

October 16, 2023

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington, DC 20426

### Re: California Independent System Operator Corporation Docket Nos. ER08-1178-000 and EL08-88-000 August 2023 Exceptional Dispatch Reports (Charts 1 and 2)

Dear Secretary Bose:

The California Independent System Operator Corporation (CAISO) submits both its August 2023 (Chart 1) and August 2023 (Chart 2) Exceptional Dispatch reports as required by the Commission in the September 2, 2009 and May 4, 2010 orders. Because the necessary information is available, the CAISO is issuing the Chart 1 and Chart 2 reports on the 15<sup>th</sup> of the month. Previously, the Chart 2 report was filed on the 30<sup>th</sup> of the month.

Each report provides information that the Commission directed be included, as set forth in the September 2, 2009 and May 4, 2010 orders. The Chart 1 report (Attachment A), includes exceptional dispatch information except for cost data and the degree of mitigation and price impact analyses. The Chart 2 report (Attachment B), includes all of the information in the Chart 1 report as well as cost data and the degree of mitigation and price impact analyses.

Respectfully submitted,

### By: /s/ Heather Curlee

Roger E. Collanton General Counsel Heather Curlee Senior Counsel California Independent System Operator Corporation 250 Outcropping Way Folsom, CA 95630 Tel: (916) 963-0654 Fax: (916) 608-7222 hcurlee@caiso.com

# ATTACHMENT A

August 2023 Exceptional Dispatch Report Chart 1 data



# **Exceptional Dispatch Report**

# Table 1: August 2023

**CAISO Market Analysis and Forecasting** 

October 15, 2023

CAISO 250 Outcropping Way Folsom, California 95630 (916) 351-4400

# TABLE OF CONTENTS

Introduction	3
The Nature of Exceptional Dispatch	
Appendix A: Explanation by Example	
Example 1: Exceptional Dispatch Instructions Prior to DAM	
Example 2: Incremental Exceptional Dispatch Instructions in RTM	18
Example 3: Decremental Exceptional Dispatch Instructions in RTM	

# LIST OF TABLES AND FIGURES

Table 1: Exceptional Dispatches in August 2023	6
Table 2: Instructions Prior to Day-Ahead Market	17
Table 3: FERC Summary of Instructions Prior to DAM	18
Table 4: Incremental Exceptional Dispatch Instructions in RTM	18
Table 5: FERC Summary of ED Instructions in RTM	19
Table 6: Decremental Exceptional Dispatch Instructions in RTM	20
Table 7: FERC Summary of Decremental ED Instructions in RTM	20

# Introduction

This report is filed pursuant to FERC's September 2, 2009, and May 4, 2010, orders in Docket No. ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15<sup>th</sup> of each month and one originally issued on the 30<sup>th</sup> of each month. Both Table 1 and Table 2 reports will be issued on the 15<sup>th</sup> of each month due to the availability of necessary data. This report provides data on the frequency and reasons for Exceptional Dispatches issued in August 2023.

# The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a preday-ahead unit commitment, which may also include a post-day-ahead unit commitment, or a real-time exceptional dispatch.<sup>1</sup> A pre-day-ahead commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the day-ahead market. A post-day-ahead market commitment is an exceptional dispatch instruction that commits a resource at or above its physical minimum operating level in the real-time market. A real-time exceptional dispatch instruction is a dispatch of a resource at or above its physical minimum operating point. A real-time exceptional dispatch above the resource day-ahead award is an incremental exceptional dispatch instruction and an exceptional dispatch below the day-ahead award is a decremental dispatch instruction.

The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason.

Many of the exceptional dispatches listed below in Table 1, were to satisfy either a local area or system reliability requirements, and are classified into local generation requirements, transmission management requirements, non-modeled transmission outages or other non-modeled constraints or requirements and intertie emergency assistance. All of the transmission procedures are available on the CAISO website.<sup>2</sup>

The following reason for exceptional dispatch instructions in August 2023 was not related to generation or transmission operating procedures: Software Limitation, when an exceptional dispatch instruction was used to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi day commitment. For instance, a

<sup>&</sup>lt;sup>1</sup> The CAISO can issue exceptional dispatch instructions subject to authority of the CAISO Tariff Section 34.11 and in accordance with CAISO Operating Procedure 2330 (formerly M-402).

<sup>&</sup>lt;sup>2</sup> A list of all of the CAISO's publicly available Operating Procedures are available at the following link: <u>http://www.caiso.com/thegrid/operations/opsdoc/index.html</u>

resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software limitation reason was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System ("ADS") failure, or similar issues. Interconnection Reliability Operating Limits (IROL) are system operating limits that are established to prevent instability, uncontrolled separation or cascading as described in operating procedure 3100. System Operating Limit (SOL) are the facility ratings, system voltage limits, transient stability limits, and voltage stability limits that are used in the operating horizon – any of which can be the most restrictive limit at any point in time, pre – or post – contingency. Control Point (CP) are imposed to protect the area transmission network against N – 1 contingencies. There were a few other reasons used to explain exceptional dispatch instructions in August 2023, which are self explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.<sup>3</sup> Each entry in Attachment A is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner ("PTO") service area; (3) the Local Reliability Area ("LRA") where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment (3) Inc or Dec (4) Hours; (5) Begin Time; and (6) End Time.

The MW column shows the range of exceptional dispatch instructions in MW for the classification. The Commitment column specifies if there was a unit commitment for the classification. The INC/DEC column specifies if there was an incremental dispatch or a decremental dispatch from the IFM schedule. The Begin Time column shows the start of exceptional dispatch for the classification and the End Time column shows the end of exceptional dispatch for the classification. The column Hours is the difference between end time and begin time rounded up to the next hour. The data shown is further explained by way of example in Attachment A.

Table 1 indicates there were 289 exceptional dispatches in August 2023, as compared to 302 exceptional dispatches in July 2023. There were 4 instances of exceptional dispatches issued as a pre-day-ahead commitment, 1 of these pre-

<sup>&</sup>lt;sup>3</sup> The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS). It is the most accurate currently available and it is worth noting that this data has been through the T+38B initial statement process wherein many unresolved issues are fixed. The CAISO believes that this data will correlate well with the settlements data that will be available when the CAISO files the Table 2 report for the reporting period.

day-ahead commitments was also issued as real-time exceptional dispatches. However, 3 of these did not translate into real-time exception dispatch.

Exceptional dispatches issued for the following reasons accounted for approximately 60 percent of the total exceptional dispatches during the reporting period: market disruption, planned transmission outages, reliability assessment and voltage support. Exceptional dispatches with the reason "Reliability Assessment" were due to Real Time Contingency Analysis, Voltage Stability Analysis, and operating procedure number 7110. Reliability Assessment is the reason as explained in the operator procedure 2330C<sup>4</sup> that encompasses Control Point (CP), Interconnection Reliability Operating Limit (IROL), System Operating Limit (SOL) and congestion related EDs. This reason is used to mitigate reliability issues identified through the real – time assessment tools such as Real Time Contingency Analysis (RTCA), Voltage Stability Analysis (VSA), Dynamic Stability Analysis (DSA) and/or Operating Procedure (OP) or offline study.

<sup>1) &</sup>lt;sup>4</sup> The operator procedure 2330C - <u>http://www.caiso.com/Documents/2330C.pdf</u>

### Table 1: Exceptional Dispatches in August 2023

	California Independent System Operator Corporation Exceptional Dispatch Report October 15, 2023													
	Chart 1: Table of Exceptional Dispatches for Period 01/August/2023 – 31/August/2023													
Num ber	Mar ket Typ e	Reason	Locatio n	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou rs	Begin Time	End Time			
1	RT	Bridging Schedules	SCE	Big Creek-Ventura	8/1/2023	50	No	INC	2	22:00	0:00			
2	RT	Bridging Schedules	SCE	Big Creek-Ventura	8/17/2023	50	No	INC	13	0:00	13:00			
3	RT	Bridging Schedules	SCE	Big Creek-Ventura	8/29/2023	50 - 100	No	INC	2	22:00	0:00			
4	RT	Bridging Schedules	SCE	Big Creek-Ventura	8/30/2023	50 - 100	No	INC	2	22:00	0:00			
5	RT	Bridging Schedules	SCE	LA Basin	8/1/2023	10	No	INC	1	23:00	0:00			
6	RT	Bridging Schedules	SCE	LA Basin	8/17/2023	10	No	INC	1	23:00	0:00			
7	RT	Bridging Schedules	SCE	LA Basin	8/20/2023	10	No	INC	1	23:00	0:00			
8	RT	Bridging Schedules	SCE	LA Basin	8/30/2023	10	No	INC	1	23:00	0:00			
9	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	8/20/2023	50	No	INC	3	10:00	13:00			
10	RT	Conditions beyond the control of the CAISO	SCE	Big Creek-Ventura	8/27/2023	350	No	DEC	2	19:40	21:30			
11	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	8/15/2023	100	No	INC	1	14:25	15:00			
12	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	8/20/2023	10	No	INC	12	12:00	0:00			
13	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	8/15/2023	95	No	DEC	1	15:00	15:10			
14	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	8/15/2023	95	No	INC	1	14:10	14:50			
15	15         RT         Fast Start Unit Management         PGAE         Bay Area         8/15/2023         0         No         INC         1         3:10         4:10													
16	RT	Fast Start Unit Management	PGAE	NA	8/28/2023	49	No	DEC	6	15:05	21:00			
17	RT	Fast Start Unit Management	SCE	Big Creek-Ventura	8/9/2023	0	No	INC	1	22:05	23:00			
18	RT	Fast Start Unit Management	SCE	Big Creek-Ventura	8/23/2023	0	No	DEC	3	14:30	16:35			

LA Basin

LA Basin

8/8/2023

8/9/2023

SCE

SCE

Fast Start Unit Management

Fast Start Unit Management

19

20

RT

RT

1

1

21:55

22:00

22:55

23:00

INC

INC

No

No

0

0

	Mar ket						Co mm				
Num ber	Тур	Reason	Locatio	Local Reliability Area	Trade Date	MW	itm ent	INC_ DEC	Hou	Begin Time	End Time
21	RT	Fast Start Unit Management	n SCE	LA Basin	8/23/2023	0	No	INC	<b>rs</b>	6:20	7:20
21	RT	Fast Start Unit Management	SCE	LA Basin	8/23/2023	0	No	INC	1	2:45	3:45
22	RT	Fast Start Unit Management	SCE	LA Basin	8/25/2023	0	No	INC	1	2:45	23:30
23	RT	Incomplete or Inaccurate Transmission	PGAE	Sierra	8/25/2023	20	No	DEC	1	22:30	23.30
24	RT	Incomplete of Inaccurate Transmission	PGAE	Sierra	8/5/2023	20 - 42	No	INC	4	20:10	0:00
26	RT	Incomplete of Inaccurate Transmission	PGAE	Sierra	8/6/2023	42	Yes	INC	1	0:00	1:00
20	RT	Incomplete or Inaccurate Transmission	PGAE	Stockton	8/27/2023	5 - 30	No	DEC	2	12:20	14:15
28	RT	Incomplete or Inaccurate Transmission	PGAE	NA	8/1/2023	125	No	INC	5	13:30	18:00
29	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/6/2023	50	No	DEC	5	3:45	8:00
30	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/7/2023	50	No	DEC	4	20:30	0:00
31	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/8/2023	50	No	DEC	8	0:00	8:00
32	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/10/2023	4 - 20	No	DEC	18	1:35	19:00
33	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/11/2023	40	No	DEC	8	14:55	22:00
34	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/19/2023	20	No	DEC	1	23:40	0:00
35	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/20/2023	20	No	DEC	22	0:00	22:00
36	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/21/2023	25 - 50	No	DEC	5	19:10	0:00
37	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/22/2023	25 - 50	No	DEC	7	0:00	7:00
38	RT	Load Forecast Uncertainty	PGAE	Bay Area	8/11/2023	231	No	INC	9	15:00	0:00
39	RT	Load Forecast Uncertainty	PGAE	Bay Area	8/28/2023	133	No	INC	11	11:00	22:00
40	RT	Load Forecast Uncertainty	PGAE	Fresno	8/16/2023	20	No	DEC	6	16:30	22:00
41	RT	Load Forecast Uncertainty	PGAE	Fresno	8/16/2023	35	No	INC	6	16:20	22:00
42	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	8/7/2023	50	No	INC	14	10:00	0:00
43	RT	Load Forecast Uncertainty	SCE	Big Creek-Ventura	8/8/2023	50	No	INC	10	0:00	10:00
44	RT	Load Forecast Uncertainty	SCE	LA Basin	8/6/2023	10	No	INC	16	8:00	0:00
45	RT	Load Forecast Uncertainty	SCE	LA Basin	8/7/2023	10 - 70	Yes	INC	11	13:30	0:00
46	RT	Load Forecast Uncertainty	SCE	LA Basin	8/8/2023	70	No	INC	14	0:00	13:30
47	RT	Load Forecast Uncertainty	SCE	LA Basin	8/15/2023	47.8 - 147	No	DEC	10	14:30	0:00
48	RT	Load Forecast Uncertainty	SCE	LA Basin	8/15/2023	20 - 140	No	INC	8	16:00	0:00
49	RT	Load Forecast Uncertainty	SCE	LA Basin	8/16/2023	20 - 140	No	INC	24	0:00	0:00

Num	Mar ket Typ		Locatio	Local Reliability			Co mm itm	INC_	Hou	Begin	End
ber	e	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
50	RT	Load Forecast Uncertainty	SCE	LA Basin	8/17/2023	147	No	DEC	3	16:00	19:00
51	RT	Load Forecast Uncertainty	SDGE	San Diego-IV	8/15/2023	50	No	DEC	5	17:00	22:00
52	RT	Market Disruption	PGAE	Bay Area	8/1/2023	544 - 551	No	INC	3	0:25	3:00
53	RT	Other Reliability Requirement	PGAE	Bay Area	8/15/2023	-195.87	No	DEC	2	16:40	18:30
54	RT	Other Reliability Requirement	PGAE	Bay Area	8/16/2023	-97.32	No	DEC	2	16:20	18:00
55	RT	Other Reliability Requirement	SCE	Big Creek-Ventura	8/15/2023	-96.19	No	DEC	2	16:45	18:30
56	RT	Other Reliability Requirement	SCE	NA	8/15/2023	-4.96	No	DEC	2	17:20	18:50
57	RT	Other Reliability Requirement	SCE	NA	8/16/2023	-194.79	No	DEC	4	15:35	19:00
58	RT	Other Reliability Requirement	SCE	NA	8/16/2023	0	No	INC	2	16:30	18:30
59	RT	Planned Transmission Outage	PGAE	Bay Area	8/14/2023	231	No	DEC	5	17:30	22:00
60	RT	Planned Transmission Outage	PGAE	Bay Area	8/18/2023	540	No	DEC	5	17:00	22:00
61	RT	Planned Transmission Outage	PGAE	Bay Area	8/18/2023	540	No	INC	8	16:25	0:00
62	RT	Planned Transmission Outage	PGAE	Bay Area	8/23/2023	175	No	INC	6	15:00	21:00
63	RT	Planned Transmission Outage	PGAE	Bay Area	8/24/2023	54 - 150	No	DEC	12	10:00	22:00
64	RT	Planned Transmission Outage	PGAE	Bay Area	8/28/2023	54 - 232	No	DEC	10	11:00	21:00
65	RT	Planned Transmission Outage	PGAE	Bay Area	8/29/2023	54	No	DEC	10	11:00	21:00
66	RT	Planned Transmission Outage	PGAE	Fresno	8/2/2023	0 - 55	No	DEC	10	9:55	19:00
67	RT	Planned Transmission Outage	PGAE	Fresno	8/2/2023	72	No	INC	1	17:10	18:00
68	RT	Planned Transmission Outage	PGAE	Humboldt	8/3/2023	15 - 30	No	DEC	4	20:40	0:00
69	RT	Planned Transmission Outage	PGAE	Humboldt	8/4/2023	30 - 45	No	DEC	22	0:00	22:00
70	RT	Planned Transmission Outage	PGAE	Humboldt	8/4/2023	45	No	INC	2	22:00	0:00
71	RT	Planned Transmission Outage	PGAE	Humboldt	8/5/2023	30	No	DEC	12	12:00	0:00
72	RT	Planned Transmission Outage	PGAE	Humboldt	8/5/2023	30 - 45	No	INC	12	0:00	12:00
73	RT	Planned Transmission Outage	PGAE	Humboldt	8/6/2023	15 - 30	No	DEC	11	13:00	0:00
74	RT	Planned Transmission Outage	PGAE	Humboldt	8/6/2023	30	No	INC	15	0:00	15:00
75	RT	Planned Transmission Outage	PGAE	Humboldt	8/7/2023	30	No	DEC	24	0:00	0:00
76	RT	Planned Transmission Outage	PGAE	Humboldt	8/7/2023	30	No	INC	10	0:00	10:00
77	RT	Planned Transmission Outage	PGAE	Humboldt	8/8/2023	30	No	DEC	23	0:00	23:00
78	RT	Planned Transmission Outage	PGAE	Humboldt	8/8/2023	30	No	INC	24	0:00	0:00

