For Discussion Purposes Only

Proposed Tariff Modifications for Payment Acceleration PIRP Charges
Fourth Replacement CAISO Tariff
September 23, 2009

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# 11.12 Participating Intermittent Resources.

## 11.12.1 Uninstructed Energy by Participating Intermittent Resources.

Uninstructed Imbalance Energy associated with deviations by a Participating Intermittent Resource shall be settled as provided in this Section 11.12.1 for every Settlement Period in which such Participating Intermittent Resource meets the scheduling requirements established in the Eligible Intermittent Resources Protocol in Appendix Q. Initially, the Uninstructed Imbalance Energy associated with deviations by a Participating Intermittent Resource shall be settled in accordance to Section 11.5.2. However, if the above-referenced scheduling requirements for Participating Intermittent Resources are met, then charges (payments) for Uninstructed Imbalance Energy during such Settlement Periods shall be reversed at the end of the Trade Month and settled as specified in Section 11.12.1. The net Uninstructed Imbalance Energy in each Settlement Interval shall be assigned to a deviation account specific to each Participating Intermittent Resource. The net balance in each deviation account at the end of each calendar month shall be paid (or charged) to the Scheduling Coordinator for the associated Participating Intermittent Resource at the average price specified in Section 34.19.2.5. If the above-referenced scheduling requirements for Participating Intermittent Resources are not met, then charges (payments) for Uninstructed Imbalance Energy during such Settlement Periods shall be determined in accordance with Section 11.5.2.

#### 11.12.2 Allocation of Costs From Participating Intermittent Resources.

The charges (payments) for Uninstructed Imbalance Energy that would have been calculated if the Settlement Interval deviations by each Participating Intermittent Resource were priced at the appropriate Resource-Specific Settlement Interval LMP shall be assigned to a monthly balancing account for all Participating Intermittent Resources in the CAISO Balancing Authority Area. The balance in such account at the end of each month shall be netted against the aggregate payments (charges) by Scheduling Coordinators on behalf of Participating Intermittent Resources. The resulting balance shall be assigned to each Scheduling Coordinator in the same proportion that such Scheduling Coordinator's aggregate Net Negative Uninstructed Deviations in that month bears to the aggregate Net Negative

Uninstructed Deviations for all Scheduling Coordinators in the CAISO Balancing Authority Area in that month.

## 11.12.3 Payment of Participating Intermittent Resource Fees.

## 11.12.3.1 Forecasting Fee.

A fee to defray the costs of the implementation of the forecasting service for Participating Intermittent Resources shall be assessed to Scheduling Coordinators for Participating Intermittent Resources as specified in Schedule 4 of Appendix F.

#### 11.12.3.2 Process Fee.

A fee to defray the costs of processing the Participating Intermittent Resource Export Fee will be levied as specified in Schedule 4 of Appendix F.

## 11.12.3.3 Participating Intermittent Resource Export Fee.

A Participating Intermittent Resource Export Fee will be levied in accordance with Section 5.3 of Appendix Q and Schedule 4 of Appendix F.

# 11.12.4 Price for Uninstructed Deviations for Participating Intermittent Resources.

Uninstructed Deviations associated with each Participating Intermittent Resource in a Scheduling Coordinator's portfolio shall be settled as provided in Section 34.19.2.5 at the monthly weighted average Dispatch Interval LMP, where the weights are the metered Generation quantities associated with each Dispatch Interval LMP.

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#### 34.19.2.5 Price for Uninstructed Deviations for Participating Intermittent Resources.

Deviations associated with each Participating Intermittent Resource in a Scheduling Coordinator's portfolio shall be settled as provided in Section 11.12 at the monthly weighted average Dispatch Interval LMP, as calculated in accordance with Section 11.5.4.1 at each Pnode associated with the Participating Intermittent Resource, and using the monthly weighted average with weights equal to total Real-Time Generation.

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#### **CCAISO TARIFF APPENDIX F**

#### Schedule 1

## **Grid Management Charge**

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4. The rate in \$/MWh for the Energy Transmission Services – Uninstructed Deviations Charge will be calculated by dividing the GMC costs, as determined in accordance with Part C of this Schedule 1, allocated to this service category in accordance with Part E of this Schedule 1, by the absolute value of total annual forecasted net Uninstructed Imbalance Energy (netted within a Settlement Interval summed over the calendar month) in MWh; provided that the rate for each Scheduling Coordinator's Participating Intermittent Resources will be assessed against the Uninstructed Imbalance Energy of such Participating Intermittent Resources netted over the Trading Month.

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7. The rate in \$/MWh for the Market Usage Charge will be calculated by dividing the GMC costs, as determined in accordance with Part C of this Schedule 1, allocated to this service category in accordance with Part E of this Schedule 1, by the annual forecasted total purchases and sales (including out-of-market transactions) of Ancillary Services, Energy, Instructed Imbalance Energy, and net Uninstructed Imbalance Energy (with Uninstructed Imbalance Energy for Participating Intermittent Resources netted over the Trading Month and all other Uninstructed Imbalance Energy being netted within a Settlement Interval) in MWh. A Market Usage Charge rate will be calculated separately for two sets of CAISO Markets: (i) the Ancillary Services and RTM rate will be based on MWh of purchases and sales of Ancillary Services in the DAM, the HASP, and the RTM, MWh of Instructed Imbalance Energy, and MWh of Uninstructed Imbalance Energy netted over the Settlement Interval; and (ii) the rate for the Day-Ahead Market for Energy will be based on MWh of net Energy purchases or sales in the DAM, offset by MWh of net Energy associated with Inter-SC Trades of Energy in the DAM.

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# CAISO TARIFF APPENDIX F Schedule 4

# Participating Intermittent Resources Forecast Fee

A charge up to \$.10 per MWh shall be assessed on the metered Energy from Participating Intermittent Resources as a Forecast Fee. The amount of the charge shall be specified in the CAISO Tariff.

#### **Participating Intermittent Resources Process Fee**

A process fee charge shall be assessed, for each calendar quarter, to each Exporting Participating Intermittent Resource that exported Energy in the quarter. On an annualized basis, the aggregate quarterly charges shall total to \$10,000. The charge is not volumetric, and shall be calculated as follows:

(\$10,000/4)/N = \$quarterly chargeN = number of Participating Intermittent Resources exporting Energy in the quarter

# **Participating Intermittent Resources Export Fee**

A Participating Intermittent Resources Export Fee shall be assessed to Exporting Participating Intermittent Resources each calendar month. The Participating Intermittent Resources Export Fee shall be calculated as the product of (1) the sum of all Settlement costs avoided by Participating Intermittent

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Resources for the preceding calendar month, or portion thereof, consisting of Charge Codes 6486 [Real Time Excess Cost For Instructed] and 1487 [Energy Exchange Program Neutrality], but excluding charges for Uninstructed Energy associated with Charge Code 6475, (2) by the ratio of the total MW/h generated by an Exporting Participating Intermittent Resource during the calendar month, or portion thereof (based on metered output), by the total MW/h generated by all Participating Intermittent Resources during the calendar month, or portion thereof (based on metered output), and (3) by the percentage of the Exporting Participating Intermittent Resource's capacity deemed exporting under Section 5.3 of the EIRP or PIR Export Percentage.

Participating Intermittent Resources Export Fee per Participating Intermittent Resource =

Program Costs x (MW/h individual Participating Intermittent Resource/MW/h all Participating Intermittent Resources) x PIR Export Percentage

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