

 California ISO	Form Submittal: See Electronic Funds Transfer Procedure	Version No.	9.0
	Electronic Funds Transfer (EFT) Form Remittance and Payment ACH or Fed Wire Selection	Revision Date	07/01/2020
		Distribution Restriction	none

ELECTRONIC FUNDS TRANSFER (EFT) FORM

For Instructions, please review the Electronic Funds Transfer Procedure at: <http://www.caiso.com/Documents/ElectronicFundsTransferProcedure.pdf>

Participant / Customer Information

- Participant Applicant
 New RC Customer
 New RC Customer with HANA Service
 Existing Participant / Existing RC Customer Bank Account Change or Transfer Type change

Participant Company Information	Company Name on Bank Account			
	Company Name on the ISO Agreement			
	Federal Tax Id Number			
	Company Type (check one)		<input type="radio"/> Corporate <input type="radio"/> Partnership <input type="radio"/> Sole Proprietary <input type="radio"/> LLC (Company) <input type="radio"/> LLC (Corporation)	
	Relationship		Is the name on the account the same as the name on the agreement? <input type="radio"/> Yes <input type="radio"/> No If No, explain the relationship: _____	
	Street Address			
	City			
	State/Country		ZIP/Postal Code	
	Contact Name			
	Phone		Email	

If a Scheduling Coordinator: <ul style="list-style-type: none"> • Will another SC be receiving your invoices? <input type="checkbox"/> Yes If Yes: <ol style="list-style-type: none"> 1. List the Scheduling Coordinator Company Name: 2. A Scheduling Agent letter needs to accompany this form or be on file at the ISO.
If a new RC Customer that is also an ISO SC or EIM Entity: Will you use a bank account that has already been setup in the ISO settlements systems? <input type="checkbox"/> Yes <i>If Yes, complete Sections 1 and 6 only.</i>

(Continued on next page)

 California ISO	Form Submittal: See Electronic Funds Transfer Procedure	Version No.	9.0
	Electronic Funds Transfer (EFT) Form Remittance and Payment ACH or Fed Wire Selection	Revision Date	07/01/2020
		Distribution Restriction	none

Section 1: Existing Bank Account Validation

To validate bank changes, the ISO requires the existing bank account (previously setup in ISO systems) be provided in Section 1.

- Participant applicants or RC Customers: the new bank account is to be provided in Section 2 (leave Section 1 blank)
- RC Customers using an existing bank account (previously setup in ISO systems): please complete Section 1 for validation and then skip to Section 6 and enter the correct BA ID or TOP ID or both.

Financial Institution	Financial Institution Name						
	Address						
	City		State		Zip		
	Bank Contact Name						
	Phone		Email		Fax		
Remittance							
	Settlement Account No.						
	Sort Code (ABA Number)						
	Type of Account	<input type="radio"/> Checking <input type="radio"/> Savings					
<input type="checkbox"/> I understand and acknowledge that the existing bank account must remain open until new bank account is setup in ISO applications.							

Section 2 – Financial Institution Information (**NEW** bank account or new remittance selection)

Sections 2 - 5: For new customer / new participant or to setup a new bank account or new remittance selection.

Financial Institution	Financial Institution Name						
	Address						
	City		State		Zip		
	Bank Contact Name						
	Phone		Email		Fax		
Remittance							
	Settlement Account No.						
	Sort Code (ABA Number)						
	Type of Account	<input type="radio"/> Checking <input type="radio"/> Savings					

 California ISO	Form Submittal: See Electronic Funds Transfer Procedure	Version No.	9.0
	Electronic Funds Transfer (EFT) Form Remittance and Payment ACH or Fed Wire Selection	Revision Date	07/01/2020
		Distribution Restriction	none

Section 3 – Remittance Selection

Remittance Selection Information Select one of the 3 options below for remitting payments to the ISO.

- Remittances to the ISO: Fed Wire ACH
(If either Fed Wire or ACH above are selected, skip Section 4)
- **ACH Direct Debit (must complete Section 4)**

Section 4 – **ACH Direct Debit

****If ACH direct debit authorization only, signature authorization must be completed and signed, along with the account information.**

Remittance	Settlement Account No.	
	Sort Code (ABA Number)	
	Type of Account	<input type="radio"/> Checking <input type="radio"/> Savings

IMPORTANT NOTE: Be sure to communicate and verify with your bank that you are authorizing the ISO to withdrawal funds from the above account.

Company (Participant) Officer Signature: _____

Name: _____ Title: _____ Date: _____

Please accept this authorization as standing instruction for the ISO to initiate an ACH draft to debit the account above in order to pay funds on the date they are due. If there are insufficient funds or the ACH draft is rejected, the invoice will remain unpaid and the participant will be responsible for ensuring the amounts due are paid in accordance with tariff sections 11.29.4.1 and 12.4.1.

Section 5 – Payment Selection Information

Select one option below for receiving payments from the ISO and provide the following bank account information.

- Payments from the ISO: ACH Fed Wire

Payment	Settlement Account No.	
	Sort Code (ABA Number)	
	Type of Account	<input type="radio"/> Checking <input type="radio"/> Savings

 California ISO	Form Submittal: See Electronic Funds Transfer Procedure	Version No.	9.0
	Electronic Funds Transfer (EFT) Form Remittance and Payment ACH or Fed Wire Selection		Revision Date
Distribution Restriction			none

Section 6 – Indicate Applicable IDs

Should this bank change be applied to all currently active IDs? Yes No

If **No**:

Indicate IDs to be associated with the **existing** banking information. (Section 1)

IDs	IDs						
	IDs						
	IDs						

Indicate IDs to be associated with the **new banking** information. (Sections 2-5)

IDs	IDs						
	IDs						
	IDs						

If No is selected above, only the IDs indicated above will be associated with the banking information contained on this form.

If **NEW** customer, submit form to ISO SCRequests@caiso.com

If **CURRENT** customer, please attach this completed form to a ticket in the CIDI application

Please allow 11 Business Days to process and complete a bank account test. *After testing, the ISO requires 5 business dates to push the bank account into production.*