Num	Mar ket		Loootio				Co mm	INC	Hau	Degin	End
Num ber	Тур е	Reason	Locatio n	Local Reliability Area	Trade Date	MW	itm ent	DEC	Hou rs	Begin Time	Time
79	RT	Planned Transmission Outage	PGAE	Humboldt	8/9/2023	30 - 45	No	DEC	23	0:00	23:00
80	RT	Planned Transmission Outage	PGAE	Humboldt	8/9/2023	30	No	INC	24	0:00	0:00
81	RT	Planned Transmission Outage	PGAE	Humboldt	8/10/2023	30	No	DEC	4	17:00	21:00
82	RT	Planned Transmission Outage	PGAE	Humboldt	8/10/2023	30	No	INC	24	0:00	0:00
83	RT	Planned Transmission Outage	PGAE	Humboldt	8/11/2023	30	No	DEC	5	17:00	22:00
84	RT	Planned Transmission Outage	PGAE	Humboldt	8/11/2023	30	No	INC	24	0:00	0:00
85	RT	Planned Transmission Outage	PGAE	Humboldt	8/12/2023	30	No	DEC	6	15:00	21:00
86	RT	Planned Transmission Outage	PGAE	Humboldt	8/12/2023	30 - 45	No	INC	24	0:00	0:00
87	RT	Planned Transmission Outage	PGAE	Humboldt	8/13/2023	45	No	DEC	7	15:00	22:00
88	RT	Planned Transmission Outage	PGAE	Humboldt	8/13/2023	30 - 45	No	INC	24	0:00	0:00
89	RT	Planned Transmission Outage	PGAE	Humboldt	8/14/2023	30 - 45	No	DEC	12	9:00	20:45
90	RT	Planned Transmission Outage	PGAE	Humboldt	8/14/2023	15 - 30	No	INC	11	0:00	11:00
91	RT	Planned Transmission Outage	PGAE	Humboldt	8/15/2023	30 - 45	No	DEC	10	5:55	15:00
92	RT	Planned Transmission Outage	PGAE	Humboldt	8/16/2023	2	No	DEC	1	17:10	18:00
93	RT	Planned Transmission Outage	PGAE	Humboldt	8/18/2023	30	No	DEC	11	13:00	0:00
94	RT	Planned Transmission Outage	PGAE	Humboldt	8/18/2023	30	No	INC	8	5:00	13:00
95	RT	Planned Transmission Outage	PGAE	Humboldt	8/19/2023	15 - 30	No	DEC	24	0:00	0:00
96	RT	Planned Transmission Outage	PGAE	Humboldt	8/19/2023	30	No	INC	24	0:00	0:00
97	RT	Planned Transmission Outage	PGAE	Humboldt	8/20/2023	15 - 45	No	DEC	24	0:00	0:00
98	RT	Planned Transmission Outage	PGAE	Humboldt	8/20/2023	15 - 30	No	INC	24	0:00	0:00
99	RT	Planned Transmission Outage	PGAE	Humboldt	8/21/2023	30	No	DEC	23	0:00	23:00
100	RT	Planned Transmission Outage	PGAE	Humboldt	8/21/2023	15 - 30	No	INC	24	0:00	0:00
101	RT	Planned Transmission Outage	PGAE	Humboldt	8/22/2023	30	No	DEC	6	14:00	20:00
102	RT	Planned Transmission Outage	PGAE	Humboldt	8/22/2023	15 - 30	No	INC	24	0:00	0:00
103	RT	Planned Transmission Outage	PGAE	Humboldt	8/23/2023	30	No	DEC	9	13:00	22:00
104	RT	Planned Transmission Outage	PGAE	Humboldt	8/23/2023	30	No	INC	24	0:00	0:00
105	RT	Planned Transmission Outage	PGAE	Humboldt	8/24/2023	30	No	DEC	5	16:00	21:00
106	RT	Planned Transmission Outage	PGAE	Humboldt	8/24/2023	30	No	INC	24	0:00	0:00
107	RT	Planned Transmission Outage	PGAE	Humboldt	8/25/2023	30	No	DEC	4	16:00	20:00

Num	Mar ket Typ		Locatio	Local Reliability			Co mm itm	INC	Hou	Begin	End
ber	e	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
108	RT	Planned Transmission Outage	PGAE	Humboldt	8/25/2023	30	No	INC	24	0:00	0:00
109	RT	Planned Transmission Outage	PGAE	Humboldt	8/26/2023	30	No	DEC	7	16:00	23:00
110	RT	Planned Transmission Outage	PGAE	Humboldt	8/26/2023	30	No	INC	24	0:00	0:00
111	RT	Planned Transmission Outage	PGAE	Humboldt	8/27/2023	15 - 30	No	DEC	8	14:00	22:00
112	RT	Planned Transmission Outage	PGAE	Humboldt	8/27/2023	15 - 30	No	INC	24	0:00	0:00
113	RT	Planned Transmission Outage	PGAE	Humboldt	8/28/2023	15 - 30	No	DEC	9	12:00	20:45
114	RT	Planned Transmission Outage	PGAE	Humboldt	8/28/2023	15 - 30	No	INC	14	0:00	14:00
115	RT	Planned Transmission Outage	PGAE	Humboldt	8/31/2023	15 - 30	No	INC	17	7:00	0:00
116	RT	Planned Transmission Outage	PGAE	NCNB	8/10/2023	65	No	DEC	9	4:35	13:00
117	RT	Planned Transmission Outage	PGAE	NCNB	8/11/2023	57 - 61	No	DEC	10	3:40	13:00
118	RT	Planned Transmission Outage	PGAE	NCNB	8/24/2023	58	No	DEC	8	5:00	13:00
119	RT	Planned Transmission Outage	PGAE	NCNB	8/25/2023	60	No	DEC	10	3:50	13:00
120	RT	Planned Transmission Outage	PGAE	NCNB	8/26/2023	55 - 60	No	DEC	9	4:00	12:15
121	RT	Planned Transmission Outage	PGAE	Sierra	8/4/2023	20	No	INC	3	21:45	0:00
122	RT	Planned Transmission Outage	PGAE	Sierra	8/5/2023	20	Yes	INC	2	0:00	2:00
123	RT	Planned Transmission Outage	PGAE	Sierra	8/11/2023	20	No	DEC	1	8:20	9:00
124	RT	Planned Transmission Outage	PGAE	Sierra	8/15/2023	20	Yes	DEC	2	22:25	0:00
125	RT	Planned Transmission Outage	PGAE	Sierra	8/16/2023	20	Yes	DEC	1	0:00	1:00
126	RT	Planned Transmission Outage	PGAE	Sierra	8/21/2023	40	No	DEC	4	20:25	0:00
127	RT	Planned Transmission Outage	PGAE	Sierra	8/22/2023	14 - 44	No	DEC	24	0:00	0:00
128	RT	Planned Transmission Outage	PGAE	Sierra	8/22/2023	14 - 18	No	INC	6	18:00	0:00
129	RT	Planned Transmission Outage	PGAE	Sierra	8/23/2023	14 - 33	No	INC	1	0:00	0:15
130	RT	Planned Transmission Outage	PGAE	Stockton	8/19/2023	60	No	INC	8	9:20	17:00
131	RT	Planned Transmission Outage	PGAE	Stockton	8/25/2023	40	No	DEC	1	6:50	7:20
132	RT	Planned Transmission Outage	PGAE	Stockton	8/25/2023	65	No	INC	1	7:20	8:15
133	RT	Planned Transmission Outage	SCE	NA	8/10/2023	0 - 54	No	DEC	17	5:25	21:30
134	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/1/2023	250	No	DEC	4	16:00	20:00
135	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/1/2023	250	No	INC	5	11:55	16:00
136	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/2/2023	150	No	DEC	1	9:30	10:15

Num ber	Mar ket Typ e	Reason	Locatio n	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou	Begin Time	End Time
137	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/6/2023	21	No	INC	3	20:05	23:00
138	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/9/2023	21 - 44	No	INC	4	20:05	0:00
139	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/12/2023	21 - 44	No	INC	4	7:30	11:00
140	RT	Ramping Capacity	PGAE	Humboldt	8/15/2023	45	No	DEC	4	13:40	14:30
141	RT	Ramping Capacity	PGAE	NA	8/14/2023	48.95	No	DEC	7	15:00	22:00
142	RT	Ramping Capacity	PGAE	NA	8/14/2023	48.95	No	INC	1	14:55	15:00
143	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/1/2023	400	No	INC	5	16:00	21:00
144	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/7/2023	400.1	No	INC	5	16:00	21:00
145	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/13/2023	401 - 750	No	INC	4	16:00	20:00
146	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/14/2023	401	No	DEC	6	16:00	22:00
147	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/14/2023	401	No	INC	7	15:00	22:00
148	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/15/2023	401	No	DEC	7	15:00	22:00
149	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/16/2023	401	No	DEC	7	15:00	22:00
150	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/17/2023	400.1	No	INC	4	16:00	20:00
151	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/24/2023	401	No	INC	6	16:00	22:00
152	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/28/2023	50 - 401	No	DEC	10	14:00	0:00
153	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/28/2023	50 - 401	No	INC	16	2:00	18:00
154	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/29/2023	401	No	DEC	2	18:00	20:00
155	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/29/2023	401	No	INC	6	16:00	22:00
156	RT	Ramping Capacity	SCE	Big Creek-Ventura	8/30/2023	401	No	INC	5	16:00	21:00
157	RT	Ramping Capacity	SCE	LA Basin	8/1/2023	180 - 194	No	INC	5	16:00	21:00
158	RT	Ramping Capacity	SCE	LA Basin	8/7/2023	65 - 465	No	INC	5	16:00	21:00
159	RT	Ramping Capacity	SCE	LA Basin	8/8/2023	190 - 194	No	INC	3	19:30	22:00
160	RT	Ramping Capacity	SCE	LA Basin	8/9/2023	190 - 194	No	INC	5	17:30	22:00
161	RT	Ramping Capacity	SCE	LA Basin	8/11/2023	190 - 194	No	INC	6	16:30	22:00
162	RT	Ramping Capacity	SCE	LA Basin	8/13/2023	151 - 326	No	INC	4	16:00	20:00
163	RT	Ramping Capacity	SCE	LA Basin	8/14/2023	190 - 251	No	DEC	7	15:00	22:00
164	RT	Ramping Capacity	SCE	LA Basin	8/15/2023	190 - 240	No	DEC	7	15:00	22:00
165	RT	Ramping Capacity	SCE	LA Basin	8/16/2023	190 - 240	No	DEC	7	15:00	22:00

	Mar ket						Co mm				
Num	Тур	_	Locatio	Local Reliability			itm	INC_	Hou	Begin	End
ber	е	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
166	RT	Ramping Capacity	SCE	LA Basin	8/17/2023	190 - 194	No	DEC	3	17:00	20:00
167	RT	Ramping Capacity	SCE	LA Basin	8/17/2023	130 - 241	No	INC	4	16:00	20:00
168	RT	Ramping Capacity	SCE	LA Basin	8/18/2023	140 - 194	No	INC	3	17:00	20:00
169	RT	Ramping Capacity	SCE	LA Basin	8/24/2023	190 - 194	No	INC	6	16:00	22:00
170	RT	Ramping Capacity	SCE	LA Basin	8/25/2023	190 - 194	No	INC	6	16:00	22:00
171	RT	Ramping Capacity	SCE	LA Basin	8/26/2023	0 - 194	No	INC	13	9:20	22:00
172	RT	Ramping Capacity	SCE	LA Basin	8/27/2023	190 - 194	No	INC	6	16:00	22:00
173	RT	Ramping Capacity	SCE	LA Basin	8/28/2023	159 - 240	No	DEC	6	16:00	22:00
174	RT	Ramping Capacity	SCE	LA Basin	8/28/2023	130 - 240	No	INC	12	12:30	0:00
175	RT	Ramping Capacity	SCE	LA Basin	8/29/2023	65 - 240	No	DEC	5	16:00	21:00
176	RT	Ramping Capacity	SCE	LA Basin	8/29/2023	65 - 240	No	INC	7	15:00	22:00
177	RT	Ramping Capacity	SCE	LA Basin	8/30/2023	65 - 194	No	DEC	5	16:00	21:00
178	RT	Ramping Capacity	SCE	LA Basin	8/30/2023	190 - 241	No	INC	5	16:00	21:00
179	RT	Reliability Assessment	PGAE	Bay Area	8/7/2023	176 - 177	No	DEC	5	15:30	20:00
180	RT	Reliability Assessment	PGAE	Bay Area	8/8/2023	64	No	DEC	11	9:45	20:00
181	RT	Reliability Assessment	PGAE	Bay Area	8/9/2023	113	No	DEC	7	13:15	20:00
182	RT	Reliability Assessment	PGAE	Bay Area	8/16/2023	250	No	DEC	7	16:00	23:00
183	RT	Reliability Assessment	PGAE	Bay Area	8/17/2023	22 - 114.01	No	DEC	8	12:00	20:00
184	RT	Reliability Assessment	PGAE	Bay Area	8/17/2023	22	No	INC	6	11:20	17:00
185	RT	Reliability Assessment	PGAE	Bay Area	8/18/2023	71	No	DEC	5	15:30	20:00
186	RT	Reliability Assessment	PGAE	Bay Area	8/23/2023	0 - 25	No	INC	2	16:05	17:30
187	RT	Reliability Assessment	PGAE	Fresno	8/13/2023	400	No	INC	1	17:25	17:35
188	RT	Reliability Assessment	PGAE	Fresno	8/18/2023	83 - 300	No	INC	3	21:45	0:00
189	RT	Reliability Assessment	PGAE	Fresno	8/19/2023	83 - 200	No	INC	2	0:00	2:00
190	RT	Reliability Assessment	PGAE	Humboldt	8/1/2023	30	No	INC	24	0:00	0:00
191	RT	Reliability Assessment	PGAE	Humboldt	8/2/2023	30	No	DEC	23	0:00	23:00
192	RT	Reliability Assessment	PGAE	Humboldt	8/2/2023	30	No	INC	1	23:00	0:00
193	RT	Reliability Assessment	PGAE	Humboldt	8/3/2023	30	No	DEC	8	13:00	21:00
194	RT	Reliability Assessment	PGAE	Humboldt	8/3/2023	30	No	INC	13	0:00	13:00

Num	Mar ket Typ		Locatio	Local Reliability			Co mm itm	INC	Hou	Begin	End
ber	e	Reason	n	Area	Trade Date	MW	ent	DEC	rs	Time	Time
195	RT	Reliability Assessment	PGAE	Humboldt	8/15/2023	30 - 45	No	DEC	18	3:30	20:45
196	RT	Reliability Assessment	PGAE	Humboldt	8/28/2023	15	No	DEC	2	20:30	22:00
197	RT	Reliability Assessment	PGAE	Humboldt	8/28/2023	15	No	INC	2	22:00	0:00
198	RT	Reliability Assessment	PGAE	Humboldt	8/29/2023	15	No	DEC	3	17:00	20:00
199	RT	Reliability Assessment	PGAE	Humboldt	8/29/2023	15	No	INC	24	0:00	0:00
200	RT	Reliability Assessment	PGAE	Humboldt	8/30/2023	15	No	DEC	11	12:00	23:00
201	RT	Reliability Assessment	PGAE	Humboldt	8/30/2023	15	No	INC	24	0:00	0:00
202	RT	Reliability Assessment	PGAE	Humboldt	8/31/2023	15	No	INC	9	0:00	9:00
203	RT	Reliability Assessment	PGAE	Sierra	8/6/2023	20	No	DEC	1	21:50	22:00
204	RT	Reliability Assessment	PGAE	Sierra	8/6/2023	20	No	INC	2	22:00	0:00
205	RT	Reliability Assessment	PGAE	Sierra	8/7/2023	20	No	DEC	6	17:00	23:00
206	RT	Reliability Assessment	PGAE	Sierra	8/7/2023	20	Yes	INC	24	0:00	0:00
207	RT	Reliability Assessment	PGAE	Sierra	8/9/2023	12 - 30	No	DEC	7	17:25	0:00
208	RT	Reliability Assessment	PGAE	Sierra	8/9/2023	0 - 14	No	INC	5	18:00	23:00
209	RT	Reliability Assessment	PGAE	Sierra	8/10/2023	30	No	DEC	4	20:55	0:00
210	RT	Reliability Assessment	PGAE	Sierra	8/10/2023	20	No	INC	3	0:00	3:00
211	RT	Reliability Assessment	PGAE	Sierra	8/11/2023	30	No	DEC	2	0:00	2:00
212	RT	Reliability Assessment	PGAE	Sierra	8/11/2023	20	No	INC	2	20:30	22:00
213	RT	Reliability Assessment	PGAE	Sierra	8/12/2023	20	No	DEC	2	20:15	22:00
214	RT	Reliability Assessment	PGAE	Sierra	8/12/2023	20	No	INC	1	22:00	23:00
215	RT	Reliability Assessment	PGAE	Sierra	8/13/2023	20	No	DEC	2	21:20	23:00
216	RT	Reliability Assessment	PGAE	Sierra	8/15/2023	20	No	DEC	15	8:00	22:30
217	RT	Reliability Assessment	PGAE	Sierra	8/15/2023	20	No	INC	6	6:30	12:00
218	RT	Reliability Assessment	PGAE	Sierra	8/16/2023	20	No	DEC	10	13:45	23:00
219	RT	Reliability Assessment	PGAE	Sierra	8/17/2023	20	No	DEC	11	12:15	23:00
220	RT	Reliability Assessment	PGAE	Sierra	8/17/2023	20	No	INC	2	13:00	15:00
221	RT	Reliability Assessment	PGAE	Sierra	8/24/2023	40	No	DEC	2	13:30	15:00
222	RT	Reliability Assessment	PGAE	Sierra	8/24/2023	40	No	INC	5	15:00	19:45
223	RT	Reliability Assessment	PGAE	Sierra	8/28/2023	20 - 42	No	DEC	6	16:15	22:00

Num ber	Mar ket Typ e	Reason	Locatio	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou	Begin Time	End Time
224	RT	Reliability Assessment	PGAE	Sierra	8/28/2023	20	No	INC	2	21:00	23:00
225	RT	Reliability Assessment	PGAE	Sierra	8/30/2023	20	No	DEC	1	20:00	21:00
226	RT	Reliability Assessment	PGAE	Sierra	8/30/2023	20	No	INC	3	21:00	0:00
227	RT	Reliability Assessment	PGAE	Stockton	8/30/2023	50	No	INC	6	0:30	6:00
228	RT	Reliability Assessment	PGAE	NA	8/1/2023	32	No	DEC	8	0:15	8:00
229	RT	Reliability Assessment	PGAE	NA	8/25/2023	15	No	DEC	2	16:25	18:00
230	RT	Reliability Assessment	PGAE	NA	8/25/2023	15	No	INC	2	17:00	19:00
231	RT	Reliability Assessment	SCE	NA	8/1/2023	10	No	DEC	4	4:25	8:00
232	RT	Reliability Assessment	SCE	NA	8/2/2023	20 - 46	No	DEC	5	3:00	8:00
233	RT	Reliability Assessment	SCE	NA	8/3/2023	20	No	DEC	8	0:20	8:00
234	RT	Reliability Assessment	SCE	NA	8/3/2023	46	No	INC	8	0:25	8:00
235	RT	Reliability Assessment	SCE	NA	8/4/2023	20 - 45	No	DEC	7	1:00	8:00
236	RT	Reliability Assessment	SCE	NA	8/9/2023	25 - 50	No	DEC	19	2:45	21:00
237	RT	Reliability Assessment	SCE	NA	8/9/2023	25	No	INC	9	14:35	22:45
238	RT	Reliability Assessment	SCE	NA	8/13/2023	6 - 49.9	No	DEC	5	17:10	22:00
239	RT	Reliability Assessment	SCE	NA	8/14/2023	20 - 55	No	DEC	21	3:30	0:00
240	RT	Reliability Assessment	SCE	NA	8/14/2023	420 - 450	No	INC	10	14:25	0:00
241	RT	Reliability Assessment	SCE	NA	8/15/2023	25 - 50	No	DEC	9	0:00	9:00
242	RT	Reliability Assessment	SCE	NA	8/15/2023	450 - 475	No	INC	22	0:00	22:00
243	RT	Reliability Assessment	SCE	NA	8/16/2023	50	No	DEC	8	0:30	8:00
244	RT	Reliability Assessment	SCE	NA	8/16/2023	425	No	INC	3	12:20	15:00
245	RT	Reliability Assessment	SCE	NA	8/18/2023	45	No	DEC	6	18:35	0:00
246	RT	Reliability Assessment	SCE	NA	8/18/2023	450 - 475	No	INC	21	1:30	22:00
247	RT	Reliability Assessment	SCE	NA	8/19/2023	45	No	DEC	15	0:00	15:00
248	RT	Reliability Assessment	SCE	NA	8/20/2023	0	No	DEC	4	18:00	22:00
249	RT	Reliability Assessment	SCE	NA	8/20/2023	0 - 450	No	INC	13	11:20	0:00
250	RT	Reliability Assessment	SCE	NA	8/21/2023	0	No	INC	6	0:00	6:00
251	RT	Reliability Assessment	SCE	NA	8/26/2023	50	No	DEC	7	2:00	9:00
252	RT	Reliability Assessment	SCE	NA	8/27/2023	450	No	DEC	1	21:55	22:00

