

Account: CAISO-Unrestricted Portfolio  
As of: 12/31/2013

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moodys Rating	Fitch Rating
CAISO-1240011-Corp Investments												
	CASH	Wells Fargo Concentration Account	0.450	22,185,632.03	0.000	12/31/2013	0.000	22,185,632.03	22,185,632.03	AAA	Aaa	AAA
	MMFUND	DAILY INCOME MMKT PORT-FID	0.010	86,663.74	0.010	12/31/2013	0.000	86,663.74	86,663.74	AAAm	NA	NA
	CASH	Receivable	0.000	10.21	0.000	12/31/2013	0.000	10.21	10.21	AAA	Aaa	AAA
	MMFUND	GOLDMAN SACHS FIN SQ MMKT-FS	0.090	59.12	0.050	12/31/2013	0.000	59.12	59.12	AAAm	Aaa	NA
	MMFUND	FEDERATED INV PRIME OBLIG-IS	0.020	52.45	0.020	12/31/2013	0.000	52.45	52.45	AAAm	Aaa	AAA
	MMFUND	MORGAN STANLEY LIQ PRIME-IN	0.050	62.94	0.040	12/31/2013	0.000	62.94	62.94	AAA	Aaa	AAA
	MMFUND	FIDELITY PRIME MON MAR-I	0.010	76.68	0.010	12/31/2013	0.000	76.68	76.68	AAAm	Aaa	AAA
	MMFUND	JPMORGAN PRIME MMKT-CAP	0.040	141.81	0.040	12/31/2013	0.000	141.81	141.81	AAAm	Aaa	AAA
	MMFUND	BLACKROCK LIQ FD TEMPFUND-IN	0.050	822.06	0.030	12/31/2013	0.000	822.06	822.06	AAAm	Aaa	AAA
	MMFUND	STIT-LIQ ASSETS-CORPORATE	0.040	0.15	0.050	12/31/2013	0.000	0.15	0.15	AAAm	Aaa	AAA
	AGCY BOND	FEDERAL HOME LOAN BANK	0.105	2,000,000.00	0.375	01/29/2014	0.078	2,000,098.60	2,003,586.67	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.170	2,000,000.00	1.750	01/31/2014	0.085	2,001,191.25	2,017,306.74	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.109	2,000,000.00	0.250	02/28/2014	0.163	1,999,786.08	2,002,158.90	AA+	Aaa	AAA
	* CORP	HEWLETT-PACKARD CO	0.366	1,500,000.00	6.125	03/01/2014	0.170	1,507,668.29	1,544,995.00	BBB+	Baa1	A-
	MUNI	IRVINE RANCH WTR DIST	0.339	2,245,000.00	2.605	03/15/2014	0.207	2,249,145.06	2,272,659.02	AA+	Aaa	NA
	CORP	BOTTLING GROUP LLC	0.276	3,000,000.00	6.950	03/15/2014	0.210	3,022,624.54	3,102,491.67	A	A1	A
	CORP	PHILIP MORRIS INTL INC	0.208	1,000,000.00	6.875	03/17/2014	0.215	1,009,053.91	1,033,921.11	A	A2	A
	AGCY BOND	FREDDIE MAC	0.142	1,000,000.00	0.300	03/21/2014	0.222	999,856.56	1,001,183.33	AA+	Aaa	AAA
	CORP	CONS EDISON CO OF NY	0.548	1,500,000.00	5.550	04/01/2014	0.253	1,539,491.27	1,539,517.50	A-	A3	A-
	MUNI	WI ST GEN-REF-A	0.249	1,500,000.00	4.319	05/01/2014	0.335	1,518,372.05	1,531,122.50	AA-	Aa3	AA-
	CORP	ANDREW W MELLON FOUNDATI	0.685	2,500,000.00	3.950	08/01/2014	0.581	2,532,330.38	2,588,570.83	AAA	Aaa	NA
	US GOV	US TREASURY N/B	0.156	2,500,000.00	0.375	11/15/2014	0.872	2,499,482.90	2,505,992.20	AA+	Aaa	AAA
	AGCY BOND	FEDERAL FARM CREDIT BANK	0.200	2,000,000.00	0.250	12/24/2014	0.979	1,999,407.47	2,001,077.22	AA+	Aaa	AAA
	AGCY BOND	FREDDIE MAC	0.232	1,000,000.00	0.625	12/29/2014	0.992	999,108.85	1,003,934.72	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.171	4,000,000.00	0.250	01/15/2015	1.039	3,998,453.24	4,007,899.57	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.193	2,000,000.00	2.250	01/31/2015	1.077	2,042,795.99	2,063,371.52	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.188	1,000,000.00	0.250	02/15/2015	1.123	998,418.93	1,001,644.29	AA+	Aaa	AAA
	AGCY BOND	FREDDIE MAC	0.253	2,000,000.00	5.150	03/01/2015	1.148	2,111,956.31	2,148,353.33	AA+	Aaa	AAA
	AGCY BOND	FEDERAL HOME LOAN BANK	0.221	1,000,000.00	0.375	03/13/2015	1.197	998,016.42	1,002,975.00	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.203	1,000,000.00	0.375	03/15/2015	1.202	998,468.75	1,003,188.78	AA+	Aaa	AAA
	CORP	GENERAL ELEC CAP CORP	0.570	1,000,000.00	2.375	06/30/2015	1.479	1,012,683.30	1,026,985.97	AA+	A1	NR
	CORP	WELLS FARGO & COMPANY	0.508	1,000,000.00	1.500	07/01/2015	1.485	1,009,592.65	1,022,300.00	A+	A2	AA-
	AGCY BOND	FANNIE MAE	0.400	2,000,000.00	0.500	09/28/2015	1.733	2,003,873.14	2,006,043.33	AA+	Aaa	AAA
	AGCY BOND	FANNIE MAE	0.415	1,000,000.00	2.125	10/21/2015	1.770	1,020,822.67	1,034,851.94	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.341	2,000,000.00	0.375	11/15/2015	1.865	2,001,950.98	2,002,233.76	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.361	2,000,000.00	1.375	11/30/2015	1.893	2,040,117.69	2,041,097.58	AA+	Aaa	AAA
	CORP	BG ENERGY CAPITAL PLC	0.948	1,200,000.00	2.500	12/09/2015	1.897	1,212,814.56	1,237,533.33	A-	A2	A-
	CORP	E.I. DU PONT DE NEMOURS	0.748	1,000,000.00	1.950	01/15/2016	2.002	1,019,262.35	1,033,261.67	A	A2	A
	US GOV	US TREASURY N/B	0.417	2,000,000.00	2.000	01/31/2016	2.048	2,055,206.20	2,082,359.13	AA+	Aaa	AAA
	AGCY BOND	FEDERAL HOME LOAN BANK	0.501	1,000,000.00	1.000	03/11/2016	2.171	1,003,630.31	1,013,925.56	AA+	Aaa	AAA
	CORP	PROCTER & GAMBLE CO/THE	0.859	1,000,000.00	1.450	08/15/2016	2.572	1,011,900.28	1,020,757.78	AA-	Aa3	NA
	US GOV	US TREASURY N/B	0.686	1,000,000.00	1.000	09/30/2016	2.708	1,006,475.98	1,011,074.95	AA+	Aaa	AAA
	* CORP	VERIZON COMMUNICATIONS	1.119	1,000,000.00	2.000	11/01/2016	2.755	1,006,888.25	1,027,823.33	BBB+	Baa1	A-
	CORP	COLGATE-PALMOLIVE CO	1.136	1,056,000.00	5.200	11/07/2016	2.682	1,174,379.91	1,184,230.08	AA-	Aa3	AA-
	AGCY BOND	FANNIE MAE	0.791	2,500,000.00	1.375	11/15/2016	2.816	2,503,867.95	2,545,767.36	AA+	Aaa	AAA
	CORP	STATOIL ASA	1.119	1,000,000.00	1.800	11/23/2016	2.819	1,017,261.57	1,021,230.00	AA-	Aa2	NA
	CORP	SIMON PROPERTY GROUP LP	0.874	1,250,000.00	5.250	12/01/2016	2.537	1,361,682.41	1,399,506.25	A	A2	A-
	US GOV	US TREASURY N/B	0.801	1,000,000.00	0.875	12/31/2016	2.956	998,956.17	1,002,214.17	AA+	Aaa	AAA
	CORP	TOYOTA MOTOR CREDIT CORP	1.309	1,000,000.00	2.050	01/12/2017	2.936	1,003,154.95	1,031,553.61	AA-	Aa3	A
	AGCY BOND	FANNIE MAE	0.891	1,000,000.00	1.250	01/30/2017	3.021	1,016,352.09	1,016,133.06	AA+	Aaa	AAA
	US GOV	US TREASURY N/B	0.854	2,000,000.00	3.125	01/31/2017	2.957	2,149,058.16	2,164,114.89	AA+	Aaa	AAA
	CORP	US BANCORP	1.480	1,270,000.00	1.650	05/15/2017	3.273	1,289,540.27	1,279,573.68	A+	A1	AA-
	AGCY BOND	FANNIE MAE	1.228	2,000,000.00	0.000	06/01/2017	3.378	1,945,558.75	1,918,000.00	AA+	Aaa	AAA
	AGCY BOND	FEDERAL HOME LOAN BANK	1.407	2,000,000.00	1.000	11/09/2017	3.266	2,000,000.00	1,972,428.89	AA+	Aaa	AAA
	AGCY BOND	FEDERAL HOME LOAN BANK	1.369	2,000,000.00	0.750	12/08/2017	3.859	1,996,142.50	1,953,658.33	AA+	Aaa	AAA

