

Market Simulation - Fall 2022 Release

Trang Vo

Sep 22, 2022

Recordings

Calls and webinars are recorded for stakeholder convenience, allowing those who are unable to attend to listen to the recordings after the meetings. The recordings will be publicly available on the ISO web page for a limited time following the meetings. The recordings, and any related transcriptions, should not be reprinted without the ISO's permission.



Agenda

- Fall 2022 MAP Stage Availability
- Fall 2022 MAP Stage Connectivity
- Fall 2022 Market Simulation Initiatives & Timelines
- Fall 2022 Market Simulation Settlements Calendar
- Fall 2022 System Interface Changes
- Initiatives
 - Central procurement entity
 - Flexible ramping product deliverability
 - Hybrid resources phase 2B
 - Reliability demand resource response phase 2
- Known issues
- Next Steps



Fall 2022 MAP Stage Availability

- Refer to MAP Stage portal https://portalmap.caiso.com for system availability information
- Current MAP Stage Scheduled Maintenance
 - None
- Upcoming MAP Stage Scheduled Maintenance
 - 9/30 CMRI tentative
 - 9/30 OASIS tentative



Fall 2022 MAP Stage Connectivity Testing

- Available for Connectivity
 - 8/22: ALFS Submit/Retrieve SCVERForecast
 - 9/16: MF UI
 - 9/16: CIRA
 - 9/19: MF API
- Upcoming for Connectivity
 - CMRI 10/3 Tentative
 - OASIS 10/3 Tentative



Fall 2022 Market Simulation Initiatives & Timelines

Fall 2022 Initiatives	Start Date	Start Date (Trade Date)	End Date	Scenario Start (Trade <u>Date)</u>
Fall 2022 Market Simulation				
Central procurement entity	09/19/22	09/22/22	09/30/22	09/22/22
Flexible ramping product – deliverability	10/03/22	10/03/22	10/28	10/06/22
Hybrid resources phase 2B	09/28/22	09/29/22	10/28	10/04/22
Reliability demand response resource bidding enhancements phase 2	10/03/22	10/03/22	10/14	10/05/22

Market Simulation Plan:

https://www.caiso.com/Documents/MarketSimulationPlan-Fall2022Release.pdf



Fall 2022 Market Simulation Settlements Calendar

Fall2022 Market Sim Calendar (MAP STAGE) Aug 22, 2022 through Oct 28, 2022

			, , , , , , , , , , , , , , , , , , ,	9		
Calendar	CMRI	Submit Meter Data	Publish Initial Statement	Submit Meter Data	Publish Recalc Statement	
Day	T+1B	by T+2B 10:00 for Initial	T+5B	by T+5B 18:00 for Recalc	T+8B	Publish Market Invoice
		T+9B	T+9B	T+70B	T+70B	
22-Sep-22		20-Sep	15-Sep	15-Sep		
23-Sep-22						Daily Initial 13-Sep; Daily Initial 15-Sep;
	22-Sep		Monthly Initial 13-Sep - 15-Sep		13-Sep	Monthly Initial 13-Sep - 15-Sep
26-Sep-22		22-Sep				
27-Sep-22			20-Sep	20-Sep	15-Sep	
28-Sep-22						Daily Recalc 13-Sep; Daily Recalc 15-Sep;
	27-Sep				Monthly Recalc 13-Sep - 15-Sep	Monthly Recalc 13-Sep - 15-Sep
29-Sep-22		27-Sep	22-Sep	22-Sep		
30-Sep-22						Daily Initial 20-Sep; Daily Initial 22-Sep;
	29-Sep		Monthly Initial 20-Sep - 22-Sep		20-Sep	Monthly Initial 20-Sep - 22-Sep
03-Oct-22		29-Sep				
04-Oct-22			27-Sep	27-Sep	22-Sep	
05-Oct-22						Daily Recalc 20-Sep; Daily Recalc 22-Sep;
	4-Oct				Monthly Recalc 20-Sep - 22-Sep	Monthly Recalc 20-Sep - 22-Sep
06-Oct-22		4-Oct	29-Sep	29-Sep		
07-Oct-22						Daily Initial 27-Sep; Daily Initial 29-Sep;
	6-Oct		Monthly Initial 27-Sep - 29-Sep		27-Sep	Monthly Initial 27-Sep - 29-Sep
10-Oct-22		6-Oct				
11-Oct-22			4-Oct	4-Oct	29-Sep	
12-Oct-22						Daily Recalc 27-Sep; Daily Recalc 29-Sep;
	11-Oct				Monthly Recalc 27-Sep - 29-Sep	Monthly Recalc 27-Sep - 29-Sep
13-Oct-22		11-Oct	6-Oct	6-Oct		·
14-Oct-22						Daily Initial 4-Oct; Daily Initial 6-Oct;
	13-Oct		Monthly Initial 4-Oct - 6-Oct		4-Oct	Monthly Initial 4-Oct - 6-Oct

