

California ISO Fifth Replacement Conformed Tariff

Effective as of April 29, 2021

- Website Notes -

Currently approved and effective tariff language is posted on the ISO's website at:
<http://www.caiso.com/rules/Pages/Regulatory/Default.aspx>

Previous full versions of the Tariff can be found in the Tariff Archive:
<http://www.caiso.com/rules/Pages/Regulatory/TariffArchive/Default.aspx>

To view older Tariff Amendment Filings, please see:
<http://www.caiso.com/rules/Pages/Regulatory/Amendments/Default.aspx>

To view the Tariff on file at FERC, please see:
<https://etariff.ferc.gov/TariffBrowser.aspx?tid=848>

Amendments pending with FERC (not yet approved)

The following Tariff Amendment filings were submitted to FERC and are not yet approved by FERC and are ***not reflected in the posted version of the conformed tariff***. The pending language can be found in the filing submitted to FERC and will be added to the conformed tariff once it has been approved and becomes effective.

- Apr 28, 2021: Tariff amendment to enhance load, exports and wheeling (ER21-1790). Proposed effective dates of June 28, 2021, no later than July 15, 2021, and June 1, 2022. See Filing: <http://www.caiso.com/Documents/Apr28-2021-Tariff-Amendment-Load-Exports-and-Wheeling-Tariff-Amendment-ER21-1790.pdf>
- Mar 29, 2021: Tariff amendment to enhance resource adequacy (ER21-1551). Proposed effective date of June 1, 2021. See Filing: <http://www.caiso.com/Documents/Mar29-2021-Tariff-Amendment-ResourceAdequacyRAEnhancements-ER21-1551.pdf>
- Mar 26, 2021: Tariff amendment to enhance 2021 summer readiness (ER21-1536). Proposed effective date no later than June 15, 2021. See Filing: <http://www.caiso.com/Documents/Mar26-2021-Tariff-Amendment-2021SummerReadiness-ER21-1536.pdf>

FERC requires that all tariffs, tariff revisions, and rate change applications be filed electronically in compliance with Order 714.

- Mar 19, 2021: Tariff amendment to enhance non-generator resource participation agreements as part of ESDER 4 (ER21-1487). Proposed effective date of May 19, 2021. See Filing: <http://www.caiso.com/Documents/Mar19-2021-TariffAmendment-EnergyStorage-DistributedEnergyResource-ESDER-Phase4-ER21-1487.pdf>
- Mar 18, 2021: Tariff amendment to enhance maximum import capability (ER21-1487). Proposed effective date of June 1, 2021. See Filing: <http://www.caiso.com/Documents/Mar18-2021-Tariff-Amendment-MaximumImportCapability-ER21-1469.pdf>
- Mar 9, 2021: Tariff amendment to reconcile overlapping FERC-approved tariff language (ER21-1304). Proposed effective date of the prior FERC orders and filings. See Filing: <http://www.caiso.com/Documents/Mar9-2021-TariffAmendment-ReconciliationFiling-ER21-1304.pdf>
- Mar 3, 2021: Tariff amendment to enhance variable operations and maintenance cost (ER21-1266). Proposed effective date of May 17, 2021. See Filing: <http://www.caiso.com/Documents/Mar3-2021-TariffAmendment-VariableOperationsandMaintenance-ER21-1266.pdf>
- Jan 27, 2021 & Mar 25, 2021: Tariff amendment to enhance energy imbalance market (EIM) base schedule and real time settlement (ER21-955-000 & ER21-955-001). Proposed effective date of May 1, 2021 for one set of tariff changes and October 1, 2021 for the second set. See Filing: <http://www.caiso.com/Documents/Jan27-2021-TariffAmendment-EIM-Enhancements-Real-Time-Settlements-and-BaseScheduleTimelines-ER21-955.pdf> and <http://www.caiso.com/Documents/Mar25-2021-Amendment-TariffChanges-EIM-Enhancements-Real-TimeSettlements-BaseScheduleEnhancements-ER21-955.pdf>

FERC requires that all tariffs, tariff revisions, and rate change applications be filed electronically in compliance with Order 714.

Amendments approved by FERC (not yet effective)

The following Tariff Amendment filings were submitted to, and approved by FERC, but are not yet effective and are **not reflected in the posted version of the conformed tariff**. The pending language can be found in the filing submitted to FERC and will be added to the conformed tariff once it becomes effective.

- Feb 22, 2021: tariff amendment to enhance market parameters and import bidding related to FERC Order No. 831 (ER21-1192-000). **Proposed effective date of June 15, 2021**. See Filing: <http://www.caiso.com/Documents/Feb22-2021-TariffAmendment-PricingParameters-OrderNo831-ER21-1192.pdf>.

Amendments that have recently become effective

The following Tariff Amendment filings have been approved by FERC, are currently effective, and **are reflected in the posted version of the conformed tariff**.

- Jan 8, 2021: tariff amendment to clarify market rules for co-located resources for the hybrid resources phase 2 initiative (ER21-843-000). **Effective date as of March 12, 2021**. See Filing: <http://www.caiso.com/Documents/Jan8-2021-TariffAmendment-HybridResourcesPhase2Clarification-ER21-843.pdf>.
- Oct 21, 2020: tariff amendment to comply with FERC Order No. 831 (ER19-2757). **Effective as of March 21, 2021**. See Filing: <http://www.caiso.com/Documents/Oct21-2020-ComplianceFiling-FERCOrder-No-831-ER19-2757.pdf>
- Sep 5, 2020: tariff amendment to comply with FERC Order No. 831, *Offer Caps in Markets Operated by Regional Transmission Organizations and Independent System Operators* (ER19-2757). **Effective as of March 21, 2021**. See Filing: <http://www.caiso.com/Documents/Sep52019-TariffAmendment-OrderNo831ComplianceFiling-ER19-2757.pdf>

Pending Compliance Filings

The following Compliance filings are still pending FERC approval. Pending language is shown in **gray highlight** in the posted conformed tariff.

- *None at this time.*

FERC requires that all tariffs, tariff revisions, and rate change applications be filed electronically in compliance with Order 714.