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# *California ISO Results of Agreed-Upon Procedures Code of Conduct*

*Presentation to the  
Board of Governors*

*February 3, 2011*



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## ***Results***

- Procedures are completed and report issued on January 25, 2011
- All planned procedures performed without exception
- Report provides limited assurance as to the ISO's process of obtaining certifications from:
  - Employees
  - Substantially full-time contractors
  - Board of Governors

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## ***Scope***

- ISO tariff requires an annual audit of the code of conduct certification process
- Scope of procedures generally consisted of:
  - Obtaining listing of each constituent group
  - Comparing listing to signed certifications
- High level of commitment and cooperation of ISO staff greatly appreciated
- Questions