

August 15, 2018

The Honorable Kimberly D. Bose  
Secretary  
Federal Energy Regulatory Commission  
888 Frist Street, NE  
Washington, DC 20426

**Re: California Independent System Operator Corporation  
Docket Nos. ER08-1178-\_\_\_\_\_ and EL08-88-\_\_\_\_\_  
June 2018 Exceptional Dispatch Report (Chart 1 data)**

Dear Secretary Bose:

Pursuant to the Federal Energy Regulatory Commission's (Commission) September 2, 2009 (September 2 Order), and May 4, 2010 (May 4 Order) orders in the above referenced dockets, the California Independent System Operator Corporation (CAISO) submits the attached report for filing. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 Order, as modified by the CAISO's September 14, 2009, motion for clarification, which the Commission granted in its May 4 Order. The attached report provides Chart 1 data for the month of June 2018.

Respectfully submitted,

**By: /s/ Sidney L. Mannheim**

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# **Exceptional Dispatch Report**

## **Table 1: June 2018**

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## Introduction

This report is filed pursuant to FERC's September 2, 2009 and July 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15<sup>th</sup> of each month and one issued on the 30<sup>th</sup> of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in June 2018.

## The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch.<sup>1</sup> A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website.<sup>2</sup>

The following reason for exceptional dispatch instructions in June 2018 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following

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<sup>1</sup> The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.11 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

<sup>2</sup> A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <http://www.caiso.com/thegrid/operations/opsdoc/index.html>

day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. There were a few other reasons used to explain exceptional dispatch instructions in June 2018, which are self explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.<sup>3</sup> Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC column specifies if there was an incremental dispatch or a decremental dispatch from the IFM schedule. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 152 exceptional dispatches in June 2018, as compared to 142 exceptional dispatches in May 2018. Exceptional dispatches issued for the following reasons accounted for approximately 67 percent of the total exceptional dispatches during the reporting period: planned transmission outages, software limitations, and operating procedure number 7110 (along with 6030 and 7430). Many of the exceptional dispatches with the reason “Other Reliability Requirement” were due to Real Time Contingency Analysis.

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<sup>3</sup> The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

**Table 1: Exceptional Dispatches in June 2018**

**California Independent System Operator Corporation  
Exceptional Dispatch Report  
August 15, 2018**

**Chart 1: Table of Exceptional Dispatches for Period 01/June/2018 - 30/June/2018**

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
1	RT	Conditions beyond the control of the CAISO	PG&E	Fresno	6/15/2018	25	No	DEC	6	18:32	23:59
2	RT	Conditions beyond the control of the CAISO	PG&E	Fresno	6/16/2018	25	No	DEC	1	0:00	0:44
3	RT	Conditions beyond the control of the CAISO	PG&E	Humboldt	6/24/2018	45	No	DEC	5	17:00	21:29
4	RT	Conditions beyond the control of the CAISO	PG&E	Humboldt	6/24/2018	45	No	INC	5	17:00	21:29
5	RT	Contingency Dispatch	PG&E	Fresno	6/21/2018	404	No	INC	1	18:37	19:29
6	RT	Contingency Dispatch	SCE	LA Basin	6/21/2018	239	No	INC	1	18:40	19:29
7	RT	Fast Start Unit Management	PG&E	Bay Area	6/21/2018	0	No	INC	2	21:30	22:34
8	RT	Fast Start Unit Management	SCE	Big Creek-Ventura	6/22/2018	0	No	INC	1	1:00	1:59
9	RT	Fast Start Unit Management	SCE	LA Basin	6/11/2018	0	No	INC	2	1:15	2:19
10	RT	Fast Start Unit Management	SCE	LA Basin	6/22/2018	0	No	INC	1	1:00	1:59
11	RT	Incomplete or Inaccurate Transmission	PG&E	Humboldt	6/11/2018	15	No	INC	1	11:40	11:44
12	RT	Load Forecast Uncertainty	PG&E	Bay Area	6/21/2018	240	No	INC	1	18:31	19:21
13	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	6/21/2018	63- 80	No	INC	1	18:31	19:21
14	RT	Load Forecast Uncertainty	SCE	LA Basin	6/9/2018	98	No	INC	7	15:00	21:59
15	RT	Load Forecast Uncertainty	SCE	LA Basin	6/11/2018	30- 778	Yes	INC	10	14:30	23:59
16	RT	Load Forecast Uncertainty	SCE	LA Basin	6/12/2018	30- 390	Yes	INC	9	15:00	23:59
17	RT	Load Forecast Uncertainty	SCE	LA Basin	6/13/2018	50- 798	Yes	INC	24	0:00	23:59
18	RT	Load Forecast Uncertainty	SCE	LA Basin	6/14/2018	400	No	INC	3	18:50	20:59
19	RT	Load Forecast Uncertainty	SCE	LA Basin	6/21/2018	273	No	DEC	1	19:00	19:21

