

January 15, 2021

The Honorable Kimberly D. Bose
Secretary
Federal Energy Regulatory Commission
888 First Street, NE
Washington, DC 20426

**Re: California Independent System Operator Corporation
Docket Nos. ER08-1178-000 and EL08-88-000
November 2020 Exceptional Dispatch Reports (Charts 1 and 2)**

Dear Secretary Bose:

The California Independent System Operator Corporation (CAISO) submits both its November 2020 (Chart 1) and November 2020 (Chart 2) Exceptional Dispatch reports as required by the Commission in the September 2, 2009 and May 4, 2010 orders. Because the necessary information is available, the CAISO is issuing the Chart 1 and Chart 2 reports on the 15th of the month. Previously, the Chart 2 report was filed on the 30th of the month.

Each report provides information that the Commission directed be included, as set forth in the September 2, 2009 and May 4, 2010 orders. The Chart 1 report (Attachment A), includes exceptional dispatch information except for cost data and the degree of mitigation and price impact analyses. The Chart 2 report (Attachment B), includes all of the information in the Chart 1 report as well as cost data and the degree of mitigation and price impact analyses.

Respectfully submitted,

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ATTACHMENT A

**November 2020 Exceptional Dispatch Report
Chart 1 data**



Exceptional Dispatch Report

Table 1: November 2020

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Introduction

This report is filed pursuant to FERC's September 2, 2009, and May 4, 2010, orders in Docket No. ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one originally issued on the 30th of each month. Both Table 1 and Table 2 reports will be issued on the 15th of each month due to the availability of necessary data. This report provides data on the frequency and reasons for Exceptional Dispatches issued in November 2020.

The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, which may also include a post-day-ahead unit commitment, or a real-time exceptional dispatch.¹ A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website.²

The following reason for exceptional dispatch instructions in November 2020 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a

¹ The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.11 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

² A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <http://www.caiso.com/thegrid/operations/opsdoc/index.html>

resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. Interconnection Reliability Operating Limits (IROL) are system operating limits that are established to prevent instability, uncontrolled separation or cascading as described in operating procedure 3100. System Operating Limit (SOL) are the facility ratings, system voltage limits, transient stability limits, and voltage stability limits that are used in the operating horizon – any of which can be the most restrictive limit at any point in time, pre – or post – contingency. Control Point (CP) are imposed to protect the area transmission network against N – 1 contingencies. There were a few other reasons used to explain exceptional dispatch instructions in November 2020, which are self-explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.³ Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (“PTO”) service area; (3) the Local Reliability Area (“LRA”) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC column specifies if there was an incremental dispatch or a decremental dispatch from the IFM schedule. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 230 exceptional dispatches in November 2020, as compared to 325 exceptional dispatches in October 2020. Exceptional dispatches issued for the following reasons accounted for approximately 82

³ The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

percent of the total exceptional dispatches during the reporting period: load forecast uncertainty, planned transmission outages, reliability assessment, and ramping capacity. Exceptional dispatches with the reason “Reliability Assessment” were due to Real Time Contingency Analysis, Voltage Stability Analysis, and operating procedure number 7110 (along with 7720). Reliability Assessment is the reason as explained in the operator procedure 2330C⁴ that encompasses Control Point (CP), Interconnection Reliability Operating Limit (IROL), System Operating Limit (SOL) and congestion related EDs. This reason is used to mitigate reliability issues identified through the real – time assessment tools such as Real Time Contingency Analysis (RTCA), Voltage Stability Analysis (VSA), Dynamic Stability Analysis (DSA) and/or Operating Procedure (OP) or offline study.