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moodys Rating	Fitch Rating
US GOV	US TREASURY N/B		1.364	4,000,000.00	0.875	01/31/2018	3.993	3,978,426.66	3,937,166.74	AA+	Aaa	AAA
AGCY BOND	TENN VALLEY AUTHORITY		1.840	2,000,000.00	1.750	10/15/2018	4.573	2,010,985.76	2,000,918.89	AA+	Aaa	AAA
US GOV	US TREASURY N/B		1.683	2,000,000.00	1.250	10/31/2018	4.663	1,985,413.45	1,964,281.77	AA+	Aaa	AAA
AGCY BOND	FREDDIE MAC		0.882	2,000,000.00	0.875	11/20/2018	2.361	2,000,000.00	2,001,873.06	AA+	Aaa	AAA
AGCY BOND	FREDDIE MAC		1.478	1,000,000.00	1.000	12/11/2018	2.888	1,000,000.00	991,415.56	AA+	Aaa	AAA
			<b>0.610</b>	<b>105,294,521.19</b>			<b>1.462</b>	<b>106,105,246.98</b>	<b>106,593,785.76</b>	<b>AA+</b>	<b>Aa1</b>	<b>AA+</b>
<b>CAISO-1240019-SAXON SEC (FDIC INSURED CD PORTFOLIO)</b>												
MMFUND	DAILY INCOME MMKT PORT-FID		0.010	6,979.17	0.010	12/31/2013	0.000	6,979.17	6,979.17	AAAm	NA	NA
CASH	Cash		0.000	2.60	0.000	12/31/2013	0.000	2.60	2.60	AAA	Aaa	AAA
CASH	Receivable		0.000	0.18	0.000	12/31/2013	0.000	0.18	0.18	AAA	Aaa	AAA
CD	Capmark Bank		1.097	5,000.00	3.500	03/11/2014	0.191	5,022.55	5,076.25	N/A	- FDIC INSURED	
CD	Mizrahi Tefahot Bank Ltd.		0.649	249,000.00	0.250	03/19/2014	0.211	248,790.49	248,967.86	N/A	- FDIC INSURED	
CD	Bank of the West		0.791	1,000.00	0.200	03/25/2014	0.226	998.66	998.70	N/A	- FDIC INSURED	
CD	Zions First National Bank		0.998	248,000.00	1.000	04/11/2014	0.273	248,000.00	248,529.97	N/A	- FDIC INSURED	
CD	FSGBank, National Association		1.171	5,000.00	3.000	04/30/2014	0.323	5,029.75	5,030.57	N/A	- FDIC INSURED	
CD	Doral Bank		0.710	98,000.00	2.450	05/14/2014	0.362	98,620.45	98,738.86	N/A	- FDIC INSURED	
CD	Barclays Bank Delaware		1.043	74,000.00	0.000	05/27/2014	0.400	73,692.57	73,692.57	N/A	- FDIC INSURED	
CD	Doral Bank		0.675	43,000.00	0.350	06/05/2014	0.423	42,940.63	43,027.22	N/A	- FDIC INSURED	
CD	Capmark Bank		0.904	25,000.00	3.750	06/17/2014	0.456	25,324.03	25,362.56	N/A	- FDIC INSURED	
CD	Bank of North Carolina		0.787	1,000.00	3.400	06/18/2014	0.456	1,012.00	1,013.30	N/A	- FDIC INSURED	
CD	The Bancorp Bank		0.600	1,000.00	0.900	07/22/2014	0.551	1,001.66	1,001.90	N/A	- FDIC INSURED	
CD	Flushing Savings Bank, FSB		0.667	5,000.00	3.000	08/21/2014	0.628	5,073.97	5,080.95	N/A	- FDIC INSURED	
CD	North Jersey Community Bank		0.695	20,000.00	0.250	09/18/2014	0.709	19,936.87	19,951.25	N/A	- FDIC INSURED	
CD	Bank of India		0.614	248,000.00	0.600	09/24/2014	0.726	247,972.82	248,372.33	N/A	- FDIC INSURED	
CD	S & T Bank		0.648	5,000.00	0.300	09/26/2014	0.731	4,987.27	4,991.21	N/A	- FDIC INSURED	
CD	Doral Bank		0.650	18,000.00	0.700	09/26/2014	0.732	18,006.57	18,040.06	N/A	- FDIC INSURED	
CD	FSGBank, National Association		0.917	59,000.00	3.000	09/29/2014	0.732	60,018.15	59,923.73	N/A	- FDIC INSURED	
CD	American Express Centurion Bank		0.849	9,000.00	1.400	10/14/2014	0.780	9,038.59	9,066.20	N/A	- FDIC INSURED	
CD	FirstBank Puerto Rico		0.646	214,000.00	0.900	10/20/2014	0.795	214,433.10	214,501.70	N/A	- FDIC INSURED	
CD	Bank Hapoalim B.M.		0.656	28,000.00	1.250	10/21/2014	0.799	28,132.74	28,201.78	N/A	- FDIC INSURED	
CD	State Savings Bank		0.674	14,000.00	3.000	10/28/2014	0.810	14,266.88	14,271.48	N/A	- FDIC INSURED	
CD	HSBC Bank USA, National Association		1.010	10,000.00	0.000	10/29/2014	0.818	10,000.00	9,917.43	N/A	- FDIC INSURED	
CD	American Express Bank, FSB.		0.755	10,000.00	3.050	11/25/2014	0.891	10,205.10	10,236.02	N/A	- FDIC INSURED	
CD	HSBC Bank USA, National Association		0.971	72,000.00	0.000	11/25/2014	0.891	72,000.00	71,377.04	N/A	- FDIC INSURED	
CD	Barclays Bank Delaware		0.964	27,000.00	0.000	11/25/2014	0.895	26,747.48	26,768.06	N/A	- FDIC INSURED	
CD	One Bank & Trust, National Association		0.821	18,000.00	2.400	11/28/2014	0.899	18,256.33	18,442.15	N/A	- FDIC INSURED	
CD	Bank of China Limited		0.650	144,000.00	0.650	11/28/2014	0.903	144,000.00	144,087.19	N/A	- FDIC INSURED	
CD	First American Bank		0.758	23,000.00	2.650	12/04/2014	0.910	23,400.23	23,446.98	N/A	- FDIC INSURED	
CD	The First National Bank in Sioux Falls		0.602	34,000.00	2.900	12/22/2014	0.958	34,757.58	34,784.60	N/A	- FDIC INSURED	
CD	Barclays Bank Delaware		1.078	13,000.00	0.000	12/29/2014	0.986	12,862.49	12,862.49	N/A	- FDIC INSURED	
CD	CommerceWest Bank, National Association		0.576	15,000.00	4.000	12/30/2014	0.974	15,509.23	15,512.51	N/A	- FDIC INSURED	
CD	Bank of China Limited		0.615	100,000.00	0.950	12/31/2014	0.992	100,332.35	100,334.95	N/A	- FDIC INSURED	
CD	Bank of China Limited		0.800	4,000.00	0.800	12/31/2014	0.991	4,000.00	4,016.13	N/A	- FDIC INSURED	
CD	Capital One Bank, (USA), National Association		0.702	95,000.00	5.000	01/12/2015	1.014	99,183.70	101,461.09	N/A	- FDIC INSURED	
CD	GE Capital Bank		0.791	17,000.00	1.500	01/13/2015	1.025	17,123.74	17,243.90	N/A	- FDIC INSURED	
CD	GE Capital Bank		0.805	90,000.00	1.500	01/13/2015	1.025	90,641.53	91,277.70	N/A	- FDIC INSURED	
CD	American Express Centurion Bank		0.650	74,000.00	2.900	01/21/2015	1.044	75,747.31	76,711.54	N/A	- FDIC INSURED	
CD	Choice Financial Group		0.602	10,000.00	0.450	01/26/2015	1.064	9,983.85	10,003.58	N/A	- FDIC INSURED	
CD	HSBC Bank USA, National Association		0.996	34,000.00	0.000	01/28/2015	1.069	33,639.78	33,639.78	N/A	- FDIC INSURED	
CD	FSGBank, National Association		1.586	50,000.00	3.000	01/29/2015	1.053	50,754.28	50,770.72	N/A	- FDIC INSURED	
CD	FirstBank Puerto Rico		0.674	34,000.00	0.600	02/02/2015	1.082	33,972.73	34,058.80	N/A	- FDIC INSURED	
CD	Doral Bank		0.764	90,000.00	0.650	02/23/2015	1.139	89,883.35	90,093.31	N/A	- FDIC INSURED	
CD	State Bank of the Lakes		0.738	5,000.00	2.750	02/24/2015	1.135	5,114.77	5,163.75	N/A	- FDIC INSURED	
CD	Barclays Bank Delaware		1.066	10,000.00	0.000	02/24/2015	1.142	9,878.90	9,878.90	N/A	- FDIC INSURED	
CD	One Bank & Trust, National Association		0.764	15,000.00	2.300	02/27/2015	1.138	15,265.08	15,268.87	N/A	- FDIC INSURED	
CD	GE Capital Bank		0.820	10,000.00	1.800	03/11/2015	1.178	10,115.62	10,170.86	N/A	- FDIC INSURED	
CD	The PrivateBank and Trust Company		0.706	22,000.00	0.600	03/13/2015	1.188	21,972.33	22,012.11	N/A	- FDIC INSURED	
CD	1st Source Bank		0.868	2,000.00	1.500	03/17/2015	1.195	2,015.11	2,023.83	N/A	- FDIC INSURED	
CD	Citibank, N.A.		0.921	4,000.00	2.550	03/24/2015	1.209	4,079.13	4,106.80	N/A	- FDIC INSURED	
CD	Capital One Bank, (USA), National Association		0.904	3,000.00	4.550	03/27/2015	1.210	3,133.77	3,169.67	N/A	- FDIC INSURED	