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
20	RT	Load Forecast Uncertainty	SCE	LA Basin	6/21/2018	372-384	No	INC	3	18:31	20:59
21	RT	Load Forecast Uncertainty	SCE	LA Basin	6/24/2018	98	No	INC	24	0:00	23:59
22	RT	Load Forecast Uncertainty	SCE	LA Basin	6/28/2018	384	No	INC	5	17:30	21:59
23	RT	Load Forecast Uncertainty	SCE	LA Basin	6/29/2018	384	No	INC	5	16:55	20:59
24	RT	Load Forecast Uncertainty	SCE	LA Basin	6/30/2018	190	No	INC	5	16:20	20:59
25	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	6/13/2018	20- 108	No	INC	13	9:00	21:59
26	RT	Load Forecast Uncertainty	SDG&E	San Diego-IV	6/21/2018	30	No	INC	1	18:31	19:21
27	RT	Load Pull	SCE	LA Basin	6/24/2018	194	No	INC	4	17:25	20:59
28	RT	Load Pull	SDG&E	San Diego-IV	6/20/2018	131	No	INC	4	17:20	20:59
29	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/11/2018	74	No	INC	3	7:20	9:59
30	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/12/2018	85- 120	No	INC	8	16:25	23:59
31	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/13/2018	120-180	No	INC	4	0:00	3:44
32	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/20/2018	0	No	INC	2	14:19	15:19
33	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/21/2018	75	No	DEC	5	15:50	19:59
34	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/21/2018	75	No	INC	5	15:50	19:59
35	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/23/2018	72- 75	No	DEC	14	6:40	19:59
36	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/23/2018	72- 80	No	INC	11	6:30	16:44
37	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/26/2018	80	No	INC	3	21:55	23:59
38	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/27/2018	75	No	INC	7	17:45	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
39	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/28/2018	75	No	INC	2	0:00	1:59
40	RT	Operating Procedure Number and Constraint (7430)	PG&E	Fresno	6/29/2018	72- 80	No	INC	10	0:40	9:59
41	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/1/2018	16- 30	No	INC	22	2:00	23:59
42	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/2/2018	15	No	DEC	3	21:40	23:59
43	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/2/2018	15- 16	No	INC	24	0:00	23:59
44	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/3/2018	15	No	INC	1	0:00	0:14
45	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/4/2018	15	No	DEC	1	23:00	23:59
46	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/4/2018	30- 45	No	INC	2	22:00	23:14
47	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/5/2018	30- 64	No	DEC	14	10:35	23:59
48	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/5/2018	30- 128	No	INC	18	6:25	23:59
49	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/6/2018	16- 48	No	DEC	16	7:30	22:59
50	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/6/2018	16- 58	No	INC	23	0:00	22:59
51	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/7/2018	14- 30	No	INC	16	7:05	22:29
52	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/11/2018	31	No	INC	4	7:25	10:59
53	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/13/2018	30	No	DEC	2	21:40	22:59