Num	Mar ket Typ	Descen	Locatio	Local Reliability	Trada Data	NALA/	Co mm itm	INC_	Hou	Begin	End
<b>ber</b> 253	RT	Reason Reliability Assessment	n SCE	Area NA	Trade Date 8/27/2023	<b>MW</b> 450	ent No	DEC INC	<b>rs</b> 2	<b>Time</b> 22:00	<b>Time</b> 0:00
			SCE	NA			No	DEC			
254 255	RT RT	Reliability Assessment	SCE	NA NA	8/28/2023 8/28/2023	425 425	No	INC	2 18	18:00 0:00	20:00 18:00
255	RT	Reliability Assessment Reliability Assessment	SDGE	San Diego-IV	8/8/2023	18.2	No	INC	8	12:20	20:00
250	RT		SDGE		8/29/2023	21	No	INC	0 7	12.20	20:00
257	RT	Reliability Assessment Reliability Assessment	SDGE	San Diego-IV San Diego-IV	8/30/2023	18.2	No	DEC	1	19:15	23:00
258	RT	Reliability Assessment	SDGE	San Diego-IV	8/30/2023	18.2 - 21	No	INC	3	20:00	20.00
260	RT	Reliability Assessment	SDGE	San Diego-IV	8/31/2023	37	No	INC	2	20.00	22:30
260	RT	SOC Charge	SCE	NA	8/17/2023	-32	No	DEC	3	15:25	18:00
261	RT	Software Limitation	PGAE	Bay Area	8/10/2023	-32	No	DEC	3 1	23:55	0:00
262	RT	Software Limitation	PGAE	Bay Area	8/11/2023	0	No	INC	5	0:00	4:50
263	RT	Software Limitation	SCE	Big Creek-Ventura	8/14/2023	500 - 600	No	INC	1	22:00	23:00
265	RT	Software Limitation	SCE	LA Basin	8/5/2023	0	No	INC	23	1:00	23:50
266	RT	Software Limitation	SCE	LA Basin	8/6/2023	0	No	INC	1	21:40	23:30
267	RT	Software Limitation	SCE	LA Basin	8/25/2023	0	No	INC	1	3:15	4:15
268	RT	Software Limitation	SDGE	San Diego-IV	8/4/2023	0	No	INC	1	19:45	20:45
269	RT	Unit Testing	PGAE	Bay Area	8/22/2023	290	No	INC	10	10:15	19:45
209	RT	Unit Testing	PGAE	Bay Area	8/23/2023	286	No	INC	1	9:25	10:00
270	RT	Unit Testing	PGAE	Bay Area	8/25/2023	6.29	No	DEC	1	12:15	12:55
272	RT	Unit Testing	PGAE	Bay Area	8/31/2023	296	No	INC	5	12:00	16:30
273	RT	Unit Testing	PGAE	Sierra	8/9/2023	278	No	INC	2	0:00	2:00
274	RT	Unit Testing	PGAE	NA	8/2/2023	266	No	INC	1	2:00	3:00
275	RT	Unit Testing	PGAE	NA	8/3/2023	118	No	INC	1	11:00	11:40
276	RT	Unit Testing	SCE	NA	8/1/2023	19	No	INC	1	19:20	20:05
277	RT	Unit Testing	SCE	NA	8/2/2023	475	No	INC	2	0:30	1:45
278	RT	Unit Testing	SDGE	San Diego-IV	8/11/2023	54	No	INC	1	20:45	21:30
279	RT	Unit Testing	VEA	NA	8/18/2023	12	No	INC	1	11:55	12:35
280	RT	Unplanned Outage	SCE	Big Creek-Ventura	8/8/2023	350	No	DEC	10	10:20	20:00
281	RT	Voltage Support	PGAE	Fresno	8/15/2023	83	No	INC	1	17:30	18:00

Num ber	Mar ket Typ e	Reason	Locatio n	Local Reliability Area	Trade Date	MW	Co mm itm ent	INC_ DEC	Hou	Begin Time	End Time
282	RT	Voltage Support	PGAE	Humboldt	8/15/2023	30	No	DEC	6	18:45	0:00
283	RT	Voltage Support	PGAE	Humboldt	8/16/2023	30 - 45	No	DEC	24	0:00	0:00
284	RT	Voltage Support	PGAE	Humboldt	8/17/2023	15 - 30	No	DEC	13	11:00	0:00
285	RT	Voltage Support	PGAE	Humboldt	8/17/2023	30	No	INC	9	2:15	11:00
286	RT	Voltage Support	PGAE	Humboldt	8/18/2023	15	No	DEC	1	0:00	1:00
287	RT	Voltage Support	PGAE	Humboldt	8/18/2023	15 - 30	No	INC	5	0:00	5:00
288	RT	Voltage Support	PGAE	Sierra	8/16/2023	20	No	DEC	3	21:45	0:00
289	RT	Voltage Support	PGAE	NA	8/20/2023	20	No	INC	11	6:00	17:00

# Appendix A: Explanation by Example

All examples listed below are based on fictitious data.

### Example 1: Exceptional Dispatch Instructions Prior to DAM

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its physical minimum (Pmin) of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason as shown in Table 2. Generally, exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Reason
01-Jul-09	DA	A	SCE	LA BASIN	05:00	10:00	50	7630
01-Jul-09	DA	В	SCE	LA BASIN	08:00	20:00	30	7630
01-Jul-09	DA	С	SCE	LA BASIN	09:00	23:00	20	7630

### Table 2: Instructions Prior to Day-Ahead Market

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead, however the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible that there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the given reason, meaning that the range between the begin time and end time can include null hours with no dispatch.

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	DA	7630	SCE	LA Basin	1-Jul-09	20- 100	Yes	N/A	19	05:00	23:00

### Example 2: Incremental Exceptional Dispatch Instructions in RTM

In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours ending 7 through 11 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours ending 8 through 9 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies that this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason
01-Jul-09	RT	А	PG&E	Humboldt	06:00	11:00	30	0	Yes	INC	30	7110
01-Jul-09	RT	В	PG&E	Humboldt	07:00	09:00	40	20	No	INC	20	7110
01-Jul-09	RT	С	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110
01-Jul-09	RT	С	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110

# Table 4: Incremental Exceptional Dispatch Instructions in RTM

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time then the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example it is possible that there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the given reason.

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7110	PG&E	Humboldt	1-Jul-09	0-50	Yes	INC	15	06:00	20:00

### Table 5: FERC Summary of ED Instructions in RTM

### **Example 3: Decremental Exceptional Dispatch Instructions in RTM**

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6.

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch Level (MW)	Day- Ahead Award (MW)	Commitment	INC/ DEC	ED (MW)	Reason
01-Jul-09	RT	А	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430
01-Jul-09	RT	В	PG&E	Fresno	07:00	09:00	40	60	No	DEC	20	7430
01-Jul-09	RT	С	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430

### Table 6: Decremental Exceptional Dispatch Instructions in RTM

This data is summarized according to FERC convention as shown in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Please note that inc and dec are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time.

Table 7: FERC Summary of Decremental ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00
1	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	07:00	14:00

# ATTACHMENT B

August 2023 Exceptional Dispatch Report Chart 2 data



# **Exceptional Dispatch Report**

# Table 2: August 2023

Market Analysis and Forecasting

October 15, 2023

CAISO 250 Outcropping Way Folsom, California 95630 (916) 351-4400

# TABLE OF CONTENTS

Introduction	3
The Nature of Exceptional Dispatch	3
Appendix A: Explanation by Example	24
Example 1: Exceptional Dispatch Instructions Prior to DAM	24
Example 2: Incremental Exceptional Dispatch Instructions in RTM	24
Example 3: Decremental Exceptional Dispatch Instructions in RTM	26
Appendix B: Price Impact Analysis	28
Appendix C: Exceptional Dispatch Bid Mitigation Analysis	34

# LIST OF TABLES AND FIGURES

Table 1: Exceptional Dispatches in August 2023	7
Table 2: Instructions Prior to Day-Ahead Market	24
Table 3: FERC Summary of Instructions Prior to DAM	24
Table 4: Incremental Exceptional Dispatch Instructions in RTM	25
Table 5: FERC Summary of ED Instructions in RTM	26
Table 6: Decremental Exceptional Dispatch Instructions in RTM	26
Table 7: FERC Summary of Decremental ED Instructions in RTM	27
Table 8: Price Impact Analysis Information for Pricing Node A in PGAE LAP	29
Table 9: Price Impact Analysis Information for Pricing Node B in SCE LAP	30
Table 10: Bid Mitigation Analysis for August 2023	34

# Introduction

This report is filed pursuant to FERC's September 2, 2009, and May 4, 2010, orders in ER08-1178. These orders require two monthly Exceptional Dispatch reports—one issued on the 15<sup>th</sup> of each month and one originally issued on the 30<sup>th</sup> of each month. Both Table 1 and Table 2 reports will be issued on the 15<sup>th</sup> of each month due to the availability of necessary data. This report provides data on the frequency, reasons and costs for Exceptional Dispatches issued in August 2023.

This report contains a price impact analysis as prescribed by FERC in its September 2 order. The price impact analysis for the month of August is presented in Appendix B. This report also includes mitigation analysis for August 2023 required by section 34.11.4 of the CAISO tariff. This analysis compares those Exceptional Dispatches subject to bid mitigation (i.e. Exceptional Dispatches to address noncompetitive constraints and Delta Dispatch), and determines the cost difference between the Exceptional Dispatch bid mitigation settlement rules and what the settlement amount would have been had the Exceptional Dispatches not been subject to bid mitigation. The Exceptional Dispatch bid mitigation analysis for August is presented in Appendix C.

# The Nature of Exceptional Dispatch

The CAISO can issue exceptional dispatch instructions for a resource as a preday-ahead unit commitment, a post day-ahead unit commitment or a real-time exceptional dispatch. A pre-day-ahead unit commitment is an exceptional dispatch instruction committing a resource at or above its physical minimum (Pmin) operating level in the day-ahead market. A post-day-ahead unit commitment is an exceptional dispatch instruction committing a resource at or above its (Pmin) operating level in the real-time market. A real-time exceptional dispatch instructs a resource to operate at or above its physical minimum operating point. A real-time exceptional dispatch above the resource's dayahead award is an incremental exceptional dispatch instruction and a real-time exceptional dispatch below the day-ahead award is considered a decremental dispatch instruction. The CAISO issues exceptional dispatch instructions to maintain the reliability of the grid when the market software cannot do so. Whenever the CAISO issues an exceptional dispatch instruction, the operator logs the dispatch and the associated reason. Reliability requirements are calculated for both local area and the system wide needs, and are classified into various requirements including local generation, transmission management, nonmodeled transmission outages, ramping and intertie emergency assistance. Whenever the CAISO issues an exceptional dispatch instruction, the operators log these instructions and the associated reason for each instruction.

Most of the generation procedures are internal to the CAISO and not available publically on the CAISO website; however, all of the transmission procedures are available on the CAISO website.<sup>1</sup>

Additional reasons for exceptional dispatch instructions in 2023 include Software Limitation. Software Limitation is used when an exceptional dispatch instruction was issued to bridge schedules across days for resources with a minimum down time of 24 hours, as the CAISO software does not handle multi-day commitment. For instance, a resource has a day-ahead schedule from 0600 till 2300, and then is shut down in 2400. If this resource had a minimum down time of 24 hours and it is required the following day, then the CAISO issues an exceptional dispatch to commit this resource in 2400 so it can be dispatched economically in the following day. Software Limitation was also used for exceptional dispatches to manually issue shut down instructions to a resource because of a temporary Automatic Dispatch System ("ADS") failure, or similar issues. Interconnection Reliability Operating Limits (IROL) are system operating limits that are established to prevent instability, uncontrolled separation or cascading as described in operating procedure 3100. System Operating Limit (SOL) are the facility ratings, system voltage limits, transient stability limits, and voltage stability limits that are used in the operating horizon – any of which can be the most restrictive limit at any point in time, pre – or post – contingency. Control Point (CP) are imposed to protect the area transmission network against N - 1contingencies. There were a few other reasons used to explain exceptional dispatch instructions in August, which are self-explanatory.

The data in Table 1 is based on a template specified in the September 2009 order.<sup>2</sup> This table contains all the information published in Table 1 of the first report for August 2023. In addition, it contains volume (MWh) and cost information. Each entry in Table 1 is a summary of exceptional dispatches classified by (1) the reason for the exceptional dispatch; (2) the location of the resource by Participating Transmission Owner (PTO) service area; (3) the Local Reliability Area (LRA) where applicable; (4) the market in which the exceptional dispatch occurred (day-ahead vs. real-time); and (5) the date of the exceptional dispatch. For each classification the following information is provided: (1) Megawatts (MW); (2) Commitment; (3) Inc or Dec; (4) Hours; (5) Begin Time; (6) End Time; (7) Total Volume (MWh); (8) Min Load Cost; (9) Start Up Cost; (10) CC6470; (11) ED Volume (MWh INC/DEC); (12) CC6470 INC; (13) CC6470 DEC; (14) CC6482; (15) CC6488; and (16) CC6620. Each column is defined:

<sup>&</sup>lt;sup>1</sup> A list of all of the CAISO's Operating Procedures and all the publicly available Operating Procedures are available at the following link: <u>http://www.caiso.com/thegrid/operations/opsdoc/index.html</u>

<sup>&</sup>lt;sup>2</sup> The data in Table 1 is principally SLIC information supplemented with data from the Market Quality System (MQS) and Settlements database. The volume and cost information is based on t+51B Recalculation Statements.

- The MW column shows the range of exceptional dispatch instruction in MW for the classification.
- The Commitment column specifies if there was a unit commitment for the classification.
- The INC/DEC/NA column specifies if there was an incremental dispatch (INC), a decremental dispatch (DEC), or only a unit commitment (NA). The Begin Time and End Time columns show the start and end time of exceptional dispatch for the classification respectively.
- The Hours column is the time difference between begin time and end time rounded up to the next hour.
- The total volume column shows the total MWh dispatch quantity dispatched for that classification. This quantity includes the minimum load quantity, the imbalance energy quantity, and the exceptional dispatch quantity.
- The Min-Load Cost column shows eligible minimum load cost for the classification.
- The Start-Up Cost column shows the eligible start up cost for the classification. The CAISO does not explicitly pay resources for its start up and minimum load costs; however, it ensures that resources are compensated adequately through its bid cost recovery.<sup>3</sup>
- The CC6470 column shows the total imbalance energy costs for the classification. This cost contains the portion of exceptional dispatch instruction settled as optimal energy due to its bid price being less than the LMP in the relevant settlement interval.
- The ED Volume MWh (MWh INC/DEC) column shows the incremental or the decremental portion of the real-time exceptional dispatch MWh for the classification. The CC6470-INC shows that portion of incremental exceptional dispatch instruction settled at the resource LMP.
- The CC6470-DEC column shows that portion of decremental exceptional dispatch instruction settled at the resource specific LMP. Both these charge codes are portions of the real-time instructed imbalance energy charge code (6470).<sup>4</sup>
- The CC6482 column shows the real-time excess cost for the classification.<sup>5</sup>
- The CC6488 column shows the real-time exceptional dispatch uplift settlement for the classification.<sup>6</sup> The CC6620 shows the bid cost recovery payment for the classification. This cost is shown for all pre-day-ahead unit commitments only.

<sup>&</sup>lt;sup>3</sup> For further details regarding the Bid Cost Recovery process please refer to section 11.8 of the CAISO tariff.

<sup>&</sup>lt;sup>4</sup> For further details please refer to the BPM configuration Guide: Real-Time Instructed Imbalance Energy Settlement published on the CAISO's website.

<sup>&</sup>lt;sup>5</sup> For further details please refer to the BPM configuration Guide: Real Time Excess Cost for Instructed Energy Settlement published on the CAISO's website.

<sup>&</sup>lt;sup>6</sup> For further details please refer to the BPM configuration Guide: Real Time Exceptional Dispatch Uplift Settlement published on the CAISO's website.

Charge codes 6470, 6470 INC, 6470 DEC, 6482 and 6488 are shown in Table 1 because all these charge codes pertain to real-time exceptional dispatch MWH quantities. The classification of data is further explained for example in Attachment A.

Exceptional dispatches with the reason "Reliability Assessment" were due to Real Time Contingency Analysis, Voltage Stability Analysis, and operating procedure number 7110. Reliability Assessment is the reason as explained in the operator procedure 2330C that encompasses Control Point (CP), Interconnection Reliability Operating Limit (IROL), System Operating Limit (SOL) and congestion related EDs. This reason is used to mitigate reliability issues identified through the real – time assessment tools such as Real Time Contingency Analysis (RTCA), Voltage Stability Analysis (VSA), Dynamic Stability Analysis (DSA) and/or Operating Procedure (OP) or offline study.

There were 4 instances of exceptional dispatches issued as a pre-day-ahead commitment, 1 of these pre-day-ahead commitments was also issued as real-time exceptional dispatches. However, 3 of these did not translate into real-time exception dispatch.