1) ⁴ The operator procedure 2330C - <http://www.caiso.com/Documents/2330C.pdf>

Table 1: Exceptional Dispatches in November 2020

**California Independent System Operator Corporation
Exceptional Dispatch Report
January 15, 2021**

Chart 1: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
1	RT	Conditions beyond the control of the CAISO	PGAE	Bay Area	11/4/2020	10	No	INC	2	13:00	15:00
2	RT	Conditions beyond the control of the CAISO	PGAE	Bay Area	11/5/2020	140	No	INC	8	16:30	0:00
3	RT	Conditions beyond the control of the CAISO	PGAE	NA	11/4/2020	50	No	INC	3	13:00	16:00
4	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/4/2020	50	No	INC	14	10:00	0:00
5	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/5/2020	50	No	INC	24	0:00	0:00
6	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/6/2020	50	No	INC	24	0:00	0:00
7	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/3/2020	10 - 20	No	INC	2	22:00	0:00
8	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/4/2020	10 - 20	Yes	INC	24	0:00	0:00
9	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/5/2020	20	No	INC	1	23:00	0:00
10	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/6/2020	20	No	INC	24	0:00	0:00
11	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	11/4/2020	155	No	DEC	8	15:00	23:00
12	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	11/4/2020	155	No	INC	18	6:00	0:00
13	RT	Fast Start Unit Management	SCE	LA Basin	11/1/2020	0	No	INC	2	6:50	8:00
14	RT	Fast Start Unit Management	SCE	LA Basin	11/4/2020	0	No	INC	2	22:40	23:45
15	RT	Fast Start Unit Management	SCE	LA Basin	11/5/2020	0	No	INC	2	22:55	0:00
16	RT	Fast Start Unit Management	SCE	LA Basin	11/29/2020	0	No	INC	1	23:45	0:00
17	RT	Fast Start Unit Management	SCE	LA Basin	11/30/2020	0	No	INC	1	0:00	0:25
18	RT	Incomplete or Inaccurate Transmission	SDGE	San Diego-IV	11/5/2020	21	No	DEC	3	16:00	19:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
19	RT	Incomplete or Inaccurate Transmission	SDGE	San Diego-IV	11/5/2020	21	No	INC	11	13:10	0:00
20	RT	Load Forecast Uncertainty	SCE	LA Basin	11/2/2020	10	No	INC	8	16:00	0:00
21	RT	Load Forecast Uncertainty	SCE	LA Basin	11/3/2020	10	No	DEC	16	0:00	16:00
22	RT	Load Forecast Uncertainty	SDGE	San Diego-IV	11/27/2020	155	No	INC	2	14:40	16:00
23	RT	Market Disruption	PGAE	Bay Area	11/1/2020	370	No	DEC	1	1:10	1:45
24	RT	Market Disruption	PGAE	Bay Area	11/18/2020	197	No	INC	2	15:55	17:30
25	RT	Market Disruption	PGAE	NA	11/18/2020	35 - 80	No	INC	1	16:00	16:30
26	RT	Market Disruption	SCE	Big Creek-Ventura	11/1/2020	460	No	DEC	1	1:00	1:45
27	RT	Market Disruption	SCE	LA Basin	11/1/2020	300	No	INC	1	1:00	1:00
28	RT	Market Disruption	SDGE	San Diego-IV	11/18/2020	50	No	DEC	1	17:00	17:30
29	RT	Market Disruption	SDGE	San Diego-IV	11/18/2020	50	No	INC	2	16:00	17:30
30	RT	Planned Transmission Outage	PGAE	Bay Area	11/11/2020	420	No	DEC	1	3:55	4:15
31	RT	Planned Transmission Outage	PGAE	Bay Area	11/16/2020	20	No	INC	12	8:30	20:30
32	RT	Planned Transmission Outage	PGAE	Humboldt	11/1/2020	29	No	DEC	3	0:00	2:00
33	RT	Planned Transmission Outage	PGAE	Humboldt	11/1/2020	29 - 57	No	INC	25	0:00	0:00
34	RT	Planned Transmission Outage	PGAE	Humboldt	11/2/2020	15 - 30	No	DEC	9	13:00	22:00
35	RT	Planned Transmission Outage	PGAE	Humboldt	11/2/2020	15 - 42	No	INC	24	0:00	23:45
36	RT	Planned Transmission Outage	PGAE	Humboldt	11/3/2020	30 - 42	No	DEC	4	15:00	19:00
37	RT	Planned Transmission Outage	PGAE	Humboldt	11/3/2020	28 - 45	No	INC	18	6:00	0:00
38	RT	Planned Transmission Outage	PGAE	Humboldt	11/4/2020	28	No	DEC	5	15:00	20:00
39	RT	Planned Transmission Outage	PGAE	Humboldt	11/4/2020	14 - 30	No	INC	24	0:00	0:00
40	RT	Planned Transmission Outage	PGAE	Humboldt	11/5/2020	28 - 42	No	DEC	5	16:00	21:00
41	RT	Planned Transmission Outage	PGAE	Humboldt	11/5/2020	28 - 42	No	INC	24	0:00	0:00
42	RT	Planned Transmission Outage	PGAE	Humboldt	11/6/2020	28 - 60	No	INC	24	0:00	0:00
43	RT	Planned Transmission Outage	PGAE	Humboldt	11/7/2020	28 - 45	No	INC	24	0:00	0:00
44	RT	Planned Transmission Outage	PGAE	Humboldt	11/8/2020	14 - 42	No	INC	24	0:00	0:00
45	RT	Planned Transmission Outage	PGAE	Humboldt	11/9/2020	14 - 60	No	INC	24	0:00	0:00
46	RT	Planned Transmission Outage	PGAE	Humboldt	11/10/2020	16 - 80	No	INC	24	0:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
47	RT	Planned Transmission Outage	PGAE	Humboldt	11/11/2020	16 - 75	No	INC	24	0:00	0:00
48	RT	Planned Transmission Outage	PGAE	Humboldt	11/12/2020	15 - 60	No	INC	24	0:00	0:00
49	RT	Planned Transmission Outage	PGAE	Humboldt	11/13/2020	15 - 45	No	INC	24	0:00	0:00
50	RT	Planned Transmission Outage	PGAE	Humboldt	11/14/2020	14 - 42	No	INC	24	0:00	0:00
51	RT	Planned Transmission Outage	PGAE	Humboldt	11/15/2020	15 - 28	No	DEC	4	17:00	21:00
52	RT	Planned Transmission Outage	PGAE	Humboldt	11/15/2020	15 - 30	No	INC	24	0:00	0:00
53	RT	Planned Transmission Outage	PGAE	Humboldt	11/16/2020	28 - 42	No	DEC	4	15:00	19:00
54	RT	Planned Transmission Outage	PGAE	Humboldt	11/16/2020	28 - 45	No	INC	24	0:00	0:00
55	RT	Planned Transmission Outage	PGAE	Humboldt	11/17/2020	30	No	DEC	2	16:00	18:00
56	RT	Planned Transmission Outage	PGAE	Humboldt	11/17/2020	28 - 42	No	INC	24	0:00	0:00
57	RT	Planned Transmission Outage	PGAE	Humboldt	11/18/2020	14 - 28	No	INC	24	0:00	0:00
58	RT	Planned Transmission Outage	PGAE	Humboldt	11/19/2020	28 - 42	No	INC	24	0:00	0:00
59	RT	Planned Transmission Outage	PGAE	Humboldt	11/20/2020	28 - 73	No	INC	24	0:00	0:00
60	RT	Planned Transmission Outage	PGAE	Humboldt	11/22/2020	16	No	DEC	4	0:00	4:00
61	RT	Planned Transmission Outage	PGAE	Humboldt	11/22/2020	15 - 75	No	INC	21	3:30	0:00
62	RT	Planned Transmission Outage	PGAE	Humboldt	11/23/2020	15 - 75	No	INC	24	0:00	0:00
63	RT	Planned Transmission Outage	PGAE	Humboldt	11/24/2020	15 - 75	No	INC	6	0:00	5:45
64	RT	Planned Transmission Outage	PGAE	NCNB	11/3/2020	25 - 30	No	DEC	2	10:10	11:15
65	RT	Planned Transmission Outage	PGAE	Sierra	11/7/2020	14 - 30	No	INC	1	11:25	12:15
66	RT	Planned Transmission Outage	PGAE	Sierra	11/14/2020	20	No	INC	15	7:25	22:00
67	RT	Planned Transmission Outage	PGAE	Sierra	11/22/2020	20	No	DEC	3	16:00	19:00
68	RT	Planned Transmission Outage	PGAE	Sierra	11/22/2020	20	No	INC	12	8:10	20:00
69	RT	Planned Transmission Outage	PGAE	Sierra	11/26/2020	20	No	DEC	1	17:00	18:00
70	RT	Planned Transmission Outage	PGAE	Sierra	11/26/2020	20	No	INC	13	8:50	21:00
71	RT	Planned Transmission Outage	PGAE	Sierra	11/27/2020	20	No	DEC	4	16:00	20:00
72	RT	Planned Transmission Outage	PGAE	Sierra	11/27/2020	20	No	INC	12	8:20	20:00
73	RT	Planned Transmission Outage	PGAE	Sierra	11/28/2020	20	No	DEC	2	17:00	19:00
74	RT	Planned Transmission Outage	PGAE	Sierra	11/28/2020	20	No	INC	13	7:35	20:00
75	RT	Planned Transmission Outage	PGAE	Sierra	11/29/2020	20	Yes	DEC	3	17:00	20:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
76	RT	Planned Transmission Outage	PGAE	Sierra	11/29/2020	20	Yes	INC	9	8:00	17:00
77	RT	Planned Transmission Outage	PGAE	Stockton	11/10/2020	23 - 67.92	No	INC	3	11:20	14:00
78	RT	Planned Transmission Outage	SCE	LA Basin	11/26/2020	10 - 20	No	INC	12	12:00	0:00
79	RT	Planned Transmission Outage	SCE	NA	11/12/2020	125	No	DEC	1	23:00	0:00
80	RT	Planned Transmission Outage	SCE	NA	11/13/2020	125	No	DEC	3	15:00	17:15
81	RT	Planned Transmission Outage	SCE	NA	11/13/2020	125	No	INC	15	0:00	15:00
82	RT	Planned Transmission Outage	SCE	NA	11/14/2020	125	No	INC	8	7:00	15:00
83	RT	Planned Transmission Outage	SCE	NA	11/15/2020	125	No	INC	7	8:00	15:00
84	RT	Planned Transmission Outage	SCE	NA	11/16/2020	125	No	INC	7	8:00	15:00
85	RT	Planned Transmission Outage	SCE	NA	11/17/2020	125	No	INC	6	8:00	14:00
86	RT	Planned Transmission Outage	SCE	NA	11/18/2020	125	No	INC	7	8:00	15:00
87	RT	Planned Transmission Outage	SCE	NA	11/19/2020	125	No	INC	7	8:00	15:00
88	RT	Planned Transmission Outage	SDGE	San Diego-IV	11/23/2020	155 - 400	No	INC	13	2:00	15:00
89	RT	Pump Management	PGAE	Fresno	11/1/2020	-304	No	DEC	2	12:10	14:00
90	RT	Ramping Capacity	PGAE	Fresno	11/15/2020	25	No	DEC	2	12:20	14:00
91	RT	Ramping Capacity	PGAE	Fresno	11/15/2020	25	No	INC	3	14:00	17:00
92	RT	Ramping Capacity	SCE	LA Basin	11/2/2020	194	No	INC	5	17:15	22:00
93	RT	Ramping Capacity	SCE	LA Basin	11/4/2020	190 - 194	No	INC	4	16:00	20:00
94	RT	Ramping Capacity	SCE	LA Basin	11/5/2020	194	No	INC	7	15:00	22:00
95	RT	Ramping Capacity	SCE	LA Basin	11/11/2020	48 - 49	No	DEC	2	17:15	19:00
96	RT	Reliability Assessment	PGAE	Fresno	11/5/2020	83	No	DEC	1	14:00	14:15
97	RT	Reliability Assessment	PGAE	Fresno	11/5/2020	83	No	INC	1	14:00	14:15
98	RT	Reliability Assessment	PGAE	Fresno	11/16/2020	8	No	DEC	1	12:30	13:15
99	RT	Reliability Assessment	PGAE	Humboldt	11/1/2020	29	No	INC	6	18:05	0:00
100	RT	Reliability Assessment	PGAE	Humboldt	11/2/2020	15	No	DEC	1	23:45	0:00
101	RT	Reliability Assessment	PGAE	Humboldt	11/2/2020	15 - 29	No	INC	24	0:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
102	RT	Reliability Assessment	PGAE	Humboldt	11/3/2020	15	No	DEC	7	0:00	6:15
103	RT	Reliability Assessment	PGAE	Humboldt	11/3/2020	15	No	INC	6	0:00	6:00
104	RT	Reliability Assessment	PGAE	Humboldt	11/20/2020	28	No	INC	1	23:30	0:00
105	RT	Reliability Assessment	PGAE	Humboldt	11/21/2020	14 - 16	No	DEC	24	0:00	0:00
106	RT	Reliability Assessment	PGAE	Humboldt	11/21/2020	14 - 31	No	INC	24	0:00	0:00
107	RT	Reliability Assessment	PGAE	Humboldt	11/22/2020	16	No	DEC	4	0:00	4:00
108	RT	Reliability Assessment	PGAE	Humboldt	11/22/2020	16	No	INC	3	0:00	3:00
109	RT	Reliability Assessment	PGAE	Humboldt	11/24/2020	15 - 75	No	INC	19	5:20	0:00
110	RT	Reliability Assessment	PGAE	Humboldt	11/25/2020	15 - 75	No	INC	24	0:00	0:00
111	RT	Reliability Assessment	PGAE	Humboldt	11/26/2020	15	No	DEC	17	0:15	17:05
112	RT	Reliability Assessment	PGAE	Humboldt	11/26/2020	14 - 30	No	INC	24	0:00	0:00
113	RT	Reliability Assessment	PGAE	Humboldt	11/27/2020	14 - 15	No	DEC	23	1:30	0:00
114	RT	Reliability Assessment	PGAE	Humboldt	11/27/2020	14 - 30	No	INC	24	0:00	0:00
115	RT	Reliability Assessment	PGAE	Humboldt	11/28/2020	14	No	DEC	8	0:00	7:20
116	RT	Reliability Assessment	PGAE	Humboldt	11/28/2020	14 - 28	No	INC	24	0:00	0:00
117	RT	Reliability Assessment	PGAE	Humboldt	11/29/2020	14 - 28	No	INC	24	0:00	0:00
118	RT	Reliability Assessment	PGAE	Humboldt	11/30/2020	15 - 60	No	INC	24	0:00	0:00
119	RT	Reliability Assessment	PGAE	Sierra	11/21/2020	20	No	DEC	2	18:00	20:00
120	RT	Reliability Assessment	PGAE	Sierra	11/21/2020	20	No	INC	1	20:00	20:45
121	RT	Reliability Assessment	SCE	NA	11/1/2020	474	No	DEC	25	0:00	0:00
122	RT	Reliability Assessment	SCE	NA	11/1/2020	474	No	INC	16	7:00	23:00
123	RT	Reliability Assessment	SCE	NA	11/2/2020	474	No	DEC	7	17:00	0:00
124	RT	Reliability Assessment	SCE	NA	11/2/2020	474	No	INC	17	0:00	17:00
125	RT	Reliability Assessment	SCE	NA	11/3/2020	474	No	DEC	6	0:00	6:00
126	RT	Reliability Assessment	SCE	NA	11/3/2020	474	No	INC	20	2:00	22:00
127	RT	Reliability Assessment	SCE	NA	11/7/2020	474	No	DEC	4	20:10	0:00
128	RT	Reliability Assessment	SCE	NA	11/7/2020	474	No	INC	2	21:00	23:00
129	RT	Reliability Assessment	SCE	NA	11/8/2020	474	No	DEC	24	0:00	0:00
130	RT	Reliability Assessment	SCE	NA	11/8/2020	474	No	INC	22	1:00	23:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
131	RT	Reliability Assessment	SCE	NA	11/9/2020	480	No	DEC	3	17:00	20:00
132	RT	Reliability Assessment	SCE	NA	11/9/2020	475 - 480	No	INC	1	16:00	17:00
133	RT	Reliability Assessment	SCE	NA	11/12/2020	450	No	DEC	1	16:00	16:30
134	RT	Reliability Assessment	SCE	NA	11/12/2020	450	No	INC	1	15:30	16:00
135	RT	Reliability Assessment	SCE	NA	11/13/2020	480	No	INC	5	19:45	0:00
136	RT	Reliability Assessment	SCE	NA	11/16/2020	445 - 470	No	DEC	15	6:35	21:00
137	RT	Reliability Assessment	SCE	NA	11/16/2020	445 - 470	No	INC	17	7:00	0:00
138	RT	Reliability Assessment	SCE	NA	11/17/2020	420 - 450	No	DEC	18	6:00	0:00
139	RT	Reliability Assessment	SCE	NA	11/17/2020	420 - 450	No	INC	23	0:00	23:00
140	RT	Reliability Assessment	SCE	NA	11/18/2020	425 - 445	No	DEC	15	5:00	20:00
141	RT	Reliability Assessment	SCE	NA	11/18/2020	425 - 445	No	INC	17	0:00	17:00
142	RT	Reliability Assessment	SCE	NA	11/19/2020	470	No	DEC	5	19:55	0:00
143	RT	Reliability Assessment	SCE	NA	11/19/2020	470	No	INC	2	21:00	23:00
144	RT	Reliability Assessment	SCE	NA	11/22/2020	450	No	DEC	5	19:15	0:00
145	RT	Reliability Assessment	SCE	NA	11/22/2020	450 - 475	No	INC	5	18:10	23:00
146	RT	Reliability Assessment	SCE	NA	11/23/2020	450 - 460	No	DEC	24	0:00	0:00
147	RT	Reliability Assessment	SCE	NA	11/23/2020	450 - 460	No	INC	22	1:00	23:00
148	RT	Reliability Assessment	SCE	NA	11/24/2020	460	No	DEC	19	5:00	0:00
149	RT	Reliability Assessment	SCE	NA	11/24/2020	460	No	INC	23	0:00	23:00
150	RT	Reliability Assessment	SCE	NA	11/25/2020	460	No	DEC	21	0:00	21:00
151	RT	Reliability Assessment	SCE	NA	11/25/2020	460	No	INC	23	1:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
152	RT	Reliability Assessment	SCE	NA	11/26/2020	460 - 480	No	DEC	17	5:00	21:15
153	RT	Reliability Assessment	SCE	NA	11/26/2020	460 - 480	No	INC	21	0:00	21:00
154	RT	Reliability Assessment	SCE	NA	11/27/2020	470	No	DEC	5	19:00	0:00
155	RT	Reliability Assessment	SCE	NA	11/27/2020	470	No	INC	2	21:00	23:00
156	RT	Reliability Assessment	SCE	NA	11/28/2020	470	No	DEC	19	5:00	0:00
157	RT	Reliability Assessment	SCE	NA	11/28/2020	470 - 475	No	INC	23	0:00	23:00
158	RT	Reliability Assessment	SCE	NA	11/29/2020	470	No	DEC	3	0:00	3:00
159	RT	Reliability Assessment	SCE	NA	11/29/2020	470	No	INC	4	3:00	6:45
160	RT	Reliability Assessment	SDGE	San Diego-IV	11/27/2020	45	No	INC	12	8:05	20:00
161	RT	Software Limitation	PGAE	Bay Area	11/25/2020	350	No	DEC	1	1:20	2:00
162	RT	Software Limitation	PGAE	Fresno	11/1/2020	-320	No	DEC	1	1:35	2:30
163	RT	Software Limitation	SCE	LA Basin	11/18/2020	0	No	INC	1	18:30	19:00
164	RT	Software Limitation	SDGE	San Diego-IV	11/1/2020	24	No	INC	2	10:00	12:00
165	RT	Software Limitation	SDGE	San Diego-IV	11/23/2020	24 - 48	No	INC	8	8:20	16:00
166	RT	Unit Testing	Intertie	NA	11/1/2020	10 - 12	No	INC	6	12:00	18:00
167	RT	Unit Testing	Intertie	NA	11/2/2020	11 - 25	No	INC	24	0:00	0:00
168	RT	Unit Testing	Intertie	NA	11/3/2020	3 - 25	No	INC	24	0:00	0:00
169	RT	Unit Testing	Intertie	NA	11/4/2020	10 - 30	No	INC	11	10:00	21:00
170	RT	Unit Testing	Intertie	NA	11/5/2020	25	No	INC	24	0:00	0:00
171	RT	Unit Testing	Intertie	NA	11/6/2020	32 - 148	No	INC	24	0:00	0:00
172	RT	Unit Testing	Intertie	NA	11/7/2020	48 - 148	No	INC	24	0:00	0:00
173	RT	Unit Testing	Intertie	NA	11/8/2020	12 - 148	No	INC	18	0:00	18:00
174	RT	Unit Testing	Intertie	NA	11/9/2020	15 - 64	No	INC	24	0:00	0:00
175	RT	Unit Testing	Intertie	NA	11/10/2020	0 - 43	No	INC	22	2:00	0:00
176	RT	Unit Testing	Intertie	NA	11/11/2020	6	No	INC	20	1:00	21:00
177	RT	Unit Testing	Intertie	NA	11/12/2020	31 - 183	No	INC	11	13:00	0:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
178	RT	Unit Testing	Intertie	NA	11/13/2020	30 - 198	No	INC	24	0:00	0:00
179	RT	Unit Testing	Intertie	NA	11/14/2020	12 - 117	No	INC	24	0:00	0:00
180	RT	Unit Testing	Intertie	NA	11/15/2020	11 - 68	No	INC	24	0:00	0:00
181	RT	Unit Testing	Intertie	NA	11/16/2020	13 - 27	No	INC	8	0:00	8:00
182	RT	Unit Testing	Intertie	NA	11/17/2020	2 - 198	No	INC	16	8:00	0:00
183	RT	Unit Testing	Intertie	NA	11/18/2020	96 - 198	No	INC	24	0:00	0:00
184	RT	Unit Testing	Intertie	NA	11/19/2020	9 - 35	No	INC	24	0:00	0:00
185	RT	Unit Testing	Intertie	NA	11/20/2020	10 - 36	No	INC	24	0:00	0:00
186	RT	Unit Testing	Intertie	NA	11/21/2020	20 - 89	No	INC	24	0:00	0:00
187	RT	Unit Testing	Intertie	NA	11/22/2020	10 - 19	No	INC	11	0:00	11:00
188	RT	Unit Testing	Intertie	NA	11/23/2020	13 - 114	No	INC	23	1:00	0:00
189	RT	Unit Testing	Intertie	NA	11/24/2020	11 - 101	No	INC	19	0:00	19:00
190	RT	Unit Testing	Intertie	NA	11/25/2020	13 - 70	No	INC	24	0:00	0:00
191	RT	Unit Testing	Intertie	NA	11/26/2020	20 - 86	No	INC	24	0:00	0:00
192	RT	Unit Testing	Intertie	NA	11/27/2020	18 - 90	No	INC	24	0:00	0:00
193	RT	Unit Testing	Intertie	NA	11/28/2020	21 - 58	No	INC	24	0:00	0:00
194	RT	Unit Testing	Intertie	NA	11/29/2020	21 - 114	No	INC	24	0:00	0:00
195	RT	Unit Testing	Intertie	NA	11/30/2020	21 - 50	No	INC	8	7:00	15:00
196	RT	Unit Testing	PGAE	Bay Area	11/19/2020	125 - 200	No	INC	18	5:00	22:30
197	RT	Unit Testing	PGAE	Bay Area	11/20/2020	60 - 140	No	INC	5	19:50	0:00
198	RT	Unit Testing	PGAE	Bay Area	11/21/2020	46 - 140	Yes	INC	19	0:00	19:00
199	RT	Unit Testing	PGAE	Bay Area	11/24/2020	175 - 299	No	INC	7	7:00	14:00
200	RT	Unit Testing	PGAE	Sierra	11/18/2020	75 - 130	No	INC	5	8:30	13:00
201	RT	Unit Testing	PGAE	NA	11/1/2020	70	No	INC	7	10:30	17:00
202	RT	Unit Testing	PGAE	NA	11/10/2020	411	No	INC	1	14:10	15:00
203	RT	Unit Testing	PGAE	NA	11/19/2020	199.8	No	INC	1	19:00	19:40