Market Simulation Settlements Calendar Fall 2022:

https://www.caiso.com/Documents/MarketSimulationSettlementsCalendar-Fall2022Release.pdf



Fall 2022 Market Simulation Settlements Calendar (continued)

Fall2022 Market Sim Calendar (MAP STAGE) Aug 22, 2022 through Oct 28, 2022

Calendar	CMRI	Submit Meter Data	Publish Initial Statement	Submit Meter Data	Publish Recalc Statement	
Day	T+1B	by T+2B 10:00 for Initial	T+5B	by T+5B 18:00 for Recalc	T+8B	Publish Market Invoice
		T+9B	T+9B	T+70B	T+70B	
17-Oct-22		13-Oct				
18-Oct-22			11-Oct	11-Oct	6-Oct	
19-Oct-22						Daily Recalc 4-Oct; Daily Recalc 6-Oct;
	18-Oct				Monthly Recalc 4-Oct - 6-Oct	Monthly Recalc 4-Oct - 6-Oct
20-Oct-22		18-Oct	13-Oct	13-Oct		
21-Oct-22						Daily Initial 11-Oct; Daily Initial 13-Oct;
	20-Oct		Monthly Initial 11-Oct - 13-Oct		11-Oct	Monthly Initial 11-Oct - 13-Oct
24-Oct-22		20-Oct				
25-Oct-22			18-Oct	18-Oct	13-Oct	
26-Oct-22						Daily Recalc 11-Oct; Daily Recalc 13-Oct;
	25-Oct				Monthly Recalc 11-Oct - 13-Oct	Monthly Recalc 11-Oct - 13-Oct
27-Oct-22		25-Oct	20-Oct	20-Oct		
28-Oct-22						Daily Initial 18-Oct; Daily Initial 20-Oct;
	27-Oct		Monthly Initial 18-Oct - 20-Oct		18-Oct	Monthly Initial 18-Oct - 20-Oct
31-Oct-22		27-Oct				
01-Nov-22			25-Oct	25-Oct	20-Oct	
02-Nov-22						Daily Recalc 18-Oct; Daily Recalc 20-Oct;
					Monthly Recalc 18-Oct - 20-Oct	Monthly Recalc 18-Oct - 20-Oct
03-Nov-22			27-Oct	27-Oct		
04-Nov-22						Daily Initial 25-Oct; Daily Initial 27-Oct;
			Monthly Initial 25-Oct - 27-Oct		25-Oct	Monthly Initial 25-Oct - 27-Oct
05-Nov-22						
06-Nov-22						
07-Nov-22						
08-Nov-22					27-Oct	
09-Nov-22						Daily Recalc 25-Oct; Daily Recalc 27-Oct;
					Monthly Recalc 25-Oct - 27-Oct	Monthly Recalc 25-Oct - 27-Oct

Market Simulation Settlements Calendar Fall 2022:

https://www.caiso.com/Documents/MarketSimulationSettlementsCalendar-Fall2022Release.pdf