54	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/13/2018	30	No	INC	3	21:40	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
55	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/14/2018	30	No	INC	8	0:00	7:59
56	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/19/2018	15	No	DEC	12	8:00	19:59
57	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/19/2018	15	No	INC	12	8:00	19:59
58	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/20/2018	14	No	DEC	2	21:45	22:59
59	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/20/2018	14	No	INC	2	21:45	22:59
60	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	6/24/2018	15	No	INC	3	11:16	13:44
61	RT	Operating Procedure Number and Constraint (6030)	SCE	LA Basin	6/4/2018	190	No	INC	2	19:25	21:24
62	RT	Other Reliability Requirement	PG&E	Fresno	6/23/2018	12	No	DEC	3	10:55	12:59
63	RT	Other Reliability Requirement	PG&E	Humboldt	6/24/2018	30- 75	No	INC	4	19:17	23:14
64	RT	Other Reliability Requirement	PG&E	N/A	6/13/2018	201	No	DEC	1	8:10	8:59
65	RT	Other Reliability Requirement	PG&E	NCNB	6/13/2018	55- 132	No	DEC	15	9:20	23:59
66	RT	Other Reliability Requirement	PG&E	NCNB	6/13/2018	52- 60	No	INC	15	9:20	23:59
67	RT	Other Reliability Requirement	PG&E	NCNB	6/14/2018	52- 57	No	INC	17	0:00	16:59
68	RT	Other Reliability Requirement	PG&E	Stockton	6/13/2018	80	No	DEC	1	16:40	17:29
69	RT	Other Reliability Requirement	SCE	LA Basin	6/22/2018	384	No	INC	6	16:00	21:59
70	RT	Planned Transmission Outage	Intertie	N/A	6/1/2018	600	No	INC	1	9:15	9:59
71	RT	Planned Transmission Outage	Intertie	N/A	6/5/2018	600	No	INC	11	8:00	18:59
72	RT	Planned Transmission Outage	Intertie	N/A	6/6/2018	550	No	DEC	3	15:00	17:59
73	RT	Planned Transmission Outage	Intertie	N/A	6/13/2018	600	No	DEC	3	8:05	10:59
74	RT	Planned Transmission Outage	Intertie	N/A	6/13/2018	600	No	INC	3	8:05	10:59
75	RT	Planned Transmission Outage	Intertie	N/A	6/19/2018	600	No	INC	1	8:35	9:59
76	RT	Planned Transmission Outage	PG&E	Bay Area	6/1/2018	175	No	INC	7	15:00	21:59
77	RT	Planned Transmission Outage	PG&E	Bay Area	6/2/2018	175	No	INC	9	12:00	20:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
78	RT	Planned Transmission Outage	PG&E	Bay Area	6/3/2018	175	No	INC	10	12:00	21:59
79	RT	Planned Transmission Outage	PG&E	Bay Area	6/4/2018	175	No	INC	11	10:00	20:59
80	RT	Planned Transmission Outage	PG&E	Bay Area	6/11/2018	175	No	INC	10	12:00	21:59
81	RT	Planned Transmission Outage	PG&E	Bay Area	6/14/2018	175	No	INC	5	6:00	10:59
82	RT	Planned Transmission Outage	PG&E	Bay Area	6/15/2018	175	No	INC	15	7:00	21:59
83	RT	Planned Transmission Outage	PG&E	Bay Area	6/19/2018	175	No	INC	11	13:00	23:59
84	RT	Planned Transmission Outage	PG&E	Bay Area	6/24/2018	80	No	DEC	2	18:34	19:44
85	RT	Planned Transmission Outage	PG&E	Bay Area	6/24/2018	100	No	INC	2	18:31	19:44
86	RT	Planned Transmission Outage	PG&E	Bay Area	6/25/2018	175	No	INC	5	15:30	19:59
87	RT	Planned Transmission Outage	PG&E	Humboldt	6/3/2018	14	No	INC	10	7:15	16:44
88	RT	Planned Transmission Outage	PG&E	Humboldt	6/7/2018	30	No	INC	2	22:05	23:59
89	RT	Planned Transmission Outage	PG&E	Humboldt	6/8/2018	14- 30	No	INC	24	0:00	23:59
90	RT	Planned Transmission Outage	PG&E	Humboldt	6/9/2018	16	No	INC	4	20:10	23:59