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
204	RT	Unit Testing	SCE	Big Creek-Ventura	11/17/2020	400 - 700	No	INC	5	19:40	0:00
205	RT	Unit Testing	SCE	Big Creek-Ventura	11/18/2020	600	No	INC	3	0:00	2:30
206	RT	Unit Testing	SCE	LA Basin	11/19/2020	147 - 150	No	INC	3	16:30	18:35
207	RT	Unit Testing	SCE	San Diego-IV	11/25/2020	7.5 - 10	No	INC	1	21:05	21:40
208	RT	Unit Testing	SCE	NA	11/1/2020	85 - 125	No	INC	5	10:30	15:00
209	RT	Unit Testing	SCE	NA	11/27/2020	50 - 100	No	INC	2	14:45	16:35
210	RT	Unit Testing	SCE	NA	11/28/2020	70 - 115	No	INC	7	9:00	16:00
211	RT	Unit Testing	SCE	NA	11/29/2020	70 - 115	Yes	INC	8	8:00	15:30
212	RT	Voltage Support	PGAE	Big Creek-Ventura	11/8/2020	62	No	INC	15	0:50	15:00
213	RT	Voltage Support	PGAE	Fresno	11/1/2020	-320	No	DEC	8	1:30	8:00
214	RT	Voltage Support	PGAE	Fresno	11/2/2020	-303	No	DEC	7	0:45	7:00
215	RT	Voltage Support	PGAE	Fresno	11/3/2020	-301	No	DEC	2	3:20	4:45
216	RT	Voltage Support	PGAE	Fresno	11/4/2020	-302	No	DEC	4	1:50	5:45
217	RT	Voltage Support	PGAE	Fresno	11/5/2020	-302	No	DEC	4	2:30	5:45
218	RT	Voltage Support	PGAE	Fresno	11/8/2020	5	No	INC	2	22:45	0:00
219	RT	Voltage Support	PGAE	Fresno	11/9/2020	5	No	INC	7	0:00	7:00
220	RT	Voltage Support	PGAE	Fresno	11/22/2020	5	No	INC	4	4:10	8:00
221	RT	Voltage Support	PGAE	Sierra	11/15/2020	20	No	DEC	4	16:00	20:00
222	RT	Voltage Support	PGAE	Sierra	11/15/2020	20	No	INC	17	7:40	0:00
223	RT	Voltage Support	PGAE	Sierra	11/16/2020	20	No	DEC	4	16:00	20:00
224	RT	Voltage Support	PGAE	Sierra	11/16/2020	20	Yes	INC	16	0:00	16:00
225	RT	Voltage Support	PGAE	Sierra	11/17/2020	20	No	DEC	1	17:00	18:00
226	RT	Voltage Support	PGAE	Sierra	11/17/2020	20	No	INC	12	8:25	20:00
227	RT	Voltage Support	PGAE	NA	11/8/2020	50 - 307	No	INC	18	6:15	0:00
228	RT	Voltage Support	PGAE	NA	11/9/2020	205	No	DEC	2	5:00	7:00

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time
229	RT	Voltage Support	PGAE	NA	11/9/2020	205 - 307	No	INC	5	0:00	5:00
230	RT	Voltage Support	PGAE	NA	11/16/2020	48.95	No	INC	7	1:10	8:00

Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Table 2: Instructions Prior to Day-Ahead Market

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	B	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	B	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

ATTACHMENT B

**November 2020 Exceptional Dispatch Report
Chart 2 data**



Exceptional Dispatch Report

Table 2: November 2020

Market Quality and Renewable Integration

January 15, 2021

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Introduction

This report is filed pursuant to FERC’s September 2, 2009, and May 4, 2010, orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15th of each month and one originally issued on the 30th of each month. Both Table 1 and Table 2 reports will be issued on the 15th of each month due to the availability of necessary data. This report provides data on the frequency, reasons and costs for Exceptional Dispatches issued in November 2020.

This report contains a price impact analysis as prescribed by FERC in its September 2 order. The price impact analysis for the month of November is presented in Appendix B. This report also includes mitigation analysis for November 2020 required by section 34.11.4 of the CAISO tariff. This analysis compares those Exceptional Dispatches subject to bid mitigation (i.e. Exceptional Dispatches to address noncompetitive constraints and Delta Dispatch), and determines the cost difference between the Exceptional Dispatch bid mitigation settlement rules and what the settlement amount would have been had the Exceptional Dispatches not been subject to bid mitigation. The Exceptional Dispatch bid mitigation analysis for November is presented in Appendix C.

The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a pre-day-ahead unit commitment, a post day-ahead unit commitment or a real-time exceptional dispatch. A pre-day-ahead unit commitment is an exceptional dispatch instruction committing a resource at or above its physical minimum (Pmin) operating level in the day-ahead market. A post-day-ahead unit commitment is an exceptional dispatch instruction committing a resource at or above its (Pmin) operating level in the real-time market. A real-time exceptional dispatch instructs a resource to operate at or above its physical minimum operating point. A real-time exceptional dispatch above the resource’s day-ahead award is an incremental exceptional dispatch instruction and a real-time exceptional dispatch below the day-ahead award is considered a decremental dispatch instruction. The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason. Reliability requirements are calculated for both local area and the system wide needs, and are classified into various requirements including local generation, transmission management, non-modeled transmission outages, ramping and intertie emergency assistance. Whenever the CAISO issues an exceptional dispatch instruction, the operators log these instructions and the associated reason for each instruction.

Most of the generation procedures are internal to the CAISO and not available publicly on the CAISO website; however, all of the transmission procedures are available on the CAISO website.¹

Additional reasons for exceptional dispatch instructions in 2020 include Software Limitation. Software Limitation is used when an exceptional dispatch instruction was issued to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi-day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software Limitation was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System (“ADS”) failure, or similar issues. Interconnection Reliability Operating Limits (IROL) are system operating limits that are established to prevent instability, uncontrolled separation or cascading as described in operating procedure 3100. System Operating Limit (SOL) are the facility ratings, system voltage limits, transient stability limits, and voltage stability limits that are used in the operating horizon – any of which can be the most restrictive limit at any point in time, pre – or post – contingency. Control Point (CP) are imposed to protect the area transmission network against N – 1 contingencies. There were a few other reasons used to explain exceptional dispatch instructions in November, which are self-explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.² This table contains all the information published in Table 1 of the first report for November 2020. In addition, it contains volume (MWh) and cost information. Each entry in Table 1 is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (PTO) service area; (3) the Local Reliability Area (LRA) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment; (3) Inc or Dec; (4) Hours; (5) Begin Time; (6) End Time; (7) Total Volume (MWh); (8) Min Load Cost; (9) Start Up Cost; (10) CC6470; (11) ED Volume (MWh INC/DEC); (12) CC6470 INC; (13) CC6470 DEC; (14) CC6482; (15) CC6488; and (16) CC6620. Each column is defined:

¹ A list of all of the CAISO’s Operating Procedures and all the publicly available Operating Procedures are available at the following link:
<http://www.caiso.com/thegrid/operations/opsdoc/index.html>

² The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS) and Settlements database. The volume and cost information is based on t+51B Recalculation Statements.

- The MW column shows the range of exceptional dispatch instruction in MW for the classification.
- The Commitment column specifies if there was a unit commitment for the classification.
- The INC/DEC/NA column specifies if there was an incremental dispatch (INC), a decremental dispatch (DEC), or only a unit commitment (NA). The Begin Time and End Time columns show the start and end time of exceptional dispatch for the classification respectively.
- The Hours column is the time difference between begin time and end time rounded up to the next hour.
- The total volume column shows the total MWh dispatch quantity dispatched for that classification. This quantity includes the minimum load quantity, the imbalance energy quantity, and the exceptional dispatch quantity.
- The Min-Load Cost column shows eligible minimum load cost for the classification.
- The Start-Up Cost column shows the eligible start up cost for the classification. The CAISO does not explicitly pay resources for its start up and minimum load costs; however, it ensures that resources are compensated adequately through its bid cost recovery.³
- The CC6470 column shows the total imbalance energy costs for the classification. This cost contains the portion of exceptional dispatch instruction settled as optimal energy due to its bid price being less than the LMP in the relevant settlement interval.
- The ED Volume MWh (MWh INC/DEC) column shows the incremental or the decremental portion of the real-time exceptional dispatch MWh for the classification. The CC6470-INC shows that portion of incremental exceptional dispatch instruction settled at the resource LMP.
- The CC6470-DEC column shows that portion of decremental exceptional dispatch instruction settled at the resource specific LMP. Both these charge codes are portions of the real-time instructed imbalance energy charge code (6470).⁴
- The CC6482 column shows the real-time excess cost for the classification.⁵
- The CC6488 column shows the real-time exceptional dispatch uplift settlement for the classification.⁶ The CC6620 shows the bid cost recovery payment for the classification. This cost is shown for all pre-day-ahead unit commitments only.

³ For further details regarding the Bid Cost Recovery process please refer to section 11.8 of the CAISO tariff.

⁴ For further details please refer to the BPM configuration Guide: Real-Time Instructed Imbalance Energy Settlement published on the CAISO's website.

⁵ For further details please refer to the BPM configuration Guide: Real Time Excess Cost for Instructed Energy Settlement published on the CAISO's website.

⁶ For further details please refer to the BPM configuration Guide: Real Time Exceptional Dispatch Uplift Settlement published on the CAISO's website.

Charge codes 6470, 6470 INC, 6470 DEC, 6482 and 6488 are shown in Table 1 because all these charge codes pertain to real-time exceptional dispatch MWH quantities. The classification of data is further explained for example in Attachment A.

Exceptional dispatches with the reason “Reliability Assessment” were due to Real Time Contingency Analysis, Voltage Stability Analysis, and operating procedure number 7110 (along with 7720). Reliability Assessment is the reason as explained in the operator procedure 2330C that encompasses Control Point (CP), Interconnection Reliability Operating Limit (IROL), System Operating Limit (SOL) and congestion related EDs. This reason is used to mitigate reliability issues identified through the real – time assessment tools such as Real Time Contingency Analysis (RTCA), Voltage Stability Analysis (VSA), Dynamic Stability Analysis (DSA) and/or Operating Procedure (OP) or offline study.

Table 1: Exceptional Dispatches in November 2020

California Independent System Operator Corporation Exceptional Dispatch Report January 15, 2021																					
Chart 2: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020																					
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
1	RT	Conditions beyond the control of the CAISO	PGAE	Bay Area	11/4/2020	10	No	INC	2	13:00	15:00	103.71	0.00	0.00	-3867.88	22.50	-92.67	0.00	-47.49	0.00	0.00
2	RT	Conditions beyond the control of the CAISO	PGAE	Bay Area	11/5/2020	140	No	INC	8	16:30	0:00	-70.70	87964.07	4424.76	3312.96	0.00	0.00	0.00	0.00	0.00	0.00
3	RT	Conditions beyond the control of the CAISO	PGAE	NA	11/4/2020	50	No	INC	3	13:00	16:00	-33.10	14981.31	0.00	1418.38	0.00	0.00	0.00	0.00	0.00	0.00
4	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/4/2020	50	No	INC	14	10:00	0:00	-263.14	275263.75	121627.26	14263.09	0.00	0.00	0.00	0.00	0.00	0.00
5	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/5/2020	50	No	INC	24	0:00	0:00	-360.14	274126.15	0.00	-25003.49	0.00	0.00	0.00	0.00	0.00	0.00
6	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	11/6/2020	50	No	INC	24	0:00	0:00	2.08	205518.01	0.00	-113.74	0.00	0.00	0.00	0.00	0.00	0.00
7	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/3/2020	10 - 20	No	INC	2	22:00	0:00	0.00	10504.08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
8	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/4/2020	10 - 20	Yes	INC	24	0:00	0:00	394.16	280233.70	44457.78	-31568.77	88.49	-3434.61	0.00	0.00	0.00	0.00
9	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/5/2020	20	No	INC	1	23:00	0:00	0.00	3978.40	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
10	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	11/6/2020	20	No	INC	24	0:00	0:00	-15.67	77900.00	0.00	691.55	0.00	0.00	0.00	0.00	0.00	0.00
11	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	11/4/2020	155	No	DEC	8	15:00	23:00	-196.00	0.00	0.00	11931.04	0.00	0.00	0.00	0.00	0.00	0.00
12	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	11/4/2020	155	No	INC	18	6:00	0:00	-44.66	79835.85	9826.65	2032.17	0.00	0.00	0.00	0.00	0.00	0.00
13	RT	Fast Start Unit Management	SCE	LA Basin	11/1/2020	0	No	INC	2	6:50	8:00	-7.59	0.00	0.00	-110.21	-41.75	0.00	0.00	0.00	0.00	0.00
14	RT	Fast Start Unit Management	SCE	LA Basin	11/4/2020	0	No	INC	2	22:40	23:45	-22.74	0.00	0.00	0.00	-75.78	0.00	0.00	0.00	0.00	0.00
15	RT	Fast Start Unit Management	SCE	LA Basin	11/5/2020	0	No	INC	2	22:55	0:00	-26.58	1461.98	48.35	0.00	-53.15	0.00	0.00	0.00	0.00	0.00
16	RT	Fast Start Unit Management	SCE	LA Basin	11/29/2020	0	No	INC	1	23:45	0:00	-11.95	0.00	0.00	0.00	-23.90	0.00	0.00	0.00	0.00	0.00
17	RT	Fast Start Unit Management	SCE	LA Basin	11/30/2020	0	No	INC	1	0:00	0:25	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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Chart 2: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
18	RT	Incomplete or Inaccurate Transmission	SDGE	San Diego-IV	11/5/2020	21	No	DEC	3	16:00	19:00	-2.62	0.00	0.00	144.19	0.00	0.00	0.00	0.00	0.00	0.00
19	RT	Incomplete or Inaccurate Transmission	SDGE	San Diego-IV	11/5/2020	21	No	INC	11	13:10	0:00	20.69	33561.45	0.00	-12920.53	0.00	0.00	0.00	0.00	0.00	0.00
20	RT	Load Forecast Uncertainty	SCE	LA Basin	11/2/2020	10	No	INC	8	16:00	0:00	-41.87	20990.48	11810.16	1932.43	0.00	0.00	0.00	0.00	0.00	0.00
21	RT	Load Forecast Uncertainty	SCE	LA Basin	11/3/2020	10	No	DEC	16	0:00	16:00	25.61	0.00	0.00	-4491.05	0.00	0.00	0.00	0.00	0.00	0.00
22	RT	Load Forecast Uncertainty	SDGE	San Diego-IV	11/27/2020	155	No	INC	2	14:40	16:00	118.48	1466.28	0.00	-2058.79	0.00	0.00	0.00	0.00	0.00	0.00
23	RT	Market Disruption	PGAE	Bay Area	11/1/2020	370	No	DEC	1	1:10	1:45	-25.78	0.00	0.00	808.45	-8.26	0.00	184.52	0.00	0.00	0.00
24	RT	Market Disruption	PGAE	Bay Area	11/18/2020	197	No	INC	2	15:55	17:30	33.47	9556.19	0.00	-1038.28	43.31	-539.59	0.00	-43.30	0.00	0.00
25	RT	Market Disruption	PGAE	NA	11/18/2020	35 - 80	No	INC	1	16:00	16:30	41.21	0.00	0.00	-1016.32	25.52	-602.18	0.00	-424.09	0.00	0.00
26	RT	Market Disruption	SCE	Big Creek-Ventura	11/1/2020	460	No	DEC	1	1:00	1:45	-127.75	0.00	0.00	3701.33	-121.56	0.00	1809.64	0.00	0.00	0.00
27	RT	Market Disruption	SCE	LA Basin	11/1/2020	300	No	INC	1	1:00	1:00	-43.37	0.00	0.00	1418.03	-27.81	0.00	927.02	0.00	0.00	0.00
28	RT	Market Disruption	SDGE	San Diego-IV	11/18/2020	50	No	DEC	1	17:00	17:30	2.69	0.00	0.00	-85.82	0.00	0.00	0.00	0.00	0.00	0.00
29	RT	Market Disruption	SDGE	San Diego-IV	11/18/2020	50	No	INC	2	16:00	17:30	-17.09	9723.92	0.00	833.82	0.00	0.00	0.00	0.00	0.00	0.00
30	RT	Planned Transmission Outage	PGAE	Bay Area	11/11/2020	420	No	DEC	1	3:55	4:15	-26.04	-1516.72	0.00	872.18	-17.02	0.00	576.54	0.00	-13.37	0.00
31	RT	Planned Transmission Outage	PGAE	Bay Area	11/16/2020	20	No	INC	12	8:30	20:30	5.13	18769.73	0.00	-149.14	0.00	0.00	0.00	0.00	0.00	0.00
32	RT	Planned Transmission Outage	PGAE	Humboldt	11/1/2020	29	No	DEC	3	0:00	2:00	0.65	0.00	0.00	-17.47	0.00	0.00	0.00	0.00	-77.95	0.00
33	RT	Planned Transmission Outage	PGAE	Humboldt	11/1/2020	29 - 57	No	INC	25	0:00	0:00	0.10	61000.37	0.00	-118.06	-6.92	0.00	97.06	0.00	-57.35	0.00
34	RT	Planned Transmission Outage	PGAE	Humboldt	11/2/2020	15 - 30	No	DEC	9	13:00	22:00	-6.85	-8460.70	0.00	251.68	0.00	0.00	0.00	0.00	0.00	0.00
35	RT	Planned Transmission Outage	PGAE	Humboldt	11/2/2020	15 - 42	No	INC	24	0:00	23:45	1.12	21209.37	0.00	-137.65	0.00	0.00	0.00	0.00	0.00	0.00