 Table 1: Exceptional Dispatches in August 2023

# California Independent System Operator Corporation Exceptional Dispatch Report October 15, 2023

					Chart 2: Ta	able of Ex	ceptio	nal Dis	spatch	es for	Period	01/Augu	ust/2023 – 3	31/August/2	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
1	RT	Bridging Schedules	SCE	Big Creek- Ventura	8/1/2023	50	No	INC	2	22:00	0:00	-1.50	40775.21	0.00	99.47	0.00	0.00	0.00	0.00	0.00	0.00
2	RT	Bridging Schedules	SCE	Big Creek- Ventura	8/17/2023	50	No	INC	13	0:00	13:00	-6.59	186762.42	0.00	580.37	0.00	0.00	0.00	0.00	0.00	0.00
3	RT	Bridging Schedules	SCE	Big Creek- Ventura	8/29/2023	50 - 100	No	INC	2	22:00	0:00	95.03	132691.43	0.00	-5809.98	0.00	0.00	0.00	0.00	0.00	0.00
4	RT	Bridging Schedules	SCE	Big Creek- Ventura	8/30/2023	50 - 100	No	INC	2	22:00	0:00	15.66	71223.55	0.00	-862.51	0.00	0.00	0.00	0.00	0.00	0.00
5	RT	Bridging Schedules	SCE	LA Basin	8/1/2023	10	No	INC	1	23:00	0:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
6	RT	Bridging Schedules	SCE	LA Basin	8/17/2023	10	No	INC	1	23:00	0:00	0.00	4189.23	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
7	RT	Bridging Schedules	SCE	LA Basin	8/20/2023	10	No	INC	1	23:00	0:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
8	RT	Bridging Schedules	SCE	LA Basin	8/30/2023	10	No	INC	1	23:00	0:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
9	RT	Conditions beyond the control of the CAISO	SCE	Big Creek- Ventura	8/20/2023	50	No	INC	3	10:00	13:00	0.00	26245.47	64806.58	0.00	0.00	0.00	0.00	0.00	0.00	0.00
10	RT	Conditions beyond the control of the CAISO	SCE	Big Creek- Ventura	8/27/2023	350	No	DEC	2	19:40	21:30	-78.60	0.00	0.00	493.71	-117.02	0.00	3061.24	0.00	0.00	0.00
11	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	8/15/2023	100	No	INC	1	14:25	15:00	211.20	-7401.25	0.00	-30202.33	0.00	0.00	0.00	0.00	0.00	0.00
12	RT	Conditions beyond the control of the CAISO	SCE	LA Basin	8/20/2023	10	No	INC	12	12:00	0:00	0.00	29235.12	13039.76	0.00	0.00	0.00	0.00	0.00	0.00	0.00
13	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	8/15/2023	95	No	DEC	1	15:00	15:10	-2.02	0.00	0.00	122.16	0.00	0.00	0.00	0.00	0.00	0.00
14	RT	Conditions beyond the control of the CAISO	SDGE	San Diego-IV	8/15/2023	95	No	INC	1	14:10	14:50	7.29	0.00	0.00	610.36	11.25	-677.89	0.00	-592.12	0.00	0.00
15	RT	Fast Start Unit Management	PGAE	Bay Area	8/15/2023	0	No	INC	1	3:10	4:10	-70.00	4460.08	0.00	0.00	-70.00	0.00	0.00	0.00	0.00	0.00
16	RT	Fast Start Unit Management	PGAE	NA	8/28/2023	49	No	DEC	6	15:05	21:00	-23.77	-51656.31	0.00	2585.01	0.01	-0.30	0.00	0.00	0.00	0.00
17	RT	Fast Start Unit Management	SCE	Big Creek- Ventura	8/9/2023	0	No	INC	1	22:05	23:00	-28.66	1403.14	50.40	169.43	-28.66	0.00	169.43	0.00	0.00	0.00

					Chart 2: 1a	able of Ex	ceptio	nal Dis	patch	es for	Period	01/Augu	ıst/2023 – 3	1/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
18	RT	Fast Start Unit Management	SCE	Big Creek- Ventura	8/23/2023	0	No	DEC	3	14:30	16:35	-43.72	0.00	0.00	223.86	-43.72	0.00	223.86	0.00	0.00	0.00
19	RT	Fast Start Unit Management	SCE	LA Basin	8/8/2023	0	No	INC	1	21:55	22:55	-11.70	784.67	62.08	0.00	-11.70	0.00	0.00	0.00	0.00	0.00
20	RT	Fast Start Unit Management	SCE	LA Basin	8/9/2023	0	No	INC	1	22:00	23:00	-15.04	916.11	83.89	0.00	-15.04	0.00	0.00	0.00	0.00	0.00
21	RT	Fast Start Unit Management	SCE	LA Basin	8/23/2023	0	No	INC	1	6:20	7:20	-13.37	735.00	0.00	0.00	-13.37	0.00	0.00	0.00	0.00	0.00
22	RT	Fast Start Unit Management	SCE	LA Basin	8/24/2023	0	No	INC	1	2:45	3:45	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
23	RT	Fast Start Unit Management	SCE	LA Basin	8/25/2023	0	No	INC	1	22:30	23:30	-10.28	0.00	0.00	103.37	-9.41	0.00	42.24	0.00	0.00	0.00
24	RT	Incomplete or Inaccurate Transmission	PGAE	Sierra	8/5/2023	20	No	DEC	1	20:10	21:00	-7.30	0.00	0.00	511.01	0.00	0.00	0.00	0.00	0.00	0.00
25	RT	Incomplete or Inaccurate Transmission	PGAE	Sierra	8/5/2023	20 - 42	No	INC	4	20:10	0:00	-0.55	22170.55	2652.11	-287.33	0.00	0.00	0.00	0.00	0.00	0.00
26	RT	Incomplete or Inaccurate Transmission	PGAE	Sierra	8/6/2023	42	Yes	INC	1	0:00	1:00	0.05	4668.84	0.00	-2.97	0.00	0.00	0.00	0.00	0.00	0.00
27	RT	Incomplete or Inaccurate Transmission	PGAE	Stockton	8/27/2023	5 - 30	No	DEC	2	12:20	14:15	-43.29	0.00	0.00	974.60	-30.49	0.00	813.48	0.00	-391.26	0.00
28	RT	Incomplete or Inaccurate Transmission	PGAE	NA	8/1/2023	125	No	INC	5	13:30	18:00	-53.22	0.00	0.00	3568.76	-25.46	0.00	1896.54	0.00	-2787.49	0.00
29	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/6/2023	50	No	DEC	5	3:45	8:00	-3.70	0.00	0.00	-345.37	-3.75	0.00	-346.05	0.00	-80.57	0.00
30	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/7/2023	50	No	DEC	4	20:30	0:00	-2.50	0.00	0.00	-97.10	-2.50	0.00	-97.10	0.00	-688.26	0.00
31	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/8/2023	50	No	DEC	8	0:00	8:00	0.05	0.00	0.00	-0.09	0.00	0.00	0.00	0.00	-90.24	0.00
32	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/10/2023	4 - 20	No	DEC	18	1:35	19:00	-46.41	0.00	0.00	1838.42	-30.60	0.00	1000.12	0.00	-6671.79	0.00
33	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/11/2023	40	No	DEC	8	14:55	22:00	-2.92	0.00	0.00	98.91	-2.98	0.00	101.01	0.00	-1404.42	0.00
34	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/19/2023	20	No	DEC	1	23:40	0:00	-2.39	0.00	0.00	-634.70	-2.37	0.00	-630.72	0.00	0.00	0.00
35	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/20/2023	20	No	DEC	22	0:00	22:00	-2.66	0.00	0.00	-431.65	-2.43	0.00	-439.15	0.00	-5197.51	0.00

					Chart 2: Ta	able of Ex	ceptio	nal Dis	spatch	es for l	Period	01/Augu	ust/2023 – 3	81/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
36	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/21/2023	25 - 50	No	DEC	5	19:10	0:00	-3.58	0.00	0.00	-983.02	-4.82	0.00	-973.17	0.00	-164.21	0.00
37	RT	Incomplete or Inaccurate Transmission	SCE	NA	8/22/2023	25 - 50	No	DEC	7	0:00	7:00	-9.23	0.00	0.00	244.52	-9.25	0.00	247.04	0.00	-3334.07	0.00
38	RT	Load Forecast Uncertainty	PGAE	Bay Area	8/11/2023	231	No	INC	9	15:00	0:00	-236.60	32821.95	0.00	11171.26	0.00	0.00	0.00	0.00	0.00	0.00
39	RT	Load Forecast Uncertainty	PGAE	Bay Area	8/28/2023	133	No	INC	11	11:00	22:00	336.64	89545.65	0.00	-25304.85	0.00	0.00	0.00	0.00	0.00	0.00
40	RT	Load Forecast Uncertainty	PGAE	Fresno	8/16/2023	20	No	DEC	6	16:30	22:00	-159.40	0.00	0.00	17083.98	0.00	0.00	0.00	0.00	0.00	0.00
41	RT	Load Forecast Uncertainty	PGAE	Fresno	8/16/2023	35	No	INC	6	16:20	22:00	0.00	36327.92	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
42	RT	Load Forecast Uncertainty	SCE	Big Creek- Ventura	8/7/2023	50	No	INC	14	10:00	0:00	-22.71	172799.80	155939.7 9	2407.68	0.00	0.00	0.00	0.00	0.00	0.00
43	RT	Load Forecast Uncertainty	SCE	Big Creek- Ventura	8/8/2023	50	No	INC	10	0:00	10:00	4.17	0.00	0.00	-195.43	0.00	0.00	0.00	0.00	0.00	0.00
44	RT	Load Forecast Uncertainty	SCE	LA Basin	8/6/2023	10	No	INC	16	8:00	0:00	-10.67	55163.19	0.00	999.19	0.00	0.00	0.00	0.00	0.00	0.00
45	RT	Load Forecast Uncertainty	SCE	LA Basin	8/7/2023	10 - 70	Yes	INC	11	13:30	0:00	58.96	114537.94	159233.9 7	-3796.26	0.00	0.00	0.00	0.00	0.00	0.00
46	RT	Load Forecast Uncertainty	SCE	LA Basin	8/8/2023	70	No	INC	14	0:00	13:30	2.92	0.00	0.00	-184.98	0.00	0.00	0.00	0.00	0.00	0.00
47	RT	Load Forecast Uncertainty	SCE	LA Basin	8/15/2023	47.8 - 147	No	DEC	10	14:30	0:00	504.50	-131772.74	1951.63	-71809.95	0.00	0.00	0.00	0.00	0.00	0.00
48	RT	Load Forecast Uncertainty	SCE	LA Basin	8/15/2023	20 - 140	No	INC	8	16:00	0:00	-341.35	198802.00	83509.72	95401.03	0.00	0.00	0.00	0.00	0.00	0.00
49	RT	Load Forecast Uncertainty	SCE	LA Basin	8/16/2023	20 - 140	No	INC	24	0:00	0:00	68.47	647601.73	29695.11	-859.99	0.00	0.00	0.00	-0.06	0.00	0.00
50	RT	Load Forecast Uncertainty	SCE	LA Basin	8/17/2023	147	No	DEC	3	16:00	19:00	-158.56	0.00	1772.47	9289.74	0.00	0.00	0.00	0.00	0.00	0.00
51	RT	Load Forecast Uncertainty	SDGE	San Diego-IV	8/15/2023	50	No	DEC	5	17:00	22:00	-207.90	0.00	0.00	21808.59	0.00	0.00	0.00	0.00	0.00	0.00
52	RT	Market Disruption	PGAE	Bay Area	8/1/2023	544 - 551	No	INC	3	0:25	3:00	-73.05	22788.33	0.00	3725.67	-0.33	0.00	16.92	0.00	0.00	0.00
53	RT	Other Reliability Requirement	PGAE	Bay Area	8/15/2023	-195.87	No	DEC	2	16:40	18:30	-27.57	0.00	0.00	3137.71	-63.25	0.00	7186.45	0.00	0.00	0.00

					Chart 2: Ta	able of Ex	xceptio	nal Dis	patch	es for l	Period	01/Augu	ıst/2023 – 3	31/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
54	RT	Other Reliability Requirement	PGAE	Bay Area	8/16/2023	-97.32	No	DEC	2	16:20	18:00	-243.08	0.00	0.00	9210.85	-253.23	0.00	8263.21	0.00	0.00	0.00
55	RT	Other Reliability Requirement	SCE	Big Creek- Ventura	8/15/2023	-96.19	No	DEC	2	16:45	18:30	-84.00	0.00	0.00	-3306.33	-87.03	0.00	-3002.87	0.00	0.00	0.00
56	RT	Other Reliability Requirement	SCE	NA	8/15/2023	-4.96	No	DEC	2	17:20	18:50	-5.86	0.00	0.00	484.65	-3.26	0.00	198.30	0.00	0.00	0.00
57	RT	Other Reliability Requirement	SCE	NA	8/16/2023	-194.79	No	DEC	4	15:35	19:00	-193.73	0.00	0.00	-16845.37	-227.15	0.00	- 15216.22	0.00	0.00	0.00
58	RT	Other Reliability Requirement	SCE	NA	8/16/2023	0	No	INC	2	16:30	18:30	-8.34	0.00	0.00	7464.79	0.00	0.00	0.00	0.00	0.00	0.00
59	RT	Planned Transmission Outage	PGAE	Bay Area	8/14/2023	231	No	DEC	5	17:30	22:00	533.95	-51909.15	0.00	-69813.75	0.00	0.00	0.00	0.00	0.00	0.00
60	RT	Planned Transmission Outage	PGAE	Bay Area	8/18/2023	540	No	DEC	5	17:00	22:00	87.41	-55253.92	0.00	78.88	0.00	0.00	0.00	0.00	-80.51	0.00
61	RT	Planned Transmission Outage	PGAE	Bay Area	8/18/2023	540	No	INC	8	16:25	0:00	-22.18	6188.83	0.00	1142.85	-5.00	0.00	270.19	0.00	-270.19	0.00
62	RT	Planned Transmission Outage	PGAE	Bay Area	8/23/2023	175	No	INC	6	15:00	21:00	-237.67	113087.40	14620.64	13339.81	0.00	0.00	0.00	0.00	0.00	0.00
63	RT	Planned Transmission Outage	PGAE	Bay Area	8/24/2023	54 - 150	No	DEC	12	10:00	22:00	189.85	-76270.04	0.00	-14590.42	13.21	-519.21	0.00	0.00	-34.43	0.00
64	RT	Planned Transmission Outage	PGAE	Bay Area	8/28/2023	54 - 232	No	DEC	10	11:00	21:00	183.28	-57057.28	9563.96	-6590.32	27.90	-908.55	0.00	0.00	-239.98	0.00
65	RT	Planned Transmission Outage	PGAE	Bay Area	8/29/2023	54	No	DEC	10	11:00	21:00	-136.35	-66910.98	0.00	6360.02	0.00	0.00	0.00	0.00	0.00	0.00
66	RT	Planned Transmission Outage	PGAE	Fresno	8/2/2023	0 - 55	No	DEC	10	9:55	19:00	-8.58	1255.36	0.00	1146.51	-23.76	0.00	763.53	0.00	-4177.05	0.00
67	RT	Planned Transmission Outage	PGAE	Fresno	8/2/2023	72	No	INC	1	17:10	18:00	6.33	0.00	0.00	0.54	0.00	0.00	0.00	0.00	0.00	0.00
68	RT	Planned Transmission Outage	PGAE	Humboldt	8/3/2023	15 - 30	No	DEC	4	20:40	0:00	0.90	-10529.09	0.00	-69.75	0.00	0.00	0.00	0.00	0.00	0.00
69	RT	Planned Transmission Outage	PGAE	Humboldt	8/4/2023	30 - 45	No	DEC	22	0:00	22:00	-6.86	-34040.65	0.00	515.11	0.00	0.00	0.00	0.00	0.00	0.00
70	RT	Planned Transmission Outage	PGAE	Humboldt	8/4/2023	45	No	INC	2	22:00	0:00	0.00	3914.46	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
71	RT	Planned Transmission Outage	PGAE	Humboldt	8/5/2023	30	No	DEC	12			-33.64	-29921.09	0.00	1581.74	-3.67	0.00	168.27	0.00	-639.97	0.00

					Chart 2: 1a	able of Ex	ceptio	nai dis	patch	es for	Period	01/Augi	ust/2023 – 3	31/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
72	RT	Planned Transmission Outage	PGAE	Humboldt	8/5/2023	30 - 45	No	INC	12	0:00	12:00	-4.22	8586.13	0.00	256.43	0.00	0.00	0.00	0.00	0.00	0.00
73	RT	Planned Transmission Outage	PGAE	Humboldt	8/6/2023	15 - 30	No	DEC	11	13:00	0:00	-3.95	-33286.04	0.00	265.97	-0.64	0.00	46.13	0.00	-1713.08	0.00
74	RT	Planned Transmission Outage	PGAE	Humboldt	8/6/2023	30	No	INC	15	0:00	15:00	2.91	51516.63	0.00	-119.89	-0.64	0.00	42.62	0.00	-30.54	0.00
75	RT	Planned Transmission Outage	PGAE	Humboldt	8/7/2023	30	No	DEC	24	0:00	0:00	6.69	-56518.52	0.00	-556.17	0.00	0.00	0.00	0.00	-2934.33	0.00
76	RT	Planned Transmission Outage	PGAE	Humboldt	8/7/2023	30	No	INC	10	0:00	10:00	0.64	6244.44	0.00	-48.47	0.00	0.00	0.00	0.00	0.00	0.00
77	RT	Planned Transmission Outage	PGAE	Humboldt	8/8/2023	30	No	DEC	23	0:00	23:00	-11.58	-14427.29	0.00	605.77	0.00	0.00	0.00	0.00	0.00	0.00
78	RT	Planned Transmission Outage	PGAE	Humboldt	8/8/2023	30	No	INC	24	0:00	0:00	1.12	15095.64	0.00	-80.69	0.00	0.00	0.00	0.00	0.00	0.00
79	RT	Planned Transmission Outage	PGAE	Humboldt	8/9/2023	30 - 45	No	DEC	23	0:00	23:00	8.62	-37262.43	0.00	-620.91	0.00	0.00	0.00	0.00	0.00	0.00
80	RT	Planned Transmission Outage	PGAE	Humboldt	8/9/2023	30	No	INC	24	0:00	0:00	-0.03	33534.47	0.00	-13.89	0.00	0.00	0.00	0.00	0.00	0.00
81	RT	Planned Transmission Outage	PGAE	Humboldt	8/10/2023	30	No	DEC	4	17:00	21:00	4.72	0.00	0.00	-223.87	0.00	0.00	0.00	0.00	0.00	0.00
82	RT	Planned Transmission Outage	PGAE	Humboldt	8/10/2023	30	No	INC	24	0:00	0:00	38.46	33721.03	0.00	-1945.62	0.00	0.00	0.00	0.00	0.00	0.00
83	RT	Planned Transmission Outage	PGAE	Humboldt	8/11/2023	30	No	DEC	5	17:00	22:00	4.72	0.00	0.00	-215.25	0.00	0.00	0.00	0.00	0.00	0.00
84	RT	Planned Transmission Outage	PGAE	Humboldt	8/11/2023	30	No	INC	24	0:00	0:00	25.35	44180.15	0.00	-1022.10	0.00	0.00	0.00	0.00	0.00	0.00
85	RT	Planned Transmission Outage	PGAE	Humboldt	8/12/2023	30	No	DEC	6	15:00	21:00	5.20	-2125.75	0.00	-248.08	0.00	0.00	0.00	0.00	0.00	0.00
86	RT	Planned Transmission Outage	PGAE	Humboldt	8/12/2023	30 - 45	No	INC	24	0:00	0:00	5.53	68487.73	0.00	-134.31	0.00	0.00	0.00	0.00	0.00	0.00
87	RT	Planned Transmission Outage	PGAE	Humboldt	8/13/2023	45	No	DEC	7		22:00	-9.67	-8620.89	0.00	795.03	-5.08	0.00	303.13	0.00	-1081.40	
88	RT	Planned Transmission Outage	PGAE	Humboldt	8/13/2023	30 - 45	No	INC	24	0:00	0:00	3.29	33621.28	0.00	-78.78	0.00	0.00	0.00	0.00	0.00	0.00
89	RT	Planned Transmission Outage	PGAE	Humboldt	8/14/2023	30 - 45	No	DEC	12		20:45	-20.41	-37694.47	0.00	2006.36	-6.17	0.00	564.66	0.00	-8446.05	

