	5 WebbCONF: Market Simulation 2:00pm - 3:00pm	6 Training: Get to Know the ISO - Day 1 9:00am - 4:00pm WebbCONF: Imbalance Conformance Enhancements 10:00am - 12:00pm WebbCONF: Technical User Group 10:00am - 11:00am	7 Deadline: Comments - Interconnection Process Enhancements 2018 - Issue Paper and Meeting Discussion Training: Get to Know the ISO - Day 2 9:00am - 4:00pm Meeting: Flexible Resource Adequacy Criteria Must Offer Obligation Phase 2 - Revised Draft Flexible Capacity Framework 10:00am - 4:00pm WebbCONF: Market Settlement User Group 10:00am - 11:00am	8 Meeting: Audit Committee Teleconference (Executive) 8:30am - 9:30am Training: Settlements 101 9:00am - 4:00pm Meeting: 2017-2018 Transmission Planning Process 10:00am - 4:00pm WebbCONF: Market Simulation 2:00pm - 3:00pm	9 Training: Settlements 201 9:00am - 4:00pm	10
11 WebbCONF: Participating Transmission Owner Per Unit Cost Guides 10:30am - 12:00pm WebbCONF: Market Simulation 2:00pm - 3:00pm	12 Meeting: Congestion Revenue Rights Auction Efficiency 10:00am - 4:00pm WebbCONF: Release User Group 10:00am - 11:00am Call: Energy Imbalance Market Governing Body Teleconference (Executive) 11:30am - 12:30pm	13 Deadline: Submissions - April 2018 Monthly Resource Adequacy and Supply Plans Call: Congestion Revenue Rights 11:00am - 11:30am WebbCONF: Outage Management System Customer Partnership Group 2:00pm - 3:00pm	14 Deadline: Comments - Review Transmission Access Charge Structure Straw Proposal and Meeting Discussion Call: Board of Governors Teleconference (General) 8:15am - 9:00am Call: Board of Governors Teleconference (Executive) 9:00am - 10:00am Call: Market Update 10:15am - 11:00am WebbCONF: Market Simulation	15	16	17

Market Sim



Market Sim



Release Users Group (RUG)



# Next RUG: August 8, 2023

Contact for Questions & Agenda Requests: Trang Vo, [tvo@caiso.com](mailto:tvo@caiso.com)

## Stay connected



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# RUG Calendar 2023



2023

Release User Group Meetings

Note: dates subject to change; for the latest information please visit the Calendar on [www.caiso.com](http://www.caiso.com)

January						
Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

February						
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March						
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July						
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30	31					

August						
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September						
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October						
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29	30	31				

November						
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26	27	28	29	30		

December						
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24	25	26	27	28	29	30
31						



Meeting



Holiday



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