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CD		Barclays Bank Delaware	1.210	26,000.00	0.000	03/27/2015	1.225	25,617.09	25,617.09	N/A	FDIC INSURED	
CD		HSBC Bank USA, National Association	1.001	24,000.00	0.000	03/27/2015	1.224	23,823.98	23,707.29	N/A	FDIC INSURED	
CD		Goldman Sachs Bank USA	0.860	248,000.00	1.050	03/30/2015	1.232	248,579.69	249,257.45	N/A	FDIC INSURED	
CD		CIT Bank	0.830	10,000.00	2.650	04/21/2015	1.284	10,234.98	10,287.26	N/A	FDIC INSURED	
CD		One Bank & Trust, National Association	0.899	49,000.00	2.000	04/23/2015	1.291	49,699.54	49,723.71	N/A	FDIC INSURED	
CD		First Reliance Bank	0.904	10,000.00	3.450	04/30/2015	1.293	10,335.48	10,337.37	N/A	FDIC INSURED	
CD		JP MORGAN CHASE BANK NA	1.562	13,000.00	0.000	04/30/2015	1.308	12,818.82	12,734.80	N/A	FDIC INSURED	
CD		Kaw Valley Bank	0.965	5,000.00	2.550	05/21/2015	1.355	5,108.87	5,112.71	N/A	FDIC INSURED	
CD		Citibank, N.A.	1.092	10,000.00	0.000	05/22/2015	1.379	9,850.42	9,850.42	N/A	FDIC INSURED	
CD		Barclays Bank Delaware	1.211	10,000.00	0.000	05/27/2015	1.392	9,832.73	9,832.73	N/A	FDIC INSURED	
CD		HSBC Bank USA, National Association	1.242	60,000.00	0.000	05/29/2015	1.381	58,970.65	58,970.65	N/A	FDIC INSURED	
CD		Barclays Bank Delaware	1.130	47,000.00	0.000	06/26/2015	1.474	46,167.44	46,221.62	N/A	FDIC INSURED	
CD		GE Capital Bank	0.917	75,000.00	1.200	07/06/2015	1.494	75,317.88	75,759.25	N/A	FDIC INSURED	
CD		Capital One, National Association	0.958	37,000.00	5.000	07/09/2015	1.475	39,247.86	40,139.92	N/A	FDIC INSURED	
CD		Bank Hapoalim B.M.	0.960	80,000.00	1.000	07/24/2015	1.544	80,048.97	80,401.84	N/A	FDIC INSURED	
CD		The Citizens National Bank of Quitman	1.052	1,000.00	2.350	07/30/2015	1.540	1,020.28	1,020.41	N/A	FDIC INSURED	
CD		JP MORGAN CHASE BANK NA	1.460	70,000.00	0.000	07/31/2015	1.558	68,741.90	68,418.00	N/A	FDIC INSURED	
CD		GE Capital Bank	0.991	23,000.00	1.500	08/05/2015	1.572	23,184.45	23,325.29	N/A	FDIC INSURED	
CD		Barclays Bank Delaware	1.260	20,000.00	0.000	08/27/2015	1.645	19,588.28	19,588.28	N/A	FDIC INSURED	
CD		BARCLAYS BANK/DELAWARE	1.249	22,000.00	0.000	08/27/2015	1.648	21,630.97	21,551.20	N/A	FDIC INSURED	
CD		HSBC Bank USA, National Association	1.258	50,000.00	0.000	08/31/2015	1.647	48,969.73	48,969.73	N/A	FDIC INSURED	
CD		CIT Bank	0.964	20,000.00	1.500	09/14/2015	1.679	20,180.21	20,269.80	N/A	FDIC INSURED	
CD		BMW Bank of North America	0.912	244,000.00	0.700	09/14/2015	1.687	243,129.66	243,644.40	N/A	FDIC INSURED	
CD		Safra National Bank of New York	0.956	4,000.00	0.700	09/16/2015	1.692	3,982.72	3,990.93	N/A	FDIC INSURED	
CD		GE Capital Retail Bank	0.901	249,000.00	0.750	09/21/2015	1.706	248,358.25	248,885.25	N/A	FDIC INSURED	
CD		Sallie Mae Bank	0.946	15,000.00	1.150	09/21/2015	1.701	15,051.90	15,101.05	N/A	FDIC INSURED	
CD		State Bank of India	1.052	248,000.00	0.850	09/23/2015	1.709	247,143.89	247,721.42	N/A	FDIC INSURED	
CD		Compass Bank	0.901	249,000.00	0.750	09/25/2015	1.717	248,353.28	248,854.69	N/A	FDIC INSURED	
CD		Discover Bank	1.002	249,000.00	0.800	09/25/2015	1.715	248,137.71	248,672.55	N/A	FDIC INSURED	
CD		Ally Bank	1.052	248,000.00	0.850	09/25/2015	1.714	247,141.17	247,707.16	N/A	FDIC INSURED	
CD		American Express Centurion Bank	0.951	166,000.00	0.750	10/13/2015	1.765	165,411.19	165,694.30	N/A	FDIC INSURED	
			<b>0.883</b>	<b>4,993,981.95</b>			<b>1.175</b>	<b>4,999,537.48</b>	<b>5,011,031.72</b>	<b>N/A</b>	<b>FDIC INSURED</b>	
<b>CAISO-1280183 Retiree Medical</b>												
MMFUND		WFB INSTITUTIONAL BANK DEPOSIT	0.000	2,860,984.39	0.075	12/31/2013	0.000	2,860,984.39	2,860,984.39	NA	NA	NA
CASH		Receivable	0.000	27,513.37	0.000	12/31/2013	0.000	27,513.37	27,513.37	AAA	Aaa	AAA
Open-End Fund		VANGUARD WINDSOR II-ADM	---	9,364.56	---	---	---	576,216.34	610,943.70	NA	NA	NA
Open-End Fund		VANGUARD S/T BND INDX-SIG	---	93,613.98	---	---	---	983,917.95	982,010.62	NA	NA	NA
Open-End Fund		HOTCHKIS & WILEY M/C VALUE-I	---	5,044.49	---	---	---	192,473.35	204,856.74	NA	NA	NA
Open-End Fund		ASTON/FAIRPOINTE MID CAP-I	---	4,580.02	---	---	---	205,062.14	204,589.40	NA	NA	NA
Open-End Fund		T ROWE PRICE GROW STOCK	---	11,670.13	---	---	---	541,188.60	613,498.73	NA	NA	NA
Open-End Fund		BROWN CAP MGMT SM COMP-INV	---	2,751.84	---	---	---	184,507.55	203,938.94	NA	NA	NA
Open-End Fund		VANGUARD INST INDEX-INST	---	4,207.57	---	---	---	652,029.84	712,258.13	NA	NA	NA
Open-End Fund		AMERICAN BEACON INTL EQT-IS	---	45,367.78	---	---	---	868,655.94	922,780.58	NA	NA	NA
Open-End Fund		MUNDER VERACITY SC VALUE-Y	---	6,526.46	---	---	---	187,373.93	204,865.42	NA	NA	NA
Open-End Fund		AMERICAN EUROPACIFIC GRTH-R6	---	18,651.05	---	---	---	855,319.11	914,460.79	NA	NA	NA
Open-End Fund		VANGUARD TOT INT ST IDX-SIG	---	30,319.24	---	---	---	965,571.66	1,018,726.43	NA	NA	NA
Fund of Funds		PIMCO ALL ASSET FUND-INSTITU	---	79,860.38	---	---	---	973,023.58	964,713.34	NA	NA	NA
Open-End Fund		PIMCO TOTAL RETURN FUND-INST	---	229,839.35	---	06/15/2019	---	2,470,624.39	2,456,982.63	AA-	Aa3	AA-
								<b>12,544,462.14</b>	<b>12,903,123.21</b>			
			<b>0.625</b>				<b>1.450</b>	<b>123,649,246.60</b>	<b>124,507,940.70</b>			

\* Securities downgraded beneath minimum requirements in investment policy, although approved by the Corporate Management Committee to remain in the portfolio.

**clearwater**

Account: CAISO-2013 Bonds Construction Fund  
 As of: 12/31/2013

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moodys Rating	Fitch Rating
<b>CAISO-1280021-2009A Construction Funds</b>												
CASH	Cash		0.000	103.36	0.000	12/31/2013	0.000	103.36	103.36	AAA	Aaa	AAA
MMFUND	FIRST AM GOV OBLIG-D		0.010	19,524,398.50	0.010	12/31/2013	0.000	19,524,398.50	19,524,398.50	AAAm	Aaa	NA
AGCY BOND	FANNIE MAE		0.434	2,000,000.00	5.125	01/02/2014	0.003	2,000,270.28	2,051,485.28	AA-	Aa2	AA-
AGCY BOND	FEDERAL FARM CREDIT BANK		0.167	2,000,000.00	2.625	04/17/2014	0.296	2,014,088.54	2,025,251.67	AA+	Aaa	AAA
			<b>0.060</b>	<b>23,524,501.86</b>			<b>0.026</b>	<b>23,538,860.69</b>	<b>23,601,238.80</b>	<b>AAA</b>	<b>Aaa</b>	<b>AA</b>



Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moody's Rating	Fitch Rating
<b>CAISO-GIP FUNDS</b>												
CASH		Wells Fargo LGIP Account	0.000	217,307.63	0.000	12/31/2013	0.000	217,307.63	217,307.63	AAA	Aaa	AAA
CASH		CASH	0.000	12.74	0.000	12/31/2013	0.000	12.74	12.74	AAA	Aaa	AAA
CASH		Receivable	0.000	1,166.13	0.000	12/31/2013	0.000	1,166.13	1,166.13	AAA	Aaa	AAA
MMFUND		DREYFUS CASH MANAGEMENT-INST	0.040	1,190,608.69	0.040	12/31/2013	0.000	1,190,608.69	1,190,608.69	AAAm	Aaa	AAA
MMFUND		BLACKROCK LIQ FD TEMPFUND-IN	0.050	934,558.98	0.030	12/31/2013	0.000	934,558.98	934,558.98	AAAm	Aaa	AAA
MMFUND		DREYFUS INS CASH ADV-I	0.060	352,331.65	0.060	12/31/2013	0.000	352,331.65	352,331.65	AAAm	Aaa	AAA
MMFUND		DWS MONEY MARKET SERIES-INST	0.050	309,396.29	0.030	12/31/2013	0.000	309,396.29	309,396.29	AAAm	Aaa	AAA
MMFUND		FEDERATED INV PRIME OBLIG-IS	0.020	155,351.50	0.010	12/31/2013	0.000	155,351.50	155,351.50	AAAm	Aaa	AAA
MMFUND		FEDERATED INV PRM CSH OBL-IS	0.030	612,519.11	0.020	12/31/2013	0.000	612,519.11	612,519.11	AAAm	Aaa	AAA
MMFUND		FIDELITY PRIME MON MAR-I	0.010	499,598.82	0.010	12/31/2013	0.000	499,598.82	499,598.82	AAAm	Aaa	AAA
MMFUND		GOLDMAN SACHS FIN SQ MMKT-FS	0.090	358,485.32	0.070	12/31/2013	0.000	358,485.32	358,485.32	AAAm	Aaa	NA
MMFUND		JPMORGAN PRIME MMKT-CAP	0.040	383,890.07	0.050	12/31/2013	0.000	383,890.07	383,890.07	AAAm	Aaa	AAA
MMFUND		MORGAN STANLEY LIQ PRIME-IN	0.050	369,965.23	0.040	12/31/2013	0.000	369,965.23	369,965.23	AAAm	Aaa	AAA
MMFUND		STIT-LIQ ASSETS-CORPORATE	0.040	1,358,861.96	0.040	12/31/2013	0.000	1,358,861.96	1,358,861.96	AAAm	Aaa	AAA
MMFUND		PRIME MONEY MARKET-RBC IS 1	0.010	180,409.23	0.010	12/31/2013	0.000	180,409.23	180,409.23	AAAm	Aaa	NA
CD		Capmark Bank	0.713	40,000.00	3.500	01/28/2014	0.075	40,082.10	40,684.29	N/A	FDIC INSURED	
CD		Beal Bank, SSB	0.200	249,000.00	0.200	02/19/2014	0.134	249,000.00	249,019.10	N/A	FDIC INSURED	
CD		Capital Pacific Bank	0.150	249,000.00	0.150	03/11/2014	0.189	249,000.00	249,021.49	N/A	FDIC INSURED	
CD		Oriental Bank and Trust	0.391	249,000.00	0.350	03/13/2014	0.194	248,980.36	249,025.72	N/A	FDIC INSURED	
CD		Wex Bank	0.291	249,000.00	0.250	03/13/2014	0.194	248,980.36	249,012.76	N/A	FDIC INSURED	
CD		Medallion Bank	0.241	249,000.00	0.200	03/18/2014	0.208	248,978.97	248,998.07	N/A	FDIC INSURED	
CD		Cole Taylor Bank	0.191	249,000.00	0.150	03/18/2014	0.208	248,978.97	248,993.30	N/A	FDIC INSURED	
CD		Hancock Bank	0.241	249,000.00	0.200	03/18/2014	0.208	248,978.97	248,998.07	N/A	FDIC INSURED	
CD		NOA Bank	0.887	30,000.00	0.200	03/20/2014	0.213	29,956.03	29,958.00	N/A	FDIC INSURED	
CD		First Virginia Community Bank	0.190	249,000.00	0.150	03/24/2014	0.225	248,977.56	248,986.77	N/A	FDIC INSURED	
CD		Oregon Community Bank & Trust	0.700	20,000.00	0.200	03/24/2014	0.224	19,977.56	19,978.55	N/A	FDIC INSURED	
CD		First Southern Bank	0.241	249,000.00	0.200	03/27/2014	0.233	248,976.48	248,983.31	N/A	FDIC INSURED	
CD		Carroll Community Bank	0.241	249,000.00	0.200	03/31/2014	0.244	248,975.38	248,976.74	N/A	FDIC INSURED	
CD		Franklin Security Bank	0.230	249,000.00	0.200	04/30/2014	0.326	248,975.51	248,978.24	N/A	FDIC INSURED	
CD		Home Exchange Bank	0.171	249,000.00	0.150	06/05/2014	0.424	248,977.95	249,005.57	N/A	FDIC INSURED	
CD		Farmers Bank & Trust	0.200	249,000.00	0.200	06/11/2014	0.441	249,000.00	249,028.65	N/A	FDIC INSURED	
CD		Crescent State Bank	0.284	249,000.00	0.200	06/11/2014	0.441	248,908.37	248,937.02	N/A	FDIC INSURED	
CD		Avenue Bank	0.220	249,000.00	0.200	06/13/2014	0.446	248,977.70	249,003.62	N/A	FDIC INSURED	
CD		Bank North	0.250	249,000.00	0.200	06/18/2014	0.460	248,942.54	248,961.64	N/A	FDIC INSURED	
CD		HomeStreet Bank	0.250	249,000.00	0.200	06/19/2014	0.462	248,942.20	248,959.93	N/A	FDIC INSURED	
CD		BAC Florida Bank	0.250	249,000.00	0.200	06/20/2014	0.465	248,941.85	248,958.23	N/A	FDIC INSURED	
CD		Far East National Bank	0.250	249,000.00	0.200	06/27/2014	0.484	248,939.46	248,946.28	N/A	FDIC INSURED	
CD		First Savings Bank, F.S.B.	0.283	249,000.00	0.250	09/09/2014	0.686	248,942.98	248,982.20	N/A	FDIC INSURED	
CD		Bar Harbor Bank & Trust	0.267	249,000.00	0.200	09/18/2014	0.711	248,881.86	248,900.96	N/A	FDIC INSURED	
CD		Sovereign Bank, National Association	0.406	125,000.00	0.750	09/19/2014	0.714	125,306.23	125,573.35	N/A	FDIC INSURED	
CD		The Brand Banking Company	0.304	249,000.00	0.250	09/26/2014	0.733	248,902.22	248,910.75	N/A	FDIC INSURED	
CD		FSGBank, National Association	0.723	8,000.00	3.000	09/29/2014	0.733	8,134.83	8,136.80	N/A	FDIC INSURED	
CD		Republic Bank, Inc.	0.417	27,000.00	3.000	09/29/2014	0.734	27,516.96	27,523.62	N/A	FDIC INSURED	
CD		Orrstown Bank	0.438	245,000.00	0.500	10/06/2014	0.759	245,114.66	245,205.28	N/A	FDIC INSURED	
CD		Iroquois Federal Savings and Loan Association	0.803	30,000.00	0.250	11/07/2014	0.845	29,859.52	29,864.65	N/A	FDIC INSURED	
CD		Rockville Bank	0.275	249,000.00	0.250	12/09/2014	0.935	248,941.67	248,980.90	N/A	FDIC INSURED	
CD		FSGBank, National Association	0.550	28,000.00	3.100	12/11/2014	0.928	28,671.06	28,721.00	N/A	FDIC INSURED	
CD		S & T Bank	0.400	244,000.00	0.350	12/12/2014	0.942	243,884.37	243,928.82	N/A	FDIC INSURED	
CD		The Bank of Holland	0.409	95,000.00	2.250	12/19/2014	0.958	96,681.09	96,932.90	N/A	FDIC INSURED	
CD		Pacific City Bank	0.350	249,000.00	0.300	12/26/2014	0.981	248,877.55	248,889.83	N/A	FDIC INSURED	
CD		Apple Bank for Savings	0.200	249,000.00	0.150	12/26/2014	0.982	248,877.21	248,882.33	N/A	FDIC INSURED	
CD		First Commercial Bank	0.300	249,000.00	0.250	12/26/2014	0.981	248,877.21	248,885.74	N/A	FDIC INSURED	
CD		NBT Bank, National Association	0.417	60,000.00	3.000	01/27/2015	1.061	61,654.61	62,433.79	N/A	FDIC INSURED	
CD		Horry County State Bank	0.718	10,000.00	2.500	01/28/2015	1.063	10,190.29	10,213.58	N/A	FDIC INSURED	
CD		The Ohio Valley Bank Company	0.410	60,000.00	2.600	02/17/2015	1.118	61,478.47	61,807.56	N/A	FDIC INSURED	
CD		Florida Bank	0.020	98,000.00	2.750	02/17/2015	1.120	101,019.73	102,031.28	N/A	FDIC INSURED	