2022 - System Interface Changes

Syste m	Release	Project	API Service Name & Major Version, Artifacts	MAP-Stage	Production	Technical Specifications
SIBR	N/A	Production	Bid Validation Rules Enhancement	October 2022	October 2022	N/A
SIBR	2022 Independent		New: SC of PT Export New: SC of supporting resource	✓ 5/5/22 - UI API TBD	✓ 6/1/22 - UI API TBD	TBD
MF	Fall 2022		SubmitGeneratorRDT_MFRDv5_20211001 RetrieveGeneratorRDT_MFRDv5_20211001	✓	Deployed	✓
MF	Fall 2022	Hybrid Resources 2B	 RDT Definitions v17 DRAFT: GRDT v17 Changes: RESOURCE tab: HYBRID_COMPONENTS tab: (Hybrid Resource Phase 2-B, activation date moved to Fall 2022) Add fields: MIN_CONT_ENERGY_LIMIT, MAX_CONT_ENERGY_LIMIT, ENERGY_EFFIC 	√ 9/19/22	12/1/22	✓ <u>Drafts</u> 5/24/22
SIBR	Fall 2022	Hybrid Resources JR	SubmitDynamicResourceLimitsData_SIBRv1 RetrieveDynamicResourceLimitsData_SIBRv1	October	12/1/22	✓ Artifacts 2/2/22 ✓ Tech Spec 2/8/22
ALFS	Fall 2022	HVNrid RASOLITCAS JR	SubmitSCVERForecast_ALFSv1 RetrieveSCVERForecast_FDRv1	√ 8/22/22	12/1/22	√ 5/25/22

https://developer.caiso.com





2022 - System Interface Changes

Syste m	Release	Project	API Service Name & Major Version, Artifacts	MAP-Stage	Production	Technical Specifications
CMRI	Fall 2022	Hybrid Resources 2B	Modify: RetrieveEIRForecast_CMRIv1_AP - 20220401 Modify: RetrieveEIRForecast_CMRIv1_DocAttach_AP - 20220401	10/3/22	12/1/22	√ 7/15/22
CMRI	Fall 2022	Hybrid Resources 2B	New: RetrieveAggregatedCapabilityConstraintDefinition_CMRIv1_AP New: RetrieveAggregatedCapabilityConstraintDefinition_CMRIv1_DocAttach_AP	10/3/22	12/1/22	√ 7/15/22
CMRI	Fall 2022	Deliverability	Resource Nodal prices for the FRP awards Will leverage existing API & UI functionality for FRP products (FRU/FRD) – No changes need for UI & API functionality; Users can continue to use existing UI/API functionality as is.	10/3/22	12/1/22	N/ A
OASIS	Fall 2022	Hybrid Resources 2B	Include constraint in the Scheduling Constraint Shadow Prices report New: Aggregate Capability Constraint Shadow Prices; ACC_SHDW_PRC	10/3/22	12/1/22	√ 7/15/22
OASIS	Fall 2022	FRP Improvements — Deliverability	 Demonstrate the variability of locational pricing for FRU/FRD. New: Flex Ramp Requirements Input Uncertain Histogram; FLEX_RAMP_REQ_INP_HSG New: Flex Ramp Requirement Input - Polynomials; FLEX_RAMP_REQ_INP_POLYNOMIALS New: Flex Ramp Requirement Threshold; FLEX_RAMP_REQ_THRESH New: Flexible Ramp Test Result Groups; FLEX_RAMP_TEST_RESULT_GROUP New: Flexible Ramping Nodal Prices; FLEX_RAMP_NODAL_PRC New: Flexible Ramping Constraint Shadow Prices; FLEX_RAMP_CNSTR_SHDW_PRC New: Flexible Ramping Scheduling Constraint Shadow Prices; FLEX_RAMP_SCH_CNSTR_SHDW_PRC New: Flexible Ramping Forecasts; FLEX_RAMP_FCST Flexible Ramp Surplus Demand Curve; ENE_FLEX_RAMP_DC 	10/3/22	12/1/22	√ 7/15/22

https://developer.caiso.com





Central Procurement Entity

- Timeline: 09/19 09/30
- Structured: 9/22
- RA Data copy date 9/12-9/13
- Submit provisioning requests to MAPStage Available as of 9/8
- RA plan submission date (September 2022 & October 2022) 9/14-9/21
- Submit provisioning requests to Production 10/3

