

**CAISO TARIFF REQUIREMENTS FOR INTERCONNECTION OF EXISTING & NEW QFS TO THE CAISO CONTROLLED GRID**

(PER CAISO TARIFF SECTION 25.1 AND CPUC DECISION 07-09-040)

| NO. | REQUIRED STEPS, PROCESSES AND AGREEMENTS FOR COMPLIANCE            | NEW QFs | EXISTING QUALIFYING FACILITIES (QFs)   |   |   |  |   |  |   |  |   |   |   |   |   |     |     |     |     |     |     |     |
|-----|--|---------|--|---|---|--|---|--|---|--|---|---|---|---|---|-----|-----|-----|-----|-----|-----|-----|
|     |  |         | GRANDFATHERED PURPA QF CONTRACTS / AMENDED QF CONTRACT CONTINUING IN EFFECT  |   |   | NEW STANDARD OFFER PURPA QF CONTRACTS (CPUC D.07-09-040)                                     |   | TERMINATED QF PURPA CONTRACTS NOT ENTERING INTO NEW PURPA CONTRACTS                          |   |  |   |   |   |   |   |     |     |     |     |     |     |     |
|     |  |         | SELLING 100% OUTPUT TO AN <u>IOU UNDER A PURPA CONTRACT</u> (DEFINED AS REGULATORY MUST-TAKE, SECTION 4.6.3.3 OF THE CAISO TARIFF) |   |   | 100% NET OUTPUT SALES TO IOUs PURSUANT TO A NEW STANDARD OFFER POWER PURCHASE AGREEMENT      |   | SELLING 100% OUTPUT TO AN <u>IOU PURSUANT TO BILATERAL OR RFO TRIGGERED CONTRACT</u>         |   | PREVIOUSLY SOLD TO AN IOU, NOW SELLING FULL OUTPUT OR A PORTION IN <u>WHOLESALE MARKET OR TO OTHER WHOLESALE BUYER</u> |   | ALL (100%) SALES TO ON-SITE OR NON-UTILITY FACILITY PER PUC SEC.218(b); [NO POWER FLOWS TO THE CAISO CONTROLLED GRID] |   | SALES TO ON-SITE, NON-UTILITY FACILITY PER PUC SEC. 218(b) AND WHOLESALE SALES IN ANY COMBINATION |   |     |     |     |     |     |     |     |
| A   | B  | C       | D  | E   | F   | G  | H   | I  | J   | K  | L   | M   | N   | O   |   |     |     |     |     |     |     |     |
|     |  |         | NO CHANGES TO TOTAL CAPABILITY (KW) OR ELECTRICAL PROPERTIES OF THE POWER PLANT (STATUS QUO)                                       | INCREASE IN TOTAL GENERATING CAPABILITY (up to PPA contract capacity) AND/OR CHANGES IN ELECTRICAL PROPERTIES | INCREASE IN TOTAL GENERATING CAPABILITY FOR INCREMENTAL WHOLESALE SALES | NO CHANGES TO TOTAL CAPABILITY (KW) OR ELECTRICAL PROPERTIES OF THE POWER PLANT (STATUS QUO) | INCREASE IN TOTAL GENERATING CAPABILITY AND/OR CHANGES IN ELECTRICAL PROPERTIES | NO CHANGES TO TOTAL CAPABILITY (KW) OR ELECTRICAL PROPERTIES OF THE POWER PLANT (STATUS QUO) | INCREASE IN TOTAL GENERATING CAPABILITY AND/OR CHANGES IN ELECTRICAL PROPERTIES | NO CHANGES TO TOTAL CAPABILITY (KW) OR ELECTRICAL PROPERTIES OF THE POWER PLANT (STATUS QUO)                           | INCREASE IN TOTAL GENERATING CAPABILITY AND/OR CHANGES IN ELECTRICAL PROPERTIES | NO CHANGES TO TOTAL CAPABILITY (KW) OR ELECTRICAL PROPERTIES OF THE POWER PLANT (STATUS QUO)                          | INCREASE IN TOTAL GENERATING CAPABILITY AND/OR CHANGES IN ELECTRICAL PROPERTIES | NO CHANGES TO TOTAL CAPABILITY (KW) OR ELECTRICAL PROPERTIES OF THE POWER PLANT (STATUS QUO)      | INCREASE IN TOTAL GENERATING CAPABILITY AND/OR CHANGES IN ELECTRICAL PROPERTIES |     |     |     |     |     |     |     |
| 1   | AFFIDAVIT  | N/A     | N/A  | N/A   | N/A   | YES  | N/A   | YES  | N/A   | YES  | N/A   | N/A   | N/A   | YES   | N/A   |     |     |     |     |     |     |     |
| 2   | INTERCONNECTION REQUEST  | YES     | EXEMPT FROM CAISO TARIFF REQUIREMENTS  | EXEMPT FROM CAISO TARIFF REQUIREMENTS   | YES   | NO   | YES, COMPLIANCE REQUIRED  | NO   | YES, COMPLIANCE REQUIRED  | NO   | YES, COMPLIANCE REQUIRED  | NO  | EXEMPT FROM CAISO TARIFF REQUIREMENTS   | NO  | YES, COMPLIANCE REQUIRED  |     |     |     |     |     |     |     |
| 3   | GIDAP PROCESS  | YES     |  |   | YES   | NO   |   | NO   |   | NO   |   | NO  |   | NO  |   | NO  | NO  | NO  | NO  | NO  | NO  |     |
| 4   | LGI/SGIA   | YES     |  |   | YES   | YES  |   | YES  |   | YES  |   | YES   |   | YES   |   | YES | YES | YES | YES | YES | YES |     |
| 5   | PGA/NS PGA*  | YES     |  |   | YES   | YES  |   | YES  |   | YES  |   | YES   |   | YES   |   | YES | YES | YES | YES | YES | YES |     |
| 6   | ISOME MSA  | YES     |  |   | YES   | YES  |   | YES  |   | YES  |   | YES   |   | YES   |   | YES | YES | YES | YES | YES | YES |     |
| 7   | METERING - PER ARTICLE 10 OF THE CAISO TARIFF                      | YES     |  |   | YES   | YES  |   | YES  |   | YES  |   | YES   |   | YES   |   | YES | YES | YES | YES | YES | YES | YES |
| 8   | COMPLY WITH TELEMETRY REQ. PER ARTICLES 8 & 10 OF THE CAISO TARIFF | YES     |  |   | YES   | YES  |   | YES  |   | YES  |   | YES   |   | YES   |   | YES | YES | YES | YES | YES | YES | YES |
| 9   | OTHER APPLICABLE CAISO TARIFF REQUIREMENTS                         | YES     |  |   | YES   | YES  |   | YES  |   | YES  |   | YES   |   | YES   |   | YES | YES | YES | YES | YES | YES | YES |

\* Formerly QFPGA

**NOTES:**

- BASED ON JUNE 12, 2015 CAISO TARIFF
- IN CASE OF CONFLICT, THE CAISO TARIFF WILL CONTROL