

December 15, 2016

The Honorable Kimberly D. Bose
Secretary
Federal Energy Regulatory Commission
888 Frist Street, NE
Washington, DC 20426

**Re: California Independent System Operator Corporation
Docket Nos. ER08-1178-_____ and EL08-88-_____
October 2016 Exceptional Dispatch Report (Chart 1 data)**

Dear Secretary Bose:

Pursuant to the Federal Energy Regulatory Commission's (Commission) September 2, 2009 (September 2 order), and May 4, 2010 (May 4 order) orders in the above-referenced dockets, the California Independent System Operator Corporation (CAISO) submits the attached report for filing. The attached report provides details concerning Exceptional Dispatches the Commission directed to be included in "Chart 1" as set forth in Appendix A of the September 2 order, as modified by the CAISO's September 14, 2009 motion for clarification, which the Commission granted in its May 4 order. The attached report provides Chart 1 data for the month of October 2016.

Respectfully submitted,

By: /s/ Sidney L. Mannheim

Roger E. Collanton
General Counsel
Sidney L. Mannheim
Assistant General Counsel
California Independent System
Operator Corporation
250 Outcropping Way
Folsom, CA 95630
Tel: (916) 608-7144
Fax: (916) 608-7222
smannheim@caiso.com



Exceptional Dispatch Report

Table 1: October 2016

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Introduction

This report is filed pursuant to FERC's September 2, 2009 and July 4, 2010 orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one issued on the 30th of each month. This report provides data on the frequency and reasons for Exceptional Dispatches issued in October 2016

The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include an indicative exceptional dispatch energy schedule, a post-day-ahead unit commitment, or a real-time exceptional dispatch¹. A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website².

The following reason for exceptional dispatch instructions in October 2016 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the

¹ The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.9 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

² A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <http://www.caiso.com/thegrid/operations/opsdoc/index.html>

following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. There were a few other reasons used to explain exceptional dispatch instructions in October 2016, which are self explanatory.

The data in Table 1 is based on a template specified in the September 2009 order³. Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC/NA column specifies if there was an incremental dispatch, a decremental dispatch, or only a unit commitment. If the exceptional dispatch was only a unit commitment, the column shows NA for the classification. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 187 exceptional dispatches in October 2016, as compared to 164 exceptional dispatches in September 2016. Exceptional dispatches issued for the following reasons accounted for approximately 61 percent of the total exceptional dispatches during the reporting period: planned transmission outages, software limitations, load forecast uncertainty, and operating procedure number 7110 (along with 6110 and 7630). Many of the exceptional dispatches with the reason “other reliability requirement” were due to Real Time Contingency Analysis.

³ The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

Table 1: Exceptional Dispatches in October 2016

**California Independent System Operator Corporation
Exceptional Dispatch Report
December 15, 2016**

Chart 1: Table of Exceptional Dispatches for Period 01/October/2016 - 31/October/2016