Scenarios Document -

https://www.caiso.com/Documents/Market-Simulation-Structured-Scenarios-Central-Procurement-Entity.pdf



Central Procurement Entity Access Provisions

- Submit provisioning requests to MAPStage Available as of 9/8
- Submit provisioning requests to Production 10/3
- CPE must obtain the services of a certified Scheduling Coordinator (SC)
- SC will need to request a new SCID to represent the CPE and indicate the CPE name in the request
- The new SCID is approved to be setup in master file and a CPE ID is created and assigned for the CPE to be associated to the new SCID
- Contact <u>SCRequests@caiso.com</u> for questions



Central Procurement Entity

- Customers were able to submit RA Plans and Supply Plans successfully for September 2022 and October 2022
- Structured Scenarios are in progress for 9/22
- ISO Actions
 - Cross Validation was last run 9/22 1330 PT
 - For market simulation ISO will run CV manually often/as needed
- Customers may also run Unstructured Scenario 9/26 thru 9/29



Central Procurement Entity: Structured Scenario 1

Scenario Number		Scenario Execution Trade Date: 09/22/22
	Description	CPE entity should be able to view their obligation data, submit RA showing, and view their deficiency data.
	ISO Actions	 Upload the reference data (peak obligation, LCR by Tac obligation and flex obligation data) for a month Upload credit data (peak credit, LCR by tac credit & flex credit data) for a month Ensure cross validation is running for the generic/flex data for the Month
	EIM Market Participant Actions	N/A
1	ISO Market Participant Actions	Participant (CPE) should submit their RA Plan and ensure supplier also submits the corresponding supply plan.
	Expected Outcome	Participant can view and verify their data after subsequent CV plan has been completed in the following screens: CV Output details screen Generic Obligation Report Flex Obligation Report RA Report
	Anticipated Settlement Outcome	N/A
	Expected Settlement Outcome	N/A



Central Procurement Entity: Structured Scenario 2

Scenario Number		Scenario Execution Trade Date: 09/22/22
	Description	Allocation of CPM cost to CPE entity.
	ISO Actions	 Identify the resource and designate CPM and allocate the cost for this resource. Upload Load Share Ratio for the month specified Run CPM allocation for the month specified and broadcast data to settlements
	EIM Market Participant Actions	N/A
2	ISO Market Participant Actions	N/A
	Expected Outcome	Navigate to CPM allocation screen and verify the allocation data
	Anticipated Settlement Outcome	CPE's SC gets cost allocation.
	Expected Settlement Outcome	Please check CC 7896



Central Procurement Entity: Unstructured Scenario 3

Scenario Number		Scenario Execution Trade Date: 09/22/22 – 09/30/22
	Description	Updated logic for LSEs to display system obligation and LSE credit (CPE credit type) after the deficiency calculation.
	ISO Actions	 Upload the reference data (peak obligation, LCR by Tac obligation and flex obligation data) for a month Upload credit data (peak credit, LCR by tac credit & flex credit data) for a month Ensure cross validation is running for the generic/flex data for the month
	EIM Market Participant Actions	N/A
3	ISO Market Participant Actions	Participant (CPE) should submit their RA Plan and ensure supplier also submits the corresponding supply plan.
	Expected Outcome	Participant can view and verify their data after subsequent CV plan has been completed in the following screens: CV Output details screen Generic Obligation Report Flex Obligation Report
	Anticipated Settlement Outcome	N/A
	Expected Settlement Outcome	N/A



Flexible ramping product - deliverability

Timeline: 10/03 – 10/28

• **Scenarios**: 10/06

Scenarios document -

https://www.caiso.com/Documents/Market-Simulation-Structured-Scenarios-Flexible-Ramping-Product.pdf



Flexible Ramping Product – Deliverability: Unstructured Scenario 1

Scenario Number		Unstructured guided scenario
	Description	Demonstrate the variability of locational pricing for FRU/ FRD.
	ISO Actions	N/A
	EIM Market Participant Actions	Market Participants should input economic energy bids to observe results
1	ISO Market Participant Actions	N/A
	Expected Outcome	Verify the results in OASIS
	Anticipated Settlement Outcome	Flex Ramp Prices used in settlements will reflect the new locational pricing for FRD
	Expected Settlement Outcome	CC 7070, CC 7071, CC 7076, CC 7077, CC 7078



