

necessary information as set forth in ISO Tariff Sections 2.2.3 and 2.2.4, and the ISO Application File Template requirements.

SCAP 2.6.1 Information Requirements

The SC Applicant must submit with its application:

- (a) the proposed date for commencement of service which may not be less than 60 days after the date the application was filed, unless waived by the ISO;
- (b) financial and security information as set forth in ISO Tariff Section 2.2.3.2; and
- (c) the prescribed non-refundable application fee.

SCAP 2.6.2 SC Applicant's Obligation for Contracts

An SC Applicant must certify that it is duly authorized to represent the Generators and Loads, which are its SC Customers and must further certify that:

- (a) represented Generators have entered into participating generator agreements with the ISO;
- (b) represented UDCs have entered into UDC agreements with the ISO;
- (c) represented ISO Metered Entities have entered into meter service agreements with the ISO;
- (d) none of the Wholesale Customers it will represent are ineligible for wholesale transmission service pursuant to the provisions of the FPA Section 212(h); and
- (e) each End-Use Customer it will represent is eligible for Direct Access service pursuant to an established program approved by the California Public Utility Commission or a Local Regulatory Authority.

SCAP 2.7 Deficient Application

In the event the application is deficient, the ISO will send a written notification of the deficiency to the SC Applicant within 14 days of receipt by the ISO of the application explaining the deficiency and requesting additional information.

SCAP 2.7.1 SC Applicant's Additional Information

Once the ISO requests additional information pursuant to Section 2.7, the SC Applicant has 7 days, or such longer period as the ISO may agree, to provide the additional material requested by the ISO.

SCAP 2.7.2 No Response from SC Applicant

If the SC Applicant does not submit additional information within 7 days or the longer period referred to in SCAP 2.7.1, the application may be rejected by the ISO in accordance with ISO Tariff Section 2.2.4.2(d).

SCAP 3 ISO APPROVAL OR REJECTION OF AN APPLICATION

SCAP 3.1 Approval or Rejection Letter

- (a) If the ISO approves the application, it will send an approval letter with a signed SC Agreement for the SC Applicant's signature and any required software licensing agreement.
- (b) If the ISO rejects the application, the ISO will send a rejection letter stating one or more of the following grounds:
 - i. incomplete information;
 - ii. non-compliance with security requirements;
 - iii. non-compliance with third party contractual obligations;
 - iv. non-compliance with technical requirements; or
 - v. non-compliance with any other SCAP or ISO Tariff requirements.

Upon request, the ISO will provide guidance as to how the SC Applicant can cure the grounds for the rejection.

SCAP 3.2 Time for Processing Application

The ISO will make a decision whether to accept or reject the application within 14 days of receipt of the application. If more information is requested, the ISO will make a final decision within 14 days of the receipt of all outstanding or additional information requested.

V. Third Party Contractual Requirements

- 5.1 The SC Applicant confirms that all of its SC Customers which are located within the ISO Controlled Grid and which should execute agreements with the ISO have entered into or will enter into, prior to the certification of the SC Applicant, all required agreements with the ISO to enable them to meet the requirements of the ISO Tariff: (yes / no).
- (a) Represented Generators have signed participating generator agreements: (yes / no).
 - (b) Represented UDCs have signed UDC Operating Agreements and meter service agreements: (yes / no).
 - (c) Represented ISO Metered Entities have signed meter service agreements: (yes / no).
 - (d) Wholesale Customers it will represent have warranted to the SC Applicant that they are eligible for wholesale transmission service pursuant to the provisions of the FPA Section 212(h): (yes / no).
 - (e) Each End-Use Customer it will represent which requests Direct Access service has warranted to the SC Applicant that the End-Use Customer is eligible for such service: (yes / no).
- 5.2 The SC Applicant confirms that all of the parties which it represents as SC Customers have granted it all necessary agency authority, whether actual, implied or inherent, to enable the SC to perform all of its obligations under the ISO Tariff: (yes / no).
- 5.3 Notwithstanding 5.2, the SC confirms that it will have the primary responsibility, as the principal, for all SC payment obligations under the ISO Tariff : (yes / no).

VI. Additional Information and Obligations

- 6.1 The SC Applicant agrees to provide such further information to the ISO as the ISO may deem necessary to process the application and certify the SC Applicant as a SC now and on a continuing basis.
- 6.2 Subject to the ISO Tariff, the SC Applicant agrees to promptly report to the ISO within seven (7) business days or earlier any changes regarding the information provided by it referred to in the SCAP and in the application with the exception of the security requirement data referred to in Part III of SCAP Appendix A which must be updated within three (3) business days. The Scheduling Coordinator shall be responsible if a failure to submit revised technical data more promptly extends the period during which schedules are rejected by the ISO.
- 6.3 The SC Applicant agrees to enclose herein the non-refundable application fee of \$500 to cover the application processing costs, site visit and costs of providing ISO Tariff.

Please make check payable to:

The California Independent System Operator Corporation

- 6.4 SC Applicant agrees to promptly execute and return the SC Agreement, meter service agreements, interim black start agreements, software licensing agreement, letter of understanding, letter of credit, guarantee, escrow agreement, as applicable, and Fed-Wire System bank account number, after receiving its application approval letter from the ISO.
- 6.5 Final certification is contingent upon SC Applicant fulfilling all financial and technical requirements as referenced in the SCAP (including Appendix C, the ISO Application File Template).