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
1	RT	Conditions beyond the control of the CAISO	N/A	N/A	10/15/2016	30	No	INC	9	10:20	18:29
2	RT	Conditions beyond the control of the CAISO	PG&E	Fresno	10/10/2016	166	No	INC	1	15:05	15:59
3	RT	Conditions beyond the control of the CAISO	PG&E	Fresno	10/28/2016	0	No	INC	2	5:48	6:49
4	RT	Conditions beyond the control of the CAISO	PG&E	Humboldt	10/15/2016	30- 40	No	INC	9	10:20	18:29
5	RT	Conditions beyond the control of the CAISO	PG&E	N/A	10/30/2016	175	No	INC	8	16:30	23:59
6	RT	Conditions beyond the control of the CAISO	SDG&E	San Diego-IV	10/17/2016	0	No	INC	4	16:00	19:59
7	RT	Conditions beyond the control of the CAISO	SDG&E	San Diego-IV	10/18/2016	0	No	INC	8	12:45	19:59
8	RT	Fast Start Unit Management	SCE	Big Creek-Ventura	10/14/2016	0	No	INC	1	23:45	0:44
9	RT	Fast Start Unit Management	SCE	LA Basin	10/17/2016	0	No	INC	2	21:30	22:59
10	RT	Incomplete or Inaccurate Transmission	SDG&E	San Diego-IV	10/3/2016	19	No	INC	5	12:57	16:59
11	RT	Intertie Emergency Assistance	Intertie	N/A	10/3/2016	70	No	INC	1	3:00	3:59
12	RT	Intertie Emergency Assistance	Intertie	N/A	10/15/2016	170	No	INC	1	17:00	17:59
13	RT	Intertie Emergency Assistance	Intertie	N/A	10/17/2016	150	No	INC	2	16:47	17:59
14	RT	Load Forecast Uncertainty	SCE	LA Basin	10/30/2016	20	Yes	INC	24	0:00	23:59
15	RT	Load Pull	PG&E	Fresno	10/22/2016	600	No	INC	2	16:47	17:59
16	RT	Load Pull	PG&E	Fresno	10/23/2016	83	No	INC	1	16:40	16:59
17	RT	Load Pull	PG&E	Fresno	10/27/2016	83	No	INC	5	13:59	17:59
18	RT	Load Pull	PG&E	N/A	10/23/2016	130	No	INC	3	16:35	18:59
19	RT	Load Pull	SCE	LA Basin	10/22/2016	336	No	INC	2	16:51	17:59
20	RT	Market Disruption	Intertie	N/A	10/24/2016	194	No	INC	2	13:00	14:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
21	RT	Market Disruption	Intertie	N/A	10/25/2016	172-200	No	INC	2	7:00	8:59
22	RT	Market Disruption	Intertie	N/A	10/25/2016	37	No	INC	1	7:00	7:59
23	RT	Market Disruption	N/A	N/A	10/24/2016	100	No	INC	2	13:00	14:59
24	RT	Market Disruption	N/A	N/A	10/25/2016	50	No	INC	2	7:00	8:59
25	RT	Market Disruption	PG&E	Bay Area	10/17/2016	462	No	INC	2	20:30	21:44
26	RT	Market Disruption	PG&E	Bay Area	10/25/2016	840	No	INC	4	6:53	10:49
27	RT	Market Disruption	PG&E	Fresno	10/1/2016	166	No	INC	1	0:15	0:44
28	RT	Market Disruption	PG&E	Fresno	10/12/2016	166-814	No	INC	2	16:57	17:59
29	RT	Market Disruption	PG&E	Fresno	10/17/2016	83- 300	No	INC	2	20:35	21:44
30	RT	Market Disruption	PG&E	Fresno	10/25/2016	-634- 0	No	INC	2	9:30	10:54
31	RT	Market Disruption	PG&E	N/A	10/17/2016	650	No	INC	2	20:25	22:24
32	RT	Market Disruption	PG&E	N/A	10/25/2016	142-1005	No	INC	3	7:44	10:04
33	RT	Market Disruption	SCE	Big Creek-Ventura	10/17/2016	0	No	INC	1	21:00	21:59
34	RT	Market Disruption	SCE	Big Creek-Ventura	10/25/2016	500	No	INC	3	8:41	11:29
35	RT	Market Disruption	SCE	LA Basin	10/17/2016	945	No	INC	2	20:40	21:59
36	RT	Market Disruption	SCE	LA Basin	10/25/2016	581	No	INC	5	6:51	11:29
37	RT	Market Disruption	SCE	N/A	10/25/2016	485	No	INC	1	8:39	9:04
38	RT	Operating Procedure Number and Constraint	N/A	N/A	10/27/2016	15- 55	No	INC	14	7:48	20:59
39	RT	Operating Procedure Number and Constraint	PG&E	Fresno	10/27/2016	490-1040	No	INC	3	18:05	20:44
40	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	10/27/2016	24	No	INC	7	20:40	3:14
41	RT	Operating Procedure Number and Constraint	PG&E	Humboldt	10/28/2016	10	No	INC	3	2:55	5:44
42	RT	Operating Procedure Number and Constraint	PG&E	Kern	10/14/2016	32	No	INC	8	10:53	17:59
43	RT	Operating Procedure Number and Constraint	SCE	LA Basin	10/4/2016	510	No	INC	1	8:50	8:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
44	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	10/1/2016	240-490	No	INC	2	8:30	9:59
45	RT	Operating Procedure Number and Constraint	SDG&E	San Diego-IV	10/4/2016	290	No	INC	2	8:50	9:59
46	RT	Operating Procedure Number and Constraint (6110)	PG&E	Sierra	10/27/2016	60- 150	No	INC	8	14:30	21:59
47	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/1/2016	14	No	INC	14	15:30	5:29
48	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/2/2016	14	No	INC	1	5:15	5:29
49	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/13/2016	24	No	INC	22	7:55	5:29
50	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/15/2016	21	No	INC	2	9:55	10:59
51	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/18/2016	14- 36	No	INC	19	5:30	23:59
52	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/19/2016	24	No	INC	11	7:29	17:29
53	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/20/2016	15- 33	No	INC	17	7:10	23:59
54	RT	Operating Procedure Number and Constraint (7110)	N/A	N/A	10/31/2016	24- 36	No	INC	9	15:45	0:44
55	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	10/1/2016	14	No	INC	7	15:30	22:14
56	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	10/14/2016	12	No	INC	2	21:45	23:14
57	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	10/17/2016	12- 72	No	INC	3	21:15	23:59
58	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	10/18/2016	12- 24	No	INC	22	0:05	21:59
59	RT	Operating Procedure Number and Constraint (7110)	PG&E	Humboldt	10/24/2016	15	No	INC	4	22:55	2:44