					Chart 2: 1a	able of EX	ceptio	nai Dis	patch	es for	Period	01/Augu	ust/2023 – 3	1/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
90	RT	Planned Transmission Outage	PGAE	Humboldt	8/14/2023	15 - 30	No	INC	11	0:00	11:00	7.84	7720.44	0.00	-473.70	0.00	0.00	0.00	0.00	0.00	0.00
91	RT	Planned Transmission Outage	PGAE	Humboldt	8/15/2023	30 - 45	No	DEC	10	5:55	15:00	-13.27	-41718.07	0.00	1677.17	-2.14	0.00	329.56	0.00	-510.82	0.00
92	RT	Planned Transmission Outage	PGAE	Humboldt	8/16/2023	2	No	DEC	1	17:10	18:00	-0.73	0.00	0.00	112.70	-0.73	0.00	112.70	0.00	-14.78	0.00
93	RT	Planned Transmission Outage	PGAE	Humboldt	8/18/2023	30	No	DEC	11	13:00	0:00	-1.64	-48771.75	0.00	99.26	0.00	0.00	0.00	0.00	-1736.62	0.00
94	RT	Planned Transmission Outage	PGAE	Humboldt	8/18/2023	30	No	INC	8	5:00	13:00	-0.02	21253.32	0.00	0.64	0.00	0.00	0.00	0.00	0.00	0.00
95	RT	Planned Transmission Outage	PGAE	Humboldt	8/19/2023	15 - 30	No	DEC	24	0:00	0:00	-8.10	-17148.44	0.00	357.74	-0.94	0.00	36.59	0.00	-1209.15	0.00
96	RT	Planned Transmission Outage	PGAE	Humboldt	8/19/2023	30	No	INC	24	0:00	0:00	0.04	35596.47	0.00	12.39	0.00	0.00	0.00	0.00	0.00	0.00
97	RT	Planned Transmission Outage	PGAE	Humboldt	8/20/2023	15 - 45	No	DEC	24	0:00	0:00	-4.01	-35978.89	0.00	140.90	-1.22	0.00	39.27	0.00	-1021.54	0.00
98	RT	Planned Transmission Outage	PGAE	Humboldt	8/20/2023	15 - 30	No	INC	24	0:00	0:00	-0.58	26469.17	0.00	24.19	0.00	0.00	0.00	0.00	0.00	0.00
99	RT	Planned Transmission Outage	PGAE	Humboldt	8/21/2023	30	No	DEC	23	0:00	23:00	-0.57	-29315.74	0.00	15.91	0.00	0.00	0.00	0.00	-385.64	0.00
100	RT	Planned Transmission Outage	PGAE	Humboldt	8/21/2023	15 - 30	No	INC	24	0:00	0:00	1.15	19167.33	0.00	5.07	-0.65	0.00	28.25	0.00	-17.41	0.00
101	RT	Planned Transmission Outage	PGAE	Humboldt	8/22/2023	30	No	DEC	6	14:00	20:00	0.64	0.00	0.00	-23.33	0.00	0.00	0.00	0.00	-313.70	0.00
102	RT	Planned Transmission Outage	PGAE	Humboldt	8/22/2023	15 - 30	No	INC	24	0:00	0:00	6.53	14940.96	0.00	-258.75	0.00	0.00	0.00	0.00	0.00	0.00
103	RT	Planned Transmission Outage	PGAE	Humboldt	8/23/2023	30	No	DEC	9	13:00	22:00	-1.29	-25260.41	0.00	78.09	0.00	0.00	0.00	0.00	-1120.64	0.00
104	RT	Planned Transmission Outage	PGAE	Humboldt	8/23/2023	30	No	INC	24	0:00	0:00	0.75	41627.75	0.00	-43.07	0.00	0.00	0.00	0.00	0.00	0.00
105	RT	Planned Transmission Outage	PGAE	Humboldt	8/24/2023	30	No	DEC	5	16:00	21:00	1.29	0.00	0.00	-47.04	0.00	0.00	0.00	0.00	-280.42	0.00
106	RT	Planned Transmission Outage	PGAE	Humboldt	8/24/2023	30	No	INC	24	0:00	0:00	2.03	42094.09	0.00	-75.10	0.00	0.00	0.00	0.00	0.00	0.00
107	RT	Planned Transmission Outage	PGAE	Humboldt	8/25/2023	30	No	DEC	4	16:00	20:00	1.29	0.00	0.00	-40.14	0.00	0.00	0.00	0.00	-204.89	0.00

					Chart 2: Ta	able of E	xceptio	nal Dis	patch	es for l	Period	01/Augu	ust/2023 – 3	1/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
108	RT	Planned Transmission Outage	PGAE	Humboldt	8/25/2023	30	No	INC	24	0:00	0:00	-0.51	49188.89	0.00	24.68	-2.54	0.00	91.52	0.00	-31.11	0.00
109	RT	Planned Transmission Outage	PGAE	Humboldt	8/26/2023	30	No	DEC	7	16:00	23:00	-0.04	-10665.47	0.00	7.48	0.00	0.00	0.00	0.00	-654.15	0.00
110	RT	Planned Transmission Outage	PGAE	Humboldt	8/26/2023	30	No	INC	24	0:00	0:00	0.68	41375.28	0.00	-22.43	0.00	0.00	0.00	0.00	0.00	0.00
111	RT	Planned Transmission Outage	PGAE	Humboldt	8/27/2023	15 - 30	No	DEC	8	14:00	22:00	0.09	-11455.67	0.00	15.30	0.00	0.00	0.00	0.00	-1144.49	0.00
112	RT	Planned Transmission Outage	PGAE	Humboldt	8/27/2023	15 - 30	No	INC	24	0:00	0:00	0.66	11205.85	0.00	-34.67	0.00	0.00	0.00	0.00	0.00	0.00
113	RT	Planned Transmission Outage	PGAE	Humboldt	8/28/2023	15 - 30	No	DEC	9	12:00	20:45	-2.34	-36422.21	0.00	192.17	0.00	0.00	0.00	0.00	-1113.22	0.00
114	RT	Planned Transmission Outage	PGAE	Humboldt	8/28/2023	15 - 30	No	INC	14	0:00	14:00	0.00	28445.54	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
115	RT	Planned Transmission Outage	PGAE	Humboldt	8/31/2023	15 - 30	No	INC	17	7:00	0:00	25.34	43911.19	0.00	-1221.96	0.00	0.00	0.00	0.00	0.00	0.00
116	RT	Planned Transmission Outage	PGAE	NCNB	8/10/2023	65	No	DEC	9	4:35	13:00	-5.15	0.00	0.00	-266.53	-0.71	0.00	32.48	0.00	-8869.28	0.00
117	RT	Planned Transmission Outage	PGAE	NCNB	8/11/2023	57 - 61	No	DEC	10	3:40	13:00	-22.80	0.00	0.00	-323.69	-16.23	0.00	664.63	0.00	-8784.84	0.00
118	RT	Planned Transmission Outage	PGAE	NCNB	8/24/2023	58	No	DEC	8	5:00	13:00	3.49	0.00	0.00	-137.10	0.00	0.00	0.00	0.00	- 13895.64	0.00
119	RT	Planned Transmission Outage	PGAE	NCNB	8/25/2023	60	No	DEC	10	3:50	13:00	-4.31	0.00	0.00	48.65	-4.01	0.00	66.48	0.00	- 13247.59	0.00
120	RT	Planned Transmission Outage	PGAE	NCNB	8/26/2023	55 - 60	No	DEC	9	4:00	12:15	-6.62	0.00	0.00	-430.29	0.00	0.00	0.00	0.00	-507.74	0.00
121	RT	Planned Transmission Outage	PGAE	Sierra	8/4/2023	20	No	INC	3	21:45	0:00	7.10	3112.98	0.00	-732.72	0.00	0.00	0.00	0.00	0.00	0.00
122	RT	Planned Transmission Outage	PGAE	Sierra	8/5/2023	20	Yes	INC	2	0:00	2:00	0.27	6532.70	0.00	-21.24	0.00	0.00	0.00	0.00	0.00	0.00
123	RT	Planned Transmission Outage	PGAE	Sierra	8/11/2023	20	No	DEC	1	8:20	9:00	-14.86	0.00	0.00	-2133.76	-15.36	0.00	-2111.84	0.00	0.00	0.00
124	RT	Planned Transmission Outage	PGAE	Sierra	8/15/2023	20	Yes	DEC	2	22:25	0:00	41.77	0.00	15.33	-5826.72	0.00	0.00	0.00	0.00	0.00	0.00
125	RT	Planned Transmission Outage	PGAE	Sierra	8/16/2023	20	Yes	DEC	1	0:00	1:00	6.33	0.00	0.00	-579.93	0.00	0.00	0.00	0.00	0.00	0.00

					Chart 2: Ta	able of E	xceptio	nal Dis	patch	es for l	Period	01/Augu	ist/2023 – 3	31/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
126	RT	Planned Transmission Outage	PGAE	Sierra	8/21/2023	40	No	DEC	4	20:25	0:00	3.99	0.00	0.00	362.18	-0.37	0.00	-149.82	0.00	0.00	0.00
127	RT	Planned Transmission Outage	PGAE	Sierra	8/22/2023	14 - 44	No	DEC	24	0:00	0:00	-32.97	0.00	0.00	-955.35	-32.57	0.00	-818.76	0.00	-134.44	0.00
128	RT	Planned Transmission Outage	PGAE	Sierra	8/22/2023	14 - 18	No	INC	6	18:00	0:00	-2.72	0.00	0.00	-511.18	-4.00	0.00	-182.33	0.00	0.00	0.00
129	RT	Planned Transmission Outage	PGAE	Sierra	8/23/2023	14 - 33	No	INC	1	0:00	0:15	5.02	0.00	0.00	-205.16	0.00	0.00	0.00	0.00	0.00	0.00
130	RT	Planned Transmission Outage	PGAE	Stockton	8/19/2023	60	No	INC	8	9:20	17:00	-60.89	0.00	0.00	1338.46	0.00	0.00	0.00	0.00	0.00	0.00
131	RT	Planned Transmission Outage	PGAE	Stockton	8/25/2023	40	No	DEC	1	6:50	7:20	-15.79	0.00	0.00	416.14	-8.59	0.00	322.61	0.00	-200.08	0.00
132	RT	Planned Transmission Outage	PGAE	Stockton	8/25/2023	65	No	INC	1	7:20	8:15	12.98	0.00	0.00	-287.73	-0.25	0.00	5.80	0.00	0.00	0.00
133	RT	Planned Transmission Outage	SCE	NA	8/10/2023	0 - 54	No	DEC	17	5:25	21:30	-45.93	0.00	0.00	2298.60	-28.14	0.00	1310.90	0.00	-6397.60	0.00
134	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/1/2023	250	No	DEC	4	16:00	20:00	-96.96	0.00	0.00	8955.01	0.00	0.00	0.00	0.00	0.00	0.00
135	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/1/2023	250	No	INC	5	11:55	16:00	6.10	38407.34	1784.57	-2063.52	0.00	0.00	0.00	0.00	-8568.88	0.00
136	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/2/2023	150	No	DEC	1	9:30	10:15	-15.08	0.00	0.00	608.90	-31.63	0.00	1427.42	0.00	-3406.25	0.00
137	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/6/2023	21	No	INC	3	20:05	23:00	-1.00	14620.89	590.08	75.05	0.00	0.00	0.00	0.00	0.00	0.00
138	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/9/2023	21 - 44	No	INC	4	20:15	0:00	63.74	14989.07	0.00	-5561.22	0.00	0.00	0.00	0.00	0.00	0.00
139	RT	Planned Transmission Outage	SDGE	San Diego-IV	8/12/2023	21	No	INC	4	7:30	11:00	10.50	10060.02	616.48	-331.22	0.00	0.00	0.00	0.00	0.00	0.00
140	RT	Ramping Capacity	PGAE	Humboldt	8/15/2023	45	No	DEC	1	13:40	14:30	-10.31	-322.84	0.00	1291.16	0.00	0.00	0.00	0.00	0.00	0.00
141	RT	Ramping Capacity	PGAE	NA	8/14/2023	48.95	No	DEC	7	15:00	22:00	-89.94	-29474.87	1668.19	7327.68	0.00	0.00	0.00	0.00	0.00	0.00
142	RT	Ramping Capacity	PGAE	NA	8/14/2023	48.95	No	INC	1	14:55	15:00	-1.00	912.71	278.03	74.56	0.00	0.00	0.00	0.00	0.00	0.00
143	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/1/2023	400	No	INC	5	16:00	21:00	-772.24	54398.45	0.00	76412.82	0.00	0.00	0.00	0.00	0.00	0.00

## Chart 2: Table of Exceptional Dispatches for Period 01/August/2023 – 31/August/2023

					Chart 2: 1a		cceptio		spatch	es for	Period	01/Augu	ist/2023 – 3	August	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
144	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/7/2023	400.1	No	INC	5	16:00	21:00	-269.77	253246.16	6497.49	16212.29	0.00	0.00	0.00	0.00	0.00	0.00
145	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/13/2023	401 - 750	No	INC	4	16:00	20:00	135.86	78322.11	0.00	-17059.00	146.86	- 17790.2 1	0.00	0.00	0.00	0.00
146	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/14/2023	401	No	DEC	6	16:00	22:00	-173.49	0.00	0.00	16802.04	0.00	0.00	0.00	0.00	0.00	0.00
147	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/14/2023	401	No	INC	7	15:00	22:00	142.37	214822.94	0.00	-9975.02	53.15	- 3842.86	0.00	0.00	0.00	0.00
148	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/15/2023	401	No	DEC	7	15:00	22:00	-41.79	-358954.44	0.00	-19467.16	0.00	0.00	0.00	0.00	0.00	0.00
149	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/16/2023	401	No	DEC	7		22:00	-54.45	-975890.47	0.00	85462.69	0.00	0.00	0.00	0.00	0.00	0.00
150	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/17/2023	400.1	No	INC	4	16:00	20:00	-36.99	26452.67	0.00	2845.70	12.50	- 1237.25	0.00	0.00	0.00	0.00
151	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/24/2023	401	No	INC	6	16:00	22:00	-108.47	157942.54	0.00	6909.32	32.32	- 1887.46	0.00	0.00	0.00	0.00
152	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/28/2023	50 - 401	No	DEC	10	14:00	0:00	-889.28	7245.96	0.00	71149.53	0.00	0.00	0.00	0.00	0.00	0.00
153	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/28/2023	50 - 401	No	INC	16	2:00	18:00	-7.63	131689.73	108162.6 8	216.78	0.88	-63.04	0.00	0.00	0.00	0.00
154	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/29/2023	401	No	DEC	2		20:00	-516.25	0.00	0.00	46895.85	0.00	0.00	0.00	0.00	0.00	0.00
155	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/29/2023	401	No	INC	6	16:00	22:00	79.73	34909.85	0.00	-3624.17	2.97	-380.14	0.00	0.00	0.00	0.00
156	RT	Ramping Capacity	SCE	Big Creek- Ventura	8/30/2023	401	No	INC	5	16:00	21:00	-625.28	0.00	0.00	44455.98	1.16	-105.87	0.00	0.00	0.00	0.00
157	RT	Ramping Capacity	SCE	LA Basin	8/1/2023	180 - 194	No	INC	5	16:00	21:00	-924.25	0.00	0.00	90838.66	3.07	-347.21	0.00	0.00	0.00	0.00
158	RT	Ramping Capacity	SCE	LA Basin	8/7/2023	65 - 465	No	INC	5	16:00	21:00	-80.14	73312.67	0.00	-2537.93	192.95	- 22503.4 5	0.00	0.00	0.00	0.00
159	RT	Ramping Capacity	SCE	LA Basin	8/8/2023	190 - 194	No	INC	3	19:30	22:00	-74.58	0.00	0.00	4915.01	3.90	-418.70	0.00	0.00	0.00	0.00
160	RT	Ramping Capacity	SCE	LA Basin	8/9/2023	190 - 194	No	INC	5	17:30	22:00	-422.49	0.00	0.00	26066.61	0.46	-37.63	0.00	0.00	0.00	0.00

