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| 2020 Scheduling Coordinator Self Audit Attestation |

In compliance with ISO Tariff Section 10.3.10.1, your organization is required to submit a *Scheduling Coordinator Self Audit Attestation****.***

Section 10.3.10.1 “Requirement for Audit and Testing” states that:

*At least every two years, each Scheduling Coordinator shall (or engage an independent, qualified entity to conduct) audit and test the Metering Facilities of the Scheduling Coordinator Metered Entities that it represents and the Meter Data provided to the Scheduling Coordinator in order to ensure compliance with all applicable requirements of any relevant Local Regulatory Authority and the Scheduling Coordinator Metered Entity’s SQMD Plan. Scheduling Coordinators shall undertake any other actions that are reasonably necessary to ensure the accuracy and integrity of the Settlement Quality Meter Data (actual or Scheduling Coordinator estimated) provided by them to the CAISO.*

By completing the required fields and signing this attestation, you affirm your compliance with ISO Tariff Section 10.3.10.1, namely, that you have reasonable controls in place to ensure the accuracy and integrity of the Settlement Quality Meter Data provided to the ISO, and that as a result of your audit and test, you are in compliance with your Local Regulatory Authority and your SQMD Plan, if applicable. If any findings were identified in your self audit, please complete section B below.

This attestation is due on October 31st of the applicable audit year. Where October 31st falls on a non-business day, this attestation will be due on the next business day following October 31st.

Please refer to the BPM for Metering section 6.4 for additional information.

Form instructions:

1. Fill all form fields except for section D.
2. Save filled document with the following naming convention for the document.
   1. *[Attesting Organization] Self Audit Attestation [yyyy.docx]*
      1. *“Power Company Self Audit Attestation 2020.docx”.*
3. Submit filled document in .docx format to [EDAS@caiso.com](mailto:EDAS@caiso.com) by 16:00 two days before the due date to insure adequate time for processing. The Email subject format should match the document-naming format. Ensure listed Emails are correct and no information is missing.
4. E-signature request document will be submitted to the signatories email listed in section B via DocuSign. All DocuSign forms must be signed by 16:00 of the due date.

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| Audit Facilitator Information | | | |
| Section A: Required | | | |
| Auditors Name: |  | | |
| Auditors Firm: |  | | |
| Audit Period: | Click or tap to enter a date. | to | Click or tap to enter a date. |

| SC Self Audit Findings Report | | | |
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| Section B: Please input “NA” for first row of fields if there are no incidents to report. If all findings do not fit the space provided, please contact [EDAS@caiso.com](mailto:EDAS@caiso.com) for further instructions on how to complete report. | | | |
| Finding | # Of known Incidents | Actions taken | SCID |
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| Scheduling Coordinator Signing Manager Information and Signature | | | | |
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| Section C: Processed document will be submitted for e-signature via email to signer listed below. Signatory email field must contain a single email. CC may have multiple if delimited by semi-colon. | | | | |
| Attesting Organization: |  | | | |
| Printed Name: |  | Title |  | |
| Signatory Email: |  | | | |
| CC Name |  | CC Email |  | |
| Section D: Leave Signature and Date fields unsigned until prompted by E-signature application email notification. | | | | |
| Signature: |  | | Date |  |