91	RT	Planned Transmission Outage	PG&E	Humboldt	6/10/2018	15- 16	No	INC	24	0:00	23:59
92	RT	Planned Transmission Outage	PG&E	Humboldt	6/11/2018	15	No	DEC	9	11:45	19:59
93	RT	Planned Transmission Outage	PG&E	Humboldt	6/11/2018	15- 30	No	INC	24	0:00	23:59
94	RT	Planned Transmission Outage	PG&E	Humboldt	6/12/2018	30	No	INC	2	0:00	1:29
95	RT	Planned Transmission Outage	PG&E	Humboldt	6/15/2018	14- 30	No	INC	15	8:05	22:59
96	RT	Planned Transmission Outage	PG&E	Humboldt	6/16/2018	15	No	INC	2	21:30	22:59
97	RT	Planned Transmission Outage	PG&E	Humboldt	6/18/2018	16	No	INC	11	9:50	19:59
98	RT	Planned Transmission Outage	PG&E	Humboldt	6/22/2018	30	No	DEC	10	10:55	19:59
99	RT	Planned Transmission Outage	PG&E	Humboldt	6/22/2018	30	No	INC	10	10:55	19:59
100	RT	Planned Transmission Outage	PG&E	Humboldt	6/23/2018	30	No	DEC	3	21:45	23:59
101	RT	Planned Transmission Outage	PG&E	Humboldt	6/23/2018	30	No	INC	3	21:45	23:59
102	RT	Planned Transmission Outage	PG&E	Humboldt	6/24/2018	30	No	INC	8	0:00	7:59
103	RT	Planned Transmission Outage	PG&E	Humboldt	6/25/2018	14	No	INC	5	7:30	11:59
104	RT	Planned Transmission Outage	PG&E	Humboldt	6/26/2018	90	No	INC	1	6:45	7:29
105	RT	Planned Transmission Outage	PG&E	Humboldt	6/30/2018	48- 96	No	DEC	10	14:25	23:59
106	RT	Planned Transmission Outage	PG&E	Humboldt	6/30/2018	14- 96	No	INC	19	5:45	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
107	RT	Planned Transmission Outage	PG&E	Humboldt	7/1/2018	48- 96	No	INC	8	0:00	7:59
108	RT	Planned Transmission Outage	PG&E	NCNB	6/11/2018	58- 66	No	DEC	13	7:30	19:59
109	RT	Planned Transmission Outage	PG&E	NCNB	6/11/2018	58- 66	No	INC	8	7:30	15:29
110	RT	Planned Transmission Outage	PG&E	Sierra	6/20/2018	6- 216	No	DEC	1	15:25	16:14
111	RT	Planned Transmission Outage	SCE	LA Basin	6/6/2018	150	No	INC	12	4:30	15:59
112	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/4/2018	20- 126	No	INC	11	13:00	23:59
113	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/11/2018	63	No	DEC	13	7:30	19:59
114	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/11/2018	20- 63	No	INC	14	6:00	19:59
115	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/12/2018	20	No	INC	6	16:00	21:59
116	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/13/2018	20	No	INC	14	8:00	21:59
117	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/14/2018	20	No	INC	6	18:00	23:59
118	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/15/2018	20	No	INC	14	8:00	21:59
119	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/18/2018	20- 262	No	INC	18	4:30	21:59
120	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/20/2018	20- 40	No	INC	14	7:00	20:59
121	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/27/2018	20	No	INC	12	10:00	21:59
122	RT	Planned Transmission Outage	SDG&E	San Diego-IV	6/29/2018	40- 290	No	INC	15	5:00	19:59
123	RT	Software Limitation	PG&E	Humboldt	6/4/2018	0	No	INC	1	22:30	23:29
124	RT	Software Limitation	PG&E	Humboldt	6/5/2018	32	No	INC	2	22:30	23:59
125	RT	Software Limitation	PG&E	Humboldt	6/6/2018	56	No	DEC	2	20:10	21:19
126	RT	Software Limitation	PG&E	Humboldt	6/6/2018	16- 56	No	INC	4	20:10	23:59
127	RT	Software Limitation	PG&E	Humboldt	6/16/2018	0	No	INC	1	23:00	23:59