### Chart 2: Table of Exceptional Dispatches for Period 01/August/2023 – 31/August/2023

					Chart 2: Ta	able of Ex	cceptio	nal Dis	patch	es for l	Period	01/Augu	ıst/2023 – 3	1/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
161	RT	Ramping Capacity	SCE	LA Basin	8/11/2023	190 - 194	No	INC	6	16:30	22:00	-103.88	0.00	0.00	5128.39	0.00	0.00	0.00	0.00	0.00	0.00
162	RT	Ramping Capacity	SCE	LA Basin	8/13/2023	151 - 326	No	INC	4	16:00	20:00	61.40	0.00	0.00	-11577.11	118.49	- 15493.7 5	0.00	0.00	0.00	0.00
163	RT	Ramping Capacity	SCE	LA Basin	8/14/2023	190 - 251	No	DEC	7	15:00	22:00	572.86	-20467.83	0.00	-51060.91	0.00	0.00	0.00	0.00	0.00	0.00
164	RT	Ramping Capacity	SCE	LA Basin	8/15/2023	190 - 240	No	DEC	7	15:00	22:00	-13.91	0.00	0.00	3679.87	0.00	0.00	0.00	0.00	0.00	0.00
165	RT	Ramping Capacity	SCE	LA Basin	8/16/2023	190 - 240	No	DEC	7	15:00	22:00	-19.17	0.00	0.00	5396.00	0.00	0.00	0.00	0.00	0.00	0.00
166	RT	Ramping Capacity	SCE	LA Basin	8/17/2023	190 - 194	No	DEC	3	17:00	20:00	-169.56	0.00	0.00	9175.96	0.00	0.00	0.00	0.00	0.00	0.00
167	RT	Ramping Capacity	SCE	LA Basin	8/17/2023	130 - 241	No	INC	4	16:00	20:00	25.36	93031.76	0.00	-2368.65	20.55	- 2180.70	0.00	0.00	0.00	0.00
168	RT	Ramping Capacity	SCE	LA Basin	8/18/2023	140 - 194	No	INC	3	17:00	20:00	30.30	0.00	0.00	-3425.47	30.65	- 3444.85	0.00	0.00	0.00	0.00
169	RT	Ramping Capacity	SCE	LA Basin	8/24/2023	190 - 194	No	INC	6	16:00	22:00	-26.91	0.00	0.00	1166.54	3.36	-297.39	0.00	0.00	0.00	0.00
170	RT	Ramping Capacity	SCE	LA Basin	8/25/2023	190 - 194	No	INC	6	16:00	22:00	-291.52	0.00	0.00	19143.19	0.00	0.00	0.00	0.00	0.00	0.00
171	RT	Ramping Capacity	SCE	LA Basin	8/26/2023	0 - 194	No	INC	13	9:20	22:00	-286.07	1416.68	0.00	14111.63	-27.73	-129.80	62.15	0.00	0.00	0.00
172	RT	Ramping Capacity	SCE	LA Basin	8/27/2023	190 - 194	No	INC	6	16:00	22:00	-447.20	0.00	0.00	33042.79	3.68	-301.32	0.00	0.00	0.00	0.00
173	RT	Ramping Capacity	SCE	LA Basin	8/28/2023	159 - 240	No	DEC	6	16:00	22:00	-762.76	-8120.15	0.00	49928.43	3.56	-307.37	0.00	0.00	0.00	0.00
174	RT	Ramping Capacity	SCE	LA Basin	8/28/2023	130 - 240	No	INC	12	12:30		-31.63	24422.07	0.00	-1096.80	22.74	- 4227.11	0.00	0.00	0.00	0.00
175	RT	Ramping Capacity	SCE	LA Basin	8/29/2023	65 - 240		DEC	5		21:00	-506.55	0.00	0.00	28468.99	0.00	0.00	0.00	0.00	0.00	0.00
176	RT	Ramping Capacity	SCE	LA Basin		65 - 240		INC	7		22:00	-17.32	0.00	0.00	829.37	0.00	0.00	0.00	0.00	0.00	0.00
177	RT	Ramping Capacity	SCE	LA Basin	8/30/2023	65 - 194	No	DEC	5		21:00	-229.22	0.00	0.00	9030.42	0.00	0.00	0.00	0.00	0.00	0.00
178	RT	Ramping Capacity	SCE	LA Basin	8/30/2023	190 - 241	No	INC	5		21:00	-98.33	101237.40	0.00	7974.57	4.29	-378.87	0.00	0.00	0.00	0.00

					Chart 2: Ta	able of E	ceptio	nal Dis	patch	es for I	Period	01/Augu	ust/2023 – 3	31/August/2	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
179	RT	Reliability Assessment	PGAE	Bay Area	8/7/2023	176 - 177	No	DEC	5	15:30	20:00	-48.26	-47992.44	0.00	8243.70	0.00	0.00	0.00	0.00	0.00	0.00
180	RT	Reliability Assessment	PGAE	Bay Area	8/8/2023	64	No	DEC	11	9:45	20:00	-49.36	-105260.72	10388.68	3773.44	0.00	0.00	0.00	0.00	0.00	0.00
181	RT	Reliability Assessment	PGAE	Bay Area	8/9/2023	113	No	DEC	7	13:15	20:00	-58.14	-64580.21	7309.46	3187.47	0.00	0.00	0.00	0.00	0.00	0.00
182	RT	Reliability Assessment	PGAE	Bay Area	8/16/2023	250	No	DEC	7	16:00	23:00	165.02	-43139.74	0.00	-34952.90	0.00	0.00	0.00	0.00	0.00	0.00
183	RT	Reliability Assessment	PGAE	Bay Area	8/17/2023	22 - 114.01	No	DEC	8	12:00	20:00	-41.58	-30368.25	0.00	2421.73	0.00	0.00	0.00	0.00	0.00	0.00
184	RT	Reliability Assessment	PGAE	Bay Area	8/17/2023	22	No	INC	6	11:20	17:00	11.55	0.00	0.00	-661.79	0.00	0.00	0.00	0.00	0.00	0.00
185	RT	Reliability Assessment	PGAE	Bay Area	8/18/2023	71	No	DEC	5	15:30	20:00	-45.24	-47536.24	0.00	2645.28	0.00	0.00	0.00	0.00	0.00	0.00
186	RT	Reliability Assessment	PGAE	Bay Area	8/23/2023	0 - 25	No	INC	2	16:05	17:30	-14.32	1545.27	0.00	499.78	-7.14	0.00	0.00	0.00	0.00	0.00
187	RT	Reliability Assessment	PGAE	Fresno	8/13/2023	400	No	INC	1	17:25	17:35	-3.01	0.00	0.00	-57.22	10.21	-746.66	0.00	0.00	0.00	0.00
188	RT	Reliability Assessment	PGAE	Fresno	8/18/2023	83 - 300	No	INC	3	21:45	0:00	82.27	9622.63	0.00	-7310.01	40.65	- 3273.17	0.00	0.00	0.00	0.00
189	RT	Reliability Assessment	PGAE	Fresno	8/19/2023	83 - 200	No	INC	2	0:00	2:00	-58.50	19245.26	0.00	2780.24	0.00	0.00	0.00	0.00	0.00	0.00
190	RT	Reliability Assessment	PGAE	Humboldt	8/1/2023	30	No	INC	24	0:00	0:00	0.22	40457.73	0.00	-220.15	0.00	0.00	0.00	0.00	0.00	0.00
191	RT	Reliability Assessment	PGAE	Humboldt	8/2/2023	30	No	DEC	23	0:00	23:00	-6.78	-33354.11	0.00	335.77	0.00	0.00	0.00	0.00	0.00	0.00
192	RT	Reliability Assessment	PGAE	Humboldt	8/2/2023	30	No	INC	1	23:00	0:00	0.00	1916.78	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
193	RT	Reliability Assessment	PGAE	Humboldt	8/3/2023	30	No	DEC	8		21:00	-1.84	-19440.40	0.00	91.61	0.00	0.00	0.00	0.00	0.00	0.00
194	RT	Reliability Assessment	PGAE	Humboldt	8/3/2023	30	No	INC	13	0:00	13:00	0.00	21283.59	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
195	RT	Reliability Assessment	PGAE	Humboldt	8/15/2023	30 - 45	No	DEC	18	3:30		-14.69	-12222.11	0.00	692.78	-2.27	0.00	45.99	0.00	-215.04	0.00
196	RT	Reliability Assessment	PGAE	Humboldt	8/28/2023	15	No	DEC	2		22:00	0.62	-6787.69	0.00	-19.83	0.00	0.00	0.00	0.00	-12.95	0.00

					Chart 2: Ta	ble of E	cceptio	onal Dis	patch	es for	Period	01/Augu	ust/2023 – 3	1/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
197	RT	Reliability Assessment	PGAE	Humboldt	8/28/2023	15	No	INC	2	22:00	0:00	-1.81	1723.98	0.00	92.72	0.00	0.00	0.00	0.00	0.00	0.00
198	RT	Reliability Assessment	PGAE	Humboldt	8/29/2023	15	No	DEC	3	17:00	20:00	-0.56	-8260.18	0.00	17.70	0.00	0.00	0.00	0.00	0.00	0.00
199	RT	Reliability Assessment	PGAE	Humboldt	8/29/2023	15	No	INC	24	0:00	0:00	1.91	12697.75	0.00	-126.29	0.00	0.00	0.00	0.00	0.00	0.00
200	RT	Reliability Assessment	PGAE	Humboldt	8/30/2023	15	No	DEC	11	12:00	23:00	4.67	-41025.28	0.00	-166.89	0.00	0.00	0.00	0.00	0.00	0.00
201	RT	Reliability Assessment	PGAE	Humboldt	8/30/2023	15	No	INC	24	0:00	0:00	-0.99	11881.22	0.00	37.44	0.00	0.00	0.00	0.00	0.00	0.00
202	RT	Reliability Assessment	PGAE	Humboldt	8/31/2023	15	No	INC	9	0:00	9:00	0.00	7917.21	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
203	RT	Reliability Assessment	PGAE	Sierra	8/6/2023	20	No	DEC	1	21:50	22:00	4.07	0.00	0.00	-658.63	0.00	0.00	0.00	0.00	0.00	0.00
204	RT	Reliability Assessment	PGAE	Sierra	8/6/2023	20	No	INC	2	22:00	0:00	-3.56	4920.65	0.00	-112.89	0.00	0.00	0.00	0.00	0.00	0.00
205	RT	Reliability Assessment	PGAE	Sierra	8/7/2023	20	No	DEC	6	17:00	23:00	5.27	0.00	445.66	-477.18	0.00	0.00	0.00	0.00	0.00	0.00
206	RT	Reliability Assessment	PGAE	Sierra	8/7/2023	20	Yes	INC	24	0:00	0:00	5.03	9799.05	154.74	-423.10	0.00	0.00	0.00	0.00	0.00	0.00
207	RT	Reliability Assessment	PGAE	Sierra	8/9/2023	12 - 30	No	DEC	7	17:25	0:00	-34.77	0.00	0.00	-8677.78	-29.14	0.00	-5387.94	0.00	0.00	0.00
208	RT	Reliability Assessment	PGAE	Sierra	8/9/2023	0 - 14	No	INC	5	18:00	23:00	3.15	0.00	0.00	1442.30	0.00	0.00	0.00	0.00	0.00	0.00
209	RT	Reliability Assessment	PGAE	Sierra	8/10/2023	30	No	DEC	4	20:55		-11.08	0.00	0.00	-3295.98	-11.08	0.00	-3295.98	0.00	0.00	0.00
210	RT	Reliability Assessment	PGAE	Sierra	8/10/2023	20	No	INC	3	0:00	3:00	-0.71	0.00	0.00	31.33	0.00	0.00	0.00	0.00	0.00	0.00
211	RT	Reliability Assessment	PGAE	Sierra	8/11/2023	30	No	DEC	2	0:00		-0.01	0.00	0.00	-41.20	0.00	0.00	0.00	0.00	0.00	0.00
212	RT	Reliability Assessment	PGAE	Sierra	8/11/2023	20	No	INC	2		22:00		0.00	254.42	1585.10	0.00	0.00	0.00	0.00	0.00	0.00
212	RT	Reliability Assessment	PGAE	Sierra	8/12/2023	20	No	DEC	2		22:00		0.00	0.00	1980.83	0.00	0.00	0.00	0.00	0.00	0.00
									<u>∠</u>												
214	RT	Reliability Assessment	PGAE	Sierra	8/12/2023	20	No	INC	1	22:00	23:00	-4.39	0.00	0.00	322.14	0.00	0.00	0.00	0.00	0.00	0.00

					Chart 2: Ta	able of Ex	cceptio	nal Dis	patch	es for Period	l 01/Aug	ust/2023 – 3	81/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin End Time Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
215	RT	Reliability Assessment	PGAE	Sierra	8/13/2023	20	No	DEC	2	21:20 23:00	13.46	0.00	0.00	-1146.25	0.00	0.00	0.00	0.00	0.00	0.00
216	RT	Reliability Assessment	PGAE	Sierra	8/15/2023	20	No	DEC	15	8:00 22:30	0.43	0.00	100.24	-499.91	0.00	0.00	0.00	0.00	0.00	0.00
217	RT	Reliability Assessment	PGAE	Sierra	8/15/2023	20	No	INC	6	6:30 12:00	10.44	0.00	40.40	-962.79	0.00	0.00	0.00	0.00	0.00	0.00
218	RT	Reliability Assessment	PGAE	Sierra	8/16/2023	20	No	DEC	10	13:45 23:00	12.49	0.00	0.00	-2188.37	0.00	0.00	0.00	0.00	0.00	0.00
219	RT	Reliability Assessment	PGAE	Sierra	8/17/2023	20	No	DEC	11	12:15 23:00	-8.48	0.00	0.00	212.45	0.00	0.00	0.00	0.00	0.00	0.00
220	RT	Reliability Assessment	PGAE	Sierra	8/17/2023	20	No	INC	2	13:00 15:00	0.21	5303.12	0.00	-12.17	0.00	0.00	0.00	0.00	0.00	0.00
221	RT	Reliability Assessment	PGAE	Sierra	8/24/2023	40	No	DEC	2	13:30 15:00	-3.70	0.00	0.00	-956.91	-3.70	0.00	-956.91	0.00	0.00	0.00
222	RT	Reliability Assessment	PGAE	Sierra	8/24/2023	40	No	INC	5	15:00 19:45	-3.41	0.00	0.00	-20.72	-4.43	0.00	281.19	0.00	0.00	0.00
223	RT	Reliability Assessment	PGAE	Sierra	8/28/2023	20 - 42	No	DEC	6	16:15 22:00	-12.86	-636.94	0.00	-1676.95	0.00	0.00	0.00	0.00	0.00	0.00
224	RT	Reliability Assessment	PGAE	Sierra	8/28/2023	20	No	INC	2	21:00 23:00	-4.70	0.00	0.00	216.03	0.00	0.00	0.00	0.00	0.00	0.00
225	RT	Reliability Assessment	PGAE	Sierra	8/30/2023	20	No	DEC	1	20:00 21:00	-2.05	0.00	0.00	15.65	0.00	0.00	0.00	0.00	0.00	0.00
226	RT	Reliability Assessment	PGAE	Sierra	8/30/2023	20	No	INC	3	21:00 0:00	46.07	3484.86	212.51	-3314.20	0.00	0.00	0.00	0.00	0.00	0.00
227	RT	Reliability Assessment	PGAE	Stockton	8/30/2023	50	No	INC	6	0:30 6:00	7.14	0.00	0.00	-224.93	0.00	0.00	0.00	0.00	0.00	0.00
228	RT	Reliability Assessment	PGAE	NA	8/1/2023	32	No	DEC	8	0:15 8:00	-2.72	0.00	0.00	10.67	-2.51	0.00	0.03	0.00	0.00	0.00
229	RT	Reliability Assessment	PGAE	NA	8/25/2023	15	No	DEC	2	16:25 18:00	-5.02	0.00	0.00	-32.60	-3.79	0.00	-76.27	0.00	0.00	0.00
230	RT	Reliability Assessment	PGAE	NA	8/25/2023	15	No	INC	2	17:00 19:00	-1.26	0.00	0.00	52.60	0.00	0.00	0.00	0.00	0.00	0.00
231	RT	Reliability Assessment	SCE	NA	8/1/2023	10	No	DEC	4	4:25 8:00	-34.02	0.00	0.00	-315.94	-33.94	0.00	-320.64	0.00	0.00	0.00
232	RT	Reliability Assessment	SCE	NA	8/2/2023	20 - 46	No	DEC	5	3:00 8:00	-14.65	0.00	0.00	-498.17	-14.58	0.00	-509.25	0.00	0.00	0.00

					Chart 2: Ta	able of Ex	ceptio	nal Dis	patch	es for I	Period	01/Augu	ıst/2023 – 3	1/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
233	RT	Reliability Assessment	SCE	NA	8/3/2023	20	No	DEC	8	0:20	8:00	-9.09	0.00	0.00	-348.48	-8.89	0.00	-356.82	0.00	0.00	0.00
234	RT	Reliability Assessment	SCE	NA	8/3/2023	46	No	INC	8	0:25	8:00	-1.19	0.00	0.00	-153.82	-1.44	0.00	-144.69	0.00	0.00	0.00
235	RT	Reliability Assessment	SCE	NA	8/4/2023	20 - 45	No	DEC	7	1:00	8:00	-15.17	0.00	0.00	-1357.94	-14.98	0.00	-1363.79	0.00	0.00	0.00
236	RT	Reliability Assessment	SCE	NA	8/9/2023	25 - 50	No	DEC	19	2:45	21:00	-7.31	0.00	0.00	301.64	-2.50	0.00	4.13	0.00	0.00	0.00
237	RT	Reliability Assessment	SCE	NA	8/9/2023	25	No	INC	9	14:35	22:45	-86.08	0.00	0.00	2024.74	-75.00	0.00	1250.00	0.00	0.00	0.00
238	RT	Reliability Assessment	SCE	NA	8/13/2023	6 - 49.9	No	DEC	5	17:10	22:00	-8.62	0.00	0.00	-1693.20	-8.55	0.00	-1685.04	0.00	0.00	0.00
239	RT	Reliability Assessment	SCE	NA	8/14/2023	20 - 55	No	DEC	21	3:30	0:00	-9.32	0.00	0.00	18.23	-8.68	0.00	14.32	0.00	0.00	0.00
240	RT	Reliability Assessment	SCE	NA	8/14/2023	420 - 450	No	INC	10	14:25	0:00	8.51	79464.17	0.00	-846.38	-14.32	0.00	790.05	0.00	0.00	0.00
241	RT	Reliability Assessment	SCE	NA	8/15/2023	25 - 50	No	DEC	9	0:00	9:00	-0.23	0.00	0.00	-6.50	0.00	0.00	0.00	0.00	0.00	0.00
242	RT	Reliability Assessment	SCE	NA	8/15/2023	450 - 475	No	INC	22	0:00	22:00	-95.95	69421.00	0.00	5846.85	0.00	0.00	0.00	0.00	0.00	0.00
243	RT	Reliability Assessment	SCE	NA	8/16/2023	50	No	DEC	8	0:30	8:00	-3.47	0.00	0.00	-92.22	-3.50	0.00	-82.68	0.00	0.00	0.00
244	RT	Reliability Assessment	SCE	NA	8/16/2023	425	No	INC	3	12:20	15:00	-98.02	32342.22	0.00	5398.69	-90.75	0.00	5162.87	0.00	0.00	0.00
245	RT	Reliability Assessment	SCE	NA	8/18/2023	45	No	DEC	6	18:35	0:00	-4.38	0.00	0.00	13.89	-4.19	0.00	6.92	0.00	0.00	0.00
246	RT	Reliability Assessment	SCE	NA	8/18/2023	450 - 475	No	INC	21	1:30	22:00	-54.32	58409.95	0.00	2800.08	0.00	0.00	0.00	0.00	0.00	0.00
247	RT	Reliability Assessment	SCE	NA	8/19/2023	45	No	DEC	15	0:00	15:00	0.56	0.00	0.00	635.84	-1.96	0.00	-83.14	0.00	0.00	0.00
248	RT	Reliability Assessment	SCE	NA	8/20/2023	0	No	DEC	4	18:00	22:00	-85.36	0.00	0.00	-252.30	-82.83	0.00	-352.04	0.00	0.00	0.00
249	RT	Reliability Assessment	SCE	NA	8/20/2023	0 - 450	No	INC	13	11:20	0:00	-84.60	16988.54	0.00	1226.19	-12.55	0.00	386.38	0.00	0.00	0.00
250	RT	Reliability Assessment	SCE	NA	8/21/2023	0	No	INC	6	0:00	6:00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