Flexible Ramping Product – Deliverability: Structured Scenario 2

Scenario Number	Scenario Execution Trade Date: 10/06/22			
	Description	Demonstrate the Settlements processing for FRP PASS/FAIL.		
	ISO Actions	N/A		
	EIM Market Participant Actions	Market Participants should input less that optimal flexible ramping requirements to fail the sufficiency test.		
	ISO Market Participant Actions	N/A		
2	Expected Outcome	FRP Sufficiency test Failed: FRU/FRD is still procured separately for the respective FRU/FRD requirement, but the latter is only reduced by the FRU/FRD demand elasticity in that BAA, without any FRU/FRD credit.		
	Anticipated Settlement Outcome	Allocation for both Movement and Uncertainty will be driven by the Pass Group, If a BAA Passes their Sufficiency test, the allocation amount will be a prorated between the BAA over EIM Area (All BAAs that belong to Pass Group).		
		If a BAA Fails their Sufficiency test, the allocation amount will be directly allocated/isolated to the individual BAA.		
	Expected Settlement Outcome	CC 7070, CC 7071, CC 7076, CC 7077, CC 7078, PC Flexible Ramp Product		



Hybrid resources phase 2B

• Timeline: 09/28 - 10/28

• **Scenarios**: 10/04

Scenarios document –
 <u>https://www.caiso.com/Documents/MarketSimulationStructuredS</u>

 <u>cenarios-HybridResourcesP2.pdf</u>



Hybrid Resources Phase 2B: ALFS API Access Provisions

- User Access Administrators (UAAs) are able to provision access for to these new roles:
 - Submits
 - AIM > Application: ALFSSOA > Role: SC WRITE EXTERNAL
 - Retrieves
 - AIM > Application: FDR > Role: SC READ EXTERNAL
- References
 - https://developer.caiso.com
 - Technical Specification
 - Artifacts
 - SubmitSCVERForecast ALFSv1 AP
 - SubmitSCVERForecast ALFSv1 DocAttach AP
 - RetrieveSCVERForecast FDRv1 AP
 - RetrieveSCVERForecast FDRv1 DocAttach AP
 - URLs
 - https://wsmap.caiso.com/sst/runtime.asvc/SubmitSCVERForecast_ALFSv1_AP
 - https://wsmap.caiso.com/sst/runtime.asvc/SubmitSCVERForecast_ALFSv1_DocAttach_AP
 - https://wsmap.caiso.com/sst/runtime.asvc/RetrieveSCVERForecast FDRv1 AP
 - https://wsmap.caiso.com/sst/runtime.asvc/RetrieveSCVERForecast FDRv1 DocAttach AP



Hybrid Resources Phase 2B – Deliverability: Unstructured Scenario 1

Scenario Number		Unstructured guided Scenario
	Description	Verify submitted hybrid resource data and component level data
	ISO Actions	ISO to dispatch Hybrid resources
	EIM MP	EIM SCs to submit dynamic limits and real-time (RT) forecasts for hybrid resources
	Actions	
	ISO MP Actions	SCs to submit dynamic limits and real-time (RT) forecasts for hybrid resources
	Expected Outcome	Verify Hybrid Resource data in Master File Verify submission of upper and lower resource limit in Market (Dynamic Limit Tool)
1		 Verify the following in CMRI: Hybrid resource award is within the dynamic limit as submitted by Market Participant When the LMP is higher than the hybrid resource bid and the resource has ramping capability, hybrid resource award is at the upper dynamic limit MW value, including cases when the upper dynamic limit MW value is greater than the original upper bid limit MW value. When the LMP is lower than the hybrid resource bid and the resource has ramping capability, hybrid resource award is at the lower dynamic limit MW value, including cases when the lower dynamic limit MW value is lower than the original lower bid limit MW value. Hybrid resource forecast data is published at the VER component level Verify utilization of ISO forecast of Hybrid Resources in MRI-S Settlements Statement
	Anticipated Settlement	701
	Outcome	
	Expected Settlement	EIM Participants: Verify Hybrid Resources are assessed a forecast fee.
	Outcome	ISO Market Participants Only: Verify Hybrid Resources are fully exempted from RAAIM in Settlements and assessed a forecast fee.



