

2024 Rules of Conduct Penalties Informational Report

The following is an informational report required by CAISO Tariff Section 37.9.4 Disposition of Proceeds providing information about the financial Sanctions assessed for the calendar year, including the number of violations and total financial Sanctions assessed for each category of Rules of Conduct violation.

Tariff Section	2024 Violation Events	2024 Number of Trade Dates	2024 Sanctions Levied	Notes
37.4 Comply with Availability Reporting Requirements				
37.4.1 (Reporting Availability)	-		\$0.00	
37.4.2 (Scheduling And Final Approval Of Outages)	-		\$0.00	
37.5 Accurate and Timely SQMD				
37.5.2.1.1 (Inaccurate Meter Data)	13	401	\$108,791.96	5 violations with sanctions successfully appealed (violations not excused) for a total of \$261,486.85 returned to Scheduling Coordinators for 288 TDs
37.5.2.1.2 (Late Meter Data)	13	27	\$27,000.00	
37.5.2.1.3 (Missing Meter Data)	2	2	\$4,000.00	
37.6 Provide Information Required By CAISO Tariff				
37.6.1 (Required Information Generally)				
Late Reliability Requirements - RA & Supply Plans	25		\$43,500.00	
Late Generator Modeling Data	-		\$0.00	
Late Telemetry Compliance	128		\$973,500.00	
Late Masterfile Resource Characteristics	-		\$0.00	
Late Flex RA Survey	2		\$2,000.00	
Late SQMD Plan Affirmation	3		\$3,500.00	
37.6.2 (Investigation Information)				
Late Forced Outage Report Response	3		\$32,000.00	
37.6.3 (Late Audit Materials)	-		\$0.00	
	189	430	\$1,194,291.96	