**Note to Stakeholders: All draft changes are purely for discussion purposes only.**

**This proposed notice is all new and therefore not shown in red-line**

***All incremental changes since initial posting are shown in red-line***

**\* \* \* \* \***

**Notice of Generating Unit Retirement or Mothball**

**Including Rescission of Retirement or Mothball**

This is a notification of the retirement or mothballing of a Generating Unit in accordance with Section 41of the CAISO Tariff. An electronic copy of this completed form should be sent to the CAISO at [RegulatoryContracts@caiso.co](mailto:RegulatoryContracts@caiso.co)m. However, this form will not be considered complete until the original form containing an original signature, including all attachments, is received by the CAISO at the following address: CAISO, Attention: Director of Infrastructure Contracts and Management; 250 Outcropping Way, Folsom CA 95630.

The CAISO may request additional information as reasonably necessary to support its review of planned non-operations.

Legal Owner of the Generating Unit:

Legal Owner’s state of organization or incorporation

Name of Scheduling Coordinator:

Identity of Generating Unit(s) Subject to Retirement/Mothball (Resource Name, Resource ID):

Reason for retirement:

Pursuant to the terms of the CAISO Tariff, Owner hereby certifies that

[ ] It is retiring the Generating Unit in accordance with the BPM for Generator Management effective \_\_\_\_\_\_[month], \_\_\_\_\_\_\_\_[day], \_\_\_\_\_[year]. The Generating Unit does not have a resource adequacy contract for [check one or both] \_\_\_\_\_ the current year and/or \_\_\_\_\_\_the upcoming year, it is uneconomic for the Generating Unit to remain in service for such year(s), and the decision to retire is definite unless the CAISO procures the Generating Unit, the Generating Unit is sold to an unaffiliated third-party, a third-party contracts with the Generating Unit for resource adequacy purposes, or the Generating Unit obtains some other contract.

[ ] It is retiring the Generating Unit in accordance with the BPM for Generator Management effective \_\_\_\_\_\_[month], \_\_\_\_\_\_\_\_[day], \_\_\_\_\_[year]. The Generating Unit does not have a resource adequacy contract for [check one or both] \_\_\_\_\_ the current year and/or \_\_\_\_\_\_the upcoming year, it is retiring the Generating Unit for reasons other than it is uneconomic for the unit to remain in service during such year(s).

Owner is retiring the Generating Unit for the following reason(s) (state with specificity the reason for retiring the unit): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The decision to retire the Generating Unit is definite. Note: CAISO may designate the resource for RMR service if need for reliability.

State with specificity any legal, regulatory, or other reason(s) that might preclude the Owner from accepting an RMR Contract for the Generating Unit:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[ ] It is mothballing the unit effective \_\_\_\_\_\_\_[month], \_\_\_\_\_\_\_\_\_\_[day], \_\_\_\_\_\_\_\_\_\_[year]. The Generating Unit  does not have a resource adequacy contract for [check one or both] \_\_\_\_\_ the current year and/or \_\_\_\_\_\_the upcoming year, it is uneconomic for the Generating Unit to remain in service for such year(s), and the decision to retire is definite unless the CAISO procures the Generating Unit, the Generating Unit is sold to an unaffiliated third-party, a third-party contracts with the Generating Unit for resource adequacy purposes or the Generating Unit obtains some other contract.

[ ] It is rescinding its prior notice to retire or mothball the Generating Unit because the CAISO has procured the unit, the Generating Unit was sold to an unaffiliated third-party, a third-party contracted with the Generating Unit for resource adequacy purposes, or the Generating Unit obtained some other contract (this must occur before the effective date of the retirement or mothball).

State with specificity the reason for rescinding the retirement or mothball notice:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[ ] It is terminating the Generating Unit’s mothball status because the CAISO procured the Generating Unit, the Generating Unit was sold to an unaffiliated third-party, a third-party contracted with the Generating Unit for resource adequacy purposes, the Generating Unit obtained some other contract, or it is economic for the Generating Unit to return to service.

State with specificity the reason for returning from mothball status:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[ ] As the Resource Owner I acknowledge that it is my responsibility to submit the Resource Owner letter (available at: [http://www.caiso.com/Documents/ResourceOwnerSchedulingCoordinator  
Selection-LetterTemplate.doc](http://www.caiso.com/Documents/ResourceOwnerSchedulingCoordinatorSelection-LetterTemplate.doc)) to [SCrequests@caiso.com](mailto:SCrequests@caiso.com) to end my SC association.

Owner understands that it must comply with all applicable CAISO Tariff and BPM requirements for retiring a Generating Unit, or mothballing a Generating Unit, or returning a Generating Unit from retirement or mothball status.

Owner understands and agrees that this notification is provided in accordance with Section 41 of the CAISO’s Tariff and the request will be noted in the publicly available spreadsheet located at: <http://www.caiso.com/Documents/AnnouncedRetirementAndMothballList.xlsx>.

The undersigned certifies that he or she is an officer of the owner of the Generating Unit, that he or she is authorized to execute and submit this notification and has legal authority to bind the company, and that the statements contained herein are true and correct to the best of his or her knowledge and that this notice is executed under penalty of perjury.

Signature

Name:

**Contact Information**

Title:

Email:

Date:

Phone:

STATE OF:

COUNTY OF:

Before me, the undersigned authority, this day appeared \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, known by me to be the person whose name is subscribed to the foregoing instrument, who, after first being sworn by me deposed and said:

“I am an officer of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, I am authorized to execute and submit the foregoing notification on behalf of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, and the statements contained in such application are true and correct.”

SWORN TO AND SUBSCRIBED TO BEFORE ME, the undersigned authority on this the \_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_, \_\_.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Notary Public, State of \_\_\_\_\_\_\_\_\_\_\_

My Commission expires \_\_\_\_\_\_\_\_\_\_