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Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
36	RT	Planned Transmission Outage	PGAE	Humboldt	11/3/2020	30 - 42	No	DEC	4	15:00	19:00	12.74	-2632.66	0.00	-488.05	0.00	0.00	0.00	0.00	0.00	0.00
37	RT	Planned Transmission Outage	PGAE	Humboldt	11/3/2020	28 - 45	No	INC	18	6:00	0:00	7.45	32752.61	0.00	-313.73	0.00	0.00	0.00	0.00	0.00	0.00
38	RT	Planned Transmission Outage	PGAE	Humboldt	11/4/2020	28	No	DEC	5	15:00	20:00	-9.15	1656.85	0.00	416.85	0.00	0.00	0.00	0.00	0.00	0.00
39	RT	Planned Transmission Outage	PGAE	Humboldt	11/4/2020	14 - 30	No	INC	24	0:00	0:00	3.64	43708.81	0.00	-320.06	0.00	0.00	0.00	0.00	0.00	0.00
40	RT	Planned Transmission Outage	PGAE	Humboldt	11/5/2020	28 - 42	No	DEC	5	16:00	21:00	3.04	4664.61	0.00	-19.58	0.00	0.00	0.00	0.00	0.00	0.00
41	RT	Planned Transmission Outage	PGAE	Humboldt	11/5/2020	28 - 42	No	INC	24	0:00	0:00	12.28	53642.69	0.00	-1324.08	0.00	0.00	0.00	0.00	0.00	0.00
42	RT	Planned Transmission Outage	PGAE	Humboldt	11/6/2020	28 - 60	No	INC	24	0:00	0:00	-5.14	87908.02	0.00	106.06	0.00	0.00	0.00	0.00	0.00	0.00
43	RT	Planned Transmission Outage	PGAE	Humboldt	11/7/2020	28 - 45	No	INC	24	0:00	0:00	-10.05	50875.42	0.00	-100.60	0.00	0.00	0.00	0.00	-2.72	0.00
44	RT	Planned Transmission Outage	PGAE	Humboldt	11/8/2020	14 - 42	No	INC	24	0:00	0:00	10.80	48049.01	0.00	-403.46	0.00	0.00	0.00	0.00	-0.58	0.00
45	RT	Planned Transmission Outage	PGAE	Humboldt	11/9/2020	14 - 60	No	INC	24	0:00	0:00	3.35	74805.74	0.00	-362.53	0.00	0.00	0.00	0.00	0.00	0.00
46	RT	Planned Transmission Outage	PGAE	Humboldt	11/10/2020	16 - 80	No	INC	24	0:00	0:00	-15.30	83386.03	0.00	240.79	0.00	0.00	0.00	0.00	0.00	0.00
47	RT	Planned Transmission Outage	PGAE	Humboldt	11/11/2020	16 - 75	No	INC	24	0:00	0:00	40.35	76297.77	0.00	-1354.36	0.00	0.00	0.00	0.00	0.00	0.00
48	RT	Planned Transmission Outage	PGAE	Humboldt	11/12/2020	15 - 60	No	INC	24	0:00	0:00	13.95	62183.36	0.00	-634.33	0.00	0.00	0.00	0.00	0.00	0.00
49	RT	Planned Transmission Outage	PGAE	Humboldt	11/13/2020	15 - 45	No	INC	24	0:00	0:00	1.49	64801.30	0.00	-22.88	0.00	0.00	0.00	0.00	0.00	0.00
50	RT	Planned Transmission Outage	PGAE	Humboldt	11/14/2020	14 - 42	No	INC	24	0:00	0:00	-19.11	52126.17	0.00	-367.13	0.00	0.00	0.00	0.00	0.00	0.00
51	RT	Planned Transmission Outage	PGAE	Humboldt	11/15/2020	15 - 28	No	DEC	4	17:00	21:00	0.08	4375.53	0.00	-37.89	0.00	0.00	0.00	0.00	0.00	0.00
52	RT	Planned Transmission Outage	PGAE	Humboldt	11/15/2020	15 - 30	No	INC	24	0:00	0:00	-20.07	29487.39	0.00	-120.05	0.00	0.00	0.00	0.00	-3.04	0.00
53	RT	Planned Transmission Outage	PGAE	Humboldt	11/16/2020	28 - 42	No	DEC	4	15:00	19:00	3.33	-3155.73	0.00	-94.65	0.00	0.00	0.00	0.00	0.00	0.00

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Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
54	RT	Planned Transmission Outage	PGAE	Humboldt	11/16/2020	28 - 45	No	INC	24	0:00	0:00	13.18	48892.04	0.00	-472.16	0.00	0.00	0.00	0.00	0.00	0.00
55	RT	Planned Transmission Outage	PGAE	Humboldt	11/17/2020	30	No	DEC	2	16:00	18:00	2.71	0.00	0.00	-88.44	0.00	0.00	0.00	0.00	0.00	0.00
56	RT	Planned Transmission Outage	PGAE	Humboldt	11/17/2020	28 - 42	No	INC	24	0:00	0:00	-0.63	61952.67	0.00	20.55	0.00	0.00	0.00	0.00	0.00	0.00
57	RT	Planned Transmission Outage	PGAE	Humboldt	11/18/2020	14 - 28	No	INC	24	0:00	0:00	3.91	45718.13	0.00	-152.51	0.00	0.00	0.00	0.00	0.00	0.00
58	RT	Planned Transmission Outage	PGAE	Humboldt	11/19/2020	28 - 42	No	INC	24	0:00	0:00	9.32	60545.42	0.00	-262.10	0.00	0.00	0.00	0.00	0.00	0.00
59	RT	Planned Transmission Outage	PGAE	Humboldt	11/20/2020	28 - 73	No	INC	24	0:00	0:00	41.93	82767.23	0.00	-1311.49	0.00	0.00	0.00	0.00	0.00	0.00
60	RT	Planned Transmission Outage	PGAE	Humboldt	11/22/2020	16	No	DEC	4	0:00	4:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
61	RT	Planned Transmission Outage	PGAE	Humboldt	11/22/2020	15 - 75	No	INC	21	3:30	0:00	13.69	54255.80	0.00	-425.87	0.00	0.00	0.00	0.00	0.00	0.00
62	RT	Planned Transmission Outage	PGAE	Humboldt	11/23/2020	15 - 75	No	INC	24	0:00	0:00	-39.47	72098.26	0.00	937.90	0.00	0.00	0.00	0.00	0.00	0.00
63	RT	Planned Transmission Outage	PGAE	Humboldt	11/24/2020	15 - 75	No	INC	6	0:00	5:45	0.00	16865.67	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
64	RT	Planned Transmission Outage	PGAE	NCNB	11/3/2020	25 - 30	No	DEC	2	10:10	11:15	-38.63	0.00	0.00	1340.10	-151.46	0.00	2263.74	0.00	-6540.53	0.00
65	RT	Planned Transmission Outage	PGAE	Sierra	11/7/2020	14 - 30	No	INC	1	11:25	12:15	0.46	0.00	0.00	-2.33	0.46	-2.33	0.00	0.00	0.00	0.00
66	RT	Planned Transmission Outage	PGAE	Sierra	11/14/2020	20	No	INC	15	7:25	22:00	-5.23	26483.53	423.43	172.99	0.00	0.00	0.00	0.00	0.00	0.00
67	RT	Planned Transmission Outage	PGAE	Sierra	11/22/2020	20	No	DEC	3	16:00	19:00	-65.55	0.00	0.00	2166.03	0.00	0.00	0.00	0.00	0.00	0.00
68	RT	Planned Transmission Outage	PGAE	Sierra	11/22/2020	20	No	INC	12	8:10	20:00	7.54	-557.92	0.00	-886.47	0.00	0.00	0.00	0.00	0.00	0.00
69	RT	Planned Transmission Outage	PGAE	Sierra	11/26/2020	20	No	DEC	1	17:00	18:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
70	RT	Planned Transmission Outage	PGAE	Sierra	11/26/2020	20	No	INC	13	8:50	21:00	-15.60	1763.95	0.00	607.15	0.00	0.00	0.00	0.00	0.00	0.00
71	RT	Planned Transmission Outage	PGAE	Sierra	11/27/2020	20	No	DEC	4	16:00	20:00	-27.64	0.00	0.00	875.38	0.00	0.00	0.00	0.00	0.00	0.00