					Chart 2: Ta	able of Ex	xceptio	nal Dis	spatch	es for Peric	d 01/Aı	gust/2023 – 3	81/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Enc Time Time			Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
251	RT	Reliability Assessment	SCE	NA	8/26/2023	50	No	DEC	7	2:00 9:00	-5.56	0.00	0.00	8.48	-5.63	0.00	9.28	0.00	0.00	0.00
252	RT	Reliability Assessment	SCE	NA	8/27/2023	450	No	DEC	1	21:55 22:0	0 6.26	226.22	0.00	-73.85	0.00	0.00	0.00	0.00	0.00	0.00
253	RT	Reliability Assessment	SCE	NA	8/27/2023	450	No	INC	2	22:00 0:00	-21.2	5 14754.06	0.00	-2036.48	-4.17	0.00	184.58	0.00	0.00	0.00
254	RT	Reliability Assessment	SCE	NA	8/28/2023	425	No	DEC	2	18:00 20:0	0 0.13	0.00	0.00	-6.78	0.00	0.00	0.00	0.00	0.00	0.00
255	RT	Reliability Assessment	SCE	NA	8/28/2023	425	No	INC	18	0:00 18:0	0 5.71	82667.52	0.00	-148.05	0.00	0.00	0.00	0.00	0.00	0.00
256	RT	Reliability Assessment	SDGE	San Diego-IV	8/8/2023	18.2	No	INC	8	12:20 20:0	0 1.44	21319.76	0.00	-795.03	0.00	0.00	0.00	0.00	0.00	0.00
257	RT	Reliability Assessment	SDGE	San Diego-IV	8/29/2023	21	No	INC	7	16:15 23:0	0 12.42	24146.75	633.49	-3193.65	0.00	0.00	0.00	0.00	0.00	0.00
258	RT	Reliability Assessment	SDGE	San Diego-IV	8/30/2023	18.2	No	DEC	1	19:15 20:0	0 23.72	0.00	0.00	-1488.06	0.00	0.00	0.00	0.00	0.00	0.00
259	RT	Reliability Assessment	SDGE	San Diego-IV	8/30/2023	18.2 - 21	No	INC	3	20:00 22:3	0 -0.99	9147.05	657.85	76.12	0.00	0.00	0.00	0.00	0.00	0.00
260	RT	Reliability Assessment	SDGE	San Diego-IV	8/31/2023	37	No	INC	2	21:00 22:3	0 9.25	0.00	0.00	-437.94	0.03	-1.86	0.00	0.00	0.00	0.00
261	RT	SOC Charge	SCE	NA	8/17/2023	-32	No	DEC	3	15:25 18:0	0 -107.5	9 0.00	0.00	-1036.22	-102.14	0.00	-1337.88	0.00	0.00	0.00
262	RT	Software Limitation	PGAE	Bay Area	8/10/2023	0	No	DEC	1	23:55 0:00	-32.3	9 0.00	0.00	879.36	-12.61	0.00	0.00	0.00	0.00	0.00
263	RT	Software Limitation	PGAE	Bay Area	8/11/2023	0	No	INC	5	0:00 4:50	-186.5	0 10700.89	0.00	6074.03	-57.75	0.00	0.00	0.00	0.00	0.00
264	RT	Software Limitation	SCE	Big Creek- Ventura	8/14/2023	500 - 600	No	INC	1	22:00 23:0			0.00	-37150.55	0.00	0.00	0.00	0.00	0.00	0.00
265	RT	Software Limitation	SCE	LA Basin	8/5/2023	0	No	INC	23	1:00 23:5			55.38	0.00	-16.71	0.00	0.00	0.00	0.00	0.00
266	RT	Software Limitation	SCE	LA Basin	8/6/2023	0	No	INC	1	21:40 22:4			76.34	0.00	-11.70	0.00	0.00	0.00	0.00	0.00
267	RT	Software Limitation	SCE	LA Basin	8/25/2023	0	No	INC	1	3:15 4:15			0.00	0.00	-10.02	0.00	0.00	0.00	0.00	0.00
268	RT	Software Limitation	SDGE	San Diego-IV	8/4/2023	0	No	INC	1	19:45 20:4			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

					Chart 2: Ta	able of Ex	xceptio	nal Dis	spatch	es for l	Period	01/Augu	ust/2023 – 3	1/August/	2023						
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662 0
269	RT	Unit Testing	PGAE	Bay Area	8/22/2023	290	No	INC	10	10:15	19:45	320.72	-14475.13	0.00	-10777.37	0.00	0.00	0.00	0.00	0.00	0.00
270	RT	Unit Testing	PGAE	Bay Area	8/23/2023	286	No	INC	1	9:25	10:00	103.22	0.00	0.00	-2808.73	0.28	-19.02	0.00	0.00	0.00	0.00
271	RT	Unit Testing	PGAE	Bay Area	8/25/2023	6.29	No	DEC	1	12:15	12:55	-9.24	-3471.27	0.00	384.76	0.00	0.00	0.00	0.00	0.00	0.00
272	RT	Unit Testing	PGAE	Bay Area	8/31/2023	296	No	INC	5	12:00	16:30	128.46	-10070.69	0.00	-7912.31	3.25	-226.49	0.00	0.00	0.00	0.00
273	RT	Unit Testing	PGAE	Sierra	8/9/2023	278	No	INC	2	0:00	2:00	25.95	21315.25	0.00	-1581.82	26.50	- 1607.23	0.00	0.00	0.00	0.00
274	RT	Unit Testing	PGAE	NA	8/2/2023	266	No	INC	1	2:00	3:00	-3.40	0.00	0.00	172.39	0.19	-9.54	0.00	0.00	0.00	0.00
275	RT	Unit Testing	PGAE	NA	8/3/2023	118	No	INC	1	11:00	11:40	76.19	0.00	0.00	-2504.36	76.19	- 2504.36	0.00	0.00	0.00	0.00
276	RT	Unit Testing	SCE	NA	8/1/2023	19	No	INC	1	19:20	20:05	12.67	0.00	0.00	-1378.61	0.79	-85.02	0.00	0.00	0.00	0.00
277	RT	Unit Testing	SCE	NA	8/2/2023	475	No	INC	2	0:30	1:45	-14.49	-15180.54	0.00	759.22	0.00	0.00	0.00	0.00	0.00	0.00
278	RT	Unit Testing	SDGE	San Diego-IV	8/11/2023	54	No	INC	1	20:45	21:30	35.06	636.05	0.00	-1840.09	19.06	- 1024.80	0.00	0.00	0.00	0.00
279	RT	Unit Testing	VEA	NA	8/18/2023	12	No	INC	1	11:55	12:35	13.50	0.00	0.00	-419.53	13.75	-427.68	0.00	0.00	0.00	0.00
280	RT	Unplanned Outage	SCE	Big Creek- Ventura	8/8/2023	350	No	DEC	10	10:20		-92.66	0.00	0.00	-155.19	-93.51	0.00	-104.16	0.00	0.00	0.00
281	RT	Voltage Support	PGAE	Fresno	8/15/2023	83	No	INC	1	17:30	18:00	-5.77	4071.12	0.00	1114.42	0.00	0.00	0.00	0.00	0.00	0.00
282	RT	Voltage Support	PGAE	Humboldt	8/15/2023	30	No	DEC	6	18:45	0:00	-17.97	-15337.13	0.00	1425.88	0.00	0.00	0.00	0.00	0.00	0.00
													-110674.56				0.00			-	
283	RT	Voltage Support	PGAE	Humboldt	8/16/2023	30 - 45	No	DEC	24	0:00	0:00	-45.81		0.00	6723.89	-8.21		1279.67	0.00	12223.58	
284	RT	Voltage Support	PGAE	Humboldt	8/17/2023	15 - 30	No	DEC	13	11:00	0:00	9.40	-40714.63	0.00	-560.88	0.00	0.00	0.00	0.00	0.00	0.00
285	RT	Voltage Support	PGAE	Humboldt	8/17/2023	30	No	INC	9	2:15		0.48	17388.36	0.00	-72.72	0.00	0.00	0.00	0.00	0.00	0.00
286	RT	Voltage Support	PGAE	Humboldt	8/18/2023	15	No	DEC	1	0:00	1:00	-1.36	-1046.33	0.00	79.10	0.00	0.00	0.00	0.00	0.00	0.00

	Chart 2: Table of Exceptional Dispatches for Period 01/August/2023 – 31/August/2023																				
Number	Market Type	Reason	Location	Local Reliability Area	Trade Date	MW	Com mitme nt	INC_ DEC	Hour s	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488	CC662
287	RT	Voltage Support	PGAE	Humboldt	8/18/2023	15 - 30	No	INC	5	0:00	5:00	-0.03	13524.84	0.00	1.15	0.00	0.00	0.00	0.00	0.00	0.00
288	RT	Voltage Support	PGAE	Sierra	8/16/2023	20	No	DEC	3	21:45	0:00	33.16	0.00	0.00	-9463.83	0.00	0.00	0.00	0.00	0.00	0.00
289	RT	Voltage Support	PGAE	NA	8/20/2023	20	No	INC	11	6:00	17:00	10.07	12875.90	472.39	-442.38	0.00	0.00	0.00	0.00	0.00	0.00

# **Appendix A: Explanation by Example**

All examples listed below are based on fictitious data. Many simplified assumptions are made to explain settlement charge codes, and not all assumptions are explicitly stated in these examples. For instance, settlement charge codes are calculated based on metered quantities, whereas in these examples, the dispatch quantities are assumed to be equal to metered quantities. These assumptions have been made to simplify the understanding of settlements calculations.

#### **Example 1: Exceptional Dispatch Instructions Prior to DAM**

In this fictitious example, the CAISO issued an exceptional dispatch instruction for resource A to be committed at its Pmin of 50 MW from hours ending 5 through 10 for a generation procedure 7630. Similarly, the CAISO issued additional instructions to resources B and C for the same reason in Table 2. Exceptional dispatches prior to the day-ahead market are commitments to minimum load. Here the dispatch levels are all at minimum load. Table 2 below also shows the commitment costs and the total volume (MWh) of exceptional dispatch instruction for each resource. The minimum load costs and start up costs, shown in Table 2 are the eligible minimum load and start up costs<sup>7</sup>. Only those quantities which relate to pre-day-ahead unit commitments are shown in this table.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch level (MW)	Reason	Total Volume (MWh)	Min-Load Cost	Start- Up Cost	CC6620 (BCR)
01-Jul-09	DA	А	SCE	LA BASIN	05:00	10:00	50	7630	300	\$5000	\$0	0
01-Jul-09	DA	В	SCE	LA BASIN	08:00	20:00	30	7630	390	\$6000	\$500	\$4000
01-Jul-09	DA	С	SCE	LA BASIN	09:00	23:00	20	7630	300	\$400	\$1000	\$1000

#### **Table 2: Instructions Prior to Day-Ahead Market**

This data is summarized as shown in Table 3, which is the prescribed format specified in the FERC order on September 02, 2009. This summary classifies the data by reason, resource location, local reliability area, and trade date. The MW column in Table 3 is the range of MW; in this case the minimum instruction MW is 20 MW for resource C which occurs from hours ending 21 through 23. The maximum instruction occurs in hour ending 10. In this hour resource A is committed at 50 MW, resource B is committed at 30 MW and resource C is committed at 20 MW. This adds up to 100 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. Commitments are broken out separately from energy dispatches. In the day-ahead however, the exceptional dispatches are nearly always just commitments, as in this example. The Begin Time column shows hour ending 5 as this was the hour ending for first dispatch of the day, and the End Time column shows hour ending 23, as this was the hour with last dispatch. It is also possible there might be hours between the begin time and the end time where there might not be exceptional dispatch instructions for the reason, meaning that the range between the begin time and end time can include null hours with no dispatch. The total volume (MWh) is the MWh quantity for each resource, which adds up to 990 MWh. Similarly, all cost information is sum of individual resource costs. Some resources bid-in zero start-up cost; as seen in this example, resource A bid in zero for its start up cost. Since the CAISO does not explicitly pay a resource for bid-in minimum load costs and start-up costs; these costs are recovered through the charge code CC6620 (Bid Cost Recovery), this table shows the summary of CC6620 for the classification. Here, it is the CC6620 for all three resources which adds up to \$5000. This column shows the impact of exceptional dispatch on bid cost re

#### Table 3: FERC Summary of Instructions Prior to DAM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time	Total Volume (MWh)	Min- Load Cost	Start-Up Cost	CC6620
1	DA	7630	SCE	LA Basin	1-Jul-09	20-100	Yes	N/A	19	05:00	23:00	990	\$11,400	\$1,500	\$5000

#### **Example 2: Incremental Exceptional Dispatch Instructions in RTM**

In this fictitious example the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 30 MW from hours 6:00 through 11:00 after completion of the day-ahead market for the transmission procedure 7110. This resource had no day-ahead award in those hours. The CAISO issued another exceptional dispatch instruction to resource B, to be dispatched at 40 MW from hours 7:00

<sup>&</sup>lt;sup>7</sup> Please refer to the BPM configuration Guide: Bid Cost Recovery Settlements published on the CAISO's website for details about eligible minimum load and start up costs.

through 9:00 in real-time for the transmission procedure 7110. This resource had a day-ahead schedule of 20 MW from the day-ahead market, which implies this exceptional dispatch instruction was an incremental instruction and the exceptional dispatch MW was 20 MW. Similarly, the details of exceptional dispatch (ED) instruction for resource C are shown in Table 4. This table also shows volume (MWh) and various real-time charge codes associated with the exceptional dispatch instructions. The total MWh column for each resource shows all types of imbalance energy quantities for this resource between the begin time and end time which includes both the exceptional dispatch energy quantities and optimal energy quantities.

Resource A was committed at its Pmin so its total volume (MWh) is equal to its Pmin times the number of hours, which is calculated as 30 MW times 6 hours and is equal to 180 MWh. The resource Minimum load costs and the start up costs are its eligible commitment costs for that period. LMP at this resource is \$10/MWh, so the charge code CC6470 is calculated at (180 MWh \*\$10/MWh) and is equal to \$1,800. Since this resource is not dispatched above its Pmin, it has a zero volume (MWh) of exceptional dispatch. All charge codes associated with the exceptional dispatch increment or decrement quantities are zero.

Resource B is dispatched 20 MW above its day-ahead schedule, so its total volume (MWH) is calculated as 20 MW times 3 hours which is equal to 60 MWh. Since the resource was committed in the Day-Ahead Market there are no minimum load quantity and start up costs associated with this resource. The resource had a bid price of \$100/MWh and the LMP at that resource was \$10/MWh. All of 60 MWh is considered as exceptional dispatch incremental quantity shown in ED Volume (MWH INC/DEC) column. The charge code CC6470 INC is calculated as 60 MWh \* resource LMP (\$10/MWh) which is equal to \$600. Since the only imbalance energy in this timeframe was the exceptional dispatch volume, the charge code CC6470 is equal to CC6470 INC. The charge code CC6488 is calculated as MWH quantity \*(bid price – LMP), which is equal to \$5400 (60 MWh \*(\$10/MWh-\$100/MWh)). Similarly, volumes and real-time charge codes are calculated for resource C.

Date	Market	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch level (MW)	Day- Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488
1-Jul-09	RT	А	PG&E	Humboldt	6:00	11:00	30	0	Yes	INC	30	7110	180	1000	50	1800	0	0	0	0	0
1-Jul-09	RT	В	PG&E	Humboldt	7:00	9:00	40	20	No	INC	20	7110	60	0	0	600	60	600	0	0	5400
1-Jul-09	RT	С	PG&E	Humboldt	12:00	15:00	50	50	No	INC	0	7110	0	0	0	0	0	0	0	0	0
1-Jul-09	RT	С	PG&E	Humboldt	16:00	20:00	50	40	No	INC	10	7110	50	0	0	300	20	300	0	0	200

#### Table 4: Incremental Exceptional Dispatch Instructions in RTM

This data is summarized as shown in Table 5 and is classified by reason, resource location, local reliability area, and trade date. The MW column in Table 5 is the range of MW; in this case the minimum instruction MW is 0 MW for resource C which occurs from hours ending 13 through 15. The maximum instruction occurs in hours ending 8 & 9, as during these two hours both resources A and B have an ED MW of 30MW and 20MW, respectively. This adds up to 50 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. This column shows a commitment if there was a single commitment in the entire interval of exceptional dispatch. The Begin Time column shows the time of the first dispatch of the day. This is a time not a range. Similarly, the End Time column shows a time and not a range. Exceptional dispatches occurred between these two times. Since there was a commitment between the begin time and end time, the Commitment column displays yes for the summary. Similarly, the INC/DEC column shows an INC, as there was an incremental dispatch between the begin time and end time. As mentioned in the previous example, it is possible there might be hours between the begin time and end time where there were no exceptional dispatch instructions for the reason. Both volume and cost information columns are the summation for all the respective columns for resources A, B and C. For instance, the Total volume (MWh) column is calculated as summation of 180,60,0 and 50, which are the individual volumes (MWh) for resources A, B and C for time periods shown in Table 4.

#### Table 5: FERC Summary of ED Instructions in RTM

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488
1	RT	7110	PG&E	Humboldt	1-Jul- 09	0-50	Yes	INC	15	6:00	20:00	290	1000	50	1700	140	1500	0	0	11000

It is possible that the CAISO would dispatch a particular resource, for instance at 10 MW from hours ending 1 through 4, and all or part of its energy might settle as optimal energy. This situation occurs when the LMP at the resource pricing node is above the resource bid price. This cost will only be captured in charge code 6470. It is also possible that CAISO issues an exceptional dispatch for the resource to operate at a minimum of 10 MW which is its Pmin; however the market application might dispatch this resource above Pmin because the resource is economical. When this occurs, the charge code CC6470 and the total MWh quantity might overstate the actual exceptional dispatch MWh quantities. So, to best estimate the cost and volume (MWH) of exceptional dispatch, it is appropriate to consider only the following columns: ED MWh (INC/DEC), CC6470 INC, CC6470 DEC, CC6482, CC6488.

#### Example 3: Decremental Exceptional Dispatch Instructions in RTM

This example highlights decremental exceptional dispatch instructions in the real-time market. In this fictitious example, the CAISO issued an exceptional dispatch instruction to resource A to be committed at its Pmin of 20 MW from hours ending 15 through 20 after completion of the day-ahead market for the transmission procedure 7430. The CAISO issued additional exceptional dispatch instructions for resources B and C; details of those instructions are shown in Table 6. This table also includes volume (MWh) and cost information.