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
60	RT	Operating Procedure Number and Constraint (7630)	SCE	LA Basin	10/3/2016	47	No	INC	3	14:45	16:59
61	RT	Other Reliability Requirement	PG&E	Fresno	10/20/2016	31	No	INC	12	0:00	11:59
62	RT	Other Reliability Requirement	PG&E	Fresno	10/23/2016	0	No	INC	1	12:30	13:29
63	RT	Other Reliability Requirement	PG&E	Fresno	10/28/2016	83	No	INC	8	8:55	15:59
64	RT	Other Reliability Requirement	PG&E	Fresno	10/29/2016	5	No	INC	2	16:33	17:59
65	RT	Other Reliability Requirement	PG&E	Fresno	10/30/2016	35	No	INC	5	13:25	17:59
66	RT	Other Reliability Requirement	PG&E	N/A	10/30/2016	175	No	INC	24	16:30	16:29
67	RT	Other Reliability Requirement	SDG&E	San Diego-IV	10/17/2016	350-400	No	INC	2	7:48	9:44
68	RT	Over Generation	PG&E	Fresno	10/14/2016	83	No	INC	1	11:02	11:09
69	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/1/2016	12- 24	No	INC	15	1:25	15:29
70	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/2/2016	14- 36	No	INC	19	5:30	0:29
71	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/3/2016	16- 36	No	INC	24	0:00	23:59
72	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/4/2016	24- 60	No	INC	1	0:00	0:19
73	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/5/2016	30- 120	No	INC	2	0:15	1:29
74	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/6/2016	29- 90	No	INC	23	1:15	23:59
75	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/7/2016	28- 47	No	INC	8	0:00	7:39
76	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/8/2016	20- 40	No	INC	8	0:00	7:29
77	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/9/2016	20	No	INC	13	7:05	19:59
78	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/10/2016	36- 45	No	INC	17	7:35	23:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
79	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/19/2016	48	No	INC	5	17:45	21:59
80	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/21/2016	24	No	INC	4	21:20	0:44
81	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/22/2016	16- 31	No	INC	1	0:30	0:44
82	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/23/2016	12- 32	No	INC	8	0:25	7:59
83	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/24/2016	30	No	INC	1	21:15	21:44
84	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/25/2016	24- 28	No	INC	14	10:17	23:59
85	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/26/2016	48- 55	No	INC	17	7:30	23:59
86	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/27/2016	15- 16	No	INC	15	8:40	22:59
87	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/28/2016	30- 124	No	INC	18	6:00	23:59
88	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/1/2016	12- 24	No	INC	15	1:25	15:29
89	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/2/2016	14- 48	No	INC	19	5:30	0:29
90	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/3/2016	24- 48	No	INC	24	0:00	23:59
91	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/4/2016	12- 32	No	INC	24	0:00	23:59
92	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/5/2016	10- 16	No	INC	16	0:00	15:59
93	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/6/2016	20- 96	No	INC	20	4:30	23:59
94	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/7/2016	24- 40	No	INC	8	0:00	7:39