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Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
72	RT	Planned Transmission Outage	PGAE	Sierra	11/27/2020	20	No	INC	12	8:20	20:00	-39.45	15875.55	420.99	1196.10	0.00	0.00	0.00	0.00	0.00	0.00
73	RT	Planned Transmission Outage	PGAE	Sierra	11/28/2020	20	No	DEC	2	17:00	19:00	-9.43	0.00	0.00	374.80	0.00	0.00	0.00	0.00	0.00	0.00
74	RT	Planned Transmission Outage	PGAE	Sierra	11/28/2020	20	No	INC	13	7:35	20:00	13.37	440.99	0.00	-534.00	0.00	0.00	0.00	0.00	0.00	0.00
75	RT	Planned Transmission Outage	PGAE	Sierra	11/29/2020	20	Yes	DEC	3	17:00	20:00	-70.18	0.00	0.00	2597.69	0.00	0.00	0.00	0.00	0.00	0.00
76	RT	Planned Transmission Outage	PGAE	Sierra	11/29/2020	20	Yes	INC	9	8:00	17:00	18.51	0.00	0.00	-869.07	0.00	0.00	0.00	0.00	0.00	0.00
77	RT	Planned Transmission Outage	PGAE	Stockton	11/10/2020	23 - 67.92	No	INC	3	11:20	14:00	45.46	2474.09	870.00	-834.72	91.63	-875.53	0.00	0.00	-1508.02	0.00
78	RT	Planned Transmission Outage	SCE	LA Basin	11/26/2020	10 - 20	No	INC	12	12:00	0:00	-5.00	61569.48	32155.40	1.32	0.00	0.00	0.00	0.00	0.00	0.00
79	RT	Planned Transmission Outage	SCE	NA	11/12/2020	125	No	DEC	1	23:00	0:00	17.08	2294.27	0.00	-395.32	0.00	0.00	0.00	0.00	0.00	0.00
80	RT	Planned Transmission Outage	SCE	NA	11/13/2020	125	No	DEC	3	15:00	17:15	5.63	6627.77	0.00	309.10	0.00	0.00	0.00	0.00	0.00	0.00
81	RT	Planned Transmission Outage	SCE	NA	11/13/2020	125	No	INC	15	0:00	15:00	53.92	91390.19	0.00	438.89	0.00	0.00	0.00	0.00	0.00	0.00
82	RT	Planned Transmission Outage	SCE	NA	11/14/2020	125	No	INC	8	7:00	15:00	57.50	60346.31	0.00	-138.90	0.00	0.00	0.00	0.00	0.00	0.00
83	RT	Planned Transmission Outage	SCE	NA	11/15/2020	125	No	INC	7	8:00	15:00	-1.53	29526.73	0.00	118.46	0.00	0.00	0.00	0.00	0.00	0.00
84	RT	Planned Transmission Outage	SCE	NA	11/16/2020	125	No	INC	7	8:00	15:00	0.00	71016.54	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
85	RT	Planned Transmission Outage	SCE	NA	11/17/2020	125	No	INC	6	8:00	14:00	56.04	24274.14	0.00	362.17	0.00	0.00	0.00	0.00	0.00	0.00
86	RT	Planned Transmission Outage	SCE	NA	11/18/2020	125	No	INC	7	8:00	15:00	0.00	83366.08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
87	RT	Planned Transmission Outage	SCE	NA	11/19/2020	125	No	INC	7	8:00	15:00	144.31	32243.40	0.00	1195.16	0.00	0.00	0.00	0.00	0.00	0.00
88	RT	Planned Transmission Outage	SDGE	San Diego-IV	11/23/2020	155 - 400	No	INC	13	2:00	15:00	-35.44	79203.66	72.75	-9912.15	68.25	-2093.31	0.00	0.00	-213.97	0.00
89	RT	Pump Management	PGAE	Fresno	11/1/2020	-304	No	DEC	2	12:10	14:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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Chart 2: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
90	RT	Ramping Capacity	PGAE	Fresno	11/15/2020	25	No	DEC	2	12:20	14:00	-9.66	0.00	0.00	-1.16	0.00	0.00	0.00	0.00	0.00	0.00
91	RT	Ramping Capacity	PGAE	Fresno	11/15/2020	25	No	INC	3	14:00	17:00	-9.14	0.00	0.00	-242.43	0.00	0.00	0.00	0.00	0.00	0.00
92	RT	Ramping Capacity	SCE	LA Basin	11/2/2020	194	No	INC	5	17:15	22:00	0.80	0.00	0.00	-909.94	19.77	-1352.90	0.00	0.00	0.00	0.00
93	RT	Ramping Capacity	SCE	LA Basin	11/4/2020	190 - 194	No	INC	4	16:00	20:00	-60.38	32452.40	8083.23	2799.31	88.49	-3434.61	0.00	0.00	0.00	0.00
94	RT	Ramping Capacity	SCE	LA Basin	11/5/2020	194	No	INC	7	15:00	22:00	-118.89	0.00	0.00	9424.28	4.68	-179.89	0.00	0.00	0.00	0.00
95	RT	Ramping Capacity	SCE	LA Basin	11/11/2020	48 - 49	No	DEC	2	17:15	19:00	-34.03	0.00	0.00	1258.48	0.00	0.00	0.00	0.00	0.00	0.00
96	RT	Reliability Assessment	PGAE	Fresno	11/5/2020	83	No	DEC	1	14:00	14:15	-38.92	0.00	0.00	1465.01	-79.96	0.00	1514.26	0.00	0.00	0.00
97	RT	Reliability Assessment	PGAE	Fresno	11/5/2020	83	No	INC	1	14:00	14:15	-31.92	1686.02	0.00	1192.68	-7.74	0.00	191.31	0.00	0.00	0.00
98	RT	Reliability Assessment	PGAE	Fresno	11/16/2020	8	No	DEC	1	12:30	13:15	-3.42	0.00	0.00	-22.58	-5.89	0.00	-31.84	0.00	0.00	0.00
99	RT	Reliability Assessment	PGAE	Humboldt	11/1/2020	29	No	INC	6	18:05	0:00	0.68	6756.97	0.00	-24.63	0.00	0.00	0.00	0.00	0.00	0.00
100	RT	Reliability Assessment	PGAE	Humboldt	11/2/2020	15	No	DEC	1	23:45	0:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
101	RT	Reliability Assessment	PGAE	Humboldt	11/2/2020	15 - 29	No	INC	24	0:00	0:00	0.01	15766.25	0.00	-47.24	-1.98	0.00	13.71	0.00	0.00	0.00
102	RT	Reliability Assessment	PGAE	Humboldt	11/3/2020	15	No	DEC	7	0:00	6:15	3.80	0.00	0.00	-154.30	0.00	0.00	0.00	0.00	0.00	0.00
103	RT	Reliability Assessment	PGAE	Humboldt	11/3/2020	15	No	INC	6	0:00	6:00	0.00	3119.32	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
104	RT	Reliability Assessment	PGAE	Humboldt	11/20/2020	28	No	INC	1	23:30	0:00	0.00	719.72	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
105	RT	Reliability Assessment	PGAE	Humboldt	11/21/2020	14 - 16	No	DEC	24	0:00	0:00	10.17	-177.83	0.00	-360.61	0.00	0.00	0.00	0.00	0.00	0.00
106	RT	Reliability Assessment	PGAE	Humboldt	11/21/2020	14 - 31	No	INC	24	0:00	0:00	-1.34	30587.19	0.00	60.87	0.00	0.00	0.00	0.00	0.00	0.00
107	RT	Reliability Assessment	PGAE	Humboldt	11/22/2020	16	No	DEC	4	0:00	4:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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Chart 2: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
108	RT	Reliability Assessment	PGAE	Humboldt	11/22/2020	16	No	INC	3	0:00	3:00	3.70	1820.68	0.00	-125.81	0.00	0.00	0.00	0.00	0.00	0.00
109	RT	Reliability Assessment	PGAE	Humboldt	11/24/2020	15 - 75	No	INC	19	5:20	0:00	0.00	60618.64	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
110	RT	Reliability Assessment	PGAE	Humboldt	11/25/2020	15 - 75	No	INC	24	0:00	0:00	5.09	56275.97	0.00	-296.00	-3.47	0.00	19.59	0.00	0.00	0.00
111	RT	Reliability Assessment	PGAE	Humboldt	11/26/2020	15	No	DEC	17	0:15	17:05	0.25	0.00	0.00	-8.48	-0.32	0.00	0.00	0.00	0.00	0.00
112	RT	Reliability Assessment	PGAE	Humboldt	11/26/2020	14 - 30	No	INC	24	0:00	0:00	-23.75	28347.42	0.00	75.01	-0.29	0.00	0.00	0.00	0.00	0.00
113	RT	Reliability Assessment	PGAE	Humboldt	11/27/2020	14 - 15	No	DEC	23	1:30	0:00	-1.68	0.00	0.00	17.55	0.00	0.00	0.00	0.00	0.00	0.00
114	RT	Reliability Assessment	PGAE	Humboldt	11/27/2020	14 - 30	No	INC	24	0:00	0:00	-1.18	22677.98	0.00	-37.10	0.00	0.00	0.00	0.00	0.00	0.00
115	RT	Reliability Assessment	PGAE	Humboldt	11/28/2020	14	No	DEC	8	0:00	7:20	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
116	RT	Reliability Assessment	PGAE	Humboldt	11/28/2020	14 - 28	No	INC	24	0:00	0:00	6.68	29261.84	0.00	-159.91	0.00	0.00	0.00	0.00	0.00	0.00
117	RT	Reliability Assessment	PGAE	Humboldt	11/29/2020	14 - 28	No	INC	24	0:00	0:00	3.92	40234.94	0.00	-191.73	0.00	0.00	0.00	0.00	0.00	0.00
118	RT	Reliability Assessment	PGAE	Humboldt	11/30/2020	15 - 60	No	INC	24	0:00	0:00	6.83	67667.93	0.00	-343.05	0.00	0.00	0.00	0.00	0.00	0.00
119	RT	Reliability Assessment	PGAE	Sierra	11/21/2020	20	No	DEC	2	18:00	20:00	-25.05	0.00	0.00	927.43	0.00	0.00	0.00	0.00	0.00	0.00
120	RT	Reliability Assessment	PGAE	Sierra	11/21/2020	20	No	INC	1	20:00	20:45	-13.80	1313.59	0.00	522.76	0.00	0.00	0.00	0.00	0.00	0.00
121	RT	Reliability Assessment	SCE	NA	11/1/2020	474	No	DEC	25	0:00	0:00	91.02	-79560.03	0.00	-3399.51	0.00	0.00	0.00	0.00	0.00	0.00
122	RT	Reliability Assessment	SCE	NA	11/1/2020	474	No	INC	16	7:00	23:00	67.73	97105.55	0.00	-305.76	0.00	0.00	0.00	0.00	0.00	0.00
123	RT	Reliability Assessment	SCE	NA	11/2/2020	474	No	DEC	7	17:00	0:00	-1.29	-19613.80	0.00	62.91	0.00	0.00	0.00	0.00	0.00	0.00
124	RT	Reliability Assessment	SCE	NA	11/2/2020	474	No	INC	17	0:00	17:00	-227.31	13817.73	0.00	8353.21	0.00	0.00	0.00	0.00	0.00	0.00
125	RT	Reliability Assessment	SCE	NA	11/3/2020	474	No	DEC	6	0:00	6:00	44.40	-11090.42	0.00	-1701.22	0.00	0.00	0.00	0.00	0.00	0.00

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126	RT	Reliability Assessment	SCE	NA	11/3/2020	474	No	INC	20	2:00	22:00	-119.31	73686.02	0.00	4782.27	0.00	0.00	0.00	0.00	0.00	0.00
127	RT	Reliability Assessment	SCE	NA	11/7/2020	474	No	DEC	4	20:10	0:00	6.89	0.00	0.00	-205.97	-1.15	0.00	15.26	0.00	0.00	0.00
128	RT	Reliability Assessment	SCE	NA	11/7/2020	474	No	INC	2	21:00	23:00	35.87	7324.18	0.00	-972.02	0.00	0.00	0.00	0.00	0.00	0.00
129	RT	Reliability Assessment	SCE	NA	11/8/2020	474	No	DEC	24	0:00	0:00	35.55	-1348.19	0.00	-1026.70	0.00	0.00	0.00	0.00	0.00	0.00
130	RT	Reliability Assessment	SCE	NA	11/8/2020	474	No	INC	22	1:00	23:00	64.66	9888.44	0.00	1243.71	0.00	0.00	0.00	0.00	0.00	0.00
131	RT	Reliability Assessment	SCE	NA	11/9/2020	480	No	DEC	3	17:00	20:00	-0.57	0.00	0.00	10.68	0.00	0.00	0.00	0.00	0.00	0.00
132	RT	Reliability Assessment	SCE	NA	11/9/2020	475 - 480	No	INC	1	16:00	17:00	-0.24	6012.25	0.00	-38.94	0.00	0.00	0.00	0.00	0.00	0.00
133	RT	Reliability Assessment	SCE	NA	11/12/2020	450	No	DEC	1	16:00	16:30	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
134	RT	Reliability Assessment	SCE	NA	11/12/2020	450	No	INC	1	15:30	16:00	-10.00	2689.29	0.00	310.01	0.00	0.00	0.00	0.00	0.00	0.00
135	RT	Reliability Assessment	SCE	NA	11/13/2020	480	No	INC	5	19:45	0:00	6.81	18315.82	0.00	-164.71	0.00	0.00	0.00	0.00	0.00	0.00
136	RT	Reliability Assessment	SCE	NA	11/16/2020	445 - 470	No	DEC	15	6:35	21:00	-51.50	-3870.97	0.00	1819.53	-11.56	0.00	196.20	0.00	0.00	0.00
137	RT	Reliability Assessment	SCE	NA	11/16/2020	445 - 470	No	INC	17	7:00	0:00	7.47	90371.50	0.00	75.73	-3.33	0.00	57.88	0.00	0.00	0.00
138	RT	Reliability Assessment	SCE	NA	11/17/2020	420 - 450	No	DEC	18	6:00	0:00	-60.39	-2284.28	0.00	1868.64	-30.00	0.00	449.25	0.00	0.00	0.00
139	RT	Reliability Assessment	SCE	NA	11/17/2020	420 - 450	No	INC	23	0:00	23:00	-55.28	19811.59	0.00	2189.02	-2.00	0.00	29.95	0.00	0.00	0.00
140	RT	Reliability Assessment	SCE	NA	11/18/2020	425 - 445	No	DEC	15	5:00	20:00	-103.06	0.00	0.00	3098.59	0.00	0.00	0.00	0.00	0.00	0.00
141	RT	Reliability Assessment	SCE	NA	11/18/2020	425 - 445	No	INC	17	0:00	17:00	-149.93	88201.05	0.00	3393.70	0.00	0.00	0.00	0.00	0.00	0.00
142	RT	Reliability Assessment	SCE	NA	11/19/2020	470	No	DEC	5	19:55	0:00	-70.54	0.00	0.00	1912.40	-5.73	0.00	73.76	0.00	0.00	0.00
143	RT	Reliability Assessment	SCE	NA	11/19/2020	470	No	INC	2	21:00	23:00	-49.78	7883.12	0.00	1375.81	0.00	0.00	0.00	0.00	0.00	0.00

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144	RT	Reliability Assessment	SCE	NA	11/22/2020	450	No	DEC	5	19:15	0:00	27.59	0.00	0.00	-862.42	-10.94	0.00	0.00	0.00	0.00	0.00
145	RT	Reliability Assessment	SCE	NA	11/22/2020	450 - 475	No	INC	5	18:10	23:00	23.60	7208.30	0.00	-646.94	0.00	0.00	0.00	0.00	0.00	0.00
146	RT	Reliability Assessment	SCE	NA	11/23/2020	450 - 460	No	DEC	24	0:00	0:00	-35.97	-3644.05	0.00	705.05	0.00	0.00	0.00	0.00	0.00	0.00
147	RT	Reliability Assessment	SCE	NA	11/23/2020	450 - 460	No	INC	22	1:00	23:00	49.22	25418.97	0.00	-663.66	0.00	0.00	0.00	0.00	0.00	0.00
148	RT	Reliability Assessment	SCE	NA	11/24/2020	460	No	DEC	19	5:00	0:00	-144.86	-2238.45	0.00	4300.74	0.00	0.00	0.00	0.00	0.00	0.00
149	RT	Reliability Assessment	SCE	NA	11/24/2020	460	No	INC	23	0:00	23:00	-183.45	25769.09	0.00	5544.21	0.00	0.00	0.00	0.00	0.00	0.00
150	RT	Reliability Assessment	SCE	NA	11/25/2020	460	No	DEC	21	0:00	21:00	-244.25	-3521.51	0.00	7330.25	0.00	0.00	0.00	0.00	0.00	0.00
151	RT	Reliability Assessment	SCE	NA	11/25/2020	460	No	INC	23	1:00	0:00	69.08	25266.93	0.00	-158.28	0.00	0.00	0.00	0.00	0.00	0.00
152	RT	Reliability Assessment	SCE	NA	11/26/2020	460 - 480	No	DEC	17	5:00	21:15	-64.00	0.00	0.00	2212.47	0.00	0.00	0.00	0.00	0.00	0.00
153	RT	Reliability Assessment	SCE	NA	11/26/2020	460 - 480	No	INC	21	0:00	21:00	18.74	5362.28	0.00	-86.05	0.00	0.00	0.00	0.00	0.00	0.00
154	RT	Reliability Assessment	SCE	NA	11/27/2020	470	No	DEC	5	19:00	0:00	-24.98	0.00	0.00	730.10	0.00	0.00	0.00	0.00	0.00	0.00
155	RT	Reliability Assessment	SCE	NA	11/27/2020	470	No	INC	2	21:00	23:00	-8.16	8525.56	0.00	234.80	0.00	0.00	0.00	0.00	0.00	0.00
156	RT	Reliability Assessment	SCE	NA	11/28/2020	470	No	DEC	19	5:00	0:00	-14.94	-3159.64	0.00	609.96	0.00	0.00	0.00	0.00	0.00	0.00
157	RT	Reliability Assessment	SCE	NA	11/28/2020	470 - 475	No	INC	23	0:00	23:00	-5.21	29435.23	0.00	749.48	0.00	0.00	0.00	0.00	0.00	0.00
158	RT	Reliability Assessment	SCE	NA	11/29/2020	470	No	DEC	3	0:00	3:00	0.65	-7807.92	0.00	-19.80	0.00	0.00	0.00	0.00	0.00	0.00
159	RT	Reliability Assessment	SCE	NA	11/29/2020	470	No	INC	4	3:00	6:45	67.76	-2125.27	0.00	-2177.31	0.00	0.00	0.00	0.00	0.00	0.00
160	RT	Reliability Assessment	SDGE	San Diego-IV	11/27/2020	45	No	INC	12	8:05	20:00	0.65	0.00	0.00	8.84	-1.96	0.00	-9.21	0.00	0.00	0.00
161	RT	Software Limitation	PG&E	Bay Area	11/25/2020	350	No	DEC	1	1:20	2:00	-38.71	0.00	0.00	1162.88	-20.45	0.00	325.13	0.00	0.00	0.00