Hybrid Resources Phase 2B: Unstructured Scenario 2

Scenario Number	Unstructured guided Scenario				
	Description	Verify that VERs behind any given Sub-ACC have their "Follow DOT" flags set equal to 'Y' whenever one of their co-located resources has an AS award/AS base schedule.			
	ISO Actions	ISO to normally enforce ACCs and dispatch their resources as per the regular EIM clearing process.			
	EIM Market Participant Actions	EIM SCs to submit AS base schedules for non-VER resources behind Sub-ACCs with VERs.			
	ISO Market Participant Actions	SCs to submit economical AS bids in the DAM and RTM for non-VER resources behind Sub-ACCs with VERs.			
	Expected Outcome	Verify non-VER resources behind Sub-ACCs with VERs have AS awards/AS base schedules going into RTD.			
		Verify in Market and ADS that VERs behind a Sub-ACC whose co-located resources have an AS award/AS base schedule have their "Follow DOT" flags set equal to 'Y'.			
2		 Verify that the aforementioned logic only applies on a per "Sub-ACC" basis, i.e., if a collocated resource in Sub-ACC1 has an AS award/base schedule, then VERs in Sub-ACC2 do not necessarily have their "Follow DOT" flags set equal to 'Y'. 			
	Anticipated Settlement Outcome	N/A			
	Expected Settlement Outcome	N/A			



Reliability demand resource response phase 2

• Timeline: 10/03 - 10/14

• **Scenarios**: 10/05

Scenarios document -

https://www.caiso.com/Documents/MarketSimulationStructuredScenarios-

ReliabilityDemandResponseResourcesPhase2.pdf



Reliability Demand Response Resources Bidding Enhancements Phase 2: Structured Scenario 1

Scenario Number	Scenario Execution Trade Date: TBD		
	Description	Submit Competitive Bids by Discrete RDRRs' SCs	
	ISO Actions	CAISO Operators declare EEA2 stage where discrete RDRRs are dispatched	
	EIM Market Participant Actions	N/A	
1	ISO Market Participant Actions	SCs of the discrete RDRRs submit competitive bids.	
	Expected Outcome	Follow the results in the sink systems to verify commitment, dispatch, and settlements of discrete RDRRs	
	Anticipated Settlement Outcome	N/A	
	Expected Settlement Outcome	N/A	



Known Issues

- Pending CIDI Tickets
 - CMRI report query for FRP project Pending SME review



Next Steps

 The next teleconference is scheduled on September 26 at 1400 PDT



References



ISO Market Simulation Support

- Hours: Monday thru Friday 0900-1800 PDT
- ISO's 24x7 Service Desk: (916) 351-2309 (Connectivity

 UI or API)
- Email: MarketSim@caiso.com



Market Simulation Issue Management

- Submit all issues to the CIDI case management system
- CIDI tickets must have "Functional Environment" =
 "Market Simulation Fall 2022" to be reviewed by ISO
 SMEs



Fall 2022 Communication Plan

Schedule

Monday	Tuesday	Thursday
Aug 22, 29		Aug 18, 25
Sep 12, 19, 26	Sep 26	Sep 01, 08, 15, 22,
•	•	29

Connection Information

- Web Conference Information
 - Web Address: https://caiso.webex.com/meet/MarketSim
 - Meeting Number: 962 067 710
 - Audio connection instructions will be available after connecting to the web conference. When
 prompted, select "Call me" and enter the phone number you will use during the call. You will be called
 by the conference shortly.

Conference Call Information

- Dial-in Number:
 - 1-844-517-1271 (Toll Free)
 - 1-682-268-6591
- Access Code: 962 067 710



Structured Scenario Registration Details

MP's will need to register their request with the ISO to participate in this simulation via the MarketSim@caiso.com mailbox.

Date of registration August 12, 2022.

We will do our best to accommodate for late registration