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moody's Rating	Fitch Rating
CD		Libertyville Bank & Trust Company	0.465	35,000.00	2.750	02/24/2015	1.136	35,914.31	36,257.12	N/A	FDIC INSURED	
CD		Bank of Blue Valley	0.562	25,000.00	3.550	02/25/2015	1.136	26,169.04	26,169.04	N/A	FDIC INSURED	
CD		TCM Bank, National Association	0.414	249,000.00	0.350	02/27/2015	1.152	248,815.44	248,827.38	N/A	FDIC INSURED	
CD		Capital One, National Association	0.009	50,000.00	4.500	03/05/2015	1.157	52,632.70	53,360.10	N/A	FDIC INSURED	
CD		Capital One, National Association	0.620	20,000.00	4.500	03/19/2015	1.190	20,934.63	21,191.06	N/A	FDIC INSURED	
CD		Transportation Alliance Bank Inc.	0.430	249,000.00	0.350	03/20/2015	1.209	248,757.57	248,786.22	N/A	FDIC INSURED	
CD		Planters Bank, Inc.	0.330	249,000.00	0.250	03/20/2015	1.210	248,757.57	248,778.03	N/A	FDIC INSURED	
CD		The Citizens Bank of Logan, Ohio	0.871	10,000.00	2.650	03/23/2015	1.199	10,216.18	10,222.72	N/A	FDIC INSURED	
CD		Scotiabank de Puerto Rico	0.004	65,000.00	1.750	03/25/2015	1.220	66,392.55	66,697.97	N/A	FDIC INSURED	
CD		Capital One, National Association	0.635	24,000.00	4.550	03/27/2015	1.211	25,151.68	25,438.89	N/A	FDIC INSURED	
CD		Quantum National Bank	0.340	249,000.00	0.300	03/27/2015	1.229	248,876.87	248,887.10	N/A	FDIC INSURED	
CD		Community First Bank	0.500	95,000.00	3.400	03/27/2015	1.207	98,385.46	98,429.71	N/A	FDIC INSURED	
CD		First State Bank	0.500	95,000.00	3.250	04/02/2015	1.223	98,506.50	98,506.50	N/A	FDIC INSURED	
CD		First Community Bank	0.604	39,000.00	2.650	04/14/2015	1.259	40,019.07	40,070.04	N/A	FDIC INSURED	
CD		Century Bank of Georgia	0.727	5,000.00	5.000	04/15/2015	1.244	5,273.16	5,284.80	N/A	FDIC INSURED	
CD		Exchange State Bank	0.601	25,000.00	2.350	06/02/2015	1.398	25,615.71	25,664.00	N/A	FDIC INSURED	
CD		Macon Bank, Inc.	0.872	10,000.00	3.600	06/05/2015	1.386	10,386.15	10,412.78	N/A	FDIC INSURED	
CD		EverBank	0.010	220,000.00	0.700	06/15/2015	1.447	222,204.00	222,279.95	N/A	FDIC INSURED	
CD		Gold Coast Bank	0.619	249,000.00	0.350	06/15/2015	1.444	248,699.52	248,076.76	N/A	FDIC INSURED	
CD		Merrick Bank Corporation	0.651	249,000.00	0.550	06/17/2015	1.447	248,636.74	248,693.02	N/A	FDIC INSURED	
CD		Sterling Bank Services, Inc.	0.501	249,000.00	0.400	06/18/2015	1.454	248,636.06	248,674.26	N/A	FDIC INSURED	
CD		Sonabank	0.484	249,000.00	0.400	06/19/2015	1.455	248,696.15	248,731.62	N/A	FDIC INSURED	
CD		Bank of Buffalo, Inc.	0.417	249,000.00	0.350	06/22/2015	1.466	248,756.44	248,785.09	N/A	FDIC INSURED	
CD		First State Bank	0.467	249,000.00	0.400	06/23/2015	1.466	248,755.10	248,779.66	N/A	FDIC INSURED	
CD		Affinity Bank	0.652	20,000.00	4.700	06/24/2015	1.427	21,189.48	21,210.08	N/A	FDIC INSURED	
CD		Conestoga Bank	0.451	249,000.00	0.350	06/26/2015	1.475	248,629.92	248,641.86	N/A	FDIC INSURED	
CD		North Shore Community Bank & Trust Company	0.501	40,000.00	0.450	06/29/2015	1.483	39,969.52	39,972.48	N/A	FDIC INSURED	
CD		Thayer Corporation	0.864	20,000.00	0.000	07/31/2015	1.557	19,730.93	19,730.93	N/A	FDIC INSURED	
CD		Community First Bank	0.760	25,000.00	2.900	08/12/2015	1.571	25,856.16	25,895.88	N/A	FDIC INSURED	
CD		Ridgestone Bank	0.520	249,000.00	0.400	08/24/2015	1.634	248,509.36	248,533.92	N/A	FDIC INSURED	
CD		Cardinal Bank	0.009	249,000.00	1.200	08/26/2015	1.634	253,892.49	253,941.61	N/A	FDIC INSURED	
CD		Sallie Mae Bank	0.010	234,000.00	1.100	08/31/2015	1.653	238,239.57	239,128.13	N/A	FDIC INSURED	
CD		State Bank of Cross Plains	0.011	200,000.00	1.700	09/01/2015	1.644	205,627.44	205,916.21	N/A	FDIC INSURED	
CD		American Federal Bank	0.010	240,000.00	1.550	09/21/2015	1.699	246,358.50	246,480.80	N/A	FDIC INSURED	
CD		The Bank of Northern Michigan	0.010	148,000.00	2.000	09/23/2015	1.705	153,082.98	153,099.20	N/A	FDIC INSURED	
CD		Crescent Bank & Trust	0.010	240,000.00	0.600	09/28/2015	1.731	242,463.20	242,478.98	N/A	FDIC INSURED	
CD		UBS Bank USA	0.574	50,000.00	2.000	09/30/2015	1.720	51,236.19	51,490.98	N/A	FDIC INSURED	
CD		Nebraskaland National Bank	0.010	249,000.00	1.600	10/01/2015	1.725	255,919.58	256,257.95	N/A	FDIC INSURED	
CD		Willamette Valley Bank	0.010	240,000.00	1.650	10/13/2015	1.758	247,008.58	247,214.72	N/A	FDIC INSURED	
CD		The Citizens National Bank of Bluffton	0.590	245,000.00	1.550	10/14/2015	1.762	249,165.91	249,987.84	N/A	FDIC INSURED	
CD		Village Bank	0.574	249,000.00	1.600	10/20/2015	1.771	253,573.83	253,704.81	N/A	FDIC INSURED	
CD		Western State Bank	0.591	240,000.00	1.650	10/20/2015	1.772	244,548.46	245,340.46	N/A	FDIC INSURED	
CD		InvestorsBank	0.575	249,000.00	1.600	10/21/2015	1.773	253,574.02	253,694.09	N/A	FDIC INSURED	
CD		Portage County Bank	0.010	240,000.00	1.600	10/21/2015	1.779	246,878.53	246,994.25	N/A	FDIC INSURED	
CD		1st State Bank	0.584	249,000.00	1.600	10/27/2015	1.789	253,575.18	253,629.76	N/A	FDIC INSURED	
CD		Founders Bank & Trust	0.010	249,000.00	1.650	10/29/2015	1.799	256,450.38	256,484.15	N/A	FDIC INSURED	
CD		Inland Northwest Bank	0.010	249,000.00	1.600	10/29/2015	1.800	256,223.23	256,255.98	N/A	FDIC INSURED	
CD		Peoples State Bank	0.010	249,000.00	1.650	11/19/2015	1.855	256,685.27	256,831.60	N/A	FDIC INSURED	
CD		Vermillion State Bank	0.624	181,000.00	1.700	11/30/2015	1.877	184,700.28	184,961.61	N/A	FDIC INSURED	
CD		Bank Hapoalim B.M.	0.010	141,000.00	0.600	12/04/2015	1.915	142,599.78	142,664.68	N/A	FDIC INSURED	
CD		The First State Bank	0.600	249,000.00	0.500	12/07/2015	1.917	248,519.71	248,608.40	N/A	FDIC INSURED	
CD		TCF National Bank	0.638	249,000.00	0.550	12/11/2015	1.929	248,576.79	248,655.58	N/A	FDIC INSURED	
CD		Espirito Santo Bank	0.638	249,000.00	0.550	12/16/2015	1.940	248,573.80	248,633.83	N/A	FDIC INSURED	
CD		The Citizens State Bank	0.010	249,000.00	0.500	12/17/2015	1.950	251,389.79	251,440.96	N/A	FDIC INSURED	
CD		The Little Bank Incorporated	0.624	50,000.00	1.500	12/17/2015	1.932	50,851.19	50,882.01	N/A	FDIC INSURED	
CD		KeyBank National Association	0.638	249,000.00	0.600	12/18/2015	1.947	248,816.83	248,874.14	N/A	FDIC INSURED	
CD		Synovus Bank	0.663	249,000.00	0.600	12/18/2015	1.947	248,694.30	248,747.51	N/A	FDIC INSURED	
CD		Commerce Union Bank	0.501	249,000.00	0.400	12/18/2015	1.949	248,511.55	248,549.75	N/A	FDIC INSURED	
CD		The Edgar County Bank and Trust Co.	0.501	249,000.00	0.400	12/18/2015	1.949	248,511.55	248,549.75	N/A	FDIC INSURED	
CD		Regions Bank	0.651	249,000.00	0.550	12/21/2015	1.956	248,510.18	248,555.20	N/A	FDIC INSURED	
CD		Farm Bureau Bank FSB	0.601	249,000.00	0.500	12/23/2015	1.960	248,508.14	248,538.84	N/A	FDIC INSURED	

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moody's Rating	Fitch Rating
CD		USAmeriBank	0.651	249,000.00	0.550	12/23/2015	1.959	248,508.14	248,541.91	N/A	FDIC INSURED	
CD		Marlin Business Bank	0.651	248,000.00	0.550	12/28/2015	1.975	247,507.39	247,526.08	N/A	FDIC INSURED	
CD		Cathay Bank	0.688	249,000.00	0.600	12/30/2015	1.976	248,565.44	248,573.63	N/A	FDIC INSURED	
CD		Community First Bank	0.013	95,000.00	3.500	01/06/2016	1.950	101,668.96	101,905.81	N/A	FDIC INSURED	
CD		Middleburg Bank	0.012	150,000.00	2.000	01/20/2016	2.013	156,118.65	156,217.28	N/A	FDIC INSURED	
CD		MidFirst Bank	0.013	39,000.00	2.900	01/27/2016	2.027	41,331.95	41,821.53	N/A	FDIC INSURED	
CD		PEOPLES ST BK BLOOMER WIS	0.013	247,000.00	1.500	02/05/2016	2.072	254,697.66	256,210.12	N/A	FDIC INSURED	
CD		Mercantile Bank of Michigan	0.697	45,000.00	0.900	02/10/2016	2.088	45,190.53	45,350.31	N/A	FDIC INSURED	
CD		Monroe Bank & Trust	0.734	100,000.00	4.300	02/26/2016	2.057	107,615.90	107,663.02	N/A	FDIC INSURED	
CD		Parkside Financial Bank & Trust	0.299	95,000.00	3.550	03/03/2016	2.092	101,945.90	101,945.90	N/A	FDIC INSURED	
CD		Community State Bank	0.913	10,000.00	3.000	03/04/2016	2.098	10,448.68	10,470.88	N/A	FDIC INSURED	
CD		First American Bank	0.010	150,000.00	0.400	03/15/2016	2.193	151,288.83	151,316.78	N/A	FDIC INSURED	
CD		Washington Savings Bank Federal Savings Bank, The	0.699	249,000.00	1.000	03/17/2016	2.177	250,643.83	250,746.16	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.360	6,000.00	0.000	03/31/2016	0.242	5,820.50	5,820.50	N/A	FDIC INSURED	
CD		Beneficial Mutual Savings Bank	0.011	105,000.00	2.150	04/20/2016	2.249	110,167.49	110,241.71	N/A	FDIC INSURED	
CD		Centlar FSB	0.011	58,000.00	2.150	04/21/2016	2.262	60,857.79	61,103.77	N/A	FDIC INSURED	
CD		The Peoples Banking and Trust Company	0.004	95,000.00	3.500	05/09/2016	2.267	102,815.17	103,033.80	N/A	FDIC INSURED	
CD		Morris Bank	0.788	49,000.00	1.000	05/09/2016	2.318	49,241.62	49,275.19	N/A	FDIC INSURED	
CD		JPMorgan Chase Bank, National Association	1.361	10,000.00	0.000	05/24/2016	2.381	9,680.84	9,680.84	N/A	FDIC INSURED	
CD		Savings Institute Bank and Trust Company	0.011	95,000.00	2.600	06/13/2016	2.391	101,022.32	101,164.43	N/A	FDIC INSURED	
CD		Revere Bank	0.751	249,000.00	0.650	06/20/2016	2.440	248,385.68	248,438.89	N/A	FDIC INSURED	
CD		Georgia Bank & Trust Company of Augusta	0.691	249,000.00	0.600	06/20/2016	2.442	248,447.11	248,496.23	N/A	FDIC INSURED	
CD		The Capital Bank	0.751	249,000.00	0.650	06/20/2016	2.440	248,385.68	248,438.89	N/A	FDIC INSURED	
CD		Bofi Federal Bank	0.011	60,000.00	2.000	06/22/2016	2.417	62,952.71	62,985.59	N/A	FDIC INSURED	
CD		JP MORGAN CHASE BANK NA	0.010	44,000.00	0.000	06/24/2016	2.527	43,989.10	43,989.10	N/A	FDIC INSURED	
CD		North Georgia National Bank	1.063	16,000.00	5.650	06/29/2016	2.330	17,805.00	17,812.43	N/A	FDIC INSURED	
CD		FLUSHING BK N Y	0.821	244,000.00	0.750	06/30/2016	2.463	243,573.94	243,583.96	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.013	45,000.00	5.400	08/09/2016	2.435	50,073.63	50,226.76	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.013	74,000.00	5.400	08/09/2016	2.435	82,343.31	82,595.11	N/A	FDIC INSURED	
CD		Camden National Bank	0.853	249,000.00	1.750	08/17/2016	2.560	254,797.81	254,976.88	N/A	FDIC INSURED	
CD		Bank of the West	0.009	58,000.00	1.650	08/24/2016	2.593	60,518.98	60,539.96	N/A	FDIC INSURED	
CD		Commerce State Bank	0.011	245,000.00	0.650	08/29/2016	2.638	249,165.40	249,178.49	N/A	FDIC INSURED	
CD		Liberty National Bank	1.000	14,000.00	0.850	09/06/2016	2.640	13,944.45	13,953.26	N/A	FDIC INSURED	
CD		Lakeside Bank	0.894	249,000.00	1.400	09/07/2016	2.625	252,342.07	252,580.83	N/A	FDIC INSURED	
CD		MB Financial Bank, N.A.	0.929	80,000.00	2.000	09/09/2016	2.611	83,026.15	82,371.99	N/A	FDIC INSURED	
CD		CIT Bank	1.184	10,000.00	2.050	09/21/2016	2.649	10,231.21	10,288.50	N/A	FDIC INSURED	
CD		South Atlantic Bank	0.842	249,000.00	0.750	09/23/2016	2.691	248,383.07	248,429.12	N/A	FDIC INSURED	
CD		Bank of the West	0.012	190,000.00	1.750	09/28/2016	2.681	199,056.33	199,092.77	N/A	FDIC INSURED	
CD		First Credit Bank	0.842	249,000.00	0.750	09/30/2016	2.714	248,378.74	248,388.97	N/A	FDIC INSURED	
CD		Republic Bank, Inc.	0.012	35,000.00	1.750	09/30/2016	2.687	36,671.61	36,674.96	N/A	FDIC INSURED	
CD		Republic Bank, Inc.	0.011	100,000.00	1.500	09/30/2016	2.695	104,089.81	104,217.21	N/A	FDIC INSURED	
CD		First Internet Bank of Indiana	0.017	75,000.00	3.500	10/03/2016	2.638	82,199.01	82,414.77	N/A	FDIC INSURED	
CD		The Business Bank of St. Louis	0.894	249,000.00	1.300	10/05/2016	2.702	251,992.74	251,992.74	N/A	FDIC INSURED	
CD		Choice Financial Group	0.011	240,000.00	0.750	10/05/2016	2.739	244,899.10	245,333.07	N/A	FDIC INSURED	
CD		TD Bank N.A.	1.244	40,000.00	4.800	11/22/2016	2.729	44,028.80	44,239.22	N/A	FDIC INSURED	
CD		Investors Community Bank	0.011	150,000.00	1.150	11/30/2016	2.869	154,979.35	154,988.81	N/A	FDIC INSURED	
CD		Mercantile Bank of Michigan	1.050	15,000.00	1.000	12/05/2016	2.879	14,978.34	14,989.85	N/A	FDIC INSURED	
CD		The Bank of Holland	0.012	46,000.00	2.650	12/12/2016	2.859	49,576.08	49,646.22	N/A	FDIC INSURED	
CD		1ST UTD BK BOCA RATON FLA	0.851	249,000.00	0.750	12/12/2016	2.904	248,267.30	248,374.75	N/A	FDIC INSURED	
CD		The Bryn Mawr Trust Company	0.851	249,000.00	0.750	12/13/2016	2.907	248,265.95	248,363.16	N/A	FDIC INSURED	
CD		Choice Bank	0.851	249,000.00	0.750	12/16/2016	2.915	248,262.56	248,334.19	N/A	FDIC INSURED	
CD		Profinium, Inc.	0.851	249,000.00	0.750	12/16/2016	2.915	248,263.23	248,339.98	N/A	FDIC INSURED	
CD		Brown County State Bank	0.851	249,000.00	0.750	12/16/2016	2.915	248,262.56	248,334.19	N/A	FDIC INSURED	
CD		The Provident Bank	0.851	249,000.00	0.750	12/16/2016	2.920	248,263.91	248,345.77	N/A	FDIC INSURED	
CD		DMB Community Bank	0.826	249,000.00	0.750	12/19/2016	2.923	248,446.40	248,512.91	N/A	FDIC INSURED	
CD		Commencement Bank	0.843	249,000.00	0.750	12/20/2016	2.926	248,322.75	248,384.14	N/A	FDIC INSURED	
CD		Carthage Federal Savings and Loan Association	0.817	249,000.00	0.750	12/20/2016	2.926	248,507.45	248,568.85	N/A	FDIC INSURED	
CD		Park Sterling Bank	0.809	249,000.00	0.750	12/23/2016	2.934	248,567.83	248,613.88	N/A	FDIC INSURED	
CD		Paragon Commercial Bank	0.851	249,000.00	0.750	12/23/2016	2.933	248,258.46	248,299.39	N/A	FDIC INSURED	
CD		Miners Bank	0.901	249,000.00	0.800	12/27/2016	2.941	248,256.41	248,283.70	N/A	FDIC INSURED	
CD		WebBank	0.843	249,000.00	0.750	12/27/2016	2.944	248,318.37	248,343.96	N/A	FDIC INSURED	



Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moody's Rating	Fitch Rating
CD		Exchange Bank	0.834	249,000.00	0.750	12/27/2016	2.944	248,380.34	248,405.92	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.295	22,000.00	0.000	12/29/2016	2.883	21,919.33	21,178.46	N/A	FDIC INSURED	
CD		Country Bank Holding Company, Inc.	0.013	35,000.00	5.000	12/29/2016	2.805	40,225.54	40,239.92	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.478	10,000.00	0.000	01/05/2017	2.885	9,573.49	9,573.49	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.463	14,000.00	0.000	01/12/2017	2.904	13,405.15	13,405.15	N/A	FDIC INSURED	
CD		TD Bank N.A.	1.224	20,000.00	5.100	01/24/2017	2.872	22,325.07	22,774.98	N/A	FDIC INSURED	
CD		One Bank & Trust, National Association	0.012	167,000.00	1.100	02/09/2017	3.059	172,650.83	172,766.59	N/A	FDIC INSURED	
CD		Level One Bank	0.896	249,000.00	0.800	02/21/2017	3.090	248,260.73	248,326.22	N/A	FDIC INSURED	
CD		Citibank, N.A.	0.051	50,000.00	0.000	02/24/2017	3.150	49,920.42	49,920.42	N/A	FDIC INSURED	
CD		Sterling Bank Services, Inc.	1.463	6,000.00	5.100	03/16/2017	2.958	6,682.94	6,682.94	N/A	FDIC INSURED	
CD		CIT Bank	1.000	108,000.00	1.000	03/20/2017	3.158	108,000.00	108,304.77	N/A	FDIC INSURED	
CD		Centrix Bank & Trust	1.050	248,000.00	1.050	03/22/2017	3.161	248,000.00	248,720.56	N/A	FDIC INSURED	
CD		Bank of America, National Association	0.664	19,000.00	0.000	03/30/2017	3.175	18,599.57	18,599.57	N/A	FDIC INSURED	
CD		Sun National Bank	0.011	247,000.00	1.250	04/18/2017	3.232	257,088.69	257,207.12	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.658	14,000.00	0.000	04/25/2017	3.142	13,270.43	13,270.43	N/A	FDIC INSURED	
CD		Union Bank, National Association	0.457	53,000.00	0.000	04/28/2017	3.274	52,207.78	52,207.78	N/A	FDIC INSURED	
CD		Morton Community Bank	1.449	2,000.00	3.600	05/04/2017	3.137	2,140.18	2,145.70	N/A	FDIC INSURED	
CD		Hiawatha National Bank	1.150	248,000.00	1.150	05/11/2017	3.281	248,000.00	248,398.50	N/A	FDIC INSURED	
CD		MB Financial Bank, N.A.	0.070	26,000.00	3.050	05/15/2017	3.215	28,608.19	28,647.30	N/A	FDIC INSURED	
CD		Horicon Bank	1.000	248,000.00	1.050	05/23/2017	3.325	248,411.65	248,689.89	N/A	FDIC INSURED	
CD		Bank Leumi USA	1.018	248,000.00	1.100	05/25/2017	3.328	248,950.39	248,950.39	N/A	FDIC INSURED	
CD		Republic Bank, Inc.	0.343	38,000.00	2.300	05/31/2017	3.286	40,523.71	40,526.10	N/A	FDIC INSURED	
CD		CIT Bank	0.321	100,000.00	1.500	06/13/2017	3.371	104,041.94	104,120.02	N/A	FDIC INSURED	
CD		Independent Bank	0.944	249,000.00	0.850	06/19/2017	3.400	248,199.61	248,280.79	N/A	FDIC INSURED	
CD		Two Rivers Bank	1.102	249,000.00	1.000	06/20/2017	3.392	248,136.68	248,218.55	N/A	FDIC INSURED	
CD		Bucks County Bank	1.066	249,000.00	0.950	06/23/2017	3.403	248,011.01	248,069.34	N/A	FDIC INSURED	
CD		Landmark Community Bank	0.065	20,000.00	1.000	06/28/2017	3.432	20,652.03	20,654.22	N/A	FDIC INSURED	
CD		Sturgis Bank & Trust Company	0.140	22,000.00	3.000	06/29/2017	3.329	24,192.16	24,197.59	N/A	FDIC INSURED	
CD		Bank of America, National Association	1.714	22,000.00	0.000	07/21/2017	3.349	20,737.16	20,737.16	N/A	FDIC INSURED	
CD		Citibank, N.A.	1.806	25,000.00	0.000	07/21/2017	3.522	23,459.50	23,459.50	N/A	FDIC INSURED	
CD		The Heritage Bank	1.712	10,000.00	2.750	07/27/2017	3.379	10,359.38	10,382.74	N/A	FDIC INSURED	
CD		First Bank & Trust Company	1.100	248,000.00	1.100	08/08/2017	3.515	248,000.00	248,179.38	N/A	FDIC INSURED	
CD		Interwest National Bank	1.402	50,000.00	5.300	08/15/2017	3.342	56,857.57	57,866.74	N/A	FDIC INSURED	
CD		Virginia Heritage Bank	0.011	248,000.00	0.850	08/17/2017	3.574	255,549.38	255,636.01	N/A	FDIC INSURED	
CD		Boston Private Bank & Trust Company	1.200	50,000.00	1.150	08/29/2017	3.574	49,910.11	50,107.02	N/A	FDIC INSURED	
CD		Bell State Bank & Trust	1.133	248,000.00	0.900	09/06/2017	3.610	245,921.39	246,636.85	N/A	FDIC INSURED	
CD		Country Bank Holding Company, Inc.	0.349	40,000.00	5.100	10/09/2017	3.467	47,121.78	47,250.33	N/A	FDIC INSURED	
CD		Mbank.	1.307	249,000.00	1.200	10/30/2017	3.721	248,005.42	248,021.80	N/A	FDIC INSURED	
CD		Middleton Community Bank	1.307	249,000.00	1.200	10/30/2017	3.721	248,005.42	248,021.80	N/A	FDIC INSURED	
CD		Timberwood Bank	1.701	3,000.00	5.000	10/31/2017	3.490	3,366.99	3,367.40	N/A	FDIC INSURED	
CD		Prime Alliance Bank, Inc.	1.442	249,000.00	1.350	11/20/2017	3.762	248,135.81	248,246.32	N/A	FDIC INSURED	
CD		Washita State Bank	0.667	25,000.00	5.050	11/21/2017	3.561	29,206.80	29,244.85	N/A	FDIC INSURED	
CD		Union Bank, National Association	0.010	196,000.00	0.000	11/24/2017	3.897	195,923.66	195,923.66	N/A	FDIC INSURED	
CD		Beneficial Mutual Savings Bank	0.335	24,000.00	2.250	12/04/2017	3.763	25,792.39	25,835.30	N/A	FDIC INSURED	
CD		Frontier State Bank	1.722	18,000.00	5.000	12/14/2017	3.590	20,253.20	20,297.59	N/A	FDIC INSURED	
CD		United Bank of Iowa	1.401	45,000.00	2.250	12/18/2017	3.792	46,467.88	46,509.49	N/A	FDIC INSURED	
CD		Yadkin Bank	1.453	249,000.00	1.350	12/26/2017	3.855	248,010.12	248,093.01	N/A	FDIC INSURED	
CD		Harbor National Bank	1.352	249,000.00	1.250	12/26/2017	3.864	248,010.12	248,086.87	N/A	FDIC INSURED	
CD		First Priority Bank	1.200	248,000.00	1.200	12/27/2017	3.884	248,000.00	248,040.77	N/A	FDIC INSURED	
CD		Citizens State Bank	1.253	249,000.00	1.150	12/29/2017	3.881	248,005.36	248,021.05	N/A	FDIC INSURED	
CD		State Bank of Lismore	1.353	249,000.00	1.250	12/29/2017	3.872	248,005.36	248,022.42	N/A	FDIC INSURED	
CD		The Henry County Bank	1.252	249,000.00	1.150	01/02/2018	3.892	248,005.36	248,021.05	N/A	FDIC INSURED	
CD		Insuror's Bank of Tennessee	1.349	249,000.00	1.250	02/13/2018	3.991	248,016.43	248,178.45	N/A	FDIC INSURED	
CD		Beneficial Mutual Savings Bank	1.720	25,000.00	2.600	02/16/2018	3.913	25,872.97	26,118.72	N/A	FDIC INSURED	
CD		Toyota Financial Savings Bank	0.804	100,000.00	3.000	02/23/2018	3.928	108,941.93	110,018.64	N/A	FDIC INSURED	
CD		The Merchants National Bank	1.471	249,000.00	1.350	03/23/2018	4.078	247,762.22	247,845.11	N/A	FDIC INSURED	
CD		FOX CHASE BK HATBORO PA	1.428	50,000.00	1.000	04/16/2018	4.171	49,109.10	49,132.39	N/A	FDIC INSURED	
CD		GE Capital Bank	1.853	31,000.00	2.900	04/16/2018	4.036	32,332.34	32,524.45	N/A	FDIC INSURED	
CD		Monument Bank	1.385	211,000.00	0.600	04/25/2018	4.215	206,801.33	206,825.61	N/A	FDIC INSURED	
CD		Beneficial Mutual Savings Bank	1.778	24,000.00	2.950	04/30/2018	4.068	25,166.40	25,290.55	N/A	FDIC INSURED	
CD		Bridgewater Bank	1.492	249,000.00	1.400	06/19/2018	4.299	248,011.88	248,136.04	N/A	FDIC INSURED	



Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moody's Rating	Fitch Rating
CD		Bofi Federal Bank	1.651	45,000.00	2.450	06/22/2018	4.212	46,549.41	46,579.62	N/A	FDIC INSURED	
CD		Third Federal Savings and Loan Association of Clev	1.660	248,000.00	1.550	06/27/2018	4.316	246,825.58	246,878.24	N/A	FDIC INSURED	
CD		Gibraltar Private Bank & Trust Co.	3.001	100,000.00	2.000	06/29/2018	4.156	100,793.63	100,866.79	N/A	FDIC INSURED	
CD		Mutualbank	0.688	249,000.00	1.500	07/13/2018	4.372	258,014.82	258,209.25	N/A	FDIC INSURED	
CD		Country Bank Holding Company, Inc.	1.289	29,000.00	5.150	07/30/2018	4.108	33,975.81	34,102.66	N/A	FDIC INSURED	
CD		Gibraltar Private Bank & Trust Co.	2.190	15,000.00	5.150	07/31/2018	4.082	16,999.02	16,999.02	N/A	FDIC INSURED	
CD		First National Bank of America	1.024	195,000.00	2.300	08/03/2018	4.367	202,901.85	207,982.98	N/A	FDIC INSURED	
CD		Celtic Bank Corporation	1.609	249,000.00	1.500	09/06/2018	4.504	247,773.66	248,039.71	N/A	FDIC INSURED	
CD		Commerce Bank	1.758	249,000.00	1.000	09/11/2018	4.518	247,893.06	248,036.32	N/A	FDIC INSURED	
CD		Bank of America, National Association	2.199	4,000.00	0.000	09/19/2018	4.274	3,624.07	3,624.07	N/A	FDIC INSURED	
CD		Hometown National Bank	2.247	6,000.00	0.000	10/03/2018	4.297	5,420.66	5,420.66	N/A	FDIC INSURED	
CD		Darien Rowayton Bank	1.850	15,000.00	1.850	10/05/2018	4.520	15,000.00	15,019.01	N/A	FDIC INSURED	
CD		Five Points Bank	1.900	10,000.00	1.900	10/09/2018	4.523	10,000.00	10,012.49	N/A	FDIC INSURED	
CD		Continental Bank	1.658	248,000.00	1.550	10/30/2018	4.634	246,761.41	246,782.47	N/A	FDIC INSURED	
CD		NCB, FSB	1.686	248,000.00	1.600	10/30/2018	4.629	247,009.12	247,030.87	N/A	FDIC INSURED	
CD		Monson Savings Bank	1.754	249,000.00	1.650	12/17/2018	4.725	247,765.90	247,946.00	N/A	FDIC INSURED	
CD		Preston National Bank	1.654	249,000.00	1.550	12/17/2018	4.739	247,765.90	247,935.09	N/A	FDIC INSURED	
CD		Community Bank and Trust	1.853	249,000.00	1.000	12/18/2018	4.755	247,888.09	247,888.09	N/A	FDIC INSURED	
CD		First Business Bank	1.750	249,000.00	1.750	12/18/2018	4.736	249,000.00	249,167.14	N/A	FDIC INSURED	
CD		Community First Bank	1.752	249,000.00	1.700	12/18/2018	4.722	248,382.27	248,544.63	N/A	FDIC INSURED	
CD		TRI-COUNTY TR CO GLASGOW MO	1.754	249,000.00	1.650	12/18/2018	4.728	247,764.55	247,922.13	N/A	FDIC INSURED	
CD		Bangor Savings Bank	1.955	247,000.00	1.850	12/20/2018	4.725	245,773.12	245,923.35	N/A	FDIC INSURED	
CD		21st Century Bank	1.600	249,000.00	1.600	12/20/2018	4.743	249,000.00	249,130.98	N/A	FDIC INSURED	
CD		Mutual Bank	1.855	249,000.00	1.750	12/24/2018	4.729	247,761.13	247,868.58	N/A	FDIC INSURED	
CD		Katahdin Trust Company	1.889	249,000.00	1.000	12/24/2018	4.776	247,760.45	247,815.03	N/A	FDIC INSURED	
CD		AMERICAN FED SVGS BK HELENA MONT	1.900	249,000.00	1.800	12/27/2018	4.730	247,820.49	247,881.89	N/A	FDIC INSURED	
CD		Bank of The Valley	1.704	249,000.00	1.600	12/27/2018	4.757	247,758.41	247,812.98	N/A	FDIC INSURED	
CD		ESSA Bank & Trust	1.955	248,000.00	1.850	12/28/2018	4.744	246,761.36	246,786.50	N/A	FDIC INSURED	
CD		Princeville State Bank	1.834	249,000.00	1.750	12/28/2018	4.739	248,005.09	248,028.97	N/A	FDIC INSURED	
<b>CAISO-GIP FUNDS</b>			<b>0.612</b>	<b>47,897,463.35</b>			<b>2.076</b>	<b>48,210,515.94</b>	<b>48,243,746.06</b>	<b>N/A</b>	<b>FDIC INSURED</b>	
<b>CAISO - FORFEITED GIP FUNDS</b>												
MMFUND		DREYFUS CASH MANAGEMENT-INST	0.040	244,408.56	0.040	12/31/2013	0.000	244,408.56	244,408.56	AAAm	Aaa	AAA
MMFUND		DREYFUS INS CASH ADV-I	0.060	8,156,558.80	0.060	12/31/2013	0.000	8,156,558.80	8,156,558.80	AAAm	Aaa	AAA
MMFUND		FEDERATED INV PRIME OBLIG-IS	0.020	8,037,628.14	0.010	12/31/2013	0.000	8,037,628.14	8,037,628.14	AAAm	Aaa	AAA
MMFUND		FIDELITY PRIME MON MAR-I	0.010	7,773,489.28	0.010	12/31/2013	0.000	7,773,489.28	7,773,489.28	AAAm	Aaa	AAA
MMFUND		BLACKROCK LIQ FD TEMPFUND-IN	0.050	6,616,017.99	0.030	12/31/2013	0.000	6,616,017.99	6,616,017.99	AAAm	Aaa	AAA
CASH		Receivable	0.000	1,094.01	0.000	12/31/2013	0.000	1,094.01	1,094.01	AAA	Aaa	AAA
CD		The Park Bank	0.500	30,000.00	0.150	01/06/2014	0.014	29,998.56	30,016.93	N/A	FDIC INSURED	
CD		Bank of Baroda	0.520	249,000.00	0.400	01/07/2014	0.016	248,995.02	249,974.65	N/A	FDIC INSURED	
CD		Commercial Banking Co Inc	0.503	30,000.00	2.400	01/21/2014	0.055	30,031.17	30,054.84	N/A	FDIC INSURED	
CD		Banco Bilbao Vizcaya Argentaria, S.A.	0.600	249,000.00	1.470	01/22/2014	0.058	249,125.45	251,707.99	N/A	FDIC INSURED	
CD		Capmark Bank	0.699	20,000.00	3.500	01/28/2014	0.075	20,041.26	20,342.36	N/A	FDIC INSURED	
CD		Riverside Bank	0.250	249,000.00	0.200	06/30/2014	0.492	248,938.43	248,941.16	N/A	FDIC INSURED	
CD		Capmark Bank	0.400	96,000.00	3.350	07/15/2014	0.533	97,509.55	99,007.42	N/A	FDIC INSURED	
CD		Fifth Third Bank	0.350	249,000.00	0.400	07/30/2014	0.574	249,070.66	249,242.57	N/A	FDIC INSURED	
CD		Gorham Savings Bank	0.649	20,000.00	0.200	08/22/2014	0.636	19,942.82	19,943.91	N/A	FDIC INSURED	
CD		SunTrust Bank	0.450	245,000.00	0.737	08/29/2014	0.159	245,461.18	245,629.28	N/A	FDIC INSURED	
CD		FSGBank, National Association	0.550	19,000.00	3.000	09/29/2014	0.733	19,344.81	19,349.49	N/A	FDIC INSURED	
CD		MB Financial Bank, N.A.	0.550	6,000.00	2.400	11/14/2014	0.858	6,096.16	6,103.26	N/A	FDIC INSURED	
CD		The National Republic Bank of Chicago	0.701	20,000.00	2.950	11/25/2014	0.885	20,402.81	20,414.12	N/A	FDIC INSURED	
CD		Commercial Bank	0.300	95,000.00	3.000	12/04/2014	0.911	97,364.47	97,583.10	N/A	FDIC INSURED	
CD		Beal Bank USA	0.500	249,000.00	0.450	12/10/2014	0.935	248,882.68	248,947.15	N/A	FDIC INSURED	
CD		F & M Bank and Trust Company	0.550	30,000.00	3.000	12/15/2014	0.939	30,698.70	30,740.62	N/A	FDIC INSURED	
CD		Xenith Bank	0.400	249,000.00	0.350	12/17/2014	0.955	248,880.62	248,916.43	N/A	FDIC INSURED	
CD		COMMUNITY BK CHESAPEAKEWALDORF MD	0.350	249,000.00	0.300	12/17/2014	0.956	248,880.62	248,911.32	N/A	FDIC INSURED	
CD		MIZUHO BANK, LTD.	0.500	248,000.00	0.450	12/18/2014	0.957	247,881.08	247,923.89	N/A	FDIC INSURED	
CD		EnerBank USA	0.500	249,000.00	0.400	12/19/2014	0.960	248,759.87	248,795.34	N/A	FDIC INSURED	
CD		Merchants and Manufacturers Bank	0.250	249,000.00	0.250	12/26/2014	0.981	249,000.00	249,010.23	N/A	FDIC INSURED	
CD		The First National Bank and Trust Company of Vinit	0.300	249,000.00	0.250	12/30/2014	0.992	248,876.18	248,879.59	N/A	FDIC INSURED	
CD		Summit State Bank	0.350	249,000.00	0.300	12/30/2014	0.991	248,876.18	248,880.28	N/A	FDIC INSURED	
CD		Hardin County Bank (The)	0.300	249,000.00	0.250	12/30/2014	0.992	248,876.18	248,879.59	N/A	FDIC INSURED	

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moody's Rating	Fitch Rating
CD		The Bank of Missouri	0.350	249,000.00	0.300	12/30/2014	0.991	248,876.18	248,880.28	N/A	FDIC INSURED	
CD		Republic Bank, Inc.	0.501	35,000.00	3.000	12/31/2014	0.981	35,869.94	35,959.12	N/A	FDIC INSURED	
CD		Enterprise Bank	0.400	249,000.00	0.300	12/31/2014	0.994	248,751.68	248,753.73	N/A	FDIC INSURED	
CD		Bridgeview Bank Group	0.451	99,000.00	2.200	01/23/2015	1.047	100,830.85	100,884.56	N/A	FDIC INSURED	
CD		First National Bank in Philip	0.751	3,000.00	3.400	01/30/2015	1.058	3,085.41	3,094.07	N/A	FDIC INSURED	
CD		Safra National Bank of New York	0.501	245,000.00	0.450	02/02/2015	1.084	244,865.43	245,052.71	N/A	FDIC INSURED	
CD		Commercial Bank	0.708	15,000.00	2.750	02/04/2015	1.075	15,333.42	15,365.06	N/A	FDIC INSURED	
CD		Banco Bilbao Vizcaya Argentaria Puerto Rico	0.582	248,000.00	1.000	02/13/2015	1.112	249,152.58	250,103.81	N/A	FDIC INSURED	
<b>CAISO - FORFEITED GIP FUNDS</b>			<b>0.088</b>	<b>35,819,196.78</b>			<b>0.109</b>	<b>35,827,896.74</b>	<b>35,835,485.65</b>	<b>N/A</b>	<b>FDIC INSURED</b>	
<b>TOTAL GIP FUNDS</b>			<b>0.389</b>	<b>83,716,660.13</b>			<b>1.284</b>	<b>84,038,412.68</b>	<b>84,079,231.71</b>			

**clearwater**

Account: CAISO-Market Funds

As of: 12/31/2013

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value	Market Value + Accrued	Net Unrealized Gain/Loss	S&P Rating	Moody's Rating	Fitch Rating
<b>Collateral and Market Funds</b>														
CASH	Receivable		0.000	6,858.24	0.000	12/31/2013	0.000	6,858.24	6,858.24	6,858.24	0.00	AAA	Aaa	AAA
CASH	Cash		0.000	24,357,657.38	0.000	12/31/2013	0.000	24,357,657.38	24,357,657.38	24,357,657.38	0.00	AAA	Aaa	AAA
MMFUND	BLACKROCK LIQ FD TEMPFUND-IN		0.050	53,456,044.20	0.030	12/31/2013	0.000	53,456,044.20	53,456,044.20	53,456,044.20	0.00	AAAm	Aaa	AAA
MMFUND	DREYFUS INS CASH ADV-I		0.060	57,555,629.76	0.060	12/31/2013	0.000	57,555,629.76	57,555,629.76	57,555,629.76	0.00	AAAm	Aaa	AAA
MMFUND	FEDERATED INV PRIME OBLIG-IS		0.020	46,116,405.67	---	12/31/2013	0.000	46,116,405.67	46,116,405.67	46,116,405.67	0.00	AAAm	Aaa	AAA
MMFUND	FIDELITY PRIME MON MAR-I		0.010	48,741,918.79	0.010	12/31/2013	0.000	48,741,918.79	48,741,918.79	48,741,918.79	0.00	AAAm	Aaa	AAA
MMFUND	WFA HERITAGE MONEY MARK-SEL		0.050	101,928,378.69	---	12/31/2013	0.000	101,928,378.69	101,928,378.69	101,928,379.15	0.00	AAAm	Aaa	NA
			<b>0.038</b>	<b>332,162,892.73</b>			<b>0.000</b>	<b>332,162,892.73</b>	<b>332,162,892.73</b>	<b>332,162,893.19</b>	<b>0.00</b>	<b>AAA</b>	<b>Aaa</b>	<b>AAA</b>



Account: CAISO-Retiree Medical Trust  
 As of: 12/31/2013

Account	Security Type	Description	Yield	Face Value	Coupon	Final Maturity	Duration	Book Value	Market Value + Accrued	S&P Rating	Moodys Rating	Fitch Rating
<b>CAISO-VEBA WELFARE BENEFIT TRUST</b>												
	MMFUND	WFB COLLATERALIZED M/M ACCT	0.000	18,934.61	0.000	12/31/2013	0.000	18,934.61	18,934.61	NA	NA	NA
	CASH	Receivable	0.000	24,062.40	0.000	12/31/2013	0.000	24,062.40	24,062.40	AAA	Aaa	AAA
	CASH	Cash	0.000	0.00	0.000	12/31/2013	0.000	0.00	0.00	AAA	Aaa	AAA
	Fund of Funds	PIMCO ALL ASSET FUND-INSTITU	---	70,072.45	---	---	---	853,023.58	846,475.16	NA	NA	NA
	Open-End Fund	ASTON/FAIRPOINTE MID CAP-I	---	4,086.94	---	---	---	183,117.61	182,563.70	NA	NA	NA
	Open-End Fund	VANGUARD INST INDEX-INST	---	3,674.47	---	---	---	571,556.81	622,014.11	NA	NA	NA
	Open-End Fund	T ROWE PRICE GROW STOCK	---	10,131.66	---	---	---	471,155.90	532,621.58	NA	NA	NA
	Open-End Fund	BROWN CAP MGMT SM COMP-INV	---	2,450.30	---	---	---	164,152.56	181,591.36	NA	NA	NA
	Open-End Fund	VANGUARD TOT INT ST IDX-SIG	---	26,532.11	---	---	---	844,464.47	891,478.73	NA	NA	NA
	Open-End Fund	AMERICAN EUROPACIFIC GRTH-R6	---	16,326.05	---	---	---	748,908.10	800,466.43	NA	NA	NA
	Open-End Fund	HOTCHKIS & WILEY M/C VALUE-I	---	4,387.77	---	---	---	167,406.96	178,187.26	NA	NA	NA
	Open-End Fund	AMERICAN BEACON INTL EQT-IS	---	39,516.25	---	---	---	756,765.62	803,760.61	NA	NA	NA
	Open-End Fund	VANGUARD S/T BND INDX-SIG	---	81,686.93	---	---	---	858,436.00	856,895.90	NA	NA	NA
	Open-End Fund	VANGUARD WINDSOR II-ADM	---	8,173.41	---	---	---	503,768.17	533,233.46	NA	NA	NA
	Open-End Fund	MUNDER VERACITY SC VALUE-Y	---	5,642.69	---	---	---	162,323.30	177,124.13	NA	NA	NA
	Open-End Fund	PIMCO TOTAL RETURN FUND-INST	---	200,907.83	---	---	---	2,157,647.81	2,147,704.69	AA-	Aa3	AA-
								<b>8,485,723.90</b>	<b>8,797,114.13</b>	<b>AA-</b>	<b>Aa3</b>	<b>AA-</b>