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162	RT	Software Limitation	PGAE	Fresno	11/1/2020	-320	No	DEC	1	1:35	2:30	-4.00	0.00	0.00	138.37	0.00	0.00	0.00	0.00	0.00	0.00
163	RT	Software Limitation	SCE	LA Basin	11/18/2020	0	No	INC	1	18:30	19:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
164	RT	Software Limitation	SDGE	San Diego-IV	11/1/2020	24	No	INC	2	10:00	12:00	-41.24	3729.31	138.65	1044.11	0.00	0.00	0.00	0.00	0.00	0.00
165	RT	Software Limitation	SDGE	San Diego-IV	11/23/2020	24 - 48	No	INC	8	8:20	16:00	13.75	13134.56	1746.00	-1213.68	0.00	0.00	0.00	0.00	0.00	0.00
166	RT	Unit Testing	Intertie	NA	11/1/2020	10 - 12	No	INC	6	12:00	18:00	33.66	0.00	0.00	-1252.89	67.32	-1252.89	0.00	0.00	0.00	0.00
167	RT	Unit Testing	Intertie	NA	11/2/2020	11 - 25	No	INC	24	0:00	0:00	792.09	0.00	0.00	-30452.04	1583.51	-30438.35	0.00	0.00	0.00	0.00
168	RT	Unit Testing	Intertie	NA	11/3/2020	3 - 25	No	INC	24	0:00	0:00	345.42	0.00	0.00	-12790.77	690.10	-12780.98	0.00	0.00	0.00	0.00
169	RT	Unit Testing	Intertie	NA	11/4/2020	10 - 30	No	INC	11	10:00	21:00	219.84	0.00	0.00	-9115.93	438.70	-9113.15	0.00	0.00	0.00	0.00
170	RT	Unit Testing	Intertie	NA	11/5/2020	25	No	INC	24	0:00	0:00	599.81	0.00	0.00	-53292.18	1199.48	-53288.18	0.00	0.00	0.00	0.00
171	RT	Unit Testing	Intertie	NA	11/6/2020	32 - 148	No	INC	24	0:00	0:00	2570.93	0.00	0.00	-105503.76	5136.10	-105477.45	0.00	0.00	0.00	0.00
172	RT	Unit Testing	Intertie	NA	11/7/2020	48 - 148	No	INC	24	0:00	0:00	2801.00	0.00	0.00	-66957.25	5596.67	-66947.61	0.00	0.00	0.00	0.00
173	RT	Unit Testing	Intertie	NA	11/8/2020	12 - 148	No	INC	18	0:00	18:00	2082.00	0.00	0.00	-43601.33	4158.04	-43592.19	0.00	0.00	0.00	0.00
174	RT	Unit Testing	Intertie	NA	11/9/2020	15 - 64	No	INC	24	0:00	0:00	896.40	0.00	0.00	-28660.50	1790.11	-28616.32	0.00	0.00	0.00	0.00
175	RT	Unit Testing	Intertie	NA	11/10/2020	0 - 43	No	INC	22	2:00	0:00	600.94	0.00	0.00	-16900.23	1199.38	-16870.43	0.00	0.00	0.00	0.00
176	RT	Unit Testing	Intertie	NA	11/11/2020	6	No	INC	20	1:00	21:00	119.94	0.00	0.00	-3332.38	239.88	-3332.38	0.00	0.00	0.00	0.00
177	RT	Unit Testing	Intertie	NA	11/12/2020	31 - 183	No	INC	11	13:00	0:00	1140.63	0.00	0.00	-33760.73	2275.00	-33666.72	0.00	0.00	0.00	0.00
178	RT	Unit Testing	Intertie	NA	11/13/2020	30 - 198	No	INC	24	0:00	0:00	3119.63	0.00	0.00	-71166.26	6230.88	-71094.38	0.00	0.00	0.00	0.00
179	RT	Unit Testing	Intertie	NA	11/14/2020	12 - 117	No	INC	24	0:00	0:00	569.35	0.00	0.00	-13454.91	1135.98	-13438.07	0.00	0.00	0.00	0.00

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Chart 2: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
180	RT	Unit Testing	Intertie	NA	11/15/2020	11 - 68	No	INC	24	0:00	0:00	933.07	0.00	0.00	-17336.19	1863.40	-17320.51	0.00	0.00	0.00	0.00
181	RT	Unit Testing	Intertie	NA	11/16/2020	13 - 27	No	INC	8	0:00	8:00	163.83	0.00	0.00	-4566.68	327.37	-4566.30	0.00	0.00	0.00	0.00
182	RT	Unit Testing	Intertie	NA	11/17/2020	2 - 198	No	INC	16	8:00	0:00	2577.80	0.00	0.00	-74538.74	5151.00	-74494.12	0.00	0.00	0.00	0.00
183	RT	Unit Testing	Intertie	NA	11/18/2020	96 - 198	No	INC	24	0:00	0:00	3643.94	0.00	0.00	-87492.07	7151.65	-86150.97	0.00	0.00	0.00	0.00
184	RT	Unit Testing	Intertie	NA	11/19/2020	9 - 35	No	INC	24	0:00	0:00	592.98	0.00	0.00	-12945.83	1182.44	-12928.74	0.00	0.00	0.00	0.00
185	RT	Unit Testing	Intertie	NA	11/20/2020	10 - 36	No	INC	24	0:00	0:00	474.93	0.00	0.00	-14407.60	948.27	-14402.13	0.00	0.00	0.00	0.00
186	RT	Unit Testing	Intertie	NA	11/21/2020	20 - 89	No	INC	24	0:00	0:00	1359.60	0.00	0.00	-35213.91	2716.48	-35198.99	0.00	0.00	0.00	0.00
187	RT	Unit Testing	Intertie	NA	11/22/2020	10 - 19	No	INC	11	0:00	11:00	121.29	0.00	0.00	-3030.10	241.61	-3028.87	0.00	0.00	0.00	0.00
188	RT	Unit Testing	Intertie	NA	11/23/2020	13 - 114	No	INC	23	1:00	0:00	1067.70	0.00	0.00	-30719.55	2130.36	-30658.52	0.00	0.00	0.00	0.00
189	RT	Unit Testing	Intertie	NA	11/24/2020	11 - 101	No	INC	19	0:00	19:00	1350.78	0.00	0.00	-26739.55	2698.31	-26734.32	0.00	0.00	0.00	0.00
190	RT	Unit Testing	Intertie	NA	11/25/2020	13 - 70	No	INC	24	0:00	0:00	1174.62	0.00	0.00	-22865.13	2346.17	-22844.91	0.00	0.00	0.00	0.00
191	RT	Unit Testing	Intertie	NA	11/26/2020	20 - 86	No	INC	24	0:00	0:00	1472.84	0.00	0.00	-20326.18	2940.88	-20315.96	0.00	0.00	0.00	0.00
192	RT	Unit Testing	Intertie	NA	11/27/2020	18 - 90	No	INC	24	0:00	0:00	1328.82	0.00	0.00	-14167.96	2653.15	-14165.08	0.00	0.00	0.00	0.00
193	RT	Unit Testing	Intertie	NA	11/28/2020	21 - 58	No	INC	24	0:00	0:00	1154.88	0.00	0.00	-20362.88	2306.23	-20339.32	0.00	0.00	0.00	0.00
194	RT	Unit Testing	Intertie	NA	11/29/2020	21 - 114	No	INC	24	0:00	0:00	2207.62	0.00	0.00	-48319.19	4409.73	-48239.41	0.00	0.00	0.00	0.00
195	RT	Unit Testing	Intertie	NA	11/30/2020	21 - 50	No	INC	8	7:00	15:00	357.78	0.00	0.00	-4084.69	714.73	-4076.48	0.00	0.00	0.00	0.00
196	RT	Unit Testing	PGAE	Bay Area	11/19/2020	125 - 200	No	INC	18	5:00	22:30	45.98	3850.98	0.00	-1829.46	88.02	-1576.07	0.00	0.00	0.00	0.00
197	RT	Unit Testing	PGAE	Bay Area	11/20/2020	60 - 140	No	INC	5	19:50	0:00	4.23	0.00	0.00	20.59	136.22	-34.90	0.00	0.00	0.00	0.00

**California Independent System Operator Corporation
Exceptional Dispatch Report
January 15, 2021**

Chart 2: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
198	RT	Unit Testing	PGAE	Bay Area	11/21/2020	46 - 140	Yes	INC	19	0:00	19:00	1772.80	0.00	0.00	-72974.47	1865.22	-46646.73	0.00	0.00	0.00	0.00
199	RT	Unit Testing	PGAE	Bay Area	11/24/2020	175 - 299	No	INC	7	7:00	14:00	-50.95	0.00	0.00	1199.75	66.11	-1502.51	0.00	0.00	0.00	0.00
200	RT	Unit Testing	PGAE	Sierra	11/18/2020	75 - 130	No	INC	5	8:30	13:00	193.49	0.00	0.00	-14508.85	393.95	-14774.03	0.00	0.00	0.00	0.00
201	RT	Unit Testing	PGAE	NA	11/1/2020	70	No	INC	7	10:30	17:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
202	RT	Unit Testing	PGAE	NA	11/10/2020	411	No	INC	1	14:10	15:00	8.92	0.00	0.00	-475.18	26.62	-678.60	0.00	0.00	0.00	0.00
203	RT	Unit Testing	PGAE	NA	11/19/2020	199.8	No	INC	1	19:00	19:40	1.75	0.00	0.00	-97.98	2.88	-97.98	0.00	0.00	0.00	0.00
204	RT	Unit Testing	SCE	Big Creek-Ventura	11/17/2020	400 - 700	No	INC	5	19:40	0:00	428.66	26558.68	0.00	-21853.48	741.06	-20056.87	0.00	0.00	0.00	0.00
205	RT	Unit Testing	SCE	Big Creek-Ventura	11/18/2020	600	No	INC	3	0:00	2:30	-1.12	0.00	0.00	-2085.12	170.86	-4528.77	0.00	0.00	0.00	0.00
206	RT	Unit Testing	SCE	LA Basin	11/19/2020	147 - 150	No	INC	3	16:30	18:35	118.81	0.00	0.00	-5272.62	0.54	-25.37	0.00	0.00	0.00	0.00
207	RT	Unit Testing	SCE	San Diego-IV	11/25/2020	7.5 - 10	No	INC	1	21:05	21:40	12.22	0.00	0.00	-443.39	0.04	-0.88	0.00	0.00	0.00	0.00
208	RT	Unit Testing	SCE	NA	11/1/2020	85 - 125	No	INC	5	10:30	15:00	943.42	0.00	0.00	-13736.56	1876.88	-13679.99	0.00	0.00	0.00	0.00
209	RT	Unit Testing	SCE	NA	11/27/2020	50 - 100	No	INC	2	14:45	16:35	290.22	0.00	0.00	-3763.18	559.75	-3716.27	0.00	0.00	0.00	0.00
210	RT	Unit Testing	SCE	NA	11/28/2020	70 - 115	No	INC	7	9:00	16:00	-5.91	0.00	0.00	90.83	2589.03	-4.39	0.00	0.00	0.00	0.00
211	RT	Unit Testing	SCE	NA	11/29/2020	70 - 115	Yes	INC	8	8:00	15:30	-2.50	0.00	0.00	61.09	2778.07	0.00	0.00	0.00	0.00	0.00
212	RT	Voltage Support	PGAE	Big Creek-Ventura	11/8/2020	62	No	INC	15	0:50	15:00	0.03	66378.26	0.00	-0.81	0.00	0.00	0.00	0.00	0.00	0.00
213	RT	Voltage Support	PGAE	Fresno	11/1/2020	-320	No	DEC	8	1:30	8:00	-109.33	0.00	0.00	3626.51	0.00	0.00	0.00	0.00	0.00	0.00
214	RT	Voltage Support	PGAE	Fresno	11/2/2020	-303	No	DEC	7	0:45	7:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
215	RT	Voltage Support	PGAE	Fresno	11/3/2020	-301	No	DEC	2	3:20	4:45	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

**California Independent System Operator Corporation
Exceptional Dispatch Report
January 15, 2021**

Chart 2: Table of Exceptional Dispatches for Period 01/November/2020 - 30/November/2020

Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Commitment	INC_DEC	Hours	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC6620
216	RT	Voltage Support	PGAE	Fresno	11/4/2020	-302	No	DEC	4	1:50	5:45	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
217	RT	Voltage Support	PGAE	Fresno	11/5/2020	-302	No	DEC	4	2:30	5:45	-2.75	0.00	0.00	119.67	0.00	0.00	0.00	0.00	0.00	0.00
218	RT	Voltage Support	PGAE	Fresno	11/8/2020	5	No	INC	2	22:45	0:00	0.21	0.00	0.00	-5.88	0.00	0.00	0.00	0.00	0.00	0.00
219	RT	Voltage Support	PGAE	Fresno	11/9/2020	5	No	INC	7	0:00	7:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
220	RT	Voltage Support	PGAE	Fresno	11/22/2020	5	No	INC	4	4:10	8:00	3.94	0.00	0.00	-132.61	1.17	-37.50	0.00	0.00	0.00	0.00
221	RT	Voltage Support	PGAE	Sierra	11/15/2020	20	No	DEC	4	16:00	20:00	12.94	0.00	0.00	-1405.92	0.00	0.00	0.00	0.00	0.00	0.00
222	RT	Voltage Support	PGAE	Sierra	11/15/2020	20	No	INC	17	7:40	0:00	-37.71	28766.59	424.26	914.83	0.00	0.00	0.00	0.00	0.00	0.00
223	RT	Voltage Support	PGAE	Sierra	11/16/2020	20	No	DEC	4	16:00	20:00	-48.30	0.00	0.00	1899.67	0.00	0.00	0.00	0.00	0.00	0.00
224	RT	Voltage Support	PGAE	Sierra	11/16/2020	20	Yes	INC	16	0:00	16:00	-0.32	23743.85	0.00	24.61	0.00	0.00	0.00	0.00	0.00	0.00
225	RT	Voltage Support	PGAE	Sierra	11/17/2020	20	No	DEC	1	17:00	18:00	-22.99	-6.25	0.00	793.70	0.00	0.00	0.00	0.00	0.00	0.00
226	RT	Voltage Support	PGAE	Sierra	11/17/2020	20	No	INC	12	8:25	20:00	-47.77	18800.76	0.00	1643.56	0.00	0.00	0.00	0.00	0.00	0.00
227	RT	Voltage Support	PGAE	NA	11/8/2020	50 - 307	No	INC	18	6:15	0:00	26.89	56049.54	0.00	-884.98	0.53	-5.92	0.00	0.00	-98.97	0.00
228	RT	Voltage Support	PGAE	NA	11/9/2020	205	No	DEC	2	5:00	7:00	21.50	5402.38	0.00	-1191.91	0.00	0.00	0.00	0.00	0.00	0.00
229	RT	Voltage Support	PGAE	NA	11/9/2020	205 - 307	No	INC	5	0:00	5:00	45.92	54023.70	0.00	-1527.97	0.00	0.00	0.00	0.00	-34.13	0.00
230	RT	Voltage Support	PGAE	NA	11/16/2020	48.95	No	INC	7	1:10	8:00	11.67	10244.85	0.00	-330.26	0.00	0.00	0.00	0.00	0.00	0.00

Appendix A: Explanation by Example

All examples listed below are based on fictitious data. Many simplified assumptions are made to explain settlement charge codes, and not all assumptions are explicitly stated in these examples. For instance, settlement charge codes are calculated based on metered quantities, whereas in these examples, the dispatch quantities are assumed to be equal to metered quantities. These assumptions have been made to simplify the understanding of settlements calculations.

Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its Pmin of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason in Table 2. Exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load. Table 2 below also shows the commitment costs and the total volume (MWh) of exceptional dispatch instruction for each resource. The minimum load costs and start up costs, shown in Table 2 are the eligible minimum load and start up costs different from the bid-in minimum load and start up costs⁷. Only those quantities which relate to pre-day-ahead unit commitments are shown in this table.

Table 2: Instructions Prior to Day-Ahead Market

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch level (MW)	Reason	Total Volume (MWh)	Min-Load Cost	Start- Up Cost	CC6620 (BCR)
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630	300	\$5000	\$0	0
01-Jul-09	DA	B	SCE	LA BASIN	08:00	20:00	30	7630	390	\$6000	\$500	\$4000
01-Jul-09	DA	C	SCE	LA BASIN	09:00	23:00	20	7630	300	\$400	\$1000	\$1000

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead however, the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the reason, meaning that the range between the begin time and end time can include null hours with no dispatch. The total volume (MWh) is the MWh quantity for each resource, which adds up to 990 MWh. Similarly, all cost information is sum of individual resource costs. Some resources bid-in zero start-up cost; as seen in this example, resource A bid in zero for its start up cost. Since the CAISO does not explicitly pay a resource for bid-in minimum load costs and start-up costs; these costs are recovered through the charge code CC6620 (Bid Cost Recovery), this table shows the summary of CC6620 for the classification. Here, it is the CC6620 for all three resources which adds up to \$5000. This column shows the impact of exceptional dispatch on bid cost recovery for all pre-day-ahead exceptional dispatch commitments.

Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time	Total Volume (MWh)	Min-Load Cost	Start-Up Cost	CC6620
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00	990	\$11,400	\$1,500	\$5000

Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours 6:00 through 11:00 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours 7:00

⁷ Please refer to the BPM configuration Guide: Bid Cost Recovery Settlements published on the CAISO's website for details about eligible minimum load and start up costs.

through 9:00 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4. This table also shows volume (MWh) and various real-time charge codes associated with the exceptional dispatch instructions. The total MWh column for each resource shows all types of imbalance energy quantities for this resource between the begin time and end time which includes both the exceptional dispatch energy quantities and optimal energy quantities.

Resource A was committed at its Pmin so its total volume (MWh) is equal to its Pmin times the number of hours, which is calculated as 30 MW times 6 hours and is equal to 180 MWh. The resource Minimum load costs and the start up costs are its eligible commitment costs for that period. LMP at this resource is \$10/MWh, so the charge code CC6470 is calculated at (180 MWh * \$10/MWh) and is equal to \$1,800. Since this resource is not dispatched above its Pmin, it has a zero volume (MWh) of exceptional dispatch. All charge codes associated with the exceptional dispatch increment or decrement quantities are zero.

Resource B is dispatched 20 MW above its day-ahead schedule, so its total volume (MWh) is calculated as 20 MW times 3 hours which is equal to 60 MWh. Since the resource was committed in the Day-Ahead Market there are no minimum load quantity and start up costs associated with this resource. The resource had a bid price of \$100/MWh and the LMP at that resource was \$10/MWh. All of 60 MWh is considered as exceptional dispatch incremental quantity shown in ED Volume (MWH INC/DEC) column. The charge code CC6470 INC is calculated as 60 MWh * resource LMP (\$10/MWh) which is equal to \$600. Since the only imbalance energy in this timeframe was the exceptional dispatch volume, the charge code CC6470 is equal to CC6470 INC. The charge code CC6488 is calculated as MWH quantity *(bid price – LMP), which is equal to \$5400 (60 MWh *(\$10/MWh-\$100/MWh)). Similarly, volumes and real-time charge codes are calculated for resource C.

Table 4: Incremental Exceptional Dispatch Instructions in RTM

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488
1-Jul-09	RT	A	PG&E	Humboldt	6:00	11:00	30	0	Yes	INC	30	7110	180	1000	50	1800	0	0	0	0	0
1-Jul-09	RT	B	PG&E	Humboldt	7:00	9:00	40	20	No	INC	20	7110	60	0	0	600	60	600	0	0	5400
1-Jul-09	RT	C	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110	0	0	0	0	0	0	0	0	0
1-Jul-09	RT	C	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110	50	0	0	300	20	300	0	0	200

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly, the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time, the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC, as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example, it is possible there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the reason. Both volume and cost information columns are the summation for all the respective columns for resources A, B and C. For instance, the Total volume (MWh) column is calculated as summation of 180,60,0 and 50, which are the individual volumes (MWh) for resources A, B and C for time periods shown in Table 4.

Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	6:00	20:00	290	1000	50	1700	140	1500	0	0	11000

It is possible that the CAISO would dispatch a particular resource, for instance at 10 MW from hours ending 1 through 4, and all or part of its energy might settle as optimal energy. This situation occurs when the LMP at the resource pricing node is above the resource bid price. This cost will only be captured in charge code 6470. It is also possible that CAISO issues an exceptional dispatch for the resource to operate at a minimum of 10 MW which is its Pmin; however the market application might dispatch this resource above Pmin because the resource is economical. When this occurs, the charge code CC6470 and the total MWh quantity might overstate the actual exceptional dispatch MWh quantities. So, to best estimate the cost and volume (MWh) of exceptional dispatch, it is appropriate to consider only the following columns: ED MWh (INC/DEC), CC6470 INC, CC6470 DEC, CC6482, CC6488.

Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6. This table also includes volume (MWh) and cost information.

Resource A is committed in real-time at its Pmin, its total volume (MWh) is 20MW *6 hours which is equal to 120 MWh. This resource has a zero MW of incremental dispatch in all hours, so all other relevant cost and volume columns result in zeros. Resource B has a decremental MW of 20 MW in 3 hours, which results in 60 MWh of decremental volume. Since this resource is not committed in real-time, both the minimum load cost and start up costs are zero. This resource had a bid price of \$50/MWh and LMP at the resource pricing node is \$10/ MWh. Based on this information CC6470-Dec is calculated as 60 MWh *\$10/MWh which is equal to \$600. Since this resource has its ED volume (MWh) equal to its Total volume, CC6470 is equal to CC6470- DEC. The CC6488 is calculated as (60 MWh * (\$50/MWh - \$10/MWh)), which is equal to \$2400. Resource C had a bid price of \$10/MWh and the LMP at its pricing node is \$50/MWh. Based on this information, volume and cost information is calculated for resource C.

Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch level (MW)	Day-Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488
1-Jul-09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430	120	\$ 120	\$ 100	\$ -	0	\$ -	\$ -	\$ -	\$ -
1-Jul-09	RT	B	PG&E	Fresno	7:00	9:00	40	60	No	DEC	20	7430	(60)	\$ -	\$ -	\$ 600	-60	\$ -	\$ 600	\$ -	\$2,400
1-Jul-09	RT	C	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430	(50)	\$ -	\$ -	\$ 500	-50	\$ -	\$ 500	\$ -	\$2,000

This data is summarized according to FERC convention in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Incs and decs are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. The volume and cost information are summarized by INC and DEC classification.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00	120	\$ 120	\$ 100	\$ -	0	\$ -	\$ -	\$ -	\$ -
2	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	7:00	14:00	(110)	\$ -	\$ -	\$ (1,100)	\$ (110)	\$ -	\$ (1,100)	\$ -	\$ (4,400)

Appendix B: Price Impact Analysis

In the September 2 FERC order, FERC requested the CAISO to perform price impact analysis on two distinct pricing nodes for the entire reporting period. The order also mentioned that the CAISO must pick two pricing nodes for the entire reporting period that are most affected by the exceptional dispatch instructions, and the two pricing nodes must belong to two load aggregation points (LAPs).

Based on this requirement the CAISO implemented a methodology to perform price impact analysis. First, the CAISO identified a heavily affected pricing node from each of the Pacific Gas & Electric (PG&E) LAP and Southern California Edison (SCE) LAP. These two pricing nodes had the maximum amount of exceptional dispatch volume (MWh) in their respective LAP. Point A is in PG&E LAP and point B is in SCE LAP. Please note these two points correspond to an actual pricing node in the CAISO system. Only one resource was connected to each of these pricing nodes. For each resource the following input parameters were obtained to perform the analysis:

Exceptional dispatch information: constrained level, constraint type, start of exceptional dispatch instruction and end of exceptional dispatch instruction.
 Real-Time LMPs for each of the five minute intervals for the month.
 Real-Time hourly bid set for each trade hour.
 Day-Ahead award for the resources.

The exceptional dispatch intervals have a begin time and an end time which can span as small as one minute to as large as 24 hours. Since the market application dispatches resources on five-minute basis, the exceptional dispatch instructions for each of these resources were broken down into five-minute intervals. If the begin time or end time for an instruction was in the middle of the five-minute interval, that instruction was rounded up to the next five-minute interval. These five-minute intervals were then coupled with resource five-minute LMPs calculated by the real-time market application. Also, the hourly bid information and the hourly day-ahead schedule were put together to create a dataset that had all the information to perform price impact analysis.

An exceptional dispatch instruction can be classified as a start up instruction, an instruction to be dispatched at or above the constrained level, an instruction to be dispatched at or below a constrained level, an instruction to be dispatched at a fixed constrained level, or a shut down instruction. The Locational Marginal Price (LMP) is set by a resource which can provide the next incremental MW of energy. Based on this definition of LMP and the classification of exceptional dispatches based on constraint type, a resource may set the LMP in only those intervals in which the resource is eligible to move either up or down from its constrained level. Hence, in those intervals in which the resource was constrained up at its Pmax or the resource was exceptionally dispatched to its Pmax and forced to generate at that level, the resource was ineligible to set the price as it had no room to move up. Similarly, if the resource was constrained down at its Pmin, then the resource was not eligible to set the price. All those intervals in which the resource was ineligible to set the price were dropped from the dataset under consideration. From this dataset of only eligible intervals, for both pricing nodes A and B, LMPs were calculated for all intervals based on the resource dispatch level and the its bid set. The calculated LMP is equal to that bid price corresponding to the constrained MW segment.

Table 8 shows the price impact analysis information for node A, which is in the PG&E area. This table shows all the five minute intervals in which the resource at PNode A was issued an exceptional dispatch instruction and was eligible to set the price. Out of the 8,640 five-minute intervals in November, this resource was issued exceptional dispatch instructions in 14 five-minute intervals. This resource was eligible to set the LMP in 14 intervals. Out of the 14 intervals, resource calculated LMP was larger than the market LMP in 14 intervals. In the 14 intervals, the average increase in five minute LMP was \$28.13/MWh. Out of the 14 intervals, resource calculated LMP was less than the market LMP in 0 intervals. This implies that if the CAISO could model the constraint for this exceptional dispatch, then this resource and all other pricing nodes associated with that constraint would observe an average increase of \$28.13/MWh

Table 9 shows the price impact analysis information for node B, which is in the SCE area. This table shows all the five minute intervals in which the resource at PNode B was issued an exceptional dispatch instruction and was eligible to set the price. Out of the 8,640 five-minute intervals in November, this resource was issued exceptional dispatch instructions in 633 five-minute intervals. This resource was eligible to set the LMP in 189 intervals. Out of the 189 intervals, resource calculated LMP was larger than the market LMP in 181 intervals. In the 181 intervals, the average increase in five minute LMP was \$68.04/MWh. Out of the 189 intervals, resource calculated LMP was less than the market LMP in 8 intervals. In the 8 intervals, the average decrease in five minute LMP was \$41.87/MWh. This implies that if the CAISO could model the constraint for this exceptional dispatch, then this resource and all other pricing nodes associated with that constraint would observe an average increase of \$63.39/MWh

Table 8: Price Impact Analysis Information for Pricing Node A in PG&E LAP

Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
1	11/10/2020	12	5	22.34	Yes	45	22.66
2	11/10/2020	12	6	21.84	Yes	45	23.16
3	11/10/2020	12	7	21.78	Yes	45	23.22
4	11/10/2020	12	8	22.26	Yes	45	22.74
5	11/10/2020	12	9	20.88	Yes	45	24.12
6	11/10/2020	12	10	17.82	Yes	45	27.18
7	11/10/2020	12	11	16.82	Yes	45	28.18
8	11/10/2020	12	12	14.57	Yes	45	30.43
9	11/10/2020	13	1	6.76	Yes	45	38.24
10	11/10/2020	13	2	16.58	Yes	45	28.42
11	11/10/2020	13	3	14.38	Yes	45	30.62
12	11/10/2020	13	4	13.40	Yes	45	31.60
13	11/10/2020	13	5	13.33	Yes	45	31.67
14	11/10/2020	13	6	13.40	Yes	45	31.60