Resource A is committed in real-time at its Pmin, its total volume (MWh) is 20MW \*6 hours which is equal to 120 MWh. This resource has a zero MW of incremental dispatch in all hours, so all other relevant cost and volume columns result in zeros. Resource B has a decremental MW of 20 MW in 3 hours, which results in 60 MWh of decremental volume. Since this resource is not committed in real-time, both the minimum load cost and start up costs are zero. This resource had a bid price of \$50/MWh and LMP at the resource pricing node is \$10/MWh. Based on this information CC6470-Dec is calculated as 60 MWh \*\$10/MWh which is equal to \$600. Since this resource has its ED volume (MWh) equal to its Total volume, CC6470 is equal to CC6470-DEC. The CC6488 is calculated as (60 MWh \* (\$50/MWh - \$10/MWh)), which is equal to \$2400. Resource C had a bid price of \$10/MWh and the LMP at its pricing node is \$50/MWh. Based on this information, volume and cost information is calculated for resource C.

#### Table 6: Decremental Exceptional Dispatch Instructions in RTM

Date	Market Type	Resource	Location	Local Reliability Area (LRA)	Begin Time	End Time	Dispatch level (MW)	Day- Ahead Award (MW)	Commitment	INC/DEC	ED (MW)	Reason	Total MWH	Min Load Cost	Start Up Cost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC6482	CC6488
1- Jul- 09	RT	A	PG&E	Fresno	15:00	20:00	20	0	Yes	INC	20	7430	120	\$ 120	\$ 100	\$-	0	\$-	\$-	\$-	\$-
1- Jul- 09	RT	В	PG&E	Fresno	7:00	9:00	40	60	No	DEC	20	7430	(60)	\$ -	\$	\$ 600	-60	\$-	\$ 600	\$-	\$2,400
1- Jul- 09	RT	С	PG&E	Fresno	10:00	14:00	40	50	No	DEC	10	7430	(50)	\$ -	\$	\$ 500	-50	\$-	\$ 500	\$-	\$2,000

This data is summarized according to FERC convention in Table 7. This summary classifies the data by reason, resource location, local reliability area, and trade date. Incs and decs are broken out separately. The inc entry is self-explanatory and similar to the previous example. Regarding the dec entry the MW column is the range of MW; in this case the minimum dec instruction is 10 MW (actually -10MW as it is a dec) for resource C which occurs from hours ending 10 through 14. The maximum instruction occurs from hours ending 7 through 9, when resource B was issued a dec instruction of 20 MW. The MW column shows the minimum and maximum of the overlaps of all the exceptional dispatch instructions. The Commitment column shows whether a resource was committed between the begin time and end time. The volume and cost information are summarized by INC and DEC classification.

Number	Market Type	Reason	Location	Local Reliability Area (LRA)	Trade Date	MW	Commitment	INC/DEC	Hour	Begin Time	End Time	Total MWH	Min Load Cost	Star Co	t Up ost	CC6470	ED MWH (INC/DEC)	CC6470 INC	CC6470 DEC	CC648	2 CC6	6488
1	RT	7430	PG&E	Fresno	1-Jul-09	20	Yes	INC	6	15:00	20:00	120	\$ 120	\$	100	\$-	0	\$ -	\$ -	\$	\$	-
2	RT	7430	PG&E	Fresno	1-Jul-09	10-20	Yes	DEC	8	7:00	14:00	(110)	\$-	\$	-	\$ (1,100)	\$ (110)	\$-	\$ (1,100)	\$	- \$(4,	,400)

# **Appendix B: Price Impact Analysis**

In the September 2 FERC order, FERC requested the CAISO to perform price impact analysis on two distinct pricing nodes for the entire reporting period. The order also mentioned that the CAISO must pick two pricing nodes for the entire reporting period that are most affected by the exceptional dispatch instructions, and the two pricing nodes must belong to two load aggregation points (LAPs).

Based on this requirement the CAISO implemented a methodology to perform price impact analysis. First, the CAISO identified a heavily affected pricing node from each of the Pacific Gas & Electric (PGAE) LAP and Southern California Edison (SCE) LAP. These two pricing nodes had the maximum amount of exceptional dispatch volume (MWh) in their respective LAP. Point A is in PGAE LAP and point B is in SCE LAP. Please note these two points correspond to an actual pricing node in the CAISO system. Only one resource was connected to each of these pricing nodes. For each resource the following input parameters were obtained to perform the analysis:

Exceptional dispatch information: constrained level, constraint type, start of exceptional dispatch instruction and end of exceptional dispatch instruction. Real-Time LMPs for each of the five minute intervals for the month. Real-Time hourly bid set for each trade hour. Day-Ahead award for the resources.

The exceptional dispatch intervals have a begin time and an end time which can span as small as one minute to as large as 24 hours. Since the market application dispatches resources on five-minute basis, the exceptional dispatch instructions for each of these resources were broken down into five-minute intervals. If the begin time or end time for an instruction was in the middle of the five-minute interval, that instruction was rounded up to the next five-minute interval. These five-minute intervals were then coupled with resource five-minute LMPs calculated by the real-time market application. Also, the hourly bid information and the hourly day-ahead schedule were put together to create a dataset that had all the information to perform price impact analysis.

An exceptional dispatch instruction can be classified as a start up instruction, an instruction to be dispatched at or above the constrained level, an instruction to be dispatched at a fixed constrained level, or a shut down instruction. The Locational Marginal Price (LMP) is set by a resource which can provide the next incremental MW of energy. Based on this definition of LMP and the classification of exceptional dispatches based on constraint type, a resource may set the LMP in only those intervals in which the resource is eligible to move either up or down from its constrained level. Hence, in those intervals in which the resource was constrained up at its Pmax or the resource was exceptionally dispatched to its Pmax and forced to generate at that level, the resource was ineligible to set the price as it had no room to move up. Similarly, if the resource was constrained down at its Pmin, then the resource was not eligible to set the price. All those intervals in which the resource was ineligible to set the price were dropped from the dataset under consideration. From this dataset of only eligible intervals, for both pricing nodes A and B, LMPs were calculated for all intervals based on the resource dispatch level and the its bid set. The calculated LMP is equal to that bid price corresponding to the constrained MW segment.

Table 8 shows the price impact analysis information for node A, which is in the PGAE area. This table shows all the five minute intervals in which the resource at PNode A was issued an exceptional dispatch instruction and was eligible to set the price. Out of the 8,928 five-minute intervals in August, this resource was issued exceptional dispatch instructions in 8 five-minute intervals. This resource was eligible to set the LMP in 8 intervals. Out of the 8 intervals, resource calculated LMP was larger than the market LMP in 8 intervals. Out of the 8 intervals, resource calculated LMP in 0 intervals. This implies that if the CAISO could model the constraint for this exceptional dispatch, then this resource and all other pricing nodes associated with that constraint would observe an average increase of \$967.13/MWh.

Table 9 shows the price impact analysis information for node B, which is in the SCE area. This table shows all the five minute intervals in which the resource at PNode B was issued an exceptional dispatch instruction and was eligible to set the price. Out of the 8,928 five-minute intervals in August, this resource was issued exceptional dispatch instructions in 130 five-minute intervals. This resource was eligible to set the LMP in 130 intervals. Out of the 130 intervals, resource calculated LMP was larger than the market LMP in 0 intervals. In the 0 intervals, the average increase in five minute LMP was \$0/MWh. Out of the 130 intervals. In the 130 intervals, resource calculated LMP in 130 intervals. In the 130 intervals, the average increase in five minute LMP was \$0/MWh. Out of the 130 intervals.

Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
1	8/3/2023	12	1	32.24	Yes	1000.00	967.76
2	8/3/2023	12	2	32.17	Yes	1000.00	967.83
3	8/3/2023	12	3	31.28	Yes	1000.00	968.72
4	8/3/2023	12	4	32.55	Yes	1000.00	967.45
5	8/3/2023	12	5	32.45	Yes	1000.00	967.55
6	8/3/2023	12	6	34.22	Yes	1000.00	965.78
7	8/3/2023	12	7	34.56	Yes	1000.00	965.44
8	8/3/2023	12	8	33.46	Yes	1000.00	966.54

#### CAISO\MAF

# Table 9: Price Impact Analysis Information for Pricing Node B in SCE LAP

Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
1	8/8/2023	11	5	30.03	Yes	-150	-180.03
2	8/8/2023	11	6	29.69	Yes	-150	-179.69
3	8/8/2023	11	7	31.44	Yes	-150	-181.44
4	8/8/2023	11	8	28.61	Yes	-150	-178.61
5	8/8/2023	11	9	30.21	Yes	-150	-180.21
6	8/8/2023	11	10	29.03	Yes	-150	-179.03
7	8/8/2023	11	11	29.05	Yes	-150	-179.05
8	8/8/2023	11	12	34.07	Yes	-150	-184.07
9	8/8/2023	12	1	28.05	Yes	-150	-178.05
10	8/8/2023	12	2	26.57	Yes	-150	-176.57
11	8/8/2023	12	3	31.20	Yes	-150	-181.20
12	8/8/2023	12	4	33.04	Yes	-150	-183.04
13	8/8/2023	12	5	33.63	Yes	-150	-183.63
14	8/8/2023	12	6	32.41	Yes	-150	-182.41
15	8/8/2023	12	7	37.25	Yes	-150	-187.25
16	8/8/2023	12	8	37.47	Yes	-150	-187.47
17	8/8/2023	12	9	35.37	Yes	-150	-185.37
18	8/8/2023	12	10	37.32	Yes	-150	-187.32
19	8/8/2023	12	11	36.84	Yes	-150	-186.84
20	8/8/2023	12	12	33.96	Yes	-150	-183.96
21	8/8/2023	13	8	36.53	Yes	-150	-186.53
22	8/8/2023	13	9	38.36	Yes	-150	-188.36
23	8/8/2023	13	10	41.31	Yes	-150	-191.31
24	8/8/2023	13	11	40.42	Yes	-150	-190.42
25	8/8/2023	13	12	47.08	Yes	-150	-197.08
26	8/8/2023	14	1	41.77	Yes	-150	-191.77
27	8/8/2023	14	2	39.87	Yes	-150	-189.87
28	8/8/2023	14	3	39.13	Yes	-150	-189.13
29	8/8/2023	14	4	42.10	Yes	-150	-192.10
30	8/8/2023	14	5	40.41	Yes	-150	-190.41
31	8/8/2023	14	6	43.62	Yes	-150	-193.62
32	8/8/2023	14	7	48.96	Yes	-150	-198.96
33	8/8/2023	14	8	50.00	Yes	-150	-200.00
34	8/8/2023	14	9	52.66	Yes	-150	-202.66
35	8/8/2023	14	10	53.91	Yes	-150	-203.91
36	8/8/2023	14	11	53.70	Yes	-150	-203.70

Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
37	8/8/2023	14	12	53.54	Yes	-150	-203.54
38	8/8/2023	15	1	46.46	Yes	-150	-196.46
39	8/8/2023	15	2	47.12	Yes	-150	-197.12
40	8/8/2023	15	3	48.96	Yes	-150	-198.96
41	8/8/2023	15	4	53.36	Yes	-150	-203.36
42	8/8/2023	15	5	53.71	Yes	-150	-203.71
43	8/8/2023	15	6	55.66	Yes	-150	-205.66
44	8/8/2023	15	7	55.66	Yes	-150	-205.66
45	8/8/2023	15	8	55.66	Yes	-150	-205.66
46	8/8/2023	15	9	55.66	Yes	-150	-205.66
47	8/8/2023	15	10	55.66	Yes	-150	-205.66
48	8/8/2023	15	11	66.44	Yes	-150	-216.44
49	8/8/2023	15	12	65.44	Yes	-150	-215.44
50	8/8/2023	16	1	54.12	Yes	-150	-204.12
51	8/8/2023	16	2	51.17	Yes	-150	-201.17
52	8/8/2023	16	3	50.38	Yes	-150	-200.38
53	8/8/2023	16	4	49.80	Yes	-150	-199.80
54	8/8/2023	16	5	51.31	Yes	-150	-201.31
55	8/8/2023	16	6	55.00	Yes	-150	-205.00
56	8/8/2023	16	7	53.97	Yes	-150	-203.97
57	8/8/2023	16	8	53.77	Yes	-150	-203.77
58	8/8/2023	16	9	55.08	Yes	-150	-205.08
59	8/8/2023	16	10	50.28	Yes	-150	-200.28
60	8/8/2023	16	11	50.48	Yes	-150	-200.48
61	8/8/2023	16	12	49.54	Yes	-150	-199.54
62	8/8/2023	17	1	41.67	Yes	-150	-191.67
63	8/8/2023	17	2	41.65	Yes	-150	-191.65
64	8/8/2023	17	3	40.91	Yes	-150	-190.91
65	8/8/2023	17	4	40.18	Yes	-150	-190.18
66	8/8/2023	17	5	42.20	Yes	-150	-192.20
67	8/8/2023	17	6	43.86	Yes	-150	-193.86
68	8/8/2023	17	7	44.67	Yes	-150	-194.67
69	8/8/2023	17	8	49.55	Yes	-150	-199.55
70	8/8/2023	17	9	52.71	Yes	-150	-202.71
71	8/8/2023	17	10	51.73	Yes	-150	-201.73
72	8/8/2023	17	11	56.41	Yes	-150	-206.41
73	8/8/2023	17	12	57.64	Yes	-150	-207.64
74	8/8/2023	18	1	42.51	Yes	-150	-192.51

Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
75	8/8/2023	18	2	43.85	Yes	-150	-193.85
76	8/8/2023	18	3	44.36	Yes	-150	-194.36
77	8/8/2023	18	4	45.00	Yes	-150	-195.00
78	8/8/2023	18	5	48.44	Yes	-150	-198.44
79	8/8/2023	18	6	50.60	Yes	-150	-200.60
80	8/8/2023	18	7	50.77	Yes	-150	-200.77
81	8/8/2023	18	8	52.66	Yes	-150	-202.66
82	8/8/2023	18	9	53.97	Yes	-150	-203.97
83	8/8/2023	18	10	57.92	Yes	-150	-207.92
84	8/8/2023	18	11	60.22	Yes	-150	-210.22
85	8/8/2023	18	12	64.21	Yes	-150	-214.21
86	8/8/2023	19	1	50.55	Yes	-150	-200.55
87	8/8/2023	19	2	51.81	Yes	-150	-201.81
88	8/8/2023	19	3	52.84	Yes	-150	-202.84
89	8/8/2023	19	4	54.45	Yes	-150	-204.45
90	8/8/2023	19	5	56.66	Yes	-150	-206.66
91	8/8/2023	19	6	57.56	Yes	-150	-207.56
92	8/8/2023	19	7	59.73	Yes	-150	-209.73
93	8/8/2023	19	8	60.97	Yes	-150	-210.97
94	8/8/2023	19	9	65.41	Yes	-150	-215.41
95	8/8/2023	19	10	70.56	Yes	-150	-220.56
96	8/8/2023	19	11	72.41	Yes	-150	-222.41
97	8/8/2023	19	12	73.89	Yes	-150	-223.89
98	8/8/2023	20	2	71.25	Yes	-150	-221.25
99	8/8/2023	20	3	71.45	Yes	-150	-221.45
100	8/8/2023	20	4	71.18	Yes	-150	-221.18
101	8/8/2023	20	5	72.95	Yes	-150	-222.95
102	8/8/2023	20	6	72.95	Yes	-150	-222.95
103	8/8/2023	20	7	75.68	Yes	-150	-225.68
104	8/8/2023	20	8	74.62	Yes	-150	-224.62
105	8/8/2023	20	9	71.98	Yes	-150	-221.98
106	8/8/2023	20	10	72.36	Yes	-150	-222.36
107	8/8/2023	20	11	72.31	Yes	-150	-222.31
108	8/8/2023	20	12	73.52	Yes	-150	-223.52
109	8/27/2023	20	9	67.55	Yes	26.16	-41.39
110	8/27/2023	20	10	67.16	Yes	26.16	-41.00
111	8/27/2023	20	11	67.44	Yes	26.16	-41.28
112	8/27/2023	20	12	67.89	Yes	26.16	-41.73

Number	Trade Date	Trade Hour	Interval	Market LMP	Eligible Flag	Calculated LMP	Change in LMP
113	8/27/2023	21	1	71.61	Yes	26.16	-45.45
114	8/27/2023	21	2	71.61	Yes	26.16	-45.45
115	8/27/2023	21	3	71.59	Yes	26.16	-45.43
116	8/27/2023	21	4	71.59	Yes	26.16	-45.43
117	8/27/2023	21	5	72.08	Yes	26.16	-45.92
118	8/27/2023	21	6	68.95	Yes	26.16	-42.79
119	8/27/2023	21	7	67.27	Yes	26.16	-41.11
120	8/27/2023	21	8	62.72	Yes	26.16	-36.56
121	8/27/2023	21	9	62.68	Yes	26.16	-36.52
122	8/27/2023	21	10	62.75	Yes	26.16	-36.59
123	8/27/2023	21	11	62.75	Yes	26.16	-36.59
124	8/27/2023	21	12	63.30	Yes	26.16	-37.14
125	8/27/2023	22	1	70.37	Yes	26.16	-44.21
126	8/27/2023	22	2	72.36	Yes	26.16	-46.20
127	8/27/2023	22	3	77.06	Yes	26.16	-50.90
128	8/27/2023	22	4	72.26	Yes	26.16	-46.10
129	8/27/2023	22	5	65.58	Yes	26.16	-39.42
130	8/27/2023	22	6	63.21	Yes	26.16	-37.05

# **Appendix C: Exceptional Dispatch Bid Mitigation Analysis**

In August 2023, the ISO applied the exceptional dispatch bid mitigation to the exceptional dispatches. Table 10 shows the costs by instruction type in August. With exceptional dispatch bid mitigation, the costs for these types of exceptional dispatches were \$176,965. Without the exceptional dispatch bid mitigation, the costs for these types of exceptional dispatches would be \$186,337. The cost saving from the exceptional dispatch bid mitigation was \$9,371.

Туре	Number of Resources	Costs without Bid Mitigation	Costs with Bid Mitigation	Cost Saving
NONTMOD	6	\$186,336.50	\$176,965.10	\$9,371.40
Total	6	\$186,336.50	\$176,965.10	\$9,371.40

### Table 10: Bid Mitigation Analysis for August 2023

#### **CERTIFICATE OF SERVICE**

I hereby certify that I have served the foregoing document upon the parties listed on the official service lists in the above-referenced proceedings, in accordance with the requirements of Rule 2010 of the Commission's Rules of Practice and Procedure (18 C.F.R. § 385.2010).

Dated at Folsom, California, this 16<sup>th</sup> day of October, 2023.

<u>Is Jacqueline Meredith</u> Jacqueline Meredith