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
95	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/8/2016	20- 40	No	INC	8	0:00	7:29
96	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/9/2016	20	No	INC	13	7:05	19:59
97	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/10/2016	12- 48	No	INC	20	9:45	5:44
98	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/11/2016	16	No	INC	15	7:20	21:59
99	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/13/2016	15	No	INC	9	21:20	5:29
100	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/16/2016	20- 30	No	INC	11	20:40	6:59
101	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/17/2016	14- 20	No	INC	6	6:50	11:59
102	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/21/2016	12	No	INC	10	7:00	16:59
103	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/24/2016	15- 24	No	INC	12	8:37	19:44
104	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/25/2016	14- 24	No	INC	5	19:55	23:59
105	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/26/2016	14	No	INC	1	0:20	0:59
106	RT	Planned Transmission Outage and Constraint	PG&E	Humboldt	10/27/2016	15	No	INC	8	9:00	16:29
107	RT	Planned Transmission Outage and Constraint	PG&E	Kern	10/24/2016	32	No	INC	3	21:21	23:59
108	RT	Planned Transmission Outage and Constraint	PG&E	N/A	10/22/2016	920	No	INC	7	9:10	15:59
109	RT	Planned Transmission Outage and Constraint	PG&E	N/A	10/31/2016	230-722	No	INC	9	18:10	2:59
110	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/11/2016	45- 60	No	INC	20	5:10	0:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
111	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/12/2016	45- 50	No	INC	24	0:45	23:59
112	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/13/2016	45- 50	No	INC	9	0:00	8:04
113	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/14/2016	45	No	INC	5	8:05	12:44
114	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/18/2016	65- 385	No	INC	19	5:45	23:59
115	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/19/2016	85- 490	No	INC	24	0:00	23:59
116	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/20/2016	35- 210	No	INC	24	0:00	23:59
117	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/21/2016	155- 224	No	INC	24	0:15	23:59
118	RT	Planned Transmission Outage and Constraint	PG&E	NCNB	10/22/2016	50- 195	No	INC	23	0:25	23:14
119	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	10/14/2016	14	No	INC	18	2:13	19:59
120	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	10/16/2016	9- 26	No	INC	15	9:20	23:44
121	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	10/17/2016	10	No	INC	5	15:40	19:59
122	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	10/27/2016	32	No	INC	1	22:25	23:14
123	RT	Planned Transmission Outage and Constraint	PG&E	Sierra	10/31/2016	10	No	INC	17	10:35	2:59
124	RT	Planned Transmission Outage and Constraint	PG&E	Stockton	10/7/2016	89- 191	No	INC	6	10:20	15:44
125	RT	Planned Transmission Outage and Constraint	SCE	LA Basin	10/4/2016	47- 138	No	INC	11	7:55	18:44
126	RT	Planned Transmission Outage and Constraint	SCE	LA Basin	10/5/2016	230	No	INC	10	8:10	17:14

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
127	RT	Planned Transmission Outage and Constraint	SCE	LA Basin	10/27/2016	91	No	INC	4	18:45	21:59
128	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	10/3/2016	20	No	INC	20	4:00	23:59
129	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	10/4/2016	20- 33	No	INC	6	10:45	15:59
130	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	10/5/2016	20	No	INC	3	0:00	2:59
131	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	10/9/2016	20	No	INC	11	10:00	20:59
132	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	10/11/2016	310	No	INC	1	7:50	8:44
133	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	10/19/2016	0	No	INC	3	16:00	18:59
134	RT	Planned Transmission Outage and Constraint	SDG&E	San Diego-IV	10/30/2016	494	No	INC	7	10:15	16:59
135	RT	Planned Transmission Outage and Constraint	N/A	N/A	10/6/2016	39	No	INC	5	18:45	22:59
136	RT	Pump Management	PG&E	Fresno	10/27/2016	83	No	INC	1	6:00	6:44
137	RT	Software Limitation	N/A	N/A	10/3/2016	29	No	INC	1	23:30	23:59
138	RT	Software Limitation	N/A	N/A	10/6/2016	29	No	INC	1	23:30	23:59
139	RT	Software Limitation	N/A	N/A	10/7/2016	30	No	INC	1	0:00	0:59
140	RT	Software Limitation	N/A	N/A	10/26/2016	50	No	INC	2	22:25	23:59
141	RT	Software Limitation	PG&E	Bay Area	10/3/2016	0	No	INC	4	12:00	15:29
142	RT	Software Limitation	PG&E	Bay Area	10/7/2016	360	No	INC	1	15:35	16:29
143	RT	Software Limitation	PG&E	Bay Area	10/14/2016	0	No	INC	2	17:55	19:24
144	RT	Software Limitation	PG&E	Fresno	10/4/2016	-311	No	INC	1	11:15	12:14
145	RT	Software Limitation	PG&E	Fresno	10/22/2016	0	No	INC	1	9:00	9:59
146	RT	Software Limitation	PG&E	Humboldt	10/3/2016	29	No	INC	1	22:30	23:29
147	RT	Software Limitation	PG&E	Humboldt	10/4/2016	16	No	INC	1	1:00	1:59
148	RT	Software Limitation	PG&E	Humboldt	10/7/2016	30	No	INC	1	1:15	1:59