Table 9: Price Impact Analysis Information for Pricing Node B in SCE LAP

Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
1	11/2/2020	18	4	48.28	Yes	137.61	89.33
2	11/2/2020	18	5	46.17	Yes	137.61	91.44
3	11/2/2020	18	6	46.87	Yes	137.61	90.74
4	11/2/2020	18	7	46.08	Yes	137.61	91.53
5	11/2/2020	18	8	44.86	Yes	137.61	92.75
6	11/2/2020	18	9	45.09	Yes	137.61	92.52
7	11/2/2020	18	10	49.38	Yes	137.61	88.23
8	11/2/2020	18	11	47.55	Yes	137.61	90.06
9	11/2/2020	18	12	44.06	Yes	137.61	93.55
10	11/2/2020	19	1	55.06	Yes	137.61	82.55
11	11/2/2020	19	2	53.48	Yes	137.61	84.13
12	11/2/2020	19	3	50.68	Yes	137.61	86.93
13	11/2/2020	19	4	57.22	Yes	137.61	80.39
14	11/2/2020	19	5	52.12	Yes	137.61	85.49
15	11/2/2020	19	6	50.29	Yes	137.61	87.32
16	11/2/2020	19	7	50.56	Yes	137.61	87.05
17	11/2/2020	19	8	50.47	Yes	137.61	87.14
18	11/2/2020	19	9	50.15	Yes	137.61	87.46
19	11/2/2020	19	10	47.06	Yes	137.61	90.55
20	11/2/2020	19	11	45.35	Yes	137.61	92.26
21	11/2/2020	19	12	42.37	Yes	137.61	95.24
22	11/2/2020	20	1	61.01	Yes	137.61	76.60
23	11/2/2020	20	2	50.42	Yes	137.61	87.19
24	11/2/2020	20	3	48.67	Yes	137.61	88.94
25	11/2/2020	20	4	50.60	Yes	137.61	87.01
26	11/2/2020	20	5	54.64	Yes	137.61	82.97
27	11/2/2020	20	6	51.07	Yes	137.61	86.54
28	11/2/2020	20	7	48.90	Yes	137.61	88.71
29	11/2/2020	20	8	50.30	Yes	137.61	87.31
30	11/2/2020	20	9	47.36	Yes	137.61	90.25
31	11/2/2020	20	10	47.20	Yes	137.61	90.41
32	11/2/2020	20	11	47.20	Yes	137.61	90.41
33	11/2/2020	20	12	47.20	Yes	137.61	90.41
34	11/2/2020	21	1	50.04	Yes	137.61	87.57

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Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
35	11/2/2020	21	2	50.37	Yes	137.61	87.24
36	11/2/2020	21	3	49.38	Yes	137.61	88.23
37	11/2/2020	21	4	50.40	Yes	137.61	87.21
38	11/2/2020	21	5	41.90	Yes	137.61	95.71
39	11/2/2020	21	6	42.57	Yes	137.61	95.04
40	11/2/2020	21	7	43.03	Yes	137.61	94.58
41	11/2/2020	21	8	43.03	Yes	137.61	94.58
42	11/2/2020	21	9	43.03	Yes	137.61	94.58
43	11/2/2020	21	10	43.01	Yes	137.61	94.60
44	11/2/2020	21	11	43.01	Yes	137.61	94.60
45	11/2/2020	21	12	41.52	Yes	137.61	96.09
46	11/2/2020	22	1	52.32	Yes	137.61	85.29
47	11/2/2020	22	2	42.87	Yes	53.20	10.33
48	11/2/2020	22	3	43.11	Yes	137.61	94.50
49	11/2/2020	22	4	42.86	Yes	137.61	94.75
50	11/2/2020	22	5	42.86	Yes	137.61	94.75
51	11/2/2020	22	6	41.34	Yes	137.61	96.27
52	11/2/2020	22	7	39.50	Yes	137.61	98.11
53	11/2/2020	22	8	41.50	Yes	137.61	96.11
54	11/2/2020	22	9	38.39	Yes	137.61	99.22
55	11/2/2020	22	10	37.18	Yes	137.61	100.43
56	11/2/2020	22	11	36.35	Yes	137.61	101.26
57	11/2/2020	22	12	35.72	Yes	137.61	101.89
58	11/4/2020	17	1	45.04	Yes	189.68	144.64
59	11/4/2020	17	2	47.07	Yes	77.63	30.56
60	11/4/2020	17	3	52.92	Yes	77.63	24.71
61	11/4/2020	17	4	53.77	Yes	189.68	135.91
62	11/4/2020	17	5	57.52	Yes	77.63	20.11
63	11/4/2020	17	6	57.52	Yes	189.68	132.16
64	11/4/2020	17	7	57.76	Yes	77.63	19.87
65	11/4/2020	17	8	57.62	Yes	77.63	20.01
66	11/4/2020	17	9	59.84	Yes	189.68	129.84
67	11/4/2020	17	10	64.17	Yes	189.68	125.51
68	11/4/2020	17	11	63.44	Yes	189.68	126.24
69	11/4/2020	17	12	61.09	Yes	189.68	128.59
70	11/4/2020	18	1	56.65	Yes	77.63	20.98
71	11/4/2020	18	2	58.65	Yes	77.63	18.98
72	11/4/2020	18	3	58.65	Yes	77.63	18.98

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Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
73	11/4/2020	18	4	59.72	Yes	77.63	17.91
74	11/4/2020	18	5	61.73	Yes	189.68	127.95
75	11/4/2020	18	6	62.31	Yes	189.68	127.37
76	11/4/2020	18	7	59.72	Yes	77.63	17.91
77	11/4/2020	18	8	58.67	Yes	77.63	18.96
78	11/4/2020	18	9	58.66	Yes	77.63	18.97
79	11/4/2020	18	10	59.70	Yes	77.63	17.93
80	11/4/2020	18	11	59.70	Yes	77.63	17.93
81	11/4/2020	18	12	59.70	Yes	189.68	129.98
82	11/4/2020	19	1	60.03	Yes	189.68	129.65
83	11/4/2020	19	2	59.25	Yes	189.68	130.43
84	11/4/2020	19	3	58.75	Yes	189.68	130.93
85	11/4/2020	19	4	60.37	Yes	189.68	129.31
86	11/4/2020	19	5	60.37	Yes	189.68	129.31
87	11/4/2020	19	6	59.22	Yes	189.68	130.46
88	11/4/2020	19	7	56.09	Yes	77.63	21.54
89	11/4/2020	19	8	56.23	Yes	77.63	21.40
90	11/4/2020	19	9	56.23	Yes	77.63	21.40
91	11/4/2020	19	10	57.89	Yes	77.63	19.74
92	11/4/2020	19	11	56.65	Yes	77.63	20.98
93	11/4/2020	19	12	56.22	Yes	189.68	133.46
94	11/4/2020	20	1	60.42	Yes	189.68	129.26
95	11/4/2020	20	2	60.42	Yes	189.68	129.26
96	11/4/2020	20	3	59.66	Yes	189.68	130.02
97	11/4/2020	20	4	63.02	Yes	189.68	126.66
98	11/4/2020	20	5	60.03	Yes	189.68	129.65
99	11/4/2020	20	6	59.71	Yes	189.68	129.97
100	11/4/2020	20	7	60.24	Yes	189.68	129.44
101	11/4/2020	20	8	58.63	Yes	189.68	131.05
102	11/4/2020	20	9	56.73	Yes	189.68	132.95
103	11/4/2020	20	10	56.07	Yes	189.68	133.61
104	11/4/2020	20	11	56.07	Yes	189.68	133.61
105	11/4/2020	20	12	56.07	Yes	189.68	133.61
106	11/5/2020	16	1	36.85	Yes	76.22	39.37
107	11/5/2020	16	2	44.46	Yes	76.22	31.76
108	11/5/2020	16	3	53.60	Yes	76.22	22.62
109	11/5/2020	16	4	51.27	Yes	76.22	24.95
110	11/5/2020	16	5	51.45	Yes	76.22	24.77

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Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
111	11/5/2020	16	6	53.76	Yes	76.22	22.46
112	11/5/2020	16	7	53.48	Yes	76.22	22.74
113	11/5/2020	16	8	57.58	Yes	76.22	18.64
114	11/5/2020	16	9	61.66	Yes	76.22	14.56
115	11/5/2020	16	10	59.89	Yes	76.22	16.33
116	11/5/2020	16	11	62.79	Yes	76.22	13.43
117	11/5/2020	16	12	78.88	Yes	76.22	-2.66
118	11/5/2020	17	1	41.68	Yes	76.22	34.54
119	11/5/2020	17	2	44.62	Yes	76.22	31.60
120	11/5/2020	17	3	50.81	Yes	76.22	25.41
121	11/5/2020	17	4	46.94	Yes	186.49	139.55
122	11/5/2020	17	5	51.06	Yes	186.49	135.43
123	11/5/2020	17	6	51.85	Yes	76.22	24.37
124	11/5/2020	17	7	50.08	Yes	76.22	26.14
125	11/5/2020	17	8	52.94	Yes	76.22	23.28
126	11/5/2020	17	9	66.30	Yes	76.22	9.92
127	11/5/2020	17	10	60.50	Yes	76.22	15.72
128	11/5/2020	17	11	65.61	Yes	76.22	10.61
129	11/5/2020	17	12	61.85	Yes	76.22	14.37
130	11/5/2020	18	1	75.67	Yes	76.22	0.55
131	11/5/2020	18	2	80.24	Yes	76.22	-4.02
132	11/5/2020	18	3	313.87	Yes	76.22	-237.65
133	11/5/2020	18	4	137.34	Yes	76.22	-61.12
134	11/5/2020	18	5	85.58	Yes	76.22	-9.36
135	11/5/2020	18	6	90.42	Yes	80.07	-10.35
136	11/5/2020	18	7	85.82	Yes	76.22	-9.60
137	11/5/2020	18	8	76.49	Yes	76.22	-0.27
138	11/5/2020	18	9	75.38	Yes	76.22	0.84
139	11/5/2020	18	10	61.62	Yes	76.22	14.60
140	11/5/2020	18	11	63.75	Yes	76.22	12.47
141	11/5/2020	18	12	60.70	Yes	76.22	15.52
142	11/5/2020	19	1	51.68	Yes	76.22	24.54
143	11/5/2020	19	2	50.24	Yes	76.22	25.98
144	11/5/2020	19	3	48.68	Yes	76.22	27.54
145	11/5/2020	19	4	53.05	Yes	76.22	23.17
146	11/5/2020	19	5	63.72	Yes	186.49	122.77
147	11/5/2020	19	6	56.66	Yes	76.22	19.56
148	11/5/2020	19	7	53.56	Yes	76.22	22.66

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Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
149	11/5/2020	19	8	52.96	Yes	76.22	23.26
150	11/5/2020	19	9	63.86	Yes	186.49	122.63
151	11/5/2020	19	10	49.12	Yes	186.49	137.37
152	11/5/2020	19	11	46.50	Yes	186.49	139.99
153	11/5/2020	19	12	44.25	Yes	186.49	142.24
154	11/5/2020	20	1	57.15	Yes	76.22	19.07
155	11/5/2020	20	2	58.75	Yes	76.22	17.47
156	11/5/2020	20	3	57.80	Yes	76.22	18.42
157	11/5/2020	20	4	53.13	Yes	76.22	23.09
158	11/5/2020	20	5	53.12	Yes	76.22	23.10
159	11/5/2020	20	6	51.02	Yes	186.49	135.47
160	11/5/2020	20	7	61.38	Yes	76.22	14.84
161	11/5/2020	20	8	52.86	Yes	76.22	23.36
162	11/5/2020	20	9	65.31	Yes	186.49	121.18
163	11/5/2020	20	10	64.59	Yes	186.49	121.90
164	11/5/2020	20	11	55.41	Yes	186.49	131.08
165	11/5/2020	20	12	49.51	Yes	186.49	136.98
166	11/5/2020	21	1	65.96	Yes	76.22	10.26
167	11/5/2020	21	2	52.95	Yes	76.22	23.27
168	11/5/2020	21	3	51.27	Yes	76.22	24.95
169	11/5/2020	21	4	47.45	Yes	76.22	28.77
170	11/5/2020	21	5	47.70	Yes	76.22	28.52
171	11/5/2020	21	6	46.99	Yes	186.49	139.50
172	11/5/2020	21	7	57.23	Yes	76.22	18.99
173	11/5/2020	21	8	53.56	Yes	76.22	22.66
174	11/5/2020	21	9	52.50	Yes	76.22	23.72
175	11/5/2020	21	10	53.01	Yes	76.22	23.21
176	11/5/2020	21	11	49.77	Yes	76.22	26.45
177	11/5/2020	21	12	44.17	Yes	76.22	32.05
178	11/5/2020	22	1	64.81	Yes	76.22	11.41
179	11/5/2020	22	2	61.50	Yes	76.22	14.72
180	11/5/2020	22	3	61.48	Yes	76.22	14.74
181	11/5/2020	22	4	65.10	Yes	76.22	11.12
182	11/5/2020	22	5	61.25	Yes	76.22	14.97
183	11/5/2020	22	6	49.32	Yes	76.22	26.90
184	11/5/2020	22	7	76.22	Yes	76.22	0.00
185	11/5/2020	22	8	57.99	Yes	76.22	18.23
186	11/5/2020	22	9	50.99	Yes	76.22	25.23

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Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
187	11/5/2020	22	10	45.19	Yes	76.22	31.03
188	11/5/2020	22	11	44.30	Yes	76.22	31.92
189	11/5/2020	22	12	43.68	Yes	76.22	32.54

Appendix C: Exceptional Dispatch Bid Mitigation Analysis

In November 2020, the ISO applied the exceptional dispatch bid mitigation to the exceptional dispatches. Table 10 shows the costs by instruction type in November. With exceptional dispatch bid mitigation, the costs for these types of exceptional dispatches were \$ 1,570. Without the exceptional dispatch bid mitigation, the costs for these types of exceptional dispatches would be \$ 178,189. The cost saving from the exceptional dispatch bid mitigation was \$ 176,619.

Table 10: Bid Mitigation Analysis for November 2020

Type	Number of Resources	Costs without Bid Mitigation	Costs with Bid Mitigation	Cost Saving
NONTMOD	2	\$ 178,189	\$ 1,570	\$ 176,619
Total	2	\$ 178,189	\$ 1,570	\$ 176,619

CERTIFICATE OF SERVICE

I hereby certify that I have served the foregoing document upon the parties listed on the official service lists in the above-referenced proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California, this 15th day of January, 2021.

/s/ Jacqueline Meredith
Jacqueline Meredith