

2025 Rules of Conduct Penalties Informational Report

The following is an informational report required by CAISO Tariff Section 37.9.4 Disposition of Proceeds providing information about the financial Sanctions assessed for the calendar year, including the number of violations and total financial Sanctions assessed for each category of Rules of Conduct violation.

Tariff Section	2025 Violation Events	2025 Number of Trade Dates	2025 Sanctions Levied	Notes
37.4 Comply with Availability Reporting Requirements				
37.4.1 (Reporting Availability)	-		\$0.00	
37.4.2 (Scheduling And Final Approval Of Outages)	-		\$0.00	
37.5 Accurate and Timely SQMD				
37.5.2.1.1 (Inaccurate Meter Data)	49	1,266	\$650,794.07	
37.5.2.1.2 (Late Meter Data)	10	16	\$16,000.00	
37.5.2.1.3 (Missing Meter Data)	-	-	\$0.00	
37.6 Provide Information Required By CAISO Tariff				
37.6.1 (Required Information Generally)				
Late Reliability Requirements - RA & Supply Plans	31		\$47,000.00	
Late Generator Modeling Data	-		\$0.00	
Late Telemetry Compliance	100		\$991,000.00	3 violations with sanctions were successfully appealed (violations not excused) for a total of \$96,000.00 returned to Scheduling Coordinator
Late Masterfile Resource Characteristics	-		\$0.00	
Late Flex RA Survey	-		\$0.00	
Late SQMD Plan Affirmation	11		\$8,500.00	
37.6.2 (Investigation Information)				
Late Forced Outage Report Response	5		\$42,000.00	1 violation with sanction was successfully appealed (violation not excused) for a total of \$84,000.00 returned to Scheduling Coordinator
37.6.3 (Late Audit Materials)	10	-	\$61,000.00	
37.7.1 (Late or Missing Demand Response Monitoring Data)	6	40	\$64,000.00	
	222	1,322	\$1,880,294.07	