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
149	RT	Software Limitation	PG&E	Humboldt	10/14/2016	16	No	INC	1	22:15	22:59
150	RT	Software Limitation	PG&E	Humboldt	10/18/2016	0	No	INC	1	0:30	1:29
151	RT	Software Limitation	PG&E	Humboldt	10/26/2016	48	No	INC	21	1:00	21:59
152	RT	Software Limitation	PG&E	Kern	10/14/2016	0	No	INC	2	23:20	1:14
153	RT	Software Limitation	PG&E	N/A	10/31/2016	0	No	INC	2	10:45	12:44
154	RT	Software Limitation	SCE	Big Creek-Ventura	10/13/2016	253	No	INC	1	23:30	0:29
155	RT	Software Limitation	SCE	Big Creek-Ventura	10/28/2016	20	No	INC	2	16:18	17:59
156	RT	Software Limitation	SCE	LA Basin	10/3/2016	0	No	INC	1	22:00	22:29
157	RT	Software Limitation	SCE	LA Basin	10/4/2016	0	No	INC	2	18:35	20:34
158	RT	Software Limitation	SCE	LA Basin	10/6/2016	0	No	INC	3	21:45	23:59
159	RT	Software Limitation	SCE	LA Basin	10/7/2016	277	No	INC	1	15:35	16:29
160	RT	Software Limitation	SCE	LA Basin	10/12/2016	0	No	INC	1	21:50	22:14
161	RT	Software Limitation	SDG&E	San Diego-IV	10/8/2016	0	No	INC	1	21:30	22:29
162	RT	Start-Up Instructions	N/A	N/A	10/17/2016	12	No	INC	1	23:10	23:14
163	RT	Start-Up Instructions	PG&E	Fresno	10/25/2016	83	No	INC	2	16:47	17:59
164	RT	Unit Testing	PG&E	Bay Area	10/25/2016	548	No	INC	1	23:04	23:34
165	RT	Unit Testing	PG&E	N/A	10/10/2016	120	No	INC	1	9:30	9:49
166	RT	Unit Testing	PG&E	Sierra	10/5/2016	46	No	INC	1	14:30	15:29
167	RT	Unit Testing	SCE	LA Basin	10/4/2016	46	No	INC	2	11:08	12:17
168	RT	Voltage Support	PG&E	Fresno	10/2/2016	-317	No	INC	6	2:20	7:59
169	RT	Voltage Support	PG&E	Fresno	10/3/2016	-317	No	INC	6	1:00	6:59
170	RT	Voltage Support	PG&E	Fresno	10/4/2016	-317	No	INC	4	3:35	6:59
171	RT	Voltage Support	PG&E	Fresno	10/9/2016	-317	No	INC	6	6:15	11:59
172	RT	Voltage Support	PG&E	Fresno	10/15/2016	-315	No	INC	9	2:00	10:59
173	RT	Voltage Support	PG&E	Fresno	10/16/2016	-951--315	No	INC	5	0:15	4:44

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
174	RT	Voltage Support	PG&E	Fresno	10/17/2016	-318-- 315	No	INC	20	4:30	23:59
175	RT	Voltage Support	PG&E	Fresno	10/18/2016	-318- 5	No	INC	14	0:00	13:59
176	RT	Voltage Support	PG&E	Fresno	10/19/2016	-314	No	INC	2	4:15	5:59
177	RT	Voltage Support	PG&E	Fresno	10/20/2016	-317	No	INC	7	0:50	6:59
178	RT	Voltage Support	PG&E	Fresno	10/23/2016	-317	No	INC	7	0:45	6:59
179	RT	Voltage Support	PG&E	Fresno	10/24/2016	-318	No	INC	2	4:10	5:59
180	RT	Voltage Support	PG&E	Fresno	10/25/2016	-317	No	INC	7	1:15	7:59
181	RT	Voltage Support	PG&E	Fresno	10/26/2016	-310	No	INC	10	0:50	9:59
182	RT	Voltage Support	PG&E	Fresno	10/27/2016	-317	No	INC	5	1:00	5:29
183	RT	Voltage Support	PG&E	Fresno	10/28/2016	-319	No	INC	1	23:15	23:59
184	RT	Voltage Support	PG&E	Fresno	10/29/2016	-320- 166	Yes	INC	5	0:00	4:59
185	RT	Voltage Support	PG&E	Fresno	10/30/2016	-640- 5	No	INC	2	4:45	5:59
186	RT	Voltage Support	PG&E	Fresno	10/31/2016	-320- 5	No	INC	19	5:20	23:59
187	RT	Voltage Support	PG&E	Sierra	10/18/2016	20- 38	No	INC	4	3:15	6:59

Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Table 2: Instructions Prior to Day-Ahead Market

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

CERTIFICATE OF SERVICE

I certify that I have served the foregoing document upon the parties listed on the official service list in the captioned proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California this 15th day of December, 2016

/s/ Grace Clark
Grace Clark