128	RT	Software Limitation	PG&E	Humboldt	6/26/2018	0	No	INC	1	7:20	7:29
129	RT	Software Limitation	PG&E	N/A	6/3/2018	237	No	INC	1	19:55	19:59
130	RT	Software Limitation	PG&E	N/A	6/28/2018	0	No	INC	1	0:00	0:59
131	RT	Software Limitation	PG&E	Stockton	6/3/2018	191- 237	No	DEC	3	17:00	19:54
132	RT	Software Limitation	PG&E	Stockton	6/3/2018	178- 474	No	INC	5	15:00	19:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
133	RT	Software Limitation	SCE	Big Creek-Ventura	6/1/2018	0	No	INC	14	10:15	23:59
134	RT	Software Limitation	SCE	Big Creek-Ventura	6/2/2018	0	No	INC	1	0:00	0:14
135	RT	Software Limitation	SCE	LA Basin	6/13/2018	152	No	DEC	1	13:20	14:14
136	RT	Software Limitation	SCE	LA Basin	6/13/2018	20	No	INC	1	0:45	0:59
137	RT	Software Limitation	SCE	LA Basin	6/21/2018	384	No	INC	2	18:15	20:14
138	RT	Software Limitation	SDG&E	San Diego-IV	6/4/2018	25	No	INC	4	19:00	22:14
139	RT	Unit Testing	PG&E	Bay Area	6/23/2018	225	No	INC	12	0:20	11:59
140	RT	Unit Testing	PG&E	N/A	6/18/2018	140	No	INC	10	14:10	23:59
141	RT	Unit Testing	PG&E	N/A	6/19/2018	140	No	INC	8	0:00	7:59
142	RT	Unit Testing	PG&E	N/A	6/21/2018	235	Yes	INC	13	11:00	23:59
143	RT	Unit Testing	SCE	Big Creek-Ventura	6/1/2018	100	No	INC	9	0:00	8:59
144	RT	Unit Testing	SCE	LA Basin	6/1/2018	130-1010	No	INC	17	0:20	16:59
145	RT	Unit Testing	SCE	LA Basin	6/14/2018	49	No	INC	1	20:08	20:39
146	RT	Unit Testing	SCE	LA Basin	6/22/2018	22	No	INC	1	21:20	22:19
147	RT	Unit Testing	SDG&E	San Diego-IV	6/19/2018	46	No	INC	1	22:35	23:04
148	RT	Unit Testing	SDG&E	San Diego-IV	6/20/2018	46	No	DEC	21	3:00	23:04
149	RT	Unit Testing	SDG&E	San Diego-IV	6/20/2018	46- 86	Yes	INC	21	3:00	23:04
150	RT	Voltage Support	PG&E	Humboldt	6/26/2018	28	No	DEC	13	11:45	23:59
151	RT	Voltage Support	PG&E	Humboldt	6/26/2018	28- 42	No	INC	13	11:10	23:59
152	RT	Voltage Support	PG&E	Humboldt	6/27/2018	28	No	INC	9	0:00	8:59

## Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

### Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

**Table 2: Instructions Prior to Day-Ahead Market**

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

**Table 3: FERC Summary of Instructions Prior to DAM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

**Example 2: Incremental Exceptional Dispatch Instructions in RTM**

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

**Table 4: Incremental Exceptional Dispatch Instructions in RTM**

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

**Table 5: FERC Summary of ED Instructions in RTM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

### Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

**Table 6: Decremental Exceptional Dispatch Instructions in RTM**

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

**Table 7: FERC Summary of Decremental ED Instructions in RTM**

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

## CERTIFICATE OF SERVICE

I certify that I have served the foregoing document upon the parties listed on the official service list in the captioned proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California, this 15<sup>th</sup> day of August, 2018.

*Is/ Anna Pascuzzo*  
Anna Pascuzzo