

SQMD Plan Affirmation FAQ

What is a SQMD Plan Affirmation?

- In accordance with CAISO Tariff 10.3.7.1, Scheduling Coordinators for Scheduling Coordinator Metered Entities that were not participating as such before April 10, 2017 or that repower, modify their Meter Data interval, or add generating capacity after April 10, 2017, must submit an SQMD Plan to ensure that the Scheduling Coordinator will submit and maintain the integrity of Meter Data submitted to the CAISO for that Scheduling Coordinator Metered Entity. In addition, the Scheduling Coordinator must **annually** submit an SQMD Plan Affirmation stating that they affirm adherence to the SQMD Plan. If the Scheduling Coordinator finds that they cannot comply with the SQMD Plan, the Scheduling Coordinator will notify the ISO of any changes.

Why must I complete the SQMD Plan Affirmation?

- Per Tariff section 10.3.7.1, Scheduling Coordinators for SC Metered Entities must submit an SQMD Plan to ensure that the entity complies with the requirements outlined in the Business Practice Manual for Metering, section 6.1, Settlement Quality Meter Data (SQMD) Plan. Annually, the Scheduling Coordinator Metered Entity must conduct a self-assessment and affirm to the ISO that it has implemented and continues to comply with its SQMD Plan. Should the entity identify that it is not complying with its SQMD Plan, regardless if it is during its self-assessment or during its normal course of business, the entity must notify the ISO of the issue and make note of its plan for corrective action.

Who must submit the SQMD Plan Affirmation?

- For the SQMD Plan Affirmation, Scheduling Coordinators for Scheduling Coordinator Metered Entities that were not participating as such before April 10, 2017 or that repower, modify their Meter Data interval, or add generating capacity after April 10, 2017, must submit an SQMD Plan Affirmation.

What will be included in the SQMD Plan Affirmation?

- The Scheduling Coordinator Metered Entity will perform a self-assessment and submit an affirmation, signed by management, that the entity implemented and continues to comply with its SQMD Plan. (Metering BPM 6.1.4) The SQMD Plan Affirmation must also include a unique identifier, such as the CAISO NRI Project number or a list of Resource ID's for each Scheduling Coordinator Metered Entity.

What if I was submitting data to the CAISO for a short window of time?

- If you have an approved SQMD Plan and have implemented the plan for at least 1 Trading Day prior to August 1st of the current year, you will need to submit an SQMD Plan Affirmation. If you have an approved SQMD Plan, but have not implemented it, you are not required to submit a SQMD Plan Affirmation. (Metering BPM 6.1.4)

Is there a specific format I must follow when submitting the SQMD Plan Affirmation?

- The CAISO will contact you via email and DocuSign. A template and specific instructions will be provided. For further questions, you may send your questions to EDAS@caiso.com.

Who in my organization can sign off on the SQMD Plan Affirmation?

- A managing officer of the organization is required to sign off on the forms.

Will an independent auditor need to review my SQMD plans?

- It is at the discretion of management regarding who will review their plans. However, it is good practice to have someone independent of the day to day process to catch any changes from what is listed in the plan and